

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	100,704,672		100,704,672	148,938,568
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				87,230
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$ 1,281,915), cash equivalents (\$ 28,582,883) and short-term investments (\$)	29,864,798		29,864,798	2,710,032
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets	2,114,203		2,114,203	3,122,079
9. Receivables for securities	175,377		175,377	3,031
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	132,859,050		132,859,050	154,860,940
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	1,205,222		1,205,222	1,637,199
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	4,939,780	4,917,509	22,271	48,521
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	164,569		164,569	
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	139,168,621	4,917,509	134,251,112	156,546,660
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	139,168,621	4,917,509	134,251,112	156,546,660
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. MISCELLANEOUS RECEIVABLES	500		500	
2502. NEGATIVE IMR	164,069		164,069	
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	164,569		164,569	

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 96,624,105 less \$ included in Line 6.3 (including \$ Modco Reserve)	96,624,105	120,050,472
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (including \$ Modco Reserve).....	1,308,753	1,349,541
4. Contract claims:		
4.1 Life	542,693	213,914
4.2 Accident and health		
5. Policyholders' dividends/refunds to members \$ and coupons \$ due and unpaid		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ ceded		
9.4 Interest Maintenance Reserve		448,996
10. Commissions to agents due or accrued-life and annuity contracts \$, accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	7,000	33,600
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes		
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)	900,030	801,915
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by reporting entity as agent or trustee	86,596	20,743
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	(51,241)	2,171
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	732,417	1,019,639
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates		
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities		10,543
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	100,150,353	123,951,535
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	100,150,353	123,951,535
29. Common capital stock	2,000,000	2,000,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus	28,000,000	28,000,000
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	4,100,759	2,595,125
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	32,100,759	30,595,125
38. Totals of Lines 29, 30 and 37	34,100,759	32,595,125
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	134,251,112	156,546,660
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY
OF NEW YORK

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	21,400	83,079	86,379
2. Considerations for supplementary contracts with life contingencies	52,779		
3. Net investment income	4,472,091	5,129,818	6,764,241
4. Amortization of Interest Maintenance Reserve (IMR)	(7,184)	43,723	53,396
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded			
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income			
9. Totals (Lines 1 to 8.3)	4,539,086	5,256,620	6,904,017
10. Death benefits			
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits	1,785,480	2,522,729	2,989,607
13. Disability benefits and benefits under accident and health contracts			
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	23,626,297	9,364,099	15,927,683
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	23,591	18,706	18,714
18. Payments on supplementary contracts with life contingencies	247,949	197,243	213,299
19. Increase in aggregate reserves for life and accident and health contracts	(23,426,368)	(9,186,791)	(2,513,471)
20. Totals (Lines 10 to 19)	2,256,949	2,915,986	16,635,833
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)			
22. Commissions and expense allowances on reinsurance assumed			
23. General insurance expenses and fraternal expenses	418,152	366,367	481,969
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,849	(5,644)	(639)
25. Increase in loading on deferred and uncollected premiums			
26. Net transfers to or (from) Separate Accounts net of reinsurance			
27. Aggregate write-ins for deductions			
28. Totals (Lines 20 to 27)	2,676,950	3,276,709	17,117,163
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	1,862,136	1,979,911	(10,213,146)
30. Dividends to policyholders and refunds to members			
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	1,862,136	1,979,911	(10,213,146)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	599,458	365,927	546,074
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	1,262,678	1,613,984	(10,759,220)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$8,267 (excluding taxes of \$ (164,876) transferred to the IMR)	31,101	(325,140)	(331,277)
35. Net income (Line 33 plus Line 34)	1,293,779	1,288,843	(11,090,497)
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	32,595,124	43,608,731	43,608,731
37. Net income (Line 35)	1,293,779	1,288,843	(11,090,497)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ (10,314)	(38,801)	7,239	26,276
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	206,322	(39,315)	2,698,151
41. Change in nonadmitted assets	(242,887)	15,680	(2,779,891)
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	287,222	232,624	132,354
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus			
54. Net change in capital and surplus for the year (Lines 37 through 53)	1,505,635	1,505,071	(11,013,607)
55. Capital and surplus, as of statement date (Lines 36 + 54)	34,100,759	45,113,802	32,595,124
DETAILS OF WRITE-INS			
08.301.			
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)			
2701.			
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)			
5301.			
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)			

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY
OF NEW YORK

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	74,179	83,079	86,379
2. Net investment income	5,343,579	4,876,715	6,900,299
3. Miscellaneous income			
4. Total (Lines 1 to 3)	5,417,758	4,959,794	6,986,678
5. Benefit and loss related payments	25,354,537	11,909,014	19,673,647
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	446,601	353,465	467,321
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	344,734		
10. Total (Lines 5 through 9)	26,145,872	12,262,479	20,140,968
11. Net cash from operations (Line 4 minus Line 10)	(20,728,115)	(7,302,685)	(13,154,290)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	46,983,243	13,046,899	18,623,165
12.2 Stocks	101,638		
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets	1,009,765		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	48,094,646	13,046,899	18,623,165
13. Cost of investments acquired (long-term only):			
13.1 Bonds	27	9,058,330	8,934,013
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications	182,889		
13.7 Total investments acquired (Lines 13.1 to 13.6)	182,916	9,058,330	8,934,013
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	47,911,730	3,988,569	9,689,152
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(40,788)	(137,021)	33,148
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	11,939	968,231	(21,848)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(28,849)	831,210	11,301
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	27,154,766	(2,482,907)	(3,453,838)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	2,710,032	6,163,870	6,163,870
19.2 End of period (Line 18 plus Line 19.1)	29,864,798	3,680,963	2,710,032

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance			
3. Ordinary individual annuities	21,400	83,079	86,379
4. Credit life (group and individual)			
5. Group life insurance			
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)			
9. A & H - other			
10. Aggregate of all other lines of business			
11. Subtotal (Lines 1 through 10)	21,400	83,079	86,379
12. Fraternal (Fraternal Benefit Societies Only)			
13. Subtotal (Lines 11 through 12)	21,400	83,079	86,379
14. Deposit-type contracts			
15. Total (Lines 13 and 14)	21,400	83,079	86,379
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of American Equity Investment Life Insurance Company of New York ("the Company") are presented on the basis of accounting practices prescribed or permitted by the New York State Insurance Department (the "Insurance Department").

The Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under New York Insurance Law. The National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the Insurance Department. There are no differences between accounting practices prescribed or permitted by the Insurance Department and NAIC SAP that materially impact the Company.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Insurance Department is shown below:

	SSAP #	F/S Page	F/S Line #	30-Sep-23	31-Dec-22
NET INCOME					
(1) Net income, New York basis				\$ 1,293,779	\$ (11,090,497)
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:				\$ -	\$ -
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:				\$ -	\$ -
(4) Net income, NAIC SAP (1-2-3=4)				<u>\$ 1,293,779</u>	<u>\$ (11,090,497)</u>
SURPLUS					
(5) Statutory Surplus, New York Basis	XXX	XXX	XXX	\$ 34,100,759	\$ 32,595,125
(6) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) Statutory surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 34,100,759</u>	<u>\$ 32,595,125</u>

B. Use of Estimates in the Preparation of the Financial Statements

No significant changes have occurred in disclosure from December 31, 2022.

C. Accounting Policy

1. No significant changes have occurred in disclosure from December 31, 2022.

2. Realized capital gains and losses are recorded on the trade date and determined on the basis of specific identification and are recorded net of related federal income taxes and amounts transferred to the Interest Maintenance Reserve ("IMR"). Under a formula prescribed by the NAIC, the Company defers, in the IMR, the portion of realized gains and losses on sales of fixed income investments, principally bonds, attributable to changes in the general level of interest rates and amortizes those deferrals over the remaining period to maturity of the security. The Asset Valuation Reserve ("AVR") is established by the Company to provide for anticipated losses in the event of default by issuers of certain invested assets. The AVR is determined using a formula that is intended to establish a reserve to offset potential credit-related investment losses.

The carrying values of all investments are reviewed on an ongoing basis for credit deterioration. An other-than-temporary impairment shall be considered to have occurred if it is probable that the reporting entity will be unable to collect all amounts due according to the contractual terms of a debt security in effect at the date of acquisition. If this review indicates a decline in fair value that is other than temporary, the carrying amount of the investment, other than loan-backed and structured securities, is reduced to its fair value and a specific other than temporary impairment is taken. A decline in fair value which is other than temporary includes situations where the Company has made a decision to sell a security prior to its maturity at an amount below its carrying value. Such reductions in carrying values are recognized as realized losses on investments.

3. Common stocks are stated at fair value based on the latest quoted market prices.

4. No significant changes have occurred in disclosure from December 31, 2022.

5. No significant changes have occurred in disclosure from December 31, 2022.

6. If the fair value of a loan-backed or structured security is less than its amortized cost at the reporting date, the Company assesses whether the impairment is other than temporary. If the Company intends to sell the loan-backed or structured security, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not intend to sell the loan-backed or structured security, the Company assesses whether it has the intent and ability to retain the investment in the security for a period of time sufficient to recover the amortized cost. If the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not expect to recover the amortized cost basis of the security, it is unable to assert that it will recover its amortized cost even if it does not intend to sell the security and the entity has the intent and ability to hold such security. Therefore, in those situations, an other than temporary impairment is considered to have occurred and a loss is recognized in an amount equal to the excess of amortized cost over the present value of cash flows expected to be collected, discounted at the loan-backed or structured security's effective interest rate.

Interest income is recognized on an accrual basis. Dividend income is recognized when declared. The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain.

7. No significant changes have occurred in disclosure from December 31, 2022.

8. No significant changes have occurred in disclosure from December 31, 2022.

9. No significant changes have occurred in disclosure from December 31, 2022.

10. No significant changes have occurred in disclosure from December 31, 2022.

11. No significant changes have occurred in disclosure from December 31, 2022.

12. No significant changes have occurred in disclosure from December 31, 2022.

NOTES TO FINANCIAL STATEMENTS

13. No significant changes have occurred in disclosure from December 31, 2022.

D. Going Concern

The Company's management has evaluated its ability to continue as a going concern and does not have substantial doubt the entity will continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors

The Company had no material changes in accounting principles or correction of errors to report for the nine months ended September 30, 2023.

NOTE 3 Business Combinations and Goodwill

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 4 Discontinued Operations

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 5 Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant changes have occurred in disclosure from December 31, 2022.

B. Debt Restructuring

No significant changes have occurred in disclosure from December 31, 2022.

C. Reverse Mortgages

No significant changes have occurred in disclosure from December 31, 2022.

