



LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019

OF THE CONDITION AND AFFAIRS OF THE

American Equity Investment Life Insurance Company

NAIC Group Code 2658 (Current) 2658 (Prior) NAIC Company Code 92738 Employer's ID Number 42-1153896

Organized under the Laws of Iowa, State of Domicile or Port of Entry IA

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health [X] Fraternal Benefit Societies [ ]

Incorporated/Organized 12/19/1980 Commenced Business 01/01/1981

Statutory Home Office 6000 Westown Parkway, West Des Moines, IA, US 50266-5921 (Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 6000 Westown Parkway, West Des Moines, IA, US 50266-5921 (Street and Number) (City or Town, State, Country and Zip Code) 515-221-0002 (Area Code) (Telephone Number)

Mail Address PO Box 71216, Des Moines, IA, US 50325 (Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 6000 Westown Parkway, West Des Moines, IA, US 50266-5921 (Street and Number) (City or Town, State, Country and Zip Code) 515-221-0002 (Area Code) (Telephone Number)

Internet Website Address www.american-equity.com

Statutory Statement Contact Scott Anthony Samuelson, 515-457-1718 (Name) (Area Code) (Telephone Number) ssamuelson@american-equity.com, 515-440-2715 (E-mail Address) (FAX Number)

OFFICERS

President Ronald James Grensteiner Chief Financial Officer & Treasurer Ted Morris Johnson Executive Vice President, General Counsel & Secretary Renee Denise Montz Senior Vice President & Chief Actuary John Robert Miller

OTHER

John Michael Matovina

DIRECTORS OR TRUSTEES

Ronald James Grensteiner Ted Morris Johnson Jeff David Lorenzen John Michael Matovina Renee Denise Montz

State of Iowa County of Polk SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Ronald James Grensteiner President

Renee Denise Montz Executive Vice President, General Counsel & Secretary

Ted Morris Johnson Chief Financial Officer & Treasurer

Subscribed and sworn to before me this 8th day of November 2019

- a. Is this an original filing? Yes [X] No [ ] b. If no, 1. State the amendment number..... 2. Date filed ..... 3. Number of pages attached.....

Kirsten S Habgood

September 26, 2022

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	49,084,805,071		49,084,805,071	47,431,178,282
2. Stocks:				
2.1 Preferred stocks .....	91,477,942		91,477,942	30,590,638
2.2 Common stocks .....	537,993,327		537,993,327	220,026,551
3. Mortgage loans on real estate:				
3.1 First liens .....	3,718,461,972		3,718,461,972	3,472,037,890
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ .....(17,609,170) ), cash equivalents (\$ .....726,583,157 ) and short-term investments (\$ .....57,821,516 ) .....	766,795,503		766,795,503	266,051,062
6. Contract loans (including \$ ..... premium notes) .....	748,726		748,726	725,902
7. Derivatives .....	398,837,825		398,837,825	428,979,750
8. Other invested assets .....	880,200,034	462,135	879,737,899	936,034,547
9. Receivables for securities .....	8,145,509		8,145,509	6,055,114
10. Securities lending reinvested collateral assets .....	365,592,189		365,592,189	
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	55,853,058,098	462,135	55,852,595,963	52,791,679,736
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	505,194,452		505,194,452	482,347,522
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,510,532	886,069	624,463	2,810,911
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	1,194,936		1,194,936	1,254,916
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....				
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....	12,950,784		12,950,784	8,742,287
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon ....	57,499,072		57,499,072	41,364,798
18.2 Net deferred tax asset .....	108,625,316		108,625,316	122,997,367
19. Guaranty funds receivable or on deposit .....	153,881	153,881		
20. Electronic data processing equipment and software .....	5,433,846	3,052,166	2,381,680	1,467,031
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	2,287,103	2,287,103		
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	970,103		970,103	1,257,748
24. Health care (\$ ..... ) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	264,089,517	4,875,891	259,213,626	251,236,023
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	56,812,967,640	11,717,245	56,801,250,395	53,705,158,340
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27)	56,812,967,640	11,717,245	56,801,250,395	53,705,158,340
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. COLI .....	258,746,984		258,746,984	250,592,731
2502. PREPAID ASSETS .....	4,241,912	4,241,912		
2503. OTHER ASSETS .....	361,798		361,798	642,032
2598. Summary of remaining write-ins for Line 25 from overflow page .....	738,823	633,979	104,844	1,260
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	264,089,517	4,875,891	259,213,626	251,236,023

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ ..... 50,029,420,498 less \$ ..... included in Line 6.3 (including \$ ..... 3,176,522,255 Modco Reserve) .....	50,029,420,498	48,131,995,654
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....	635,283	685,370
3. Liability for deposit-type contracts (including \$ ..... 401,335 Modco Reserve).....	240,357,418	248,269,700
4. Contract claims:		
4.1 Life .....	125,703,816	127,268,529
4.2 Accident and health .....	1,500	3,400
5. Policyholders' dividends/refunds to members \$ ..... and coupons \$ ..... due and unpaid .....		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ ..... Modco) .....		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ ..... Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... 2,549 accident and health premiums .....	22,045	24,219
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ ..... ceded .....		
9.4 Interest Maintenance Reserve .....	35,283,122	22,482,781
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... 20,920,850 , accident and health \$ ..... and deposit-type contract funds \$ .....	20,920,850	21,812,172
11. Commissions and expense allowances payable on reinsurance assumed .....	476	494
12. General expenses due or accrued .....	12,315,872	10,394,366
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	198,474	396,552
15.1 Current federal and foreign income taxes, including \$ ..... on realized capital gains (losses) .....		
15.2 Net deferred tax liability .....		
16. Unearned investment income .....		
17. Amounts withheld or retained by reporting entity as agent or trustee .....	10,524,300	8,114,471
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....	51,629,443	61,574,216
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	331,872,609	284,707,835
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....	998,604,784	1,049,359,156
24.04 Payable to parent, subsidiaries and affiliates .....		1,178,139
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....		
24.09 Payable for securities .....	146,613,536	121,047,009
24.10 Payable for securities lending .....	365,592,189	
24.11 Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	1,017,325,955	363,963,382
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	53,387,022,170	50,453,277,445
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	53,387,022,170	50,453,277,445
29. Common capital stock .....	2,500,000	2,500,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....		
32. Surplus notes .....	51,000,000	51,000,000
33. Gross paid in and contributed surplus .....	1,364,249,367	1,307,188,767
34. Aggregate write-ins for special surplus funds .....		
35. Unassigned funds (surplus) .....	1,996,478,858	1,891,192,128
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	3,411,728,225	3,249,380,895
38. Totals of Lines 29, 30 and 37 .....	3,414,228,225	3,251,880,895
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	56,801,250,395	53,705,158,340
<b>DETAILS OF WRITE-INS</b>		
2501. AMOUNT DUE REINSURERS .....	41,153,710	31,425,578
2502. AMOUNT DUE UNDER REPURCHASE AGREEMENTS .....	48,930,937	109,298,000
2503. INTEREST RATE DERIVATIVE COLLATERAL .....	894,754,308	190,752,805
2598. Summary of remaining write-ins for Line 25 from overflow page .....	32,487,000	32,487,000
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	1,017,325,955	363,963,382
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....		
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....		

## SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	3,255,028,081	2,599,997,931	3,520,848,692
2. Considerations for supplementary contracts with life contingencies	8,727,222	10,196,632	12,489,759
3. Net investment income	1,507,888,603	2,259,283,006	2,800,649,556
4. Amortization of Interest Maintenance Reserve (IMR)	(2,625,257)	844,007	996,346
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	29,290,701	31,885,278	41,664,621
7. Reserve adjustments on reinsurance ceded	14,706,692	226,474,160	266,887,820
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	112,446	193,893	239,419
9. Totals (Lines 1 to 8.3)	4,813,128,488	5,128,874,907	6,643,776,213
10. Death benefits	1,495,096	2,049,569	2,555,198
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits	666,658,415	594,752,366	815,157,687
13. Disability benefits and benefits under accident and health contracts	84,925	117,249	153,240
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	1,588,517,739	1,388,378,558	1,959,349,471
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	7,242,867	6,610,518	10,477,467
18. Payments on supplementary contracts with life contingencies	27,256,564	27,008,990	36,287,830
19. Increase in aggregate reserves for life and accident and health contracts	1,992,916,585	2,337,003,123	2,865,776,675
20. Totals (Lines 10 to 19)	4,284,172,191	4,355,920,372	5,689,757,567
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	318,637,050	274,805,453	368,988,428
22. Commissions and expense allowances on reinsurance assumed	15,157,212	20,543,060	26,293,144
23. General insurance expenses and fraternal expenses	74,636,975	65,296,734	86,903,843
24. Insurance taxes, licenses and fees, excluding federal income taxes	9,574,651	10,164,841	13,127,245
25. Increase in loading on deferred and uncollected premiums	(12,857)	(208,980)	(199,644)
26. Net transfers to or (from) Separate Accounts net of reinsurance			
27. Aggregate write-ins for deductions	35,065,307	83,851,244	105,081,381
28. Totals (Lines 20 to 27)	4,737,230,529	4,810,372,724	6,289,951,964
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	75,897,959	318,502,183	353,824,249
30. Dividends to policyholders and refunds to members			
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	75,897,959	318,502,183	353,824,249
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	11,114,402	106,443,340	125,339,034
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	64,783,557	212,058,844	228,485,215
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ (2,453,446) (excluding taxes of \$ 2,704,769 transferred to the IMR)	(9,229,629)	(4,244,303)	(18,436,338)
35. Net income (Line 33 plus Line 34)	55,553,928	207,814,540	210,048,877
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year	3,251,880,895	3,005,654,080	3,005,654,080
37. Net income (Line 35)	55,553,928	207,814,540	210,048,877
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 990,726	19,780,961	11,166,687	13,678,225
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	(23,768,155)	46,764,821	59,970,877
41. Change in nonadmitted assets	9,323,851	(1,595,682)	(11,555,837)
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(47,164,774)	(31,716,794)	(34,458,238)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in	50,000,000		
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance	91,560,919		
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	7,060,600	6,751,502	8,542,910
54. Net change in capital and surplus for the year (Lines 37 through 53)	162,347,330	239,185,075	246,226,814
55. Capital and surplus, as of statement date (Lines 36 + 54)	3,414,228,225	3,244,839,155	3,251,880,895
<b>DETAILS OF WRITE-INS</b>			
08.301. MISCELLANEOUS INCOME	112,446	193,893	239,419
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	112,446	193,893	239,419
2701. INCOME ON FUNDS HELD UNDER REINSURANCE TREATIES WITH UNAUTHORIZED REINSURERS	31,988,137	53,481,073	72,058,372
2702. LAWSUIT SETTLEMENT	17,170		(1,589,991)
2703. INTEREST ON SURPLUS NOTES	3,060,000	3,060,000	4,080,000
2798. Summary of remaining write-ins for Line 27 from overflow page		27,310,171	30,533,000
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	35,065,307	83,851,244	105,081,381
5301. STOCK-BASED COMPENSATION	7,042,673	6,736,264	8,527,672
5302. ACQUISITION OF PARENT COMPANY COMMON STOCK	17,927	15,238	15,238
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	7,060,600	6,751,502	8,542,910

## STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	3,263,811,205	2,610,823,345	3,533,963,724
2. Net investment income .....	2,131,102,732	2,759,492,564	3,516,172,214
3. Miscellaneous income .....	25,422,238	32,079,171	41,904,040
4. Total (Lines 1 to 3) .....	5,420,336,175	5,402,395,080	7,092,039,979
5. Benefit and loss related payments .....	2,285,596,521	1,917,243,315	2,686,556,582
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	414,880,231	365,926,870	482,066,634
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	27,500,000	144,000,000	169,066,048
10. Total (Lines 5 through 9) .....	2,727,976,752	2,427,170,185	3,337,689,264
11. Net cash from operations (Line 4 minus Line 10) .....	2,692,359,423	2,975,224,894	3,754,350,715
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	2,921,234,973	3,760,336,030	5,202,747,207
12.2 Stocks .....	179,083,975	150,015,058	350,015,058
12.3 Mortgage loans .....	321,981,502	378,895,387	473,162,783
12.4 Real estate .....			
12.5 Other invested assets .....	208,125,514	68,870,880	90,746,361
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	12,500	40,500	6,500
12.7 Miscellaneous proceeds .....			
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	3,630,438,464	4,358,157,855	6,116,677,909
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	4,576,004,896	6,397,485,901	7,963,717,630
13.2 Stocks .....	541,475,822	68,340,239	68,340,239
13.3 Mortgage loans .....	567,737,585	617,410,896	785,706,134
13.4 Real estate .....			
13.5 Other invested assets .....	184,773,571	101,079,517	288,653,970
13.6 Miscellaneous applications .....	954,551,920	625,032,461	841,135,687
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	6,824,543,794	7,809,349,015	9,947,553,660
14. Net increase (or decrease) in contract loans and premium notes .....	22,824	(27,392)	(13,440)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(3,194,128,154)	(3,451,163,767)	(3,830,862,311)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....	50,000,000		
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(15,155,149)	(15,781,550)	(18,142,384)
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	967,668,320	132,451,797	(1,185,108,626)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	1,002,513,171	116,670,247	(1,203,251,010)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	500,744,440	(359,268,625)	(1,279,762,605)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	266,051,061	1,545,813,667	1,545,813,666
19.2 End of period (Line 18 plus Line 19.1) .....	766,795,501	1,186,545,042	266,051,061
<b>Note: Supplemental disclosures of cash flow information for non-cash transactions:</b>			
20.0001. Mortgage Refinances .....	15,115,819	36,742,926	36,742,926
20.0002. Stock Based Compensation .....	7,060,600	6,751,502	8,542,910
20.0003. Additional Investment to Other Invested Asset, Affiliate .....			665,800
20.0004. Unrealized valuation increase (decrease) of Short Term Cash Equivalents .....	(15,500)	(28,135)	7,000

## EXHIBIT 1

### DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			
2. Ordinary life insurance .....	2,259,082	2,462,085	3,256,568
3. Ordinary individual annuities .....	3,306,261,577	2,669,035,939	3,620,018,710
4. Credit life (group and individual) .....			
5. Group life insurance .....		(744,330)	(744,563)
6. Group annuities .....			
7. A & H - group .....			
8. A & H - credit (group and individual) .....			
9. A & H - other .....			
10. Aggregate of all other lines of business .....			
11. Subtotal (Lines 1 through 10) .....	3,308,520,659	2,670,753,694	3,622,530,715
12. Fraternal (Fraternal Benefit Societies Only) .....			
13. Subtotal (Lines 11 through 12) .....	3,308,520,659	2,670,753,694	3,622,530,715
14. Deposit-type contracts .....	3,672,894	11,832,688	13,728,438
15. Total (Lines 13 and 14)	3,312,193,553	2,682,586,382	3,636,259,153
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

## NOTES TO FINANCIAL STATEMENTS

### 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

#### A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Iowa Department of Commerce, Insurance Division (the "Insurance Division").

The Insurance Division recognizes only statutory accounting practices prescribed or permitted by the State of Iowa for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under Iowa Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the Insurance Division. The Company differs from NAIC SAP under Bulletin 08-18, *Accounting for Derivative Instruments Used to Hedge the Growth in Interest Credited for Indexed Insurance Products and Accounting for the Indexed Insurance Products Reserve* ("Bulletin 08-18"), a prescribed practice issued by the Insurance Division. Under this prescribed practice, call option derivative instruments that hedge the growth in interest credited on index products are accounted for at amortized cost with the corresponding amortization recorded as a decrease to net investment income and indexed annuity reserves are calculated based on Standard Valuation Law and Guideline 35 assuming the market value of the call options associated with the current index term is zero regardless of the observable market value for such options.

The Company also has a prescribed practice under Iowa Administrative Code 191 - Chapter 43 "*Annuity Mortality Tables For Use In Determining Reserve Liabilities for Annuities*" ("IAC 191-43") which allows the use of the Annuity 2000 Mortality Table for determining the minimum standard of valuation for annuities issued during 2015. The Company began using the 2012 IAR Mortality Table for annuities issued on or after January 1, 2016. NAIC SAP requires the use of the 2012 IAR Mortality Table for determining the minimum standard of valuation for annuities issued on or after January 1, 2015.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Insurance Division is shown below:

<u>NET INCOME</u>	<u>SSAP #</u>	<u>F/S page</u>	<u>F/S Line #</u>	<u>September 30, 2019</u>	<u>December 31, 2018</u>
<b>Net income, Iowa basis</b>				\$ 55,553,928	\$ 210,048,878
(1) State Prescribed Practices that increase/(decrease) NAIC SAP:					
Bulletin 08-18					
(a) Net investment income - derivatives	86	4	3	6,709,339	(83,028,025)
(b) Change in reserves	51	4	19	102,062,906	(695,305,222)
IAC 191-43					
(c) Change in reserves	51	4	19	(94,107,264)	5,259,739
<b>(2) Net income, NAIC SAP (1-a-b=2)</b>				<u>\$ 40,888,947</u>	<u>\$ 983,122,386</u>
 <u>SURPLUS</u>					
<b>Statutory surplus, Iowa basis</b>				\$ 3,414,228,225	\$ 3,251,880,895
(3) State Prescribed Practices that increase/(decrease) NAIC SAP:					
Bulletin 08-18					
(a) Derivative instruments	86	2, 4	7, 3	(466,489,931)	214,114,265
(b) Reserves for indexed annuities	51	3, 4	1, 19	182,105,664	80,042,758
(c) Tax impact	101	2	18.2	59,720,696	(61,772,975)
IAC 191-43	51	3, 4	1, 19	71,063,494	145,408,288
<b>(4) Statutory surplus, NAIC SAP (3-a-b-c=4)</b>				<u>\$ 3,567,828,302</u>	<u>\$ 2,874,088,559</u>

#### B. Use of Estimates in the Preparation of the Financial Statements

No significant changes have occurred in disclosure from December 31, 2018.

## NOTES TO FINANCIAL STATEMENTS

### C. Accounting Policy

1. No significant changes have occurred in disclosure from December 31, 2018.
2. Investments in bonds rated NAIC 1-5 (including loan-backed securities) are reported at cost adjusted for amortization of premiums or discounts. Bonds with NAIC 6 ratings are reported at the lower of amortized cost or fair value. Amortization is computed using methods which result in a level yield over the life of the security. The Company reviews its prepayment assumptions on mortgage and other asset-backed securities at regular intervals and adjusts amortization rates retrospectively when such assumptions are changed due to experience and/or expected future patterns.

Realized capital gains and losses are recorded on the trade date and determined on the basis of specific identification and are recorded net of related federal income taxes and amounts transferred to the Interest Maintenance Reserve (“IMR”). Under a formula prescribed by the NAIC, the Company defers, in the IMR, the portion of realized gains and losses on sales of fixed income investments, principally bonds, attributable to changes in the general level of interest rates and amortizes those deferrals over the remaining period to maturity of the security. The Asset Valuation Reserve (“AVR”) is established by the Company to provide for anticipated losses in the event of default by issuers of certain invested assets. The AVR is determined using a formula that is intended to establish a reserve to offset potential credit-related investment losses.

The carrying values of all investments are reviewed on an ongoing basis for credit deterioration. An other than temporary impairment shall be considered to have occurred if it is probable that the reporting entity will be unable to collect all amounts due according to the contractual terms of a debt security in effect at the date of acquisition. If this review indicates a decline in fair value that is other than temporary, the carrying amount of the investment, other than loan-backed and structured securities, is reduced to its fair value and a specific other than temporary impairment is taken. A decline in fair value which is other than temporary includes situations where the Company has made a decision to sell a security prior to its maturity at an amount below its carrying value. Such reductions in carrying values are recognized as realized losses on investments.

3. No significant changes have occurred in disclosure from December 31, 2018.
4. No significant changes have occurred in disclosure from December 31, 2018.
5. No significant changes have occurred in disclosure from December 31, 2018.
6. If the fair value of a loan-backed or structured security is less than its amortized cost at the reporting date, the Company assesses whether the impairment is other than temporary. If the Company intends to sell the loan-backed or structured security, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not intend to sell the loan-backed or structured security, the Company assesses whether it has the intent and ability to retain the investment in the security for a period of time sufficient to recover the amortized cost. If the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not expect to recover the amortized cost basis of the security, it is unable to assert that it will recover its amortized cost even if it does not intend to sell the security and the entity has the intent and ability hold such security. Therefore, in those situations, an other than temporary impairment is considered to have occurred and a loss is recognized in an amount equal to the excess of amortized cost over the present value of cash flows expected to be collected, discounted at the loan-backed or structured security’s effective interest rate.

Interest income is recognized on an accrual basis. Dividend income is recognized when declared. The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain.

7. No significant changes have occurred in disclosure from December 31, 2018.
8. No significant changes have occurred in disclosure from December 31, 2018.
9. No significant changes have occurred in disclosure from December 31, 2018.
10. No significant changes have occurred in disclosure from December 31, 2018.



## NOTES TO FINANCIAL STATEMENTS

11. No significant changes have occurred in disclosure from December 31, 2018.

12. No significant changes have occurred in disclosure from December 31, 2018.

13. No significant changes have occurred in disclosure from December 31, 2018.

### D. Going Concern

The Company's management has evaluated its ability to continue as a going concern and does not have substantial doubt the entity will continue as a going concern.

### 2 - ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS:

No significant changes have occurred in disclosure from December 31, 2018.

### 3 - BUSINESS COMBINATIONS AND GOODWILL:

No significant changes have occurred in disclosure from December 31, 2018.

### 4 - DISCONTINUED OPERATIONS:

No significant changes have occurred in disclosure from December 31, 2018.

### 5 - INVESTMENTS:

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant changes have occurred in disclosure from December 31, 2018.

#### B. Debt Restructuring

No significant changes have occurred in disclosure from December 31, 2018.

#### C. Reverse Mortgages

No significant changes have occurred in disclosure from December 31, 2018.

#### D. Loan-Backed Securities

1. Prepayment assumptions for loan-backed securities were obtained from third party rating agencies and/or third party loan servicers.

2. For the nine months ended September 30, 2019, the Company recognized other than temporary impairments ("OTTI") on its loan-backed securities on the basis of the intent to sell and on the basis of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.