D. Loan-Backed Securities

- (1) Prepayment assumptions for loan-backed securities were obtained from third party rating agencies and/or third party loan servicers.
- (2) For the nine months ended September 30, 2023, the Company did not recognize any other than temporary impairments ("OTTI") on the basis of the intent to sell or the basis of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost.
- (3) The Company did not have any loan-backed securities for which the present value of cash flows expected to be collected was less than amortized cost, and as a result no OTTI was recorded during the nine months ended September 30, 2023.
- (4) For loan-backed and structured securities with unrealized losses as of September 30, 2023, the gross unrealized losses and fair value, aggregated by length of time that individual securities have been in a continuous unrealized loss position are summarized as follows:

a) The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ 278,395
2. 12 Months or Longer	\$ 3,036,610

b) The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 3,504,940
2. 12 Months or Longer	\$ 22,360,491

- (5) At September 30, 2023 the Company had no exposure to subprime loan-backed securities. Substantially all of the owned securities are in the highest rated tranche of the pool in which they are structured and are not subordinated to any other tranche.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

- (1) No significant changes have occurred in disclosure from December 31, 2022.
- (2) No significant changes have occurred in disclosure from December 31, 2022.
- (3) The Company has not pledged as collateral any assets as part of a repurchase agreement or securities lending transaction as of the nine months ended September 30, 2023.
- (4) No significant changes have occurred in disclosure from December 31, 2022.
- (5) No significant changes have occurred in disclosure from December 31, 2022.
- (6) No significant changes have occurred in disclosure from December 31, 2022.
- (7) No significant changes have occurred in disclosure from December 31, 2022.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any repurchase agreements transactions accounted for as secured borrowing as of September 30, 2023.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing as of September 30, 2023.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any repurchase agreements transactions accounted for as a sale as of September 30, 2023.

NOTES TO FINANCIAL STATEMENTS

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any reverse repurchase agreements transactions accounted for as a sale as of September 30, 2023.

J. Real Estate

The Company did not have any investments in real estate as of September 30, 2023.

K. Low Income Housing tax Credits (LIHTC)

No significant changes have occurred in disclosure from December 31, 2022.

L. Restricted Assets

No significant changes have occurred in disclosure from December 31, 2022.

M. Working Capital Finance Investments

The Company did not have any working capital finance investments as of September 30, 2023.

N. Offsetting and Netting of Assets and Liabilities

The Company did not have any offsetting and netting of assets and liabilities as of September 30, 2023.

O. 5GI Securities

No significant changes have occurred in disclosure from December 31, 2022.

P. Short Sales

The Company did not have unsettled and settled short sale transactions outstanding as of September 30, 2023.

Q. Prepayment Penalty and Acceleration Fees

	<u>General Account</u>	<u>Separate Account</u>
1. Number of CUSIPs	0	0
2. Aggregate Amount of Investment Income	\$ -	\$ -

R. Reporting Entity's Share of Cash Pool by Asset Type

Asset Type	Percent Share
(1) Cash	4.3%
(2) Cash Equivalents	95.7%
(3) Short-Term Investments	
(4) Total	100.0%

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 7 Investment Income

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 8 Derivative Instruments

The Company did not hold any derivatives during the nine months ended September 30, 2023.

NOTES TO FINANCIAL STATEMENTS

NOTE 9 Income Taxes

A. No significant changes have occurred in disclosure from December 31, 2022.

B. No significant changes have occurred in disclosure from December 31, 2022.

C. Current income taxes incurred consist of the following major components:

	As of End of Current Period	(2) 12/31/2022	(3) (Col. 1 - 2) Change
1. Current Income Tax			
(a) Federal	\$ 599,458	\$ 546,074	\$ 53,384
(b) Foreign	\$ -	\$ -	\$ -
(c) Subtotal (1a+1b)	\$ 599,458	\$ 546,074	\$ 53,384
(d) Federal income tax on net capital gains	\$ (156,609)	\$ (88,895)	\$ (67,714)
(e) Utilization of capital loss carry-forwards	\$ -	\$ -	\$ -
(f) Other	\$ -	\$ -	\$ -
(g) Federal and foreign income taxes incurred (1c+1d+1e+1f)	\$ 442,849	\$ 457,179	\$ (14,330)

2. No significant changes have occurred in disclosure from December 31, 2022.

3. No significant changes have occurred in disclosure from December 31, 2022.

4. No significant changes have occurred in disclosure from December 31, 2022.

D. Analysis of Actual Income Tax Expense

As of September 30, 2023, the income tax expense and change in deferred taxes differs from the amount obtained by applying the federal statutory rate of 21% to pretax net income for the following reasons:

	Amounts	Effective Tax Rate (%)
Provisions computed at statutory rate	\$ 234,439	21.00%
IMR	1,509	0.14%
Nondeductible Expenses	579	0.05%
Nonadmitted Assets	-	0.00%
Stock compensation	-	0.00%
Other	-	0.00%
Rate Differential	-	0.00%
Total	\$ 236,527	21.19%

Federal income tax incurred	\$ 442,849	39.67%
Change in net deferred income taxes	(206,322)	-18.48%
Total statutory income tax	\$ 236,527	21.19%

E. Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits

No significant changes have occurred in disclosure from December 31, 2022.

F. Consolidated Federal Income Tax Return

No significant changes have occurred in disclosure from December 31, 2022.

G. Federal or Foreign Federal Income Tax Loss Contingencies

No significant changes have occurred in disclosure from December 31, 2022.

H. Repatriation Transition Tax (RTT)

No significant changes have occurred in disclosure from December 31, 2022.

I. Alternative Minimum Tax (AMT) Credit

No significant changes have occurred in disclosure from December 31, 2022.

NOTES TO FINANCIAL STATEMENTS

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

The Company is wholly owned by American Equity Investment Life Insurance Company ("American Equity Life"), a life insurance company domiciled in the State of Iowa. American Equity Life is wholly owned by American Equity Investment Life Holding Company ("Holding Company").

On July 4, 2023, American Equity Investment Life Holding Company (AEL), the ultimate controlling parent of AEILIC NY, entered into an Agreement and Plan of Merger (the "Agreement") with Brookfield Reinsurance Ltd. The Agreement provides that each issued and outstanding share of AEL common stock will be converted into the right to receive \$38.85 per share in cash and a number of fully-paid and nonassessable share of class A limited voting shares of Brookfield Asset Management Ltd (BAM) equal to the Exchange Ratio as defined in the Agreement. The Exchange Ratio is subject to adjustment based on the 10-day volume-weighted average share price of BAM Class A Stock with total consideration ranging between \$54.00 and \$56.50 per share. The Agreement does not provide for the payment of any consideration with respect to the issued and outstanding shares of AEL Series A and Series B preferred stock. As such, these shares will be unaffected by the Agreement and will remain outstanding.

The closing of the Agreement is subject to a number of contingencies, including (1) receipt of American Equity Investment Life Holding Company shareholder approval, (2) receipt of certain regulatory approvals, (3) the absence of any injunction or restraint making illegal or otherwise prohibiting the consummation of the merger, (4) the effectiveness of the applicable registration statement on Form F-4 to be filed by BAM, (5) listing approval of the shares of BAM Class A Stock on the New York Stock Exchange and the Toronto Stock Exchange. BAM's obligations to close the merger are also conditioned upon the absence of a Company Material Adverse Effect (as defined in the Agreement) and the absence of the imposition of a Burdensome Condition (as defined in the Agreement) by any regulator as part of the regulatory approval process. The Agreement contains Company representations and warranties and provides for pre-closing covenants, including, subject to certain exceptions, covenants relating to the conduct by the Company in the ordinary course consistent with past practice.

The closing of the merger may not occur prior to January 5, 2024, unless BAM's parent agrees otherwise. The Agreement also provides termination rights for each of the Company and BAM, including, among others, in the event the closing of the merger does not occur on or before April 4, 2024, subject to extension under certain circumstances be extended. Should the Agreement be terminated under certain circumstances, AEL may be required to pay BAM's parent a termination fee of \$102 million.

On November 10, 2023 the shareholders of the Holding Company voted to approve the Agreement with Brookfield Reinsurance Ltd.

NOTE 11 Debt

A. The Company has no debentures outstanding or any reverse repurchase agreements.

B. FHLB (Federal Home Loan Bank) Agreements

The Company does not have any FHLB agreements for the nine months ended September 30, 2023.

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

The company did not have a Defined Benefit Plan for the nine months ended September 30, 2023.

B-I No significant changes have occurred in disclosure from December 31, 2022.

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 14 Liabilities, Contingencies and Assessments

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 15 Leases

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company did not have financial instruments with off-balance sheet risk or derivative financial instruments for the nine months ended September 30, 2023.

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

For the nine months ended September 30, 2023, the Company did not have transfers of receivables reported as sales.

B. Transfer and Servicing of Financial Assets

The company did not have any transactions surrounding the transfers and servicing of financial assets during the nine months ended September 30, 2023.

C. Wash Sales

In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date. During the nine months ended September 30, 2023, the Company did not have any transactions qualifying as wash sales.

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes have occurred in disclosure from December 31, 2022.

NOTES TO FINANCIAL STATEMENTS

NOTE 20 Fair Value Measurements

A.

- (1) Fair Value Measurements at Reporting Date - None.
- (2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy - None.
- (3) Policy on Transfers Into and Out of Level 3.

No significant changes since December 31, 2022.

- (4) Inputs and Techniques Used for Level 2 and Level 3 Fair Values.

No significant changes since December 31, 2022.

- (5) Derivative Fair Values - None.

B. Other Fair Value Disclosures - None.

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

The following table represents the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries and joint ventures). The fair values are also categorized into the three level fair value hierarchy.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Assets							
Bonds	\$ 83,820,029	\$ 100,704,672	\$ 410,125	\$ 83,366,632	\$ 43,272	\$ -	\$ -
Other invested assets	\$ 1,807,890	\$ 2,114,203	\$ -	\$ 1,807,890	\$ -	\$ -	\$ -
Cash and cash equivalents	\$ 29,864,798	\$ 29,864,798	\$ 29,864,798	\$ -	\$ -	\$ -	\$ -
Liabilities							
Policy benefit reserves	\$ 72,630,259	\$ 72,675,145	\$ -	\$ -	\$ 72,630,259	\$ -	\$ -

Bonds: The fair values of bonds are obtained from third parties and are based on quoted market prices when available. The third parties use yield data and other factors relating to instruments or securities with similar characteristics to determine fair value for securities that are not actively traded.

Preferred and common stock: The fair values of preferred and unaffiliated common stocks are based on quoted market prices.