## NOTES TO FINANCIAL STATEMENTS

	Amortized cost basis before other-than- temporary impairment	(2a) Interest	(2b) Non-interest	Fair Value 1-(2a+2b)
OTTI recognized 1st Quarter				
a. Intent to sell	\$ —	\$ —	\$ —	\$ —
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	—	—	—	—
c. Total 1st Quarter	\$ —	\$ —	\$ —	\$ —
OTTI recognized 2nd Quarter				
a. Intent to sell	\$ 5,452,496	\$ 348,571	\$ —	\$ 5,103,925
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	—	—	—	—
c. Total 2nd Quarter	\$ 5,452,496	\$ 348,571	\$ —	\$ 5,103,925
OTTI recognized 3rd Quarter				
a. Intent to sell	\$ —	\$ —	\$ —	\$ —
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	—	—	—	—
c. Total 3rd Quarter	\$ —	\$ —	\$ —	\$ —
Aggregate total	\$ 5,452,496	\$ 348,571	\$ —	\$ 5,103,925

3. The following table lists the loan-backed securities for which the present value of cash flows expected to be collected was less than amortized cost, and as a result, OTTI was recorded during the nine months ended September 30, 2019.

CUSIP	Amortized Cost Prior to OTTI	Present Value of Projected Cash Flows	OTTI Recognized in Earnings	Amortized Cost After OTTI	Fair Value	Financial Statement Reporting Period
225458-XX-1	6,463,614	5,785,432	111,777	6,351,837	6,351,837	6/30/2019
61755G-AS-2	4,320,639	4,050,824	102,984	4,217,655	4,217,655	6/30/2019
126694-GU-6	3,607,912	3,445,507	101,446	3,506,466	3,506,466	9/30/2019
Total	\$ 14,392,165	\$ 13,281,763	\$ 316,207	\$ 14,075,958	\$ 14,075,958	

Total OTTI recognized under SSAP 43R for the nine months ended September 30, 2019: \$316,207

4. For loan-backed and structured securities with unrealized losses as of September 30, 2019, the gross unrealized losses and fair value, aggregated by length of time that individual securities have been in a continuous unrealized loss position, are summarized as follows:
- |   |                  |
|---|------------------|
| a. Aggregate amount of unrealized loss:                               |                  |
| Less than 12 months   | \$ 51,089,989    |
| 12 months or longer   | \$ 149,837,100   |
| b. Aggregate related fair value of securities with unrealized losses: |                  |
| Less than 12 months   | \$ 2,126,580,426 |
| 12 months or longer   | \$ 2,617,337,544 |
5. The process for evaluating loan-backed securities is based on a number of factors. The primary consideration in the evaluation process is the issuer's ability to meet current and future interest and principal payments as contractually stated at the time of purchase. The review of these securities includes an analysis of the cash flow modeling under various default scenarios considering independent third party benchmarks, the seniority of the

## NOTES TO FINANCIAL STATEMENTS

specific tranche within the structure of the security, the composition of the collateral and the actual default, loss severity and prepayment experience exhibited. With the input of third party assumptions for default projections, loss severity and prepayment expectations, the Company evaluates the cash flow projections to determine whether the security is performing in accordance with its contractual obligations.

At September 30, 2019 the Company had no exposure to subprime loan-backed securities. Substantially all of the owned securities are in the highest rated tranche of the pool in which they are structured and are not subordinated to any other tranche.

### E. Dollar Repurchase Agreements and/or Securities Lending Transactions

1. **Securities Lending** - The Company participates in a securities lending program whereby it loans certain securities to approved borrowers. Securities are lent to borrowers, through a lending agent, on an overnight or, with the Company's prior approval, a term basis. Borrowers post cash collateral in an amount equal to or greater than 102% of the security's market value which is invested by the lending agent in money market funds or repurchase agreements meeting investment guidelines approved by it. The Company retains control of all loaned securities and receives all principal and interest payments that would normally be paid to the Company if it did not lend the securities. The fair value of the loaned securities is monitored on a daily basis with additional collateral obtained or refunded as the fair value of the loaned securities fluctuates.
2. The Company has not pledged any of its assets as collateral that are not reclassified and separately reported in the statement of financial position.
3. **Collateral Received**

- a. The aggregate amount of collateral received as of September 30, 2019 is as follows:

	Fair Value
Securities Lending	
a. Open	\$ 365,592,189
b. 30 days or less	—
c. 31 to 60 days	—
d. 61 to 90 days	—
e. Greater than 90 days	—
f. Sub-Total	\$ 365,592,189
g. Securities Received	—
h. Total Collateral Received	\$ 365,592,189

- b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged: \$365,592,189.
- c. The Company uses cash collateral received for income generation. At the end of the loan term, the Company will take back its securities, and the counterparty will receive the amount loaned, together with the agreed upon interest.
4. There are no securities lending transactions administered by an affiliated agent.

## NOTES TO FINANCIAL STATEMENTS

### 5. Collateral Reinvestment

- a. The aggregate amount of collateral reinvested as of September 30, 2019 is as follows:

	<b>Amortized Cost</b>	<b>Fair Value</b>
Securities Lending		
a. Open	\$ 365,592,189	\$ 365,592,189
b. 30 days or less	—	—
c. 31 to 60 days	—	—
d. 61 to 90 days	—	—
e. 91 to 120 days	—	—
f. 121 to 180 days	—	—
g. 181 to 365 days	—	—
h. 1 to 2 years	—	—
i. 2 to 3 years	—	—
j. Greater than 3 years	—	—
k. Sub-Total	\$ 365,592,189	\$ 365,592,189
l. Securities received	—	—
m. Total collateral reinvested	\$ 365,592,189	\$ 365,592,189

- b. The maturity dates of the liabilities appropriately match the invested assets in the securities lending program.

6. The Company participates in a securities lending program whereby it loans certain securities to approved borrowers. Securities are lent to borrowers, through a lending agent, on an overnight or, with the Company's prior approval, a term basis. Borrowers post cash collateral in an amount equal to or greater than 102% of the security's market value which is invested by the lending agent in money market funds or repurchase agreements meeting investment guidelines approved by it. The Company retains control of all loaned securities and receives all principal and interest payments that would normally be paid to the Company if it did not lend the securities. The fair value of the loaned securities is monitored on a daily basis with additional collateral obtained or refunded as the fair value of the loaned securities fluctuates.

The Company's securities lending program exposes it to certain counterparty and spread risks. Counterparty risk is mitigated by over-collateralization requirements, daily mark to market, and indemnification by the lending agent for shortfalls in collateral in event of borrower default. The lending agent monitors spread risk to ensure that the interest rate paid by us to borrowers does not exceed the rate of return on cash collateral investments. The Company regularly monitors the overall securities lending program, including the lending agent, borrowers, and the appropriateness of cash collateral investments.

7. The Company does not have any collateral for transactions that extend beyond one year from September 30, 2019.

### F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

1. As part of its investment strategy, the Company enters into securities repurchase agreements (short-term collateralized borrowings). When cash is borrowed as part of these repurchase agreements, the Company pledges collateral in the form of debt securities with fair values approximately equal to the amount due. The Company uses the cash to purchase debt securities ahead of the time the cash is collected from selling annuity policies to avoid a lag between the investment of funds and the obligations to credit interest to policyholders. The Company earns investment income on the securities purchased with these borrowings. At September 30, 2019, the Company has pledged a bond with an amortized cost of \$48,930,938 and a fair value of \$50,000,250.

2. Type of Repo Trades used

	1 First Quarter	2 Second Quarter	3 Third Quarter
a. Bilateral (YES/NO)	YES	YES	YES
b. Tri-Party (YES/NO)	NO	NO	NO

## NOTES TO FINANCIAL STATEMENTS

### 3. Original (Flow) & Residual Maturity

FIRST QUARTER (in millions)				
	1	2	3	4
	Minimum	Maximum	Average Daily Balance	Ending Balance
a. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
b. Overnight	—	—	—	—
c. 2 Days to 1 Week	—	243.6	89.2	243.3
d. > 1 Week to 1 Month	—	—	—	—
e. > 1 Month to 3 Months	—	—	—	—
f. > 3 Months to 1 Year	—	—	—	—
g. > 1 Year	—	—	—	—

SECOND QUARTER (in millions)				
	1	2	3	4
	Minimum	Maximum	Average Daily Balance	Ending Balance
a. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
b. Overnight	—	—	—	—
c. 2 Days to 1 Week	—	210.1	15.8	—
d. > 1 Week to 1 Month	—	—	—	—
e. > 1 Month to 3 Months	—	—	—	—
f. > 3 Months to 1 Year	—	—	—	—
g. > 1 Year	—	—	—	—

THIRD QUARTER (in millions)				
	1	2	3	4
	Minimum	Maximum	Average Daily Balance	Ending Balance
a. Open - No Maturity	\$ —	\$ —	\$ —	\$ —
b. Overnight	—	—	—	—
c. 2 Days to 1 Week	—	95.6	9.6	48.9
d. > 1 Week to 1 Month	—	—	—	—
e. > 1 Month to 3 Months	—	—	—	—
f. > 3 Months to 1 Year	—	—	—	—
g. > 1 Year	—	—	—	—

4. As of September 30, 2019, the Company did not have any securities sold that resulted in default.
5. As of September 30, 2019, the Company did not have any securities sold under repo.
6. As of September 30, 2019, the Company did not have any securities sold under repo.
7. As of September 30, 2019, the Company did not have any collateral received.
8. As of September 30, 2019, the Company did not have any cash & non-cash collateral received.
9. As of September 30, 2019, the Company did not have any aggregate collateral.
10. As of September 30, 2019, the Company did not have any aggregate collateral reinvested.
11. As of September 30, 2019, the Company did not have any liability to return collateral.

### G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing as of September 30, 2019.

## NOTES TO FINANCIAL STATEMENTS

### H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any repurchase agreements transactions accounted for as a sale as of September 30, 2019.

### I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any reverse repurchase agreements transactions accounted for as a sale as of September 30, 2019.

### J. Real Estate

No significant changes have occurred in disclosure from December 31, 2018.

### K. Low Income Housing Credits

No significant changes have occurred in disclosure from December 31, 2018.

### L. Restricted Assets

#### 1. Restricted Assets (including pledged)

Restricted Asset Category		Gross Restricted				
		Current Year				
		1	2	3	4	5
		Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)
a.	Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —
b.	Collateral held under security lending agreements	365,592,189	—	—	—	365,592,189
c.	Subject to repurchase agreements	48,930,938	—	—	—	48,930,938
d.	Subject to reverse repurchase agreement	—	—	—	—	—
e.	Subject to dollar repurchase agreements	—	—	—	—	—
f.	Subject to dollar reverse repurchase agreements	—	—	—	—	—
g.	Placed under option contracts	—	—	—	—	—
h.	Letter stock or securities restricted as to sale	—	—	—	—	—
i.	FHLB capital stock	—	—	—	—	—
j.	On deposit with states	2,430,098	—	—	—	2,430,098
k.	On deposit with other regulatory bodies	—	—	—	—	—
l.	Pledged collateral to FHLB	—	—	—	—	—
m.	Pledged as collateral not captured in other categories	—	—	—	—	—
n.	Other restricted assets	4,149,822,798	—	—	—	4,149,822,798
o.	Total Restricted Assets	\$ 4,566,776,023	\$ —	\$ —	\$ —	\$ 4,566,776,023

## NOTES TO FINANCIAL STATEMENTS

Restricted Asset Category	Gross Restricted		8	9	Percentage		
	6	7			10	11	
	Total From Prior Year	Increase / (Decrease) (5-6)			Total Nonadmitted Restricted	Total Admitted Restricted (5-8)	Gross (Admitted & Nonadmitted) Restricted to Total Assets
a.	Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	—%	—%
b.	Collateral held under security lending agreements	—	365,592,189	—	365,592,189	0.7%	0.7%
c.	Subject to repurchase agreements	109,298,000	(60,367,062)	—	48,930,938	0.1%	0.1%
d.	Subject to reverse repurchase agreement	—	—	—	—	—%	—%
e.	Subject to dollar repurchase agreements	—	—	—	—	—%	—%
f.	Subject to dollar reverse repurchase agreements	—	—	—	—	—%	—%
g.	Placed under option contracts	—	—	—	—	—%	—%
h.	Letter stock or securities restricted as to sale	—	—	—	—	—%	—%
i.	FHLB capital stock	—	—	—	—	—%	—%
j.	On deposit with states	2,431,696	(1,598)	—	2,430,098	—%	—%
k.	On deposit with other regulatory bodies	—	—	—	—	—%	—%
l.	Pledged collateral to FHLB	—	—	—	—	—%	—%
m.	Pledged as collateral not captured in other categories	—	—	—	—	—%	—%
n.	Other restricted assets	4,034,034,281	115,788,517	—	4,149,822,798	7.4%	7.4%
o.	Total Restricted Assets	\$ 4,145,763,977	\$ 421,012,046	\$ —	\$ 4,566,776,023	8.1%	8.1%

2. No significant changes have occurred in disclosure from December 31, 2018.

3. Detail of Other Restricted Assets (Contracts that Share Similar Characteristics, such as Reinsurance and Derivatives, are Reported in the Aggregate)

	Gross Restricted				
	Current Year				
	1	2	3	4	5
	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)
Funds withheld assets	984,875,011	—	—	—	984,875,011
Mod-co assets	3,164,947,787	—	—	—	3,164,947,787
Total	\$ 4,149,822,798	\$ —	\$ —	\$ —	\$ 4,149,822,798

	Gross Restricted		8	Percentage	
	6	7		9	10
	Total From Prior Year	Increase / (Decrease) (5-6)		Total Current Year Admitted Restricted	Gross (Admitted & Nonadmitted) Restricted to Total Assets
Funds withheld assets	1,029,792,672	(44,917,661)	984,875,011	1.8%	1.8%
Mod-co assets	3,004,241,609	160,706,178	3,164,947,787	5.6%	5.6%
Total	\$ 4,034,034,281	\$ 115,788,517	\$ 4,149,822,798	7.4%	7.4%

#### M. Working Capital Finance Investments

The Company did not have any working capital finance investments as of September 30, 2019.

#### N. Offsetting and Netting of Assets and Liabilities

The Company did not have any offsetting and netting of assets and liabilities as of September 30, 2019.

## NOTES TO FINANCIAL STATEMENTS

### O. Structured Notes

No significant changes have occurred in disclosure from December 31, 2018.

### P. 5GI Securities

No significant changes have occurred in disclosure from December 31, 2018.

### Q. Short Sales

The Company did not have unsettled and settled short sale transactions outstanding as of September 30, 2019.

### R. Prepayment Penalty and Acceleration Fees:

	General Account	Separate Accounts
(1) Number of CUSIPS	58	—
(2) Aggregate Amount of Investment Income	\$ 5,011,407	\$ —

## 6 - JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES:

During the nine months ended September 30, 2019, the Company recognized an impairment of \$13,039,412 on an investment in a limited liability partnership due to continued deterioration in the Fund's fair value and operating performance.

## 7 - INVESTMENT INCOME:

No significant changes have occurred in disclosure from December 31, 2018.

## 8 - DERIVATIVE INSTRUMENTS:

The Company uses call options to pay the benefit provided for in the Company's indexed annuity insurance products. The financial characteristics of the call options purchased by the Company generally provide for the Company to be paid the average one-year appreciation of various published indices. The term of the call options is generally one year. The Company pays cash to the counterparty based upon an agreed to price at the outset of the contract. The counterparty usually pays a single payment at each due date.

The Company is exposed to risk of loss in the event of nonperformance by the counterparties and, accordingly, the Company purchases its option contracts from multiple counterparties and evaluates the creditworthiness of all counterparties prior to purchase of the contracts. All options had been purchased from nationally recognized investment banking institutions (See Note 16 of our annual statement for more information).

In accordance with Bulletin 08-18, call option derivative instruments that hedge the growth in interest credited on index products are accounted for at amortized cost with the corresponding amortization recorded as a decrease to net investment income.

For the nine months ended September 30, 2019, proceeds received upon expiration of options and amortization of options included as components of net investment income were as follows:

	9/30/2019
Proceeds received upon expiration	\$ 341,814,972
Amortization	(619,101,656)
	(277,286,684)
Less ceded options	15,034,483
	\$ (292,321,167)

## 9 - INCOME TAXES:

A.-B.No significant changes have occurred in disclosure from December 31, 2018.



## NOTES TO FINANCIAL STATEMENTS

### C. Current income taxes incurred consist of the following major components:

#### 1. Current Income Tax

	<u>9/30/2019</u>	<u>12/31/2018</u>	<u>Change</u>
(a) Federal	\$ 11,114,402	\$ 125,339,034	\$ (114,224,632)
(b) Foreign	—	—	—
(c) Subtotal	11,114,402	125,339,034	(114,224,632)
(d) Federal income tax on net capital gains	251,324	(16,324,546)	16,575,870
(e) Utilization of capital loss carry-forwards	—	—	—
(f) Other - Stock based compensation	—	—	—
(g) Federal & Foreign income tax incurred	<u>\$ 11,365,726</u>	<u>\$ 109,014,488</u>	<u>\$ (97,648,762)</u>

2.-4.No significant changes have occurred in disclosure from December 31, 2018.

### D. Analysis of Actual Income Tax Expense

As of September 30, 2019, the income tax expense and change in deferred taxes differs from the amount obtained by applying the federal statutory rate of 21% to pretax net income for the following reasons:

	<u>Amounts</u>	<u>Effective Tax Rate (%)</u>
Provisions computed at statutory rate	\$ 16,189,895	21.00 %
Tax exempt income deducted	(1,564,100)	(2.03)%
Dividends received deduction	(11,938)	(0.02)%
Nondeductible Expenses	1,168,780	1.52 %
IMR	551,304	0.72 %
Nonadmitted Assets	(223,226)	(0.29)%
Stock compensation	(975,377)	(1.27)%
Change in surplus as a result of reinsurance	19,227,793	24.94 %
Company owned life insurance	(1,712,393)	(2.22)%
Income inclusion of disregarded subsidiary	3,718	— %
Other	16,261	0.02 %
Rate differential on Capital Loss Carryback	2,464,633	3.20 %
Total	<u>\$ 35,135,350</u>	<u>45.57 %</u>
Federal income tax incurred	\$ 11,365,726	
Change in net deferred income taxes	23,768,155	
Other	1,469	
Total statutory income tax	<u>\$ 35,135,350</u>	<u>45.57 %</u>

### E. Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits

1. The Company had a net loss carryforward of \$315,073,946 as of September 30, 2019.

2. No significant changes have occurred in disclosure from December 31, 2018.

### F. Consolidated Federal Income Tax Return

No significant changes have occurred in disclosure from December 31, 2018.

### G. Federal or Foreign Federal Income Tax Loss Contingencies

No significant changes have occurred in disclosure from December 31, 2018.

### H. Repatriation Transition Tax (RTT)

No significant changes have occurred in disclosure from December 31, 2018.

### I. Alternative Minimum Tax (AMT) Credit

No significant changes have occurred in disclosure from December 31, 2018.

## NOTES TO FINANCIAL STATEMENTS

### 10 - INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES:

The Company received a capital contribution of \$50,000,000 during the nine months ended September 30, 2019.

### 11 - DEBT:

- A. No significant changes have occurred in disclosure from December 31, 2018.
- B. The Company does not have any FHLB agreements for the nine months ended September 30, 2019.

### 12 - RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS:

- A. The Company does not participate in a defined benefit plan.
- B-I. No significant changes have occurred in disclosure from December 31, 2018.

### 13 - CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS:

- 1.-9. No significant changes have occurred in disclosure from December 31, 2018.
- 10. Unassigned surplus was increased by \$19,780,960.65 due to changes in unrealized gains and losses.
- 11.-13. No significant changes have occurred in disclosure from December 31, 2018.

### 14 - CONTINGENCIES:

- A.-E. No significant changes have occurred in disclosure from December 31, 2018.
- F. All Other Contingencies

The Company is occasionally involved in litigation, both as a defendant and as a plaintiff. In addition, state regulatory bodies, such as state insurance departments, the Securities and Exchange Commission, Financial Industry Regulatory Authority, the Department of Labor, and other regulatory bodies regularly make inquiries and conduct examinations or investigations concerning our compliance with, among other things, insurance laws, securities laws, and the Employee Retirement Income Security Act of 1974, as amended.

In accordance with applicable accounting guidelines, the Company establishes an accrued liability for litigation and regulatory matters when those matters present loss contingencies that are both probable and estimable. As a litigation or regulatory matter is developing the Company, in conjunction with outside counsel, evaluates on an ongoing basis whether the matter presents a loss contingency that meets conditions indicating the need for accrual and/or disclosure, and if not the matter will continue to be monitored for further developments. If and when the loss contingency related to litigation or regulatory matters is deemed to be both probable and estimable, the Company will establish an accrued liability with respect to that matter and will continue to monitor the matter for further developments that may affect the amount of the accrued liability.

During 2018, the Company established an accrued liability of \$31.1 million related to a product regulatory matter with a state insurance department. The Company expects substantially all of the established liability to ultimately increase aggregate reserves for life contract upon final settlement of the matter.

There can be no assurance that any other pending or future litigation will not have a material adverse effect on its business, financial condition, or results of operations.

### 15 - LEASES:

No significant changes have occurred in disclosure from December 31, 2018.

## NOTES TO FINANCIAL STATEMENTS

### 16 - INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK:

1. The table below summarizes the face amount of the Company's financial instruments with off-balance sheet risk as of the period indicated:

	Assets		Liabilities	
	9/30/2019	12/31/2018	9/30/2019	12/31/2018
Swaps	\$ —	\$ —	\$ —	\$ —
Futures	—	—	—	—
Options	398,837,825	428,979,750	—	—
Total	<u>\$ 398,837,825</u>	<u>\$ 428,979,750</u>	<u>\$ —</u>	<u>\$ —</u>

2.-3. No significant changes have occurred in disclosure from December 31, 2018.

4. As of September 30, 2019, the Company held \$894,754,308 of cash and cash equivalents and common stock received from counterparties for derivative collateral, which is included in other liabilities in the financial statements.

### 17 - SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES:

- A. No significant changes have occurred in disclosure from December 31, 2018.
- B. Please refer to Note 5E for information surrounding repurchase agreement transactions.
- C. In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date. During the nine months ended September 30, 2019, the Company did not have any transactions qualifying as wash sales.

### 18 - GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS:

No significant changes have occurred in disclosure from December 31, 2018.

### 19 - DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS:

No significant changes have occurred in disclosure from December 31, 2018.

### 20 - FAIR VALUE MEASUREMENTS:

A.-C.

The Company's financial assets measured at fair value on the reporting date of September 30, 2019 are as follows:

Description for each class of asset or liability	Level 1	Level 2	Level 3	Total
Bonds - Industrial & Miscellaneous	\$ —	\$ 525,000	\$ —	\$ 525,000
Bonds - RMBS	—	154,996	—	154,996
Total assets at fair value	<u>\$ —</u>	<u>\$ 679,996</u>	<u>\$ —</u>	<u>\$ 679,996</u>

There were no financial liabilities measured at fair value at the reporting date of September 30, 2019.

Transfers of securities among the level occur at time and depend on the type of inputs used to determine fair value of each security. There were no transfers between levels during the nine months ended September 30, 2019.