Other invested assets: The fair values of other invested assets are obtained from third parties and are based on quoted market prices when available. The third parties use yield data and other factors relating to instruments or securities with similar characteristics to determine fair value for securities that are not actively traded.

Cash and cash equivalents: Amounts reported in the statutory-basis balance sheets for these instruments are reported at their historical cost which approximates fair value due to the nature of the assets assigned to this category.

Policy benefit reserves: The fair values of the liabilities under contracts are stated at the cost the Company would incur to extinguish the liability (i.e., the cash surrender value). For period-certain annuity benefit contracts, the fair value is determined by discounting the benefits at the interest rates currently in effect for newly issued immediate annuity contracts.

D. Not Practicable to Estimate Fair Value

The Company does not have any assets where it is not practicable to estimate fair value as of September 30, 2023.

E. Estimates using NAV practical expedient

The Company does not have any assets measured using the NAV practical expedient as of September 30, 2023.

NOTE 21 Other Items

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 22 Events Subsequent

Type I – Recognized Subsequent Events:

Subsequent events have been considered through November 6, 2023

There are no subsequent events to report.

Type II – Nonrecognized Subsequent Events:

Subsequent events have been considered through November 6, 2023.

There is no subsequent events to report.

The Company is not subject to an annual fee under Section 9010 of the Federal Affordable Care Act.

NOTE 23 Reinsurance

No significant changes have occurred in disclosure from December 31, 2022.

NOTES TO FINANCIAL STATEMENTS

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. Disclose the method used by the reporting entity to estimate accrued retrospective premium adjustments.

No significant changes have occurred in disclosure from December 31, 2022.

B. Disclose whether accrued retrospective premiums are recorded through written premium or as an adjustment to earned premium.

No significant changes have occurred in disclosure from December 31, 2022.

C. Disclose the amount of net premiums written that are subject to retrospective rating features, as well as the corresponding percentage to total net premiums written.

No significant changes have occurred in disclosure from December 31, 2022.

D. Medical loss ratio rebates required pursuant to the Public Health Service Act.

No significant changes have occurred in disclosure from December 31, 2022.

E. Risk Sharing Provisions of the Affordable Care Act

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

The Company did not have any changes in incurred losses attributable to insured events of prior years as of September 30, 2023.

NOTE 26 Intercompany Pooling Arrangements

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 27 Structured Settlements

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 28 Health Care Receivables

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 29 Participating Policies

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 30 Premium Deficiency Reserves

The Company did not have any premium deficiency reserves in the nine months ended September 30, 2023.

NOTE 31 Reserves for Life Contracts and Annuity Contracts

No significant changes have occurred in disclosure from December 31, 2022.

NOTES TO FINANCIAL STATEMENTS

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

A. INDIVIDUAL ANNUITIES:

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment	\$ -	\$ -	\$ -	\$ -	
b. At book value less current surrender charge of 5% or more	\$ 1,318,321	\$ -	\$ -	\$ 1,318,321	1.80%
c. At fair value	\$ -	\$ -	\$ -	\$ -	
d. Total with market value adjustment or at fair value (total of a through c)	\$ 1,318,321	\$ -	\$ -	\$ 1,318,321	1.80%
e. At book value without adjustment (minimal or no charge or adjustment)	\$ 70,048,072	\$ -	\$ -	\$ 70,048,072	94.30%
(2) Not subject to discretionary withdrawal	\$ 2,907,712	\$ -	\$ -	\$ 2,907,712	3.90%
(3) Total (gross: direct + assumed)	\$ 74,274,105	\$ -	\$ -	\$ 74,274,105	100.00%
(4) Reinsurance ceded	\$ -	\$ -	\$ -	\$ -	
(5) Total (net)* (3) - (4)	\$ 74,274,105	\$ -	\$ -	\$ 74,274,105	
(6) Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date:	\$ -	\$ -	\$ -	\$ -	

* Reconciliation of total annuity actuarial reserves and deposit fund liabilities.

B. GROUP ANNUITIES:

The Company did not have any Group Annuities as of December 31, 2022.

C. DEPOSIT-TYPE CONTRACTS (no life contingencies):

	General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1) Subject to discretionary withdrawal:					
a. With market value adjustment	\$ -	\$ -	\$ -	\$ -	
b. At book value less current surrender charge of 5% or more	\$ -	\$ -	\$ -	\$ -	
c. At fair value	\$ -	\$ -	\$ -	\$ -	
d. Total with market value adjustment or at fair value (total of a through c)	\$ -	\$ -	\$ -	\$ -	
e. At book value without adjustment (minimal or no charge or adjustment)	\$ -	\$ -	\$ -	\$ -	
(2) Not subject to discretionary withdrawal	\$ 1,308,753	\$ -	\$ -	\$ 1,308,753	100.00%
(3) Total (gross: direct + assumed)	\$ 1,308,753	\$ -	\$ -	\$ 1,308,753	100.00%
(4) Reinsurance ceded	\$ -	\$ -	\$ -	\$ -	
(5) Total (net)* (3) - (4)	\$ 1,308,753	\$ -	\$ -	\$ 1,308,753	
(6) Amount included in C(1)b above that will move to C(1)e for the first time within the year after the statement date:	\$ -	\$ -	\$ -	\$ -	

D. Life & Accident & Health Annual Statement:

	Amount
(1) Exhibit 5, Annuities Section, Total (net)	\$ 73,408,453
(2) Exhibit 5, Supplementary Contracts with Life Contingencies Section, Total (net)	\$ 865,652
(3) Exhibit 7, Deposit-Type Contracts, Line 14, Column 1	\$ 1,308,753
(4) Subtotal (1+2+3)	\$ 75,582,858
Separate Accounts Annual Statement:	
(5) Exhibit 3, Line 0299999, Column 2	\$ -
(6) Exhibit 3, Line 0399999, Column 2	\$ -
(7) Policyholder dividend and coupon accumulations	\$ -
(8) Policyholder premiums	\$ -
(9) Guaranteed interest contracts	\$ -
(10) Other contract deposit funds	\$ -
(11) Subtotal (5+6+7+8+9+10)	\$ -
(12) Combined Total (4+11)	\$ 75,582,858

NOTES TO FINANCIAL STATEMENTS

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics

	Account Value	Cash Value	Reserve
A. General Account			
(1) Subject to discretionary withdrawal, surrender values or policy loans:			
a. Term Policies with Cash Value	\$ -	\$ -	\$ -
b. Universal Life	\$ -	\$ -	\$ -
c. Universal Life with Secondary Guarantees	\$ -	\$ -	\$ -
d. Indexed Universal Life	\$ -	\$ -	\$ -
e. Indexed Universal Life with Secondary Guarantees	\$ -	\$ -	\$ -
f. Indexed Life	\$ -	\$ -	\$ -
g. Other Permanent Cash Value Life Insurance	\$ -	\$ -	\$ -
h. Variable Life	\$ -	\$ -	\$ -
i. Variable Universal Life	\$ -	\$ -	\$ -
j. Miscellaneous Reserves	\$ -	\$ -	\$ -
(2) Not subject to discretionary withdrawal or no cash values:			
a. Term Policies without Cash Value	XXX	XXX	\$ -
b. Accidental Death Benefits	XXX	XXX	\$ -
c. Disability - Active Lives	XXX	XXX	\$ -
d. Disability - Disabled Lives	XXX	XXX	\$ -
e. Miscellaneous Reserves	XXX	XXX	\$ 22,350,000
(3) Total (gross: direct + assumed)	\$ -	\$ -	\$ 22,350,000
(4) Reinsurance ceded	\$ -	\$ -	\$ -
(5) Total (net) (3) - (4)	\$ -	\$ -	\$ 22,350,000

	Account Value	Cash Value	Reserve
B. Separate Account with Guarantees			
(1) Subject to discretionary withdrawal, surrender values or policy loans:			
a. Term Policies with Cash Value	\$ -	\$ -	\$ -
b. Universal Life	\$ -	\$ -	\$ -
c. Universal Life with Secondary Guarantees	\$ -	\$ -	\$ -
d. Indexed Universal Life	\$ -	\$ -	\$ -
e. Indexed Universal Life with Secondary Guarantees	\$ -	\$ -	\$ -
f. Indexed Life	\$ -	\$ -	\$ -
g. Other Permanent Cash Value Life Insurance	\$ -	\$ -	\$ -
h. Variable Life	\$ -	\$ -	\$ -
i. Variable Universal Life	\$ -	\$ -	\$ -
j. Miscellaneous Reserves	\$ -	\$ -	\$ -
(2) Not subject to discretionary withdrawal or no cash values:			
a. Term Policies without Cash Value	XXX	XXX	\$ -
b. Accidental Death Benefits	XXX	XXX	\$ -
c. Disability - Active Lives	XXX	XXX	\$ -
d. Disability - Disabled Lives	XXX	XXX	\$ -
e. Miscellaneous Reserves	XXX	XXX	\$ -
(3) Total (gross: direct + assumed)	\$ -	\$ -	\$ -
(4) Reinsurance ceded	\$ -	\$ -	\$ -
(5) Total (net) (3) - (4)	\$ -	\$ -	\$ -

	Account Value	Cash Value	Reserve
C. Separate Account Nonguaranteed			
(1) Subject to discretionary withdrawal, surrender values or policy loans:			
a. Term Policies with Cash Value	\$ -	\$ -	\$ -
b. Universal Life	\$ -	\$ -	\$ -
c. Universal Life with Secondary Guarantees	\$ -	\$ -	\$ -
d. Indexed Universal Life	\$ -	\$ -	\$ -
e. Indexed Universal Life with Secondary Guarantees	\$ -	\$ -	\$ -
f. Indexed Life	\$ -	\$ -	\$ -
g. Other Permanent Cash Value Life Insurance	\$ -	\$ -	\$ -
h. Variable Life	\$ -	\$ -	\$ -
i. Variable Universal Life	\$ -	\$ -	\$ -
j. Miscellaneous Reserves	\$ -	\$ -	\$ -
(2) Not subject to discretionary withdrawal or no cash values:			
a. Term Policies without Cash Value	XXX	XXX	\$ -
b. Accidental Death Benefits	XXX	XXX	\$ -
c. Disability - Active Lives	XXX	XXX	\$ -
d. Disability - Disabled Lives	XXX	XXX	\$ -
e. Miscellaneous Reserves	XXX	XXX	\$ -
(3) Total (gross: direct + assumed)	\$ -	\$ -	\$ -
(4) Reinsurance ceded	\$ -	\$ -	\$ -
(5) Total (net) (3) - (4)	\$ -	\$ -	\$ -

NOTES TO FINANCIAL STATEMENTS

	Amount
D. Life & Accident & Health Annual Statement:	
(1) Exhibit 5, Life Insurance Section, Total (net)	\$ -
(2) Exhibit 5, Accidental Death Benefits Section, Total (net)	\$ -
(3) Exhibit 5, Disability - Active Lives Section, Total (net)	\$ -
(4) Exhibit 5, Disability - Disabled Lives Section, Total (net)	\$ -
(5) Exhibit 5, Miscellaneous reserves Section, Total (net)	\$ 22,350,000
(6) Subtotal (1+2+3+4+5)	\$ 22,350,000
 Separate Accounts Statement	
(7) Exhibit 3, Line 0199999, Column 2	\$ -
(8) Exhibit 3, Line 0499999, Column 2	\$ -
(9) Exhibit 3, Line 0599999, Column 2	\$ -
(10) Subtotal (7+8+9)	\$ -
(11) Combined Total (6+10)	\$ 22,350,000

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected

No significant changes have occurred in disclosure from December 31, 2022.

NOTE 35 Separate Accounts

The Company did not have any Separate Accounts in the nine months ended September 30, 2023.

NOTE 36 Loss/Claim Adjustment Expenses

No significant changes have occurred in disclosure from December 31, 2022.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No []
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001039828
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
.....
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/26/2020
- 6.4 By what department or departments?
NEW YORK INSURANCE DEPARTMENT
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$
13. Amount of real estate and mortgages held in short-term investments: \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]
- 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$	\$
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A []
If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$
- 16.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
- 16.3 Total payable for securities lending reported on the liability page. \$

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	A.....
BLACKROCK FINANCIAL MANAGEMENT INC.	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107105	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	549300RK5RZQ740FPL83	SEC	DS.....
	BLACKROCK FINANCIAL MANAGEMENT INC.	549300LVXY1VJKE13M84	SEC #801-48433	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No []

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No []

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages\$.....
- 1.12 Residential Mortgages\$.....
- 1.13 Commercial Mortgages\$.....
- 1.14 Total Mortgages in Good Standing\$.....
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms.....\$.....
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages\$.....
- 1.32 Residential Mortgages\$.....
- 1.33 Commercial Mortgages\$.....
- 1.34 Total Mortgages with Interest Overdue more than Three Months\$.....
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages\$.....
- 1.42 Residential Mortgages\$.....
- 1.43 Commercial Mortgages\$.....
- 1.44 Total Mortgages in Process of Foreclosure\$.....
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)\$.....
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages\$.....
- 1.62 Residential Mortgages\$.....
- 1.63 Commercial Mortgages\$.....
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate\$.....
2. Operating Percentages:
- 2.1 A&H loss percent %
- 2.2 A&H cost containment percent %
- 2.3 A&H expense percent excluding cost containment expenses %
- 3.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date\$.....
- 3.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date\$.....
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [] No [X]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No [X]

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [] N/A []
- 5.2 If no, explain:
.....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

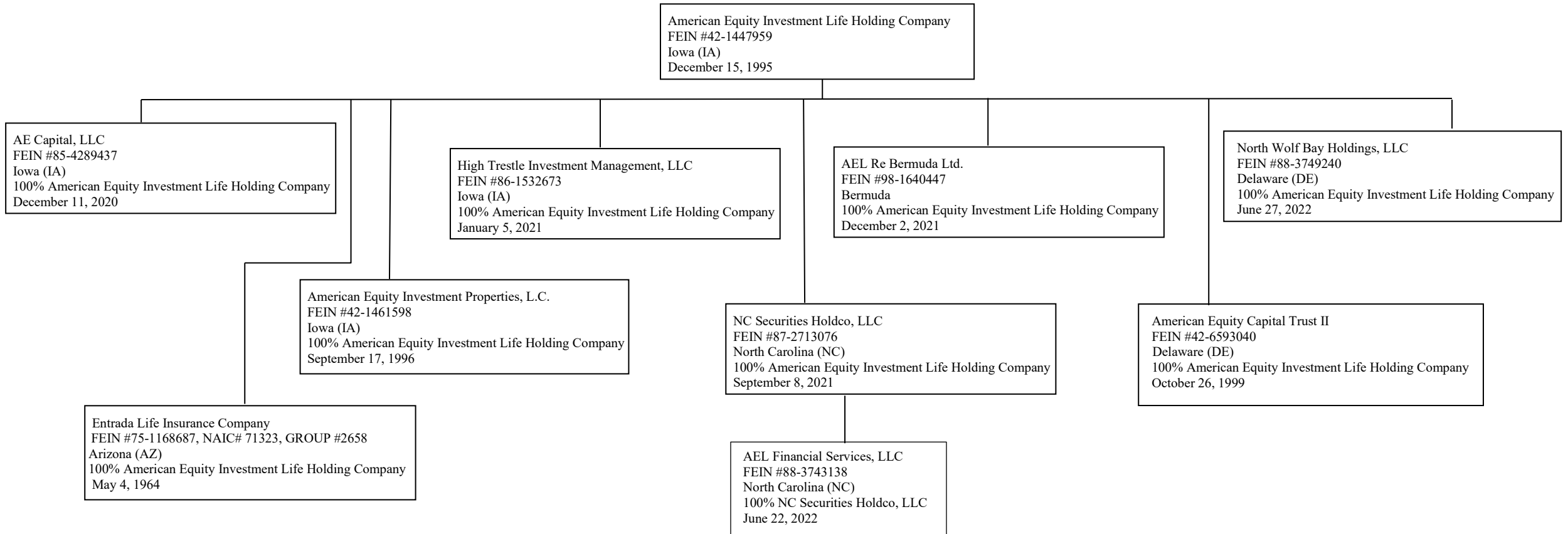
Current Year To Date - Allocated by States and Territories

States, Etc.	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama	AL	N					
2. Alaska	AK	N					
3. Arizona	AZ	N					
4. Arkansas	AR	N					
5. California	CA	N					
6. Colorado	CO	N					
7. Connecticut	CT	N					
8. Delaware	DE	N					
9. District of Columbia	DC	N					
10. Florida	FL	N					
11. Georgia	GA	N					
12. Hawaii	HI	N					
13. Idaho	ID	N					
14. Illinois	IL	N					
15. Indiana	IN	N					
16. Iowa	IA	N					
17. Kansas	KS	N					
18. Kentucky	KY	N					
19. Louisiana	LA	N					
20. Maine	ME	N					
21. Maryland	MD	N					
22. Massachusetts	MA	N					
23. Michigan	MI	N					
24. Minnesota	MN	N					
25. Mississippi	MS	N					
26. Missouri	MO	N					
27. Montana	MT	N					
28. Nebraska	NE	N					
29. Nevada	NV	N					
30. New Hampshire	NH	N					
31. New Jersey	NJ	N					
32. New Mexico	NM	N					
33. New York	NY	L		21,400		21,400	
34. North Carolina	NC	N					
35. North Dakota	ND	N					
36. Ohio	OH	N					
37. Oklahoma	OK	N					
38. Oregon	OR	N					
39. Pennsylvania	PA	N					
40. Rhode Island	RI	N					
41. South Carolina	SC	N					
42. South Dakota	SD	N					
43. Tennessee	TN	N					
44. Texas	TX	N					
45. Utah	UT	N					
46. Vermont	VT	N					
47. Virginia	VA	N					
48. Washington	WA	N					
49. West Virginia	WV	N					
50. Wisconsin	WI	N					
51. Wyoming	WY	N					
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Aliens	OT	XXX					
59. Subtotal	XXX			21,400		21,400	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX						
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX			21,400		21,400	
96. Plus Reinsurance Assumed	XXX						
97. Totals (All Business)	XXX			21,400		21,400	
98. Less Reinsurance Ceded	XXX						
99. Totals (All Business) less Reinsurance Ceded	XXX			21,400		21,400	
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(a) Active Status Counts:

- 1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 1
- 2. R - Registered - Non-domiciled RRGs..... 1
- 3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 1
- 4. Q - Qualified - Qualified or accredited reinsurer..... 1
- 5. N - None of the above - Not allowed to write business in the state..... 56