## NOTES TO FINANCIAL STATEMENTS

The following table represents the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries and joint ventures). The fair values are also categorized into the three level fair value hierarchy.

Type of Financial Instrument	Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)	NAV
<b>Assets:</b>							
Bonds	\$ 52,815,863,505	\$ 49,084,805,071	\$ 2,619,356	\$ 52,730,689,737	\$ 82,554,412	\$ —	\$ —
Preferred stock	94,436,255	91,477,942	91,052,711	3,383,544	—	—	—
Common stock - unaffiliated	301,870,239	301,870,239	301,870,239	—	—	—	—
Mortgage loans on real-estate	3,801,572,856	3,718,461,972	—	—	3,801,572,856	—	—
Derivatives instruments	916,225,931	398,837,825	—	916,225,931	—	—	—
Policy loans	748,726	748,726	—	—	—	748,726	—
Other invested assets	935,854,915	879,737,899	—	328,286,075	607,568,840	—	—
Cash and cash equivalents	708,973,987	708,973,987	708,973,987	—	—	—	—
Short-term investments	57,821,516	57,821,516	—	57,821,516	—	—	—
<b>Liabilities:</b>							
Policy benefit reserves	\$ 45,484,051,393	\$ 49,942,504,971	\$ —	\$ —	\$ 45,484,051,393	\$ —	\$ —

- D. The Company has not attempted to determine the fair values associated with policy loans as the Company believes any differences between carrying values and fair values are immaterial to the Company's financial position and, accordingly, the cost to provide such disclosure does not justify the benefit to be derived. As a result, the Company also has not determined an effective interest rate or maturity date.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date
Policy loans	\$ 748,726	NA	NA

- E. The Company does not have any assets measured using the NAV practical expedient as of September 30, 2019 .

### 21 - OTHER ITEMS:

No significant changes have occurred in disclosure from December 31, 2018.

### 22 - EVENTS SUBSEQUENT:

Subsequent events have been considered through November 8, 2019 for the statutory statement issued on November 8, 2019. The Company did not have any subsequent events to report.

### 23 - REINSURANCE:

Effective July 1, 2013, the Company entered into a YRT reinsurance agreement ("2013 Agreement") with Hannover covering inforce and new business net of any existing reinsurance agreements. Under the 2013 agreement, Hannover agreed to reinsure 45.6% of waived surrender charges on free partial withdrawals, Life Income Benefit Rider ("LIBR") payments and death claim payments and also LIBR payments greater than the fund value of the underlying contract. The Company pays quarterly reinsurance premiums under the agreement on policies with LIBR. In addition an experience refund is calculated on a quarterly basis.

As of April 1, 2019, the Company recaptured all risks ceded under the 2013 Agreement and entered into a coinsurance funds withheld reinsurance agreement ("2019 Treaty"). Under the 2019 Treaty, Hannover assumes an 80% quota share of future claims associated with the LIBR and waived surrender charges on existing plan codes. In addition, Hannover will accept risks on newly issued policies through at least the end of December 31, 2021. The aforementioned risks being ceded under the 2019 Treaty are consistent with the risks ceded under the 2013 Agreement.

The 2019 treaty essentially amends and replaces the 2013 agreement. As the 2019 treaty covers business issued prior to the effective date of the agreement, and the recapture of the 2013 agreement and the 2019 agreement were entered into simultaneously, the initial net impact of the recapture gain and the 2019 treaty of \$95.5 million was recognized directly in surplus during the second quarter of 2019.

## NOTES TO FINANCIAL STATEMENTS

The business may be recaptured without penalty by the Company (the ceding entity) as of the end of the accounting period in which every reinsured policy in the cohort reaches its 12<sup>th</sup> anniversary of its policy issue date. The Company may also elect to recapture the business by cohort any time prior to the 12<sup>th</sup> anniversary, however, the recapture would be subject to a make-whole payment to Hannover.

### **24 - RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION:**

A-D. No significant changes have occurred in disclosure from December 31, 2018.

E. The Company did not have any risk-sharing provisions of the Affordable Care Act for the nine months ended September 30, 2019.

### **25 - CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES:**

The Company did not have any changes in incurred losses attributable to insured events of prior years as of September 30, 2019.

### **26 - INTERCOMPANY POOLING ARRANGEMENTS:**

No significant changes have occurred in disclosure from December 31, 2018.

### **27 - STRUCTURED SETTLEMENTS:**

No significant changes have occurred in disclosure from December 31, 2018.

### **28 - HEALTH CARE RECEIVABLES:**

No significant changes have occurred in disclosure from December 31, 2018.

### **29 - PARTICIPATING POLICIES:**

No significant changes have occurred in disclosure from December 31, 2018.

### **30 - PREMIUM DEFICIENCY RESERVES:**

No significant changes have occurred in disclosure from December 31, 2018.

### **31 - RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS:**

No significant changes have occurred in disclosure from December 31, 2018.

## NOTES TO FINANCIAL STATEMENTS

### 32 - ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS:

A. Subject to discretionary withdrawal	General Account	Separate Account with Guarantees	Separate Account Non-guaranteed	Amount	% of Total
(1) With fair value adjustment	\$ 7,223,688,762	\$ —	\$ —	\$ 7,223,688,762	13.63%
(2) At book value less current surrender charge of 5% or more	38,857,112,924	—	—	38,857,112,924	73.30%
(3) At fair value	—	—	—	—	—%
(4) Total with adjustment or at market value (Total of 1 through 3)	46,080,801,686	—	—	46,080,801,686	86.93%
(5) At book value without adjustment (minimal or no charge or adjustment)	6,373,986,999	—	—	6,373,986,999	12.03%
B. Not subject to discretionary withdrawal	548,640,013	—	—	548,640,013	1.04%
C. Total (gross)	53,003,428,698	—	—	53,003,428,698	100.00%
D. Reinsurance ceded	2,758,498,505	—	—	2,758,498,505	
E. Total (net)*(C-D)	<u>\$ 50,244,930,193</u>	<u>—</u>	<u>—</u>	<u>\$ 50,244,930,193</u>	

\* Reconciliation of total annuity actuarial reserves and deposit fund liabilities.

F. Life, Accident and Health Annual Statement:	Amount
(1) Exhibit 5 - Annuities Section, Total (net)	\$ 49,864,515,863
(2) Exhibit 5 - Supplementary Contracts with Life Contingencies Section, Total (net)	140,056,912
(3) Exhibit 7 - Deposit-Type Contracts, Line 14, Column 1	240,357,418
(4) Subtotal	<u>\$ 50,244,930,193</u>
Separate Accounts Annual Statement	
(5) Exhibit 3, Line 299999, Column 2	\$ —
(6) Exhibit 3, Line 399999, Column 2	—
(7) Policyholder dividend and coupon accumulations	—
(8) Policyholder premiums	—
(9) Guaranteed interest contracts	—
(10) Other contract deposit funds	—
(11) Subtotal	<u>\$ —</u>
(12) Combined Total	<u>\$ 50,244,930,193</u>

### 33 - PREMIUMS AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED:

No significant changes have occurred in disclosure from December 31, 2018.

### 34 - SEPARATE ACCOUNTS:

No significant changes have occurred in disclosure from December 31, 2018.

### 35 - LOSS/CLAIM ADJUSTMENT EXPENSES:

No significant changes have occurred in disclosure from December 31, 2018.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ X ] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. .... 0001039828
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/08/2015
- 6.4 By what department or departments?  
DEPARTMENT OF COMMERCE, DIVISION OF INSURANCE, STATE OF IOWA
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 454,967

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ 564,070
13. Amount of real estate and mortgages held in short-term investments: ..... \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....   | \$ .....  |
| 14.22 Preferred Stock .....   | \$ .....   | \$ .....  |
| 14.23 Common Stock .....  | \$ 220,026,551   | \$ 236,123,088  |
| 14.24 Short-Term Investments .....  | \$ .....   | \$ .....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....   | \$ .....  |
| 14.26 All Other .....   | \$ 2,192,254   | \$ 564,070  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ 222,218,805   | \$ 236,687,158  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....   | \$ .....  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No   
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ 365,592,189
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ 365,592,189
- 16.3 Total payable for securities lending reported on the liability page. .... \$ 365,592,189



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
STATE STREET BANK & TRUST .....	225 LIBERTY STREET, 2 WORLD FINANCIAL CENTER, NEW YORK, NY 10281 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
GSO CAPITAL PARTNERS .....	U.....
AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY .....	A.....
VOYA INVESTMENT MANAGEMENT CO. LLC .....	U.....
CONTERRA .....	U.....
BLACKROCK .....	U.....
ARES CAPITAL MANAGEMENT III LLC .....	U.....
BARINGS LLC .....	U.....
KINDUR .....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? ..... Yes [ ] No [ X ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? ..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
152294 .....	GSO CAPITAL PARTNERS .....	549300MIW2E5JWLBNVR92 .....	SEC #801-70830 .....	NO.....
.....	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY .....	549300ORK5RZQ740FPL83 .....	.....	DS.....
106494 .....	VOYA INVESTMENT MANAGEMENT CO. LLC .....	L1XJE5NM4QE6IWS12J24 .....	SEC #801-9046 .....	NO.....
.....	CONTERRA .....	.....	.....	NO.....
.....	BLACKROCK .....	549300LRIF3NWCU26A80 .....	SEC #001-33099 .....	NO.....
16076 .....	ARES CAPITAL MANAGEMENT III LLC .....	549300N5FJT73X2E7715 .....	SEC #801-78341 .....	NO.....
106006 .....	BARINGS LLC .....	ANDKRHQKPRRG4Q2KLR05 .....	SEC #801-241 .....	NO.....
296585 .....	KINDUR .....	.....	SEC #801-113451 .....	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:  
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.  
b. Issuer or obligor is current on all contracted interest and principal payments.  
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.  
Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:  
a. The security was purchased prior to January 1, 2018.  
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.  
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.  
Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:
- |   | 1<br>Amount             |
|---|-------------------------|
| 1.1 Long-Term Mortgages In Good Standing  |                         |
| 1.11 Farm Mortgages .....   | \$ 39,204,487           |
| 1.12 Residential Mortgages .....  | \$ 64,603,409           |
| 1.13 Commercial Mortgages .....   | \$ 3,614,654,076        |
| 1.14 Total Mortgages in Good Standing .....   | <u>\$ 3,718,461,972</u> |
| 1.2 Long-Term Mortgages In Good Standing with Restructured Terms  |                         |
| 1.21 Total Mortgages in Good Standing with Restructured Terms.....  | <u>\$</u>               |
| 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months  |                         |
| 1.31 Farm Mortgages .....   | \$                      |
| 1.32 Residential Mortgages .....  | \$                      |
| 1.33 Commercial Mortgages .....   | \$                      |
| 1.34 Total Mortgages with Interest Overdue more than Three Months .....   | <u>\$</u>               |
| 1.4 Long-Term Mortgage Loans in Process of Foreclosure  |                         |
| 1.41 Farm Mortgages .....   | \$                      |
| 1.42 Residential Mortgages .....  | \$                      |
| 1.43 Commercial Mortgages .....   | \$                      |
| 1.44 Total Mortgages in Process of Foreclosure .....  | \$                      |
| 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) .....  | <u>\$ 3,718,461,972</u> |
| 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter  |                         |
| 1.61 Farm Mortgages .....   | \$                      |
| 1.62 Residential Mortgages .....  | \$                      |
| 1.63 Commercial Mortgages .....   | \$                      |
| 1.64 Total Mortgages Foreclosed and Transferred to Real Estate .....  | <u>\$</u>               |
| 2. Operating Percentages:   |                         |
| 2.1 A&H loss percent .....  | %                       |
| 2.2 A&H cost containment percent .....  | %                       |
| 2.3 A&H expense percent excluding cost containment expenses .....   | %                       |
| 3.1 Do you act as a custodian for health savings accounts? .....  | Yes [ ] No [ X ]        |
| 3.2 If yes, please provide the amount of custodial funds held as of the reporting date .....  | \$                      |
| 3.3 Do you act as an administrator for health savings accounts? .....   | Yes [ ] No [ X ]        |
| 3.4 If yes, please provide the balance of the funds administered as of the reporting date .....   | \$                      |
| 4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? .....   | Yes [ X ] No [ ]        |
| 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... | Yes [ ] No [ ]          |

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? .....
- Yes [ ] No [ ] N/A [ X ]
- 5.2 If no, explain: .....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? .....
- Yes [ ] No [ X ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....	.....