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

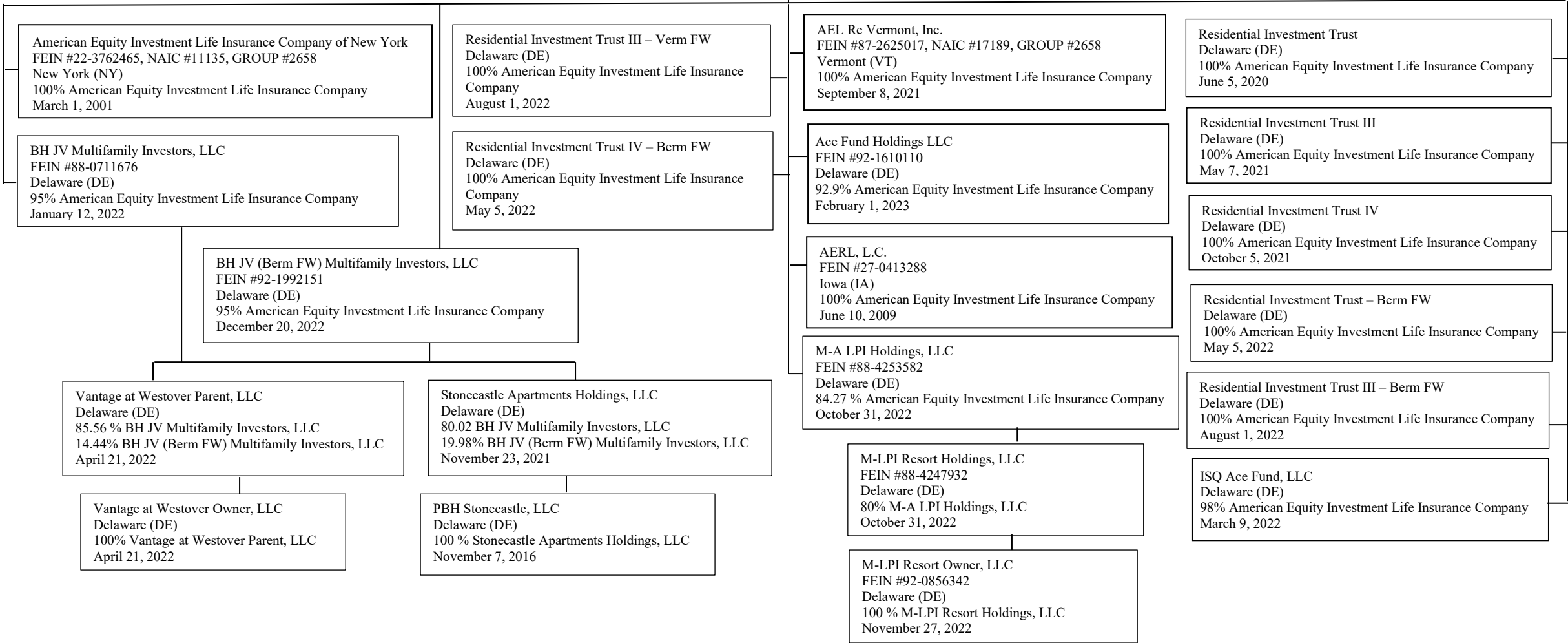


SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

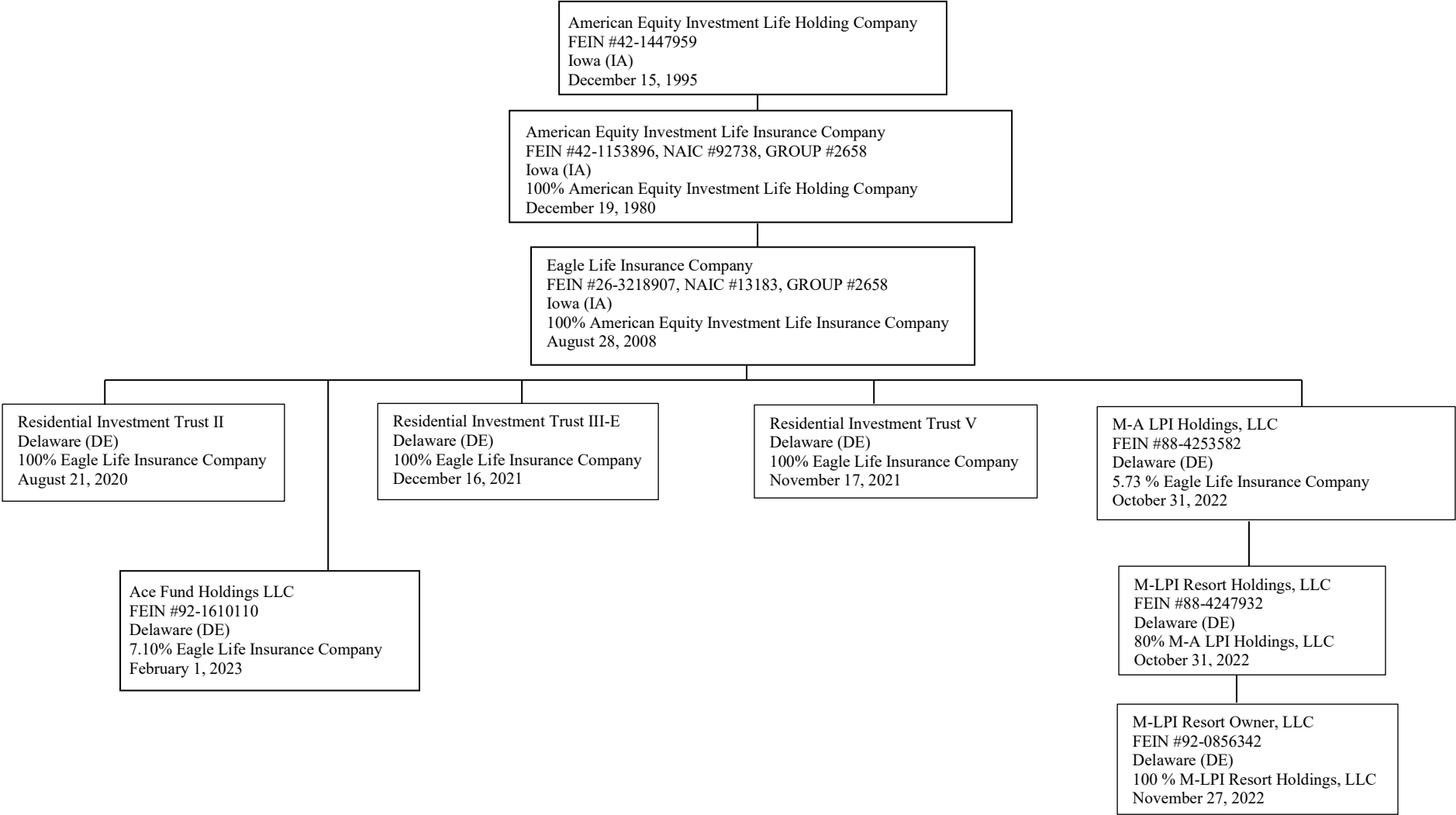
American Equity Investment Life Holding Company
 FEIN #42-1447959
 Iowa (IA)
 December 15, 1995

American Equity Investment Life Insurance Company
 FEIN #42-1153896, NAIC #92738, GROUP #2658
 Iowa (IA)
 100% American Equity Investment Life Holding Company
 December 19, 1980



12.1

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**



STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
			42-1447959	3981379	0001039828	NEW YORK STOCK EXCHANGE	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	IA	UIP	SHAREHOLDERS	Ownership	100.000	SHAREHOLDERS	NO	
2658	AMERICAN EQUITY INVESTMENT GROUP	92738	42-1153896				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	IA	UDP	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
2658	AMERICAN EQUITY INVESTMENT GROUP	11135	22-3762465				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK	NY	RE	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
2658	AMERICAN EQUITY INVESTMENT GROUP	13183	26-3218907				EAGLE LIFE INSURANCE COMPANY	IA	IA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
2658	AMERICAN EQUITY INVESTMENT GROUP	17189	87-2625017				AEL RE VERMONT INC	VT	IA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
2658	AMERICAN EQUITY INVESTMENT GROUP	71323	75-1168687				ENTRADA LIFE INSURANCE COMPANY	AZ	IA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
			27-0413288				AERL, LC	IA	IA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	YES	
			88-0711676				BH JV MULTIFAMILY INVESTORS, LLC	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	95.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							VANTAGE AT WESTOVER PARENT, LLC	DE	NIA	BH JV MULTIFAMILY INVESTORS, LLC	Ownership	85.560	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							STONECASTLE APARTMENTS HOLDINGS, LLC	DE	NIA	BH JV MULTIFAMILY INVESTORS, LLC	Ownership	80.020	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST III	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST IV	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST - BERM FW	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST III - BERM FW	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST IV - BERM FW	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST III - VERM FW	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							ISQ ACE FUND, LLC	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	98.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
			92-1992151				BH JV (BERM FW) MULTIFAMILY INVESTORS, LLC	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	95.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
			92-1610110				ACE FUND HOLDINGS LLC	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	92.900	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							VANTAGE AT WESTOVER PARENT, LLC	DE	NIA	BH JV (BERM FW) MULTIFAMILY INVESTORS, LLC	Ownership	14.440	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							VANTAGE AT WESTOVER OWNER, LLC	DE	NIA	VANTAGE AT WESTOVER PARENT, LLC	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							STONECASTLE APARTMENTS HOLDINGS, LLC	DE	NIA	BH JV (BERM FW) MULTIFAMILY INVESTORS, LLC	Ownership	19.980	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							PBH STONECASTLE, LLC	DE	NIA	STONECASTLE APARTMENTS HOLDINGS, LLC	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
			88-4253582				M-A LPI HOLDINGS, LLC	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	84.270	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	
							RESIDENTIAL INVESTMENT TRUST II	DE	NIA	EAGLE LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	NO	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
							RESIDENTIAL INVESTMENT TRUST III-E	.. DE.....	.. NIA.....	EAGLE LIFE INSURANCE COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
							RESIDENTIAL INVESTMENT TRUST V	.. DE.....	.. NIA.....	EAGLE LIFE INSURANCE COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			88-4253582				M-A LPI HOLDINGS, LLC	.. DE.....	.. NIA.....	EAGLE LIFE INSURANCE COMPANY	Ownership.....	5.730	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			92-1610110				ACE FUND HOLDINGS LLC	.. DE.....	.. NIA.....	EAGLE LIFE INSURANCE COMPANY	Ownership.....	7.100	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			88-4247932				M-LPI RESORT HOLDINGS, LLC	.. DE.....	.. NIA.....	M-A LPI HOLDINGS, LLC	Ownership.....	80.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			92-0856342				M-LPI RESORT OWNER, LLC	.. DE.....	.. NIA.....	M-LPI RESORT HOLDINGS, LLC	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			85-4289437				AE CAPITAL, LLC	.. IA.....	.. NIA.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			98-1640447				AEL RE BERMUDA LTD	.. BMU.....	.. IA.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			42-6593040				AMERICAN EQUITY CAPITAL TRUST II	.. DE.....	.. NIA.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			42-1461598				AMERICAN EQUITY INVESTMENT PROPERTIES, L.C.	.. IA.....	.. NIA.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			86-1532673				HIGH TRESTLE INVESTMENT MANAGEMENT, LLC	.. IA.....	.. NIA.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			88-3749240				NORTH WOLF BAY HOLDINGS, LLC	.. DE.....	.. NIA.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			87-2713076				NC SECURITIES HOLDCO, LLC	.. NC.....	.. NIA.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	
			88-3743138				AEL FINANCIAL SERVICES, LLC	.. NC.....	.. NIA.....	NC SECURITIES HOLDCO, LLC	Ownership.....	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	.. NO.....	

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

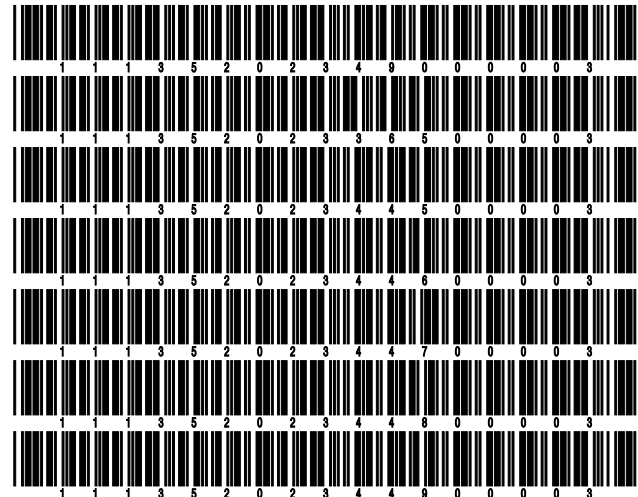
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. In the case of an ongoing statement of exemption, enter "SEE EXPLANATION" and provide as an explanation that the company is utilizing an ongoing statement of exemption.	N/A
AUGUST FILING	
9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

1. Not required to be filed by Company
2. Not required to be filed by Company
3. Not required to be filed by Company
4. Not required to be filed by Company
5. Not required to be filed by Company
6. Not required to be filed by Company
7. Not required to be filed by Company

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



OVERFLOW PAGE FOR WRITE-INS

NONE

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY
OF NEW YORK

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,122,079	3,136,245
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount	31	178
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals	10,941	
7. Deduct amounts received on disposals	1,009,765	
8. Deduct amortization of premium and depreciation	9,083	14,344
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	2,114,203	3,122,079
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	2,114,203	3,122,079

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	149,025,799	159,292,007
2. Cost of bonds and stocks acquired	27	8,934,013
3. Accrual of discount	77,475	334,539
4. Unrealized valuation increase (decrease)	(49,115)	40,803
5. Total gain (loss) on disposals	(736,144)	(87,793)
6. Deduct consideration for bonds and stocks disposed of	47,084,881	18,623,166
7. Deduct amortization of premium	507,934	689,489
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized	20,554	335,515
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		160,400
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	100,704,673	149,025,799
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	100,704,673	149,025,799

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	90,782,394	142,617,527	134,960,935	443,311	94,404,279	90,782,394	98,882,297	103,312,639
2. NAIC 2 (a)	39,256,192	7,525,387	17,008,173	(257,314)	46,331,911	39,256,192	29,516,092	47,432,825
3. NAIC 3 (a)	677,956		89,707	218	320,374	677,956	588,468	371,802
4. NAIC 4 (a)	195,221		631	74	208,122	195,221	194,664	207,282
5. NAIC 5 (a)	110,413		4,846	468	110,845	110,413	106,035	111,438
6. NAIC 6 (a)								
7. Total Bonds	131,022,176	150,142,914	152,064,292	186,758	141,375,531	131,022,176	129,287,556	151,435,986
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	131,022,176	150,142,914	152,064,292	186,758	141,375,531	131,022,176	129,287,556	151,435,986

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 26,336,570 ; NAIC 2 \$ 2,246,314 ; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

S102

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,805,213	5,944,489
2. Cost of cash equivalents acquired	299,808,613	256,073,663
3. Accrual of discount	455,685	64,578
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	275,486,628	258,277,507
7. Deduct amortization of premium		10
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	28,582,883	3,805,213
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	28,582,883	3,805,213

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
NONE												
6299999 - Totals												XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depreci- ation) or (Amorti- zation)/ Accretion	11 Current Year's Other Than Tempor- ary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
59260A-AA-6	METROPOLITAN TOWER LIFE INSURA SUB CORP_BND 144A 7 5/8% Due 1/15/2024 JJ15	NEW YORK	NY	3rd Party	02/01/2011	07/13/2023	510,892		(5,631)			(5,631)	505,261	501,660		(3,601)	(3,601)	38,337	
2799999. Surplus Debentures, etc - Unaffiliated							510,892		(5,631)			(5,631)	505,261	501,660		(3,601)	(3,601)	38,337	
6099999. Total - Unaffiliated							510,892		(5,631)			(5,631)	505,261	501,660		(3,601)	(3,601)	38,337	
6199999. Total - Affiliated																			
6299999 - Totals							510,892		(5,631)			(5,631)	505,261	501,660		(3,601)	(3,601)	38,337	

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
16163H-AQ-4	CHASE MORTGAGE FINANCE CORPORA SUPSEN WH		07/01/2023	INTEREST CAPITALIZATION		27	27		1.A FM
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)									
2509999997. Total - Bonds - Part 3						27	27		XXX
2509999998. Total - Bonds - Part 5							XXX	XXX	XXX
2509999999. Total - Bonds						27	27		XXX
4509999997. Total - Preferred Stocks - Part 3							XXX		XXX
4509999998. Total - Preferred Stocks - Part 5							XXX	XXX	XXX
4509999999. Total - Preferred Stocks							XXX		XXX
5989999997. Total - Common Stocks - Part 3							XXX		XXX
5989999998. Total - Common Stocks - Part 5							XXX	XXX	XXX
5989999999. Total - Common Stocks							XXX		XXX
5999999999. Total - Preferred and Common Stocks							XXX		XXX
6009999999 - Totals							27	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
..383730-5Z-0	GOVERNMENT NATIONAL MORTGAGE A AGENCY_CM		09/01/2023	PAYDOWN		22,457	22,457	21,632	22,135		322		322		22,457				741	06/20/2033	1.A
..38374H-JB-4	GOVERNMENT NATIONAL MORTGAGE A SENIOR AG		09/13/2023	3RDPARTY		153,575	153,701	153,701	153,701						153,701		(126)	(126)	6,739	09/20/2034	1.A
..38374H-JB-4	GOVERNMENT NATIONAL MORTGAGE A SENIOR AG		09/01/2023	PAYDOWN		6,625	6,625	6,625	6,625						6,625				240	09/20/2034	1.A
0109999999 Subtotal - Bonds - U.S. Governments						182,657	182,783	181,958	182,461		322		322		182,783		(126)	(126)	7,720	XXX	XXX
..85771P-AK-8	EQUINOR ASA SENIOR CORP_BND 2.650% 01/	D	07/13/2023	3RDPARTY		492,645	500,000	482,870	498,077		1,000		1,000		499,077		(6,432)	(6,432)	13,324	01/15/2024	1.D FE
0309999999 Subtotal - Bonds - All Other Governments						492,645	500,000	482,870	498,077		1,000		1,000		499,077		(6,432)	(6,432)	13,324	XXX	XXX
..442403-FQ-9	HOUSTON TEX INDPT SCH DIST SENIOR MUNITA		07/13/2023	3RDPARTY		285,858	285,000	292,325	285,000						285,000		858	858	15,949	02/15/2029	1.B FE
..686053-CT-4	OREGON SCHOOL BOARDS ASSOCIATI MUNITAX_B		07/13/2023	3RDPARTY		1,020,720	1,000,000	1,055,310	1,017,542		(2,316)		(2,316)		1,015,226		5,495	5,495	31,082	06/30/2028	1.C FE
..800732-GG-8	SANGAMON CNTY ILL CMNTY UNIT S MUNITAX_B		07/13/2023	3RDPARTY		320,032	320,000	326,886	320,763		(378)		(378)		320,385		(353)	(353)	18,146	02/01/2024	1.C FE
..800732-GG-6	SANGAMON CNTY ILL CMNTY UNIT S MUNITAX_B		07/13/2023	3RDPARTY		676,298	670,000	688,405	673,638		(910)		(910)		672,728		3,570	3,570	38,315	02/01/2025	1.C FE
0709999999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						2,302,908	2,275,000	2,362,926	2,296,943		(3,604)		(3,604)		2,293,339		9,570	9,570	103,492	XXX	XXX
..01030L-BB-3	ALABAMA INCENTIVES FING AUTH MUNITAX_BND		07/13/2023	3RDPARTY		246,205	245,000	246,031	245,298		(43)		(43)		245,256		950	950	12,994	09/01/2029	1.F FE
..31331K-N7-1	FEDERAL FARM CREDIT BANKS FUND AGENCY_BN		07/13/2023	3RDPARTY		962,560	1,000,000	930,250	961,940		2,220		2,220		964,161		(1,601)	(1,601)	25,694	11/04/2030	1.A
..31392X-NF-1	FREDDIE MAC FHLIC 2523 SENIOR AGENCY_CMO		09/01/2023	PAYDOWN		527	527	524	525		2		2		527				19	11/15/2032	1.A
..31398T-V8-1	FANNIE MAE FNMA_10-103 SENIOR AGENCY_CMO		09/13/2023	3RDPARTY		788,017	813,535	764,723	790,769		2,031		2,031		792,800		(4,783)	(4,783)	29,186	09/25/2030	1.A
..31412Q-4X-4	FEDERAL NATIONAL MORTGAGE ASSO POOL# 932		09/01/2023	PAYDOWN		2,086	2,086	2,113	2,092		(6)		(6)		2,086				55	01/01/2025	1.A
..46613C-WG-6	JEA SUB MUNITAX_BND REV 6.406% 10/01/3		07/13/2023	3RDPARTY		1,005,404	945,000	946,521	945,572		(33)		(33)		945,539		59,866	59,866	48,093	10/01/2034	1.F FE
..678331-CT-4	OKANOGAN CNTY WASH PUB UTIL DI MUNITAX_B		07/13/2023	3RDPARTY		1,032,210	1,000,000	1,031,570	1,013,854		(1,127)		(1,127)		1,012,728		19,482	19,482	36,386	12/01/2030	1.E FE
..79765D-XJ-4	SAN FRANCISCO CALIF CITY & CNT SENIOR MU		07/13/2023	3RDPARTY		306,252	300,000	300,000	300,000						300,000		6,252	6,252	13,572	11/01/2029	1.B FE
0909999999 Subtotal - Bonds - U.S. Special Revenues						4,343,261	4,306,148	4,221,732	4,260,050		3,044		3,044		4,263,097		80,166	80,166	165,999	XXX	XXX
..00206R-GM-8	AT&T INC SENIOR CORP_BND 6.375% 06/01/		07/13/2023	3RDPARTY		531,960	500,000	530,629	519,357		(1,699)		(1,699)		517,658		14,302	14,302	20,010	06/01/2028	2.B FE
..00206R-GM-6	AT&T INC SENIOR CORP_BND 6.550% 01/15/		07/13/2023	3RDPARTY		417,444	400,000	416,471	410,183		(960)		(960)		409,223		8,221	8,221	26,346	01/15/2028	2.B FE
..02147L-AM-5	COUNTRYWIDE ALTERNATIVE LOAN T WHOLE_CMO		09/01/2023	PAYDOWN		3,687	3,616	2,212	1,687		2,000		2,000		3,687				156	05/25/2036	1.A FM
..03773S-BZ-9	APPALACHIAN POWER CO SENIOR CORP_BND 5		09/13/2023	3RDPARTY		497,415	500,000	522,440	513,745		(707)		(707)		513,038		(15,623)	(15,623)	24,792	05/15/2033	2.A FE
..057224-AK-3	BAKER HUGHES HOLDINGS LLC SENIOR CORP_BN		09/14/2023	3RDPARTY		627,114	600,000	722,382	653,781		(5,543)		(5,543)		648,238		(21,124)	(21,124)	48,469	09/15/2029	1.G FE
..05916X-DB-5	BALTIMORE GAS AND ELECTRIC CO SENIOR COR		07/13/2023	3RDPARTY		494,680	500,000	483,950	490,176		387		387		490,563		4,117	4,117	15,311	06/15/2033	1.G FE
..059475-AB-9	BANK OF AMERICA ALTERNATIVE LO SUPSEN WH		09/01/2023	PAYDOWN		1,643	2,031	1,582	1,479		164		164		1,643				75	06/25/2037	1.A FM
..05950N-BH-0	BANC OF AMERICA FUNDING CORP B SUPSEN WH		09/01/2023	PAYDOWN		41,145	41,743	41,593	40,904		241		241		41,145				1,536	09/25/2036	3.C FM
..059529-AJ-6	BANC OF AMERICA FUNDING CORPORA SUPSEN WH		09/01/2023	PAYDOWN		1,301	3,280	2,535	2,286		(985)		(985)		1,301				100	09/25/2037	1.A FM
..075887-CE-7	BECTON DICKINSON AND COMPANY SENIOR CORP		07/13/2023	3RDPARTY		527,035	500,000	558,747	529,906		(3,822)		(3,822)		526,084		951	951	21,031	12/01/2026	2.B FE
..099724-AJ-5	BORGWARNER INC SENIOR CORP_BND 3.375%		09/13/2023	3RDPARTY		723,660	750,000	747,315	749,321		211		211		749,533		(25,873)	(25,873)	25,313	03/15/2025	2.A FE
..12667G-RF-2	COUNTRYWIDE ALTERNATIVE LOAN T WHOLE_CMO		09/01/2023	PAYDOWN		815	815	726	522		293		293		815				31	07/25/2035	1.A FM
..12668B-PS-6	COUNTRYWIDE ALTERNATIVE LOAN T WHOLE_CMO		08/01/2023	PAYDOWN		1,711	1,714	1,358	767		944		944		1,711				63	04/25/2036	1.A FM
..12668B-VB-6	COUNTRYWIDE ALTERNATIVE LOAN T SUPSEN WH		09/01/2023	PAYDOWN		35	35	27	14		(2)		(2)		35				3	05/25/2036	1.A FM
..16163H-AQ-4	CHASE MORTGAGE FINANCE CORPORA SUPSEN WH		09/01/2023	PAYDOWN		(495)	(495)	(389)	(254)		254		254		254				21	05/25/2037	1.A FM
..17252M-AG-5	CINTAS NO 2 CORP SENIOR CORP_BND 6.150		09/13/2023	3RDPARTY		430,877	408,000	470,068	450,349		(1,555)		(1,555)		448,794		(17,917)	(17,917)	27,183	08/15/2036	1.G FE
..219350-AR-6	CORNING INC SENIOR CORP_BND 7.250% 08/		07/13/2023	3RDPARTY		520,435	500,000	570,230	522,382		(3,072)		(3,072)		519,311		1,124	1,124	33,431	08/15/2036	2.A FE
..24710X-AF-5	DELMARVA POWER & LIGHT COMPANY SENIOR CO		08/01/2023	3RDPARTY		525,930	500,000	581,820	528,700		(3,712)		(3,712)		524,988		942	942	38,814	02/01/2027	2.A FE
..260543-BJ-1	DOW CHEMICAL COMPANY THE SENIOR CORP_BN		07/13/2023	3RDPARTY		1,012,590	900,000	1,045,161	962,815		(4,115)		(4,115)		958,700		53,890	53,890	47,200	11/01/2029	2.A FE
..277432-AD-2	EASTMAN CHEMICAL COMPANY SENIOR CORP_BND		07/13/2023	3RDPARTY		426,944	400,000	467,524	423,567		(2,824)		(2,824)		420,743		6,201	6,201	29,218	02/01/2027	2.B FE
..337358-BH-7	WELLS FARGO & COMPANY SUB CORP_BND 7.5		07/13/2023	3RDPARTY		1,049,680	1,000,000	1,232,450	1,060,302		(8,395)		(8,395)		1,051,907		(2,227)	(2,227)	72,795	08/01/2026	2.B FE
..33844N-AG-5	FLAGSHIP CREDIT AUTO TRUST FCA SUB ABS_A		07/15/2023	PAYDOWN		106,258	106,258	106,244	106,258						106,258				2,622	09/16/2024	1.A FE
..36242D-VE-0	GSR MORTGAGE LOAN TRUST GSR_05 WHOLE_CMO		09/01/2023	PAYDOWN		631	631	557	557		74		74		631				24	02/25/2035	4.C FM
..36258F-AA-7	GS MORTGAGE BACKED SECURITIES WHOLE_CMO		09/01/2023	PAYDOWN		493	493	504	529		(37)		(37)		493				11	05/25/2050	1.A
..36262D-AA-6	GS MORTGAGE BACKED SECURITIES WHOLE_CMO		09/01/2023	PAYDOWN		4,541	4,541	4,646	4,821		(280)		(280)		4,541				110	07/25/2050	1.A