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

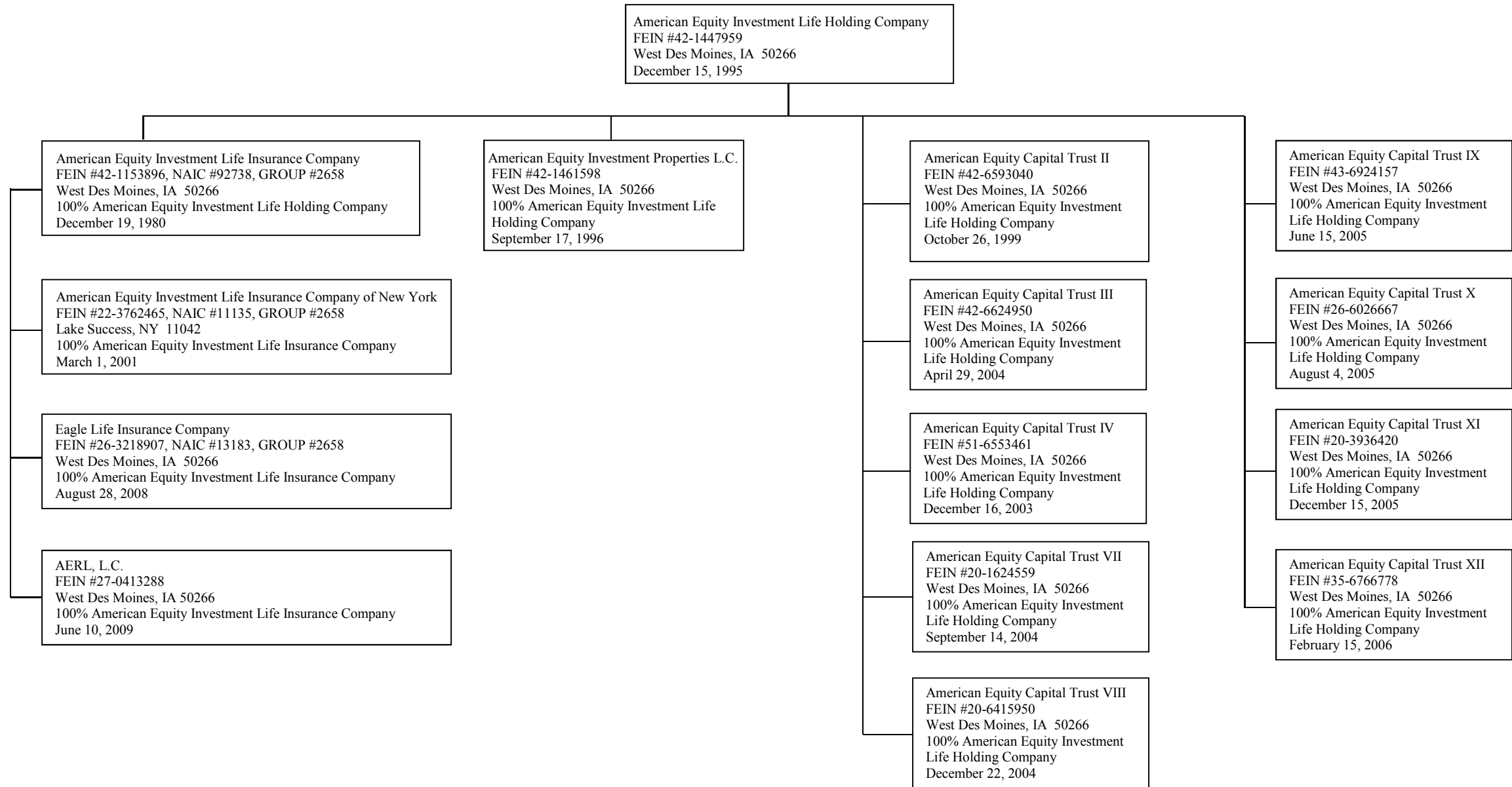
States, Etc.	1	Life Contracts		Direct Business Only			
		2	3	4	5	6	7
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts
1. Alabama	AL	L	219,138	18,964,163		19,183,300	
2. Alaska	AK	L		2,922,648		2,922,648	
3. Arizona	AZ	L	17,823	110,280,835		110,298,658	50,000
4. Arkansas	AR	L	196,847	14,107,708		14,304,555	
5. California	CA	L	17,363	205,405,170		205,422,533	
6. Colorado	CO	L	10,998	76,000,731		76,011,729	310,704
7. Connecticut	CT	L	432	51,718,288		51,718,720	128,136
8. Delaware	DE	L		38,462,562		38,462,562	25,000
9. District of Columbia	DC	L	990	2,224,112		2,225,102	37,548
10. Florida	FL	L	223,295	261,909,653		262,132,947	436,093
11. Georgia	GA	L	247,072	77,329,897		77,576,969	
12. Hawaii	HI	L	906	9,359,591		9,360,497	
13. Idaho	ID	L	598	26,604,053		26,604,652	
14. Illinois	IL	L	23,595	175,734,756		175,758,351	358,862
15. Indiana	IN	L	24,977	89,920,373		89,945,349	75,000
16. Iowa	IA	L	10,494	83,329,008		83,339,502	30,000
17. Kansas	KS	L	43,698	41,103,847		41,147,545	
18. Kentucky	KY	L	35,191	59,628,125		59,663,316	71,095
19. Louisiana	LA	L	66,109	32,954,312		33,020,421	90,000
20. Maine	ME	L		12,750,683		12,750,683	
21. Maryland	MD	L	1,165	81,503,794		81,504,959	72,269
22. Massachusetts	MA	L	2,754	73,833,987		73,836,740	54,656
23. Michigan	MI	L	12,642	142,101,161		142,113,803	
24. Minnesota	MN	L	1,508	49,744,204		49,745,712	(1,710)
25. Mississippi	MS	L	15,811	11,076,132		11,091,943	
26. Missouri	MO	L	42,253	129,661,002		129,703,254	46,000
27. Montana	MT	L	9,896	4,211,525		4,221,421	
28. Nebraska	NE	L	5,440	20,975,323		20,980,762	
29. Nevada	NV	L	3,459	22,420,151		22,423,610	
30. New Hampshire	NH	L		27,682,659		27,682,659	
31. New Jersey	NJ	L	19,782	115,261,291		115,281,073	31,931
32. New Mexico	NM	L	3,339	8,630,211		8,633,549	
33. New York	NY	N	2,639	791,653		794,292	
34. North Carolina	NC	L	237,716	120,371,846		120,609,563	100,000
35. North Dakota	ND	L		12,763,193		12,763,193	
36. Ohio	OH	L	66,205	125,377,233		125,443,438	382,837
37. Oklahoma	OK	L	13,123	26,533,944		26,547,067	67,527
38. Oregon	OR	L	504	21,852,313		21,852,817	
39. Pennsylvania	PA	L	6,419	185,602,374		185,608,793	297,945
40. Rhode Island	RI	L		10,816,472		10,816,472	
41. South Carolina	SC	L	13,451	101,621,039		101,634,490	224,327
42. South Dakota	SD	L	6,037	13,423,975		13,430,012	
43. Tennessee	TN	L	190,354	64,675,494		64,865,848	
44. Texas	TX	L	466,311	266,892,744		267,359,055	722,364
45. Utah	UT	L		40,945,633		40,945,633	
46. Vermont	VT	L		3,628,052		3,628,052	
47. Virginia	VA	L	13,148	66,697,283		66,710,432	48,450
48. Washington	WA	L	4,106	99,355,655		99,359,761	
49. West Virginia	WV	L	10,319	7,572,576		7,582,895	
50. Wisconsin	WI	L	2,015	54,009,843		54,011,857	13,860
51. Wyoming	WY	L		5,147,055		5,147,055	
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Aliens	OT	XXX	746	371,245		371,991	
59. Subtotal	XXX		2,290,666	3,306,261,576		3,308,552,242	3,672,894
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX						
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX		2,290,666	3,306,261,576		3,308,552,242	3,672,894
96. Plus Reinsurance Assumed	XXX		204,117	239,619,402	63,586	239,887,105	
97. Totals (All Business)	XXX		2,494,783	3,545,880,978	63,586	3,548,439,347	3,672,894
98. Less Reinsurance Ceded	XXX		109,403	293,245,962		293,355,365	
99. Totals (All Business) less Reinsurance Ceded	XXX		2,385,380	3,252,635,016	63,586	3,255,083,982	3,672,894
DETAILS OF WRITE-INS							
58001. BARBADOS	XXX			353,336		353,336	
58002. BULGARIA	XXX			9,489		9,489	
58003. GERMANY	XXX		746			746	
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX			8,420		8,420	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		746	371,245		371,991	
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 50  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....  
 N - None of the above - Not allowed to write business in the state..... 7

R - Registered - Non-domiciled RRGs.....  
 Q - Qualified - Qualified or accredited reinsurer .....