E05

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
..370334-BJ-2	GENERAL MILLS INC SENIOR CORP_BND 5.40		07/13/2023	3RD PARTY REDEMPTION 100.0000		300,876	300,000	305,343	304,074		(79)		(79)		303,995		(3,119)	(3,119)	9,540	06/15/2040	2.B FE	
..39121J-AE-0	GREAT RIVER ENERGY SECURED CORP_BND 144A		07/01/2023			18,355	18,355	18,309	18,329		.26		.26		18,355				1,148	07/01/2038	1.G FE	
..39121J-AH-3	GREAT RIVER ENERGY SECURED CORP_BND 144A		07/01/2023	CALL 100.0000 REDEMPTION 100.0000		44,000	44,000	41,659	43,309		119		119		43,428		572	572	1,970	07/01/2030	1.G FE	
..39121J-AH-3	GREAT RIVER ENERGY SECURED CORP_BND 144A		07/01/2023																	07/01/2030	1.G FE	
..427866-AU-2	HERSHEY COMPANY THE SENIOR CORP_BND 3.		09/13/2023	3RD PARTY		962,980	1,000,000	997,030	999,122		228		228		999,349		(36,369)	(36,369)	34,133	08/21/2025	1.E FE	
..459200-BB-6	INTERNATIONAL BUSINESS MACHINE SENIOR CO		07/13/2023	3RD PARTY		535,730	500,000	557,930	533,863		(1,462)		(1,462)		532,400		3,330	3,330	18,604	11/29/2032	1.G FE	
..46591K-AC-7	JP MORGAN MORTGAGE TRUST JPMIT WHOLE_CMO		09/01/2023	PAYDOWN		1,916	1,916	1,941	1,932		(16)		(16)		1,916				44	03/25/2050	1.A	
..46591T-AC-8	JP MORGAN MORTGAGE TRUST JPMIT WHOLE_CMO		09/01/2023	PAYDOWN		4,293	4,293	4,394	4,612		(320)		(320)		4,293				102	07/25/2050	1.A	
..500255-AN-4	KOHL'S CORP SENIOR CORP_BND 6.000% 01/1		08/10/2023	3RD PARTY		51,540	74,000	48,563	56,610		8,048		(8,048)		48,563		2,978	2,978	4,695	01/15/2033	3.B FE	
..573284-AJ-5	MARTIN MARIETTA MATERIALS INC SENIOR COR		07/13/2023	3RD PARTY		514,300	500,000	500,965	500,705		(17)		(17)		500,688		13,612	13,612	22,222	05/01/2037	2.B FE	
..576434-V6-8	MASTR ALTERNATIVE LOANS TRUST WHOLE_CMO		09/01/2023	PAYDOWN REDEMPTION 100.0000		4,846	4,864	4,392	4,377		468		468		4,846				184	12/25/2035	5.A FM	
..59524E-AB-8	MID-ATLANTIC FAMILY MILITARY C SENIOR MU		08/01/2023			2,505	2,505	1,780	1,916		590		590		2,505				131	08/01/2050	1.E FE	
..65486A-AB-9	NLV FINANCIAL CORPORATION SENIOR CORP_BN		08/11/2023	3RD PARTY		1,912,220	2,000,000	1,978,640	1,986,396		464		464		1,986,860		(74,640)	(74,640)	119,167	03/15/2035	2.A FE	
..655844-AX-6	NORFOLK SOUTHERN CORPORATION SENIOR CORP		07/13/2023	3RD PARTY		508,945	500,000	532,820	514,897		(1,094)		(1,094)		513,803		(4,858)	(4,858)	18,800	05/17/2029	2.A FE	
..74834L-AN-0	QUEST DIAGNOSTICS INCORPORATED SENIOR CO		07/13/2023	3RD PARTY		537,430	500,000	532,875	524,282		(567)		(567)		523,715		13,715	13,715	36,294	07/01/2037	2.B FE	
..76042U-AA-1	REPUBLIC FINANCE ISSUANCE TRUS ABS_ABS		07/17/2023	3RD PARTY		137,596	150,000	149,951	149,964		7		7		149,971		(12,375)	(12,375)	2,003	12/22/2031	1.C FE	
..81748A-AA-2	SEQUOIA MORTGAGE TRUST SEMT_20 SUPSEN WH		09/01/2023	PAYDOWN		2,910	2,910	2,970	3,020		(110)		(110)		2,910				56	04/25/2050	1.A	
..81748K-AA-0	SEQUOIA MORTGAGE TRUST SEMT_20 WHOLE_CMO		09/01/2023	PAYDOWN		2,038	2,038	2,150	2,150		(112)		(112)		2,038				45	03/25/2050	1.A	
..82652N-AC-2	SIERRA RECEIVABLES FUNDING COM SUB ABS_A		09/20/2023	PAYDOWN		10,048	10,048	10,047	10,047		1		1		10,048				199	08/20/2036	2.B FE	
..907818-CS-5	UNION PACIFIC CORPORATION SENIOR CORP_BN		07/13/2023	3RD PARTY		920,781	900,000	924,656	914,896		(600)		(600)		914,296		6,485	6,485	30,369	06/01/2033	1.G FE	
..91412N-AF-7	UNIVERSITY OF CHICAGO SENIOR CORP_BND		07/13/2023	3RD PARTY		505,035	500,000	501,635	500,725		(52)		(52)		500,673		4,362	4,362	21,529	10/01/2030	1.C FE	
..949831-AA-9	WELLS FARGO MORTGAGE BACKED SE WHOLE_CMO		09/01/2023	PAYDOWN		484	484	495	523		(39)		(39)		484				11	07/26/2049	1.A	
..013716-AU-9	RIO TINTO ALCAN INC SENIOR CORP_BND 6.		07/13/2023	3RD PARTY		541,775	500,000	558,615	536,358		(1,363)		(1,363)		534,995		6,780	6,780	18,035	12/15/2033	1.F FE	
..655422-AV-5	GLENCORE CANADA CORP SENIOR CORP_BND 6		07/13/2023	3RD PARTY		425,960	419,000	428,474	425,469		(194)		(194)		425,274		685	685	15,298	06/15/2035	2.A FE	
..055450-AH-3	BHP BILLITON FINANCE USA LTD SENIOR CO		07/13/2023	3RD PARTY		259,255	250,000	279,863	258,304		(1,332)		(1,332)		256,971		2,284	2,284	14,088	03/01/2026	1.F FE	
..81013T-AC-5	IBERDROLA INTERNATIONAL BV SENIOR CORP_B		07/13/2023	3RD PARTY		502,605	500,000	516,025	503,412		(805)		(805)		502,607		(2)	(2)	24,370	03/15/2025	2.A FE	
1109999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					16,656,424	16,307,075	17,412,242	16,773,446		(39,399)	8,048	(47,447)		16,726,000		(69,576)	(69,576)	827,702	XXX	XXX	
2509999997	Total - Bonds - Part 4					23,977,895	23,571,006	24,661,728	24,010,977		(38,637)	8,048	(46,685)		23,964,296		13,602	13,602	1,118,237	XXX	XXX	
2509999998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
2509999999	Total - Bonds					23,977,895	23,571,006	24,661,728	24,010,977		(38,637)	8,048	(46,685)		23,964,296		13,602	13,602	1,118,237	XXX	XXX	
4509999997	Total - Preferred Stocks - Part 4						XXX													XXX	XXX	
4509999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999	Total - Preferred Stocks						XXX													XXX	XXX	
5989999997	Total - Common Stocks - Part 4						XXX													XXX	XXX	
5989999998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999	Total - Common Stocks						XXX													XXX	XXX	
5999999999	Total - Preferred and Common Stocks						XXX													XXX	XXX	
6009999999	Totals					23,977,895	XXX	24,661,728	24,010,977		(38,637)	8,048	(46,685)		23,964,296		13,602	13,602	1,118,237	XXX	XXX	