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
			42-1447959	3981379	0001039828	NEW YORK STOCK EXCHANGE	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	IA	UDP	SHAREHOLDERS	Ownership	100.000	SHAREHOLDERS	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	92738	42-1153896				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	IA	RE	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	11135	22-3762465				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK	NY	DS	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	13183	26-3218907				EAGLE LIFE INSURANCE COMPANY	IA	DS	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			27-0413288				AERL, LC	IA	DS	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Y	
			42-1461598				AMERICAN EQUITY INVESTMENT PROPERTIES, LC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			42-6593040				AMERICAN EQUITY CAPITAL TRUST II	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			42-6624950		0001161951		AMERICAN EQUITY CAPITAL TRUST III	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			51-6553461				AMERICAN EQUITY CAPITAL TRUST IV	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			20-1624559				AMERICAN EQUITY CAPITAL TRUST VII	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			20-6415950				AMERICAN EQUITY CAPITAL TRUST VIII	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			43-6924157				AMERICAN EQUITY CAPITAL TRUST IX	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			26-6026667				AMERICAN EQUITY CAPITAL TRUST X	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			20-3936420				AMERICAN EQUITY CAPITAL TRUST XI	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			35-6766778				AMERICAN EQUITY CAPITAL TRUST XII	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

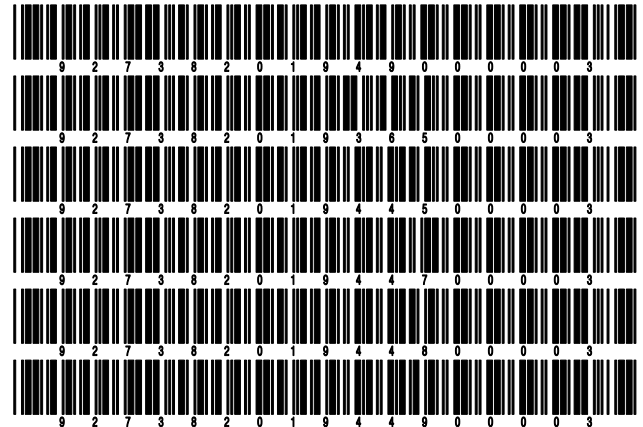
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A

Explanation:

1. NOT REQUIRED TO BE FILED BY THE COMPANY
2. NOT REQUIRED TO BE FILED BY THE COMPANY
3. NOT REQUIRED TO BE FILED BY THE COMPANY
5. NOT REQUIRED TO BE FILED BY THE COMPANY
6. NOT REQUIRED TO BE FILED BY THE COMPANY
7. NOT REQUIRED TO BE FILED BY THE COMPANY

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY  
**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Assets Line 25

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. MISCELLANEOUS RECEIVABLES .....	738,823	633,979	104,844	1,260
2597. Summary of remaining write-ins for Line 25 from overflow page	738,823	633,979	104,844	1,260

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31 Prior Year
2504. LAWSUIT SETTLEMENT .....	1,342,000	1,342,000
2505. SETTLEMENT - SC .....	31,145,000	31,145,000
2597. Summary of remaining write-ins for Line 25 from overflow page	32,487,000	32,487,000

Additional Write-ins for Summary of Operations Line 27

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
2704. INCREASE (DECREASE) IN EXPERIENCE REFUND RESERVES .....		(612,000)	(612,000)
2705. SETTLEMENT - SC .....		27,922,171	31,145,000
2797. Summary of remaining write-ins for Line 27 from overflow page		27,310,171	30,533,000

Additional Write-ins for Schedule T Line 58

	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
States, Etc.	Active Status	2 Life Insurance Premiums	3 Annuity Considerations				
58004. JAPAN .....	XXX		8,420			8,420	
58997. Summary of remaining write-ins for Line 58 from overflow page	XXX		8,420			8,420	



**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	3,472,037,890	3,162,219,244
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	561,245,621	805,377,769
2.2 Additional investment made after acquisition .....	21,607,781	17,071,291
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....	370,173	528,770
5. Unrealized valuation increase (decrease) .....	300,000	(1,800,000)
6. Total gain (loss) on disposals .....		466,988
7. Deduct amounts received on disposals .....	337,097,321	510,571,494
8. Deduct amortization of premium and mortgage interest points and commitment fees .....	2,172	
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		1,254,678
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	3,718,461,972	3,472,037,890
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	3,718,461,972	3,472,037,890
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14)	3,718,461,972	3,472,037,890

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	936,534,367	705,970,280
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	9,900,348	159,632,650
2.2 Additional investment made after acquisition .....	174,873,222	129,687,120
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....	891,876	82,335
5. Unrealized valuation increase (decrease) .....	(5,881,338)	33,192,210
6. Total gain (loss) on disposals .....	(12,678,675)	667,930
7. Deduct amounts received on disposals .....	208,125,516	90,746,361
8. Deduct amortization of premium and depreciation .....	2,274,838	1,951,797
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....	13,039,412	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	880,200,034	936,534,367
12. Deduct total nonadmitted amounts .....	462,135	499,820
13. Statement value at end of current period (Line 11 minus Line 12)	879,737,899	936,034,547

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	47,681,795,467	45,249,050,028
2. Cost of bonds and stocks acquired .....	5,117,480,718	8,032,057,869
3. Accrual of discount .....	42,693,732	71,603,722
4. Unrealized valuation increase (decrease) .....	16,035,168	16,464,408
5. Total gain (loss) on disposals .....	17,704,337	(37,367,249)
6. Deduct consideration for bonds and stocks disposed of .....	3,100,265,632	5,552,701,268
7. Deduct amortization of premium .....	62,465,135	75,172,861
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....	3,713,726	40,890,065
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	5,011,407	18,750,883
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	49,714,276,336	47,681,795,467
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	49,714,276,336	47,681,795,467

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	27,968,276,562	3,284,847,059	2,981,838,900	9,007,600	27,666,376,239	27,968,276,562	28,280,292,321	27,282,883,411
2. NAIC 2 (a) .....	19,076,278,331	882,293,534	449,762,362	7,060,081	18,857,156,387	19,076,278,331	19,515,869,584	18,413,626,252
3. NAIC 3 (a) .....	1,389,151,541	7,514,420	56,023,659	(3,132,625)	1,459,656,223	1,389,151,541	1,337,509,677	1,508,985,202
4. NAIC 4 (a) .....	210,092,086	1,487,382	35,853,276	(32,290,210)	189,079,304	210,092,086	143,435,982	189,012,119
5. NAIC 5 (a) .....	628,201			29,733,994	5,751,847	628,201	30,362,195	24,602,979
6. NAIC 6 (a) .....	5,043,504		17,774	255,262	59,782,021	5,043,504	5,280,992	45,169,749
7. Total Bonds	48,649,470,225	4,176,142,395	3,523,495,971	10,634,102	48,237,802,021	48,649,470,225	49,312,750,751	47,464,279,712
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	26,975,000		19,083,975	381,679	26,975,000	26,975,000	8,272,704	24,500,000
9. NAIC 2 .....	3,000,000				3,000,000	3,000,000	3,000,000	3,000,000
10. NAIC 3 .....	3,090,238				3,090,238	3,090,238	3,090,238	3,090,238
11. NAIC 4 .....								
12. NAIC 5 .....	69,109,582	7,991,818		13,200	59,861,333	69,109,582	77,114,600	
13. NAIC 6 .....	400				400	400	400	400
14. Total Preferred Stock	102,175,220	7,991,818	19,083,975	394,879	92,926,971	102,175,220	91,477,942	30,590,638
15. Total Bonds and Preferred Stock	48,751,645,445	4,184,134,213	3,542,579,946	11,028,981	48,330,728,992	48,751,645,445	49,404,228,693	47,494,870,350

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 184,945,669 ; NAIC 2 \$ 43,000,000 ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

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**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	57,821,516	xxx	57,831,217	1,002,064	27,513

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		9,474,782
2. Cost of short-term investments acquired .....	57,831,217	68,631,601
3. Accrual of discount .....		8,760
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		78,112,722
7. Deduct amortization of premium .....	9,701	2,421
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	57,821,516	
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	57,821,516	

## SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year) .....	428,979,750
2.	Cost Paid/(Consideration Received) on additions .....	588,959,731
3.	Unrealized Valuation increase/(decrease) .....	
4.	Total gain (loss) on termination recognized .....	341,814,972
5.	Considerations received/(paid) on terminations .....	341,814,972
6.	Amortization .....	(619,101,656)
7.	Adjustment to the Book/Adjusted Carrying Value of hedged item .....	
8.	Total foreign exchange change in Book/Adjusted Carrying Value .....	
9.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4-5+6+7+8) .....	398,837,825
10.	Deduct nonadmitted assets .....	
11.	Statement value at end of current period (Line 9 minus Line 10) .....	398,837,825

## SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year).....	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column) .....	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
	3.11 Section 1, Column 15, current year to date minus .....	
	3.12 Section 1, Column 15, prior year .....	
	Change in variation margin on open contracts - All Other	
	3.13 Section 1, Column 18, current year to date minus .....	
	3.14 Section 1, Column 18, prior year .....	
3.2	Add:	
	Change in adjustment to basis of hedged item	
	3.21 Section 1, Column 17, current year to date minus .....	
	3.22 Section 1, Column 17, prior year .....	
	Change in amount recognized	
	3.23 Section 1, Column 19, current year to date minus .....	
	3.24 Section 1, Column 19, prior year .....	
3.3	Subtotal (Line 3.1 minus Line 3.2) .....	
4.1	Cumulative variation margin on terminated contracts during the year .....	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item .....	
	4.22 Amount recognized .....	
4.3	Subtotal (Line 4.1 minus Line 4.2) .....	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year .....	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year .....	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2) .....	
7.	Deduct total nonadmitted amounts .....	
8.	Statement value at end of current period (Line 6 minus Line 7) .....	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

**SCHEDULE DB - VERIFICATION**

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	398,837,825
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	.....
3.	Total (Line 1 plus Line 2) .....	398,837,825
4.	Part D, Section 1, Column 5 .....	418,408,018
5.	Part D, Section 1, Column 6 .....	(19,570,193)
6.	Total (Line 3 minus Line 4 minus Line 5) .....	.....
		Fair Value Check
7.	Part A, Section 1, Column 16 .....	916,225,931
8.	Part B, Section 1, Column 13 .....	.....
9.	Total (Line 7 plus Line 8) .....	916,225,931
10.	Part D, Section 1, Column 8 .....	964,411,992
11.	Part D, Section 1, Column 9 .....	(48,186,061)
12.	Total (Line 9 minus Line 10 minus Line 11) .....	.....
		Potential Exposure Check
13.	Part A, Section 1, Column 21 .....	.....
14.	Part B, Section 1, Column 20 .....	.....
15.	Part D, Section 1, Column 11 .....	.....
16.	Total (Line 13 plus Line 14 minus Line 15) .....	.....

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	332,161,306	1,541,646,578
2. Cost of cash equivalents acquired .....	12,009,168,931	21,954,715,099
3. Accrual of discount .....	2,516,953	4,869,573
4. Unrealized valuation increase (decrease) .....	(15,500)	7,000
5. Total gain (loss) on disposals .....	28,000	(500)
6. Deduct consideration received on disposals .....	11,617,276,532	23,169,076,444
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	726,583,158	332,161,306
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	726,583,158	332,161,306

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE A - PART 2**

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>NONE</b>								
0399999 - Totals								

**SCHEDULE A - PART 3**

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other Than Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in Book/Adjusted Carrying Value (11-9-10)	13 Total Foreign Exchange Change in Book/Adjusted Carrying Value							
<b>NONE</b>																			
0399999 - Totals																			