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year	
	UNITED STATES TREASURY TBILL CASH		07/21/2023	5.245	10/05/2023	999,411			
	UNITED STATES TREASURY CP CASH		08/08/2023	0.000	11/02/2023	995,278			
	UNITED STATES TREASURY TBILL CASH		09/05/2023	0.000	11/30/2023	991,150			
	UNITED STATES TREASURY TBILL CASH		08/16/2023	5.276	11/09/2023	994,246			
	UNITED STATES TREASURY TBILL CASH		09/19/2023	5.271	11/16/2023	1,489,860			
	UNITED STATES TREASURY TBILL CASH		08/29/2023	5.330	11/24/2023	991,966			
	UNITED STATES TREASURY TBILL CASH		09/21/2023	5.300	12/14/2023	1,483,632			
	UNITED STATES TREASURY TBILL CASH		08/17/2023	5.270	10/03/2023	1,999,411			
	UNITED STATES TREASURY TBILL CASH		08/08/2023	5.204	10/10/2023	998,689			
	UNITED STATES TREASURY TBILL CASH		09/22/2023	5.285	11/14/2023	1,987,055			
	UNITED STATES TREASURY TBILL CASH		09/26/2023	5.285	12/19/2023	988,394			
	UNITED STATES TREASURY TBILL CASH		09/29/2023	5.300	12/26/2023	987,335			
0019999999	Subtotal - Bonds - U.S. Governments - Issuer Obligations						14,906,427		
0109999999	Total - U.S. Government Bonds						14,906,427		
0309999999	Total - All Other Government Bonds								
0509999999	Total - U.S. States, Territories and Possessions Bonds								
0709999999	Total - U.S. Political Subdivisions Bonds								
0909999999	Total - U.S. Special Revenues Bonds								
	AT&T INC CP CASH		09/26/2023	5.600	12/19/2023	246,926			
	AGILENT TECHNOLOGIES INC CP CASH		09/27/2023	5.430	10/05/2023	249,849			
	AMCOR FLEXIBLES NORTH AMERICA CP CASH		09/28/2023	0.000	10/03/2023	249,924			
	AMEREN CORPORATION CP CASH		09/26/2023	5.520	10/26/2023	249,041			
	AON CORP CP CASH		08/31/2023	5.600	11/14/2023	248,281			
	ARIZONA PUBLIC SERVICE COMPANY CP CASH		09/29/2023	5.400	10/02/2023	249,962			
	BERKSHIRE HATHAWAY ENERGY CO CP CASH		09/12/2023	5.450	10/11/2023	249,620			
	CIGNA GROUP CP CASH		09/21/2023	0.000	10/25/2023	249,090			
	CABOT CORPORATION CP CASH		09/29/2023	5.400	10/02/2023	249,962			
	CENTERPOINT ENERGY INC CP CASH		09/28/2023	0.000	10/23/2023	249,167			
	CONSOLIDATED EDISON COMPANY OF CP CASH		09/18/2023	5.470	10/16/2023	249,429			
	DOMINION ENERGY SOUTH CAROLINA M CP CASH		08/30/2023	5.560	10/04/2023	199,907			
	DOVER CORP CP CASH		09/29/2023	5.390	10/04/2023	249,888			
	EASTMAN CHEMICAL COMPANY CP CASH		09/22/2023	5.480	10/06/2023	249,809			
	EDISON INTERNATIONAL CP CASH		09/12/2023	5.600	10/10/2023	249,649			
	ENERGY CORPORATION CP CASH		09/18/2023	0.000	10/17/2023	249,388			
	EQUIFAX INC CP CASH		09/01/2023	0.000	10/02/2023	249,961			
	EQUIFAX INC CP CASH		09/15/2023	5.500	10/20/2023	249,273			
	EVERGY KANSAS CENTRAL INC CP CASH		09/20/2023	5.500	10/04/2023	249,885			
	FORTIVE CORP CP CASH		09/27/2023	5.490	10/11/2023	249,619			
	FORTUNE BRANDS INNOVATIONS INC CP CASH		09/25/2023	5.560	10/10/2023	249,652			
	GENERAL MOTORS FINANCIAL CO IN CP CASH		09/29/2023	5.450	10/02/2023	249,962			
	INGREDION INC CP CASH		09/21/2023	5.470	10/05/2023	249,848			
	KEURIG DR PEPPER INC CP CASH		09/26/2023	5.470	10/11/2023	249,620			
	KINDER MORGAN INC CP CASH		09/29/2023	5.450	10/02/2023	249,962			
	L3HARRIS TECHNOLOGIES INC CP CASH		08/14/2023	5.630	10/10/2023	249,645			
	MCCORMICK & COMPANY INCORPORAT CP CASH		09/12/2023	5.460	10/04/2023	249,886			
	MONDELEZ INTERNATIONAL INC CP CASH		09/29/2023	5.400	10/02/2023	249,962			
	NISOURCE INC CP CASH		09/26/2023	5.500	10/10/2023	249,656			
	NUTRIEN FINANCIAL US LLC CP CASH		09/26/2023	5.520	10/11/2023	249,616			
	OREILLY AUTOMOTIVE INC CP CASH		09/11/2023	5.520	10/10/2023	249,654			
	ORACLE CORPORATION CP CASH		09/05/2023	0.000	10/04/2023	249,886			
	PENSKE TRUCK LEASING CO LP CP CASH		09/26/2023	5.520	10/17/2023	249,386			
	REPUBLIC SERVICES INC CP CASH		09/20/2023	5.410	10/04/2023	249,887			
	RYDER SYSTEM INC CP CASH		09/06/2023	0.000	10/02/2023	249,961			
	SEMPRA CP CASH		09/20/2023	5.530	10/23/2023	249,154			
	SHERWIN-WILLIAMS COMPANY THE CP CASH		09/26/2023	5.420	10/19/2023	249,322			
	SPIRE INC CP CASH		09/05/2023	5.520	10/13/2023	249,538			

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
	TAMPA ELECTRIC COMPANY CP CASH		09/21/2023	0.000	10/18/2023	249,341		
	3M CO CP CASH		09/06/2023	0.000	10/02/2023	249,961		
	TYSON FOODS INC CP CASH		09/27/2023	5.400	10/04/2023	249,887		
	UNION ELECTRIC CO CP CASH		09/27/2023	5.490	10/11/2023	249,619		
	UNION PACIFIC CORPORATION CP CASH		09/27/2023	5.400	10/26/2023	249,062		
	VERIZON COMMUNICATIONS INC CP CASH		09/29/2023	5.370	10/02/2023	249,963		
	WEC ENERGY GROUP INC CP CASH		09/21/2023	5.460	10/02/2023	249,962		
	WEC ENERGY GROUP INC CP CASH		09/28/2023	0.000	10/05/2023	249,849		
	WRKCO INC CP CASH		09/19/2023	5.540	10/10/2023	249,653		
	WASHINGTON GAS LIGHT COMPANY CP CASH		09/12/2023	5.450	10/11/2023	249,620		
	WASTE MANAGEMENT INC CP CASH		09/29/2023	5.400	10/02/2023	249,962		
	ALIMENTATION COUCHE-TARD INC CP CASH		09/11/2023	5.550	10/12/2023	249,575		
	CANADIAN NATIONAL RAILWAY COMP CP CASH		08/15/2023	0.000	10/04/2023	249,886		
	CANADIAN PACIFIC RAILWAY COMPA CP CASH		09/29/2023	5.490	10/12/2023	249,581		
	THOMSON REUTERS CORPORATION CP CASH		09/06/2023	0.000	10/04/2023	249,886		
	JOHNSON CONTROLS INTERNATIONAL CP CASH		09/26/2023	5.450	10/10/2023	249,659		
	LSEGA FINANCING PLC CP CASH		09/29/2023	5.550	11/28/2023	247,764		
1019999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					13,676,457		
1109999999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					13,676,457		
1309999999	Total - Hybrid Securities							
1509999999	Total - Parent, Subsidiaries and Affiliates Bonds							
1909999999	Subtotal - Unaffiliated Bank Loans							
2419999999	Total - Issuer Obligations					28,582,884		
2429999999	Total - Residential Mortgage-Backed Securities							
2439999999	Total - Commercial Mortgage-Backed Securities							
2449999999	Total - Other Loan-Backed and Structured Securities							
2459999999	Total - SVO Identified Funds							
2469999999	Total - Affiliated Bank Loans							
2479999999	Total - Unaffiliated Bank Loans							
2509999999	Total Bonds					28,582,884		
8609999999	Total Cash Equivalents					28,582,884		

Medicare Part D Coverage Supplement

N O N E

Trusted Surplus - Cover

N O N E

Trusted Surplus Statement - Assets

N O N E

Trusted Surplus Statement - Liabilities and Trusted Surplus

N O N E

OVERFLOW PAGE FOR WRITE-INS

NONE