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						
990AMH1	JONESBORO	GA		07/31/2019	3.556	5,000,000		6,666,667
990CCN1	CINCINNATI	OH		03/02/2018	4.850		454,500	1,196,244
<b>0399999. Mortgages in good standing - Residential mortgages-all other</b>						5,000,000	454,500	7,862,911
0902376	OCEANSIDE	CA		07/01/2019	4.850	5,300,000		8,328,571
0902377	BROOKLYN	NY		08/02/2019	4.580	16,000,000		28,900,000
0902378	PHILADELPHIA	PA		07/15/2019	4.400	2,600,000		5,048,837
0902380	STERLING	VA		07/18/2019	4.550	20,300,000		31,800,000
0902381	CHICAGO	IL		08/14/2019	4.480	6,200,000		9,437,342
0902382	HOUSTON	TX		07/25/2019	4.400	3,100,000		4,520,833
0902383	PORTLAND	OR		08/26/2019	4.700	9,400,000		17,500,000
0902384	NASHVILLE	TN		08/02/2019	4.400	3,000,000		6,300,000
0902385	TUKWILA	WA		07/24/2019	4.300	1,035,000		2,263,268
0902386	SANTA FE SPRINGS	CA		07/17/2019	4.250	4,350,000		8,350,000
0902387	PEACHTREE CORNERS	GA		08/29/2019	4.450	7,425,000		12,205,479
0902389	BENSALEM	PA		09/04/2019	4.050	2,375,000		3,613,497
0902390	MIDDLE RIVER	MD		08/28/2019	4.000	16,300,000		23,800,000
0902391	BENSALEM	PA		09/18/2019	4.150	1,800,000		2,777,143
0902392	BLAINE	MN		09/05/2019	4.150	2,000,000		3,675,000
0902393	ALBUQUERQUE	NM		09/27/2019	3.900	5,425,000		7,746,467
0902394	Creve Coeur	MO		09/05/2019	4.000	1,800,000		2,463,429
0902398	COVINGTON	WA		09/12/2019	3.970	12,000,000		20,392,157
0902399	ARDEN HILLS	MN		09/26/2019	3.700	4,200,000		6,387,500
0902403	WALDORF	MD		09/25/2019	3.820	4,600,000		7,142,105
0902407	AUBURN HILLS	MI		09/26/2019	3.800	11,350,000		15,700,000
0902413	DOWNINGTON	PA		09/30/2019	3.500	4,000,000		8,285,714
0902415	LANSDALE	PA		09/30/2019	3.500	1,500,000		3,168,000
990BR01	NEW YORK	NY		09/11/2019	3.540	5,000,000		34,305,317
990CAR1	AVONDALE	OH		07/11/2019	5.000	26,588,250		35,688,926
990HILL	DALLAS	TX		02/28/2017	5.027		304,299	21,613,833
990H011	SAN FRANCISCO	CA		07/09/2019	6.737	215,883	605,188	28,521,360
990K1R1	HOUSTON	TX		12/28/2018	4.233		220,808	12,822,229
990PLH1	NEW YORK	NY		06/26/2019	5.483		122,315	13,457,557
990REN1	PHOENIX	AZ		08/01/2018	5.031		176,784	15,571,545
990SOL1	CHICAGO	IL		09/13/2019	5.000	5,000,000		8,532,423
990VER1	SAN FRANCISCO	CA		07/24/2019	4.487	11,250,000	45,527	29,761,905
990W561	NEW YORK	NY		04/11/2018	8.050		1,027,896	5,047,525
V029656	DALLAS	TX			5.600		44,206	15,704,500
V029750	BENBROOK	TX		06/20/2018	5.450		102,499	30,900,000
V029754	TAMPA	FL		08/01/2018	5.050		72,912	19,475,807
V029761	LEWISVILLE	TX		08/07/2018	5.050		169,759	17,395,000
V029762	MIAMI	FL		09/12/2018	4.900		153,840	17,486,490
V029816	HOUSTON	TX		03/21/2019	5.050		1,259,358	23,189,960
V029854	CORPUS CHRISTI	TX		07/30/2019	4.800	11,257,560		20,221,377
V029874	DALLAS	TX		09/09/2019	4.492	12,289,728		27,048,670
V029881	DALLAS	TX		09/09/2019	4.492	9,025,272		19,698,009
<b>0599999. Mortgages in good standing - Commercial mortgages-all other</b>						226,686,693	4,305,391	636,247,775
990PLH2	NEW YORK	NY		06/26/2019	7.163		187,347	16,920,473
990SWAN	LAKE BUENA VISTA	FL		11/02/2018	6.294		353,197	99,622,500
<b>0699999. Mortgages in good standing - Mezzanine Loans</b>							540,544	116,542,973
<b>0899999. Total Mortgages in good standing</b>						231,686,693	5,300,435	760,653,659
<b>1699999. Total - Restructured Mortgages</b>								
<b>2499999. Total - Mortgages with overdue interest over 90 days</b>								
<b>3299999. Total - Mortgages in the process of foreclosure</b>								
<b>3399999 - Totals</b>						231,686,693	5,300,435	760,653,659

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
0900374	NORCROSS	GA		12/16/2004	09/24/2019	76,288						24,002	24,002			
0900601	SAN ANTONIO	TX		02/16/2006	09/18/2019	957,514						814,099	814,099			
0900735	LELAND	NC		09/07/2006	09/16/2019	347,243						302,717	302,717			
0900972	FARMERS BRANCH	TX		02/26/2008	07/31/2019	598,643						559,612	559,612			
0901077	MADISON HEIGHTS	MI		11/14/2008	07/22/2019	1,888,499						1,828,828	1,828,828			
0901182	CRESTWOOD	MO		08/26/2009	09/05/2019	1,066,150						1,042,534	1,042,534			
0901189	LAKE WORTH	TX		09/29/2009	09/18/2019	115,560						19,806	19,806			
0901381	ELLSVILLE	MO		03/04/2011	07/29/2019	6,635,656						6,523,531	6,523,531			
0901394	PORTLAND	OR		02/16/2011	08/16/2019	2,081,849						2,036,054	2,036,054			
0901572	EGAN	MN		04/05/2012	08/29/2019	1,531,070						1,498,262	1,498,262			
0901604	FORT COLLINS	CO		06/08/2012	08/16/2019	3,622,546						3,536,245	3,536,245			
0901630	BLAINE	MN		08/16/2012	09/04/2019	1,480,610		14,916			14,916	1,447,872	1,459,437			
0901702	ANDREWS	TX		02/19/2013	08/09/2019	1,268,181						1,226,760	1,226,760			
0901765	HAWTHORNE	CA		07/16/2013	07/12/2019	2,688,687						2,556,991	2,556,991			
0901806	BROOKLYN	NY		08/02/2013	08/01/2019	6,357,077						6,235,393	6,235,393			
0901851	TALLAHASSEE	FL		12/09/2013	09/17/2019	8,610,196						8,427,617	8,427,617			
0901860	BROOMFIELD	CO		12/05/2013	08/06/2019	4,265,654						4,182,655	4,182,655			
0901940	PORTLAND	OR		11/20/2014	08/25/2019	6,220,830						6,077,554	6,077,554			
0901967	NORFOLK	VA		02/18/2015	07/31/2019	3,899,784						3,822,635	3,822,635			
0902032	AUBURN	WA		06/25/2015	08/16/2019	2,083,983						1,989,455	1,989,455			
0902033	KENT	WA		06/25/2015	08/16/2019	1,766,125						1,685,009	1,685,009			
975TRGI	LANCASTER	PA		08/18/2011	09/10/2019	10,589,153						10,453,410	10,453,410			
990APDP	CHICAGO	IL		04/25/2019	08/29/2019							5,000,000	5,000,000			
990CBC1	LOMA LINDA	CA		01/24/2019	09/27/2019							1,100,000	1,100,000			
990HPP2	SPRING	TX		04/04/2019	08/29/2019							5,000,000	5,000,000			
990K1R1	HOUSTON	TX		12/28/2018	09/27/2019	5,701,041						5,921,850	5,921,850			
990MA1M	BROOKLYN	NY		01/25/2019	09/27/2019							1,623,010	1,623,010			
990OVR1	DULUTH	GA		05/25/2017	08/29/2019	3,000,000						2,992,382	2,992,382			
990QUAD	DALLAS	TX		03/01/2019	09/27/2019							1,508,002	1,508,002			
990RED1	REDMOND	WA		09/26/2017	08/29/2019	5,000,000						5,000,000	5,000,000			
990RIV2	OREGON CITY	OR		06/28/2018	08/20/2019	31,806						31,806	31,806			
990ROCK	ROCKVILLE	MD		03/15/2019	09/27/2019							3,332,685	3,332,685			
990SHDR	CHICAGO	IL		04/30/2019	08/29/2019							6,000,000	6,000,000			
0199999. Mortgages closed by repayment						81,884,145		14,916			14,916	103,800,776	103,812,341			
0900131	SOLON	OH		01/30/2003		421,827							76,696			
0900189	SPOKANE	WA		08/01/2003		172,313							22,935			
0900208	DUBLIN	OH		10/07/2003		164,811							19,142			
0900209	COLUMBUS	OH		10/07/2003		329,622							38,283			
0900249	ALICE	TX		04/15/2004		558,141							50,768			
0900292	AMMON	ID		05/27/2004		570,239							51,039			
0900374	NORCROSS	GA		12/16/2004		76,288							17,690			
0900377	KNOXVILLE	TN		12/14/2004		222,914							61,449			
0900413	OREM	UT		03/18/2005		338,784							57,240			
0900440	POWDER SPRINGS	GA		04/27/2005		609,949							39,505			
0900447	MEMPHIS	TN		05/10/2005		611,423							38,573			
0900509	ALBUQUERQUE	NM		09/15/2005		546,493							31,298			
0900510	ALBUQUERQUE	NM		09/15/2005		254,259							14,561			
0900513	DORAVILLE	GA		09/19/2005		140,700							17,443			
0900561	SOUTHFIELD	MI		12/15/2005		5,215,516							36,088			
0900588	CAREFREE	AZ		02/21/2006		295,387							29,669			
0900589	SCOTTSDALE	AZ		04/13/2006		241,749							22,585			

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0900590	MESA	AZ		02/21/2006		287,221											29,069
0900601	SAN ANTONIO	TX		02/16/2006		957,514											48,455
0900678	FOUNTAIN HILL	AZ		05/11/2006		1,023,708											5,910
0900735	LELAND	NC		09/07/2006		347,243											15,052
0900755	TUALATIN	OR		10/11/2006		505,259											21,587
0900767	ANN ARBOR	MI		04/30/2007		1,171,710											76,030
0900783	GARDENDALE	AL		02/16/2007		379,533											15,116
0900863	MADISON HEIGHTS	MI		09/12/2007		607,445											21,191
0900864	BRIGHTON	MI		09/12/2007		1,166,294											40,687
0900869	BAKERSFIELD	CA		10/03/2007		623,738											47,290
0900932	DALLAS	TX		12/13/2007		690,475											23,266
0900984	MARTINSBURG	WV		03/17/2008		1,146,539											79,230
0900986	AUBURN HILLS	MI		05/01/2008		1,817,194											14,914
0900999	SAN ANTONIO	TX		05/14/2008		350,414											23,884
0901003	JACKSONVILLE	FL		06/06/2008		1,829,363											56,313
0901018	UPPER MARLBOROUGH	MD		05/30/2008		4,138,738											129,404
0901057	WILSON	NC		07/15/2008		458,226											14,082
0901077	MADISON HEIGHTS	MI		11/14/2008		1,888,499											8,754
0901094	PINEVILLE	NC		08/28/2008		702,175											20,725
0901114	WIXOM	MI		12/17/2008		1,881,545											25,326
0901117	DALLAS	TX		11/18/2008		1,604,322											45,716
0901172	BETHESDA	MD		07/30/2009		1,908,755											49,166
0901180	JONESBORO	AR		12/02/2009		1,960,606											15,295
0901182	CRESTWOOD	MO		08/26/2009		1,066,150											5,958
0901187	DALLAS	TX		08/17/2009		765,496											24,908
0901189	LAKE WORTH	TX		09/29/2009		115,560											32,487
0901195	MARTINSBURG	WV		11/09/2009		2,150,174											72,367
0901205	AUSTIN	TX		10/30/2009		1,793,094											47,727
0901207	HICKORY	NC		10/29/2009		1,024,504											81,942
0901223	PASADENA	CA		01/28/2010		3,538,306											29,348
0901228	BEACHWOOD	OH		12/15/2009		753,411											55,109
0901233	OVERLAND PARK	KS		02/01/2010		2,476,723											84,713
0901246	CHARLOTTE	NC		03/15/2010		926,384											23,011
0901253	SALT LAKE CITY	UT		04/13/2010		1,865,447											40,130
0901254	BRECKSVILLE	OH		04/19/2010		4,151,044											33,477
0901261	HELENA	MT		05/06/2010		196,517											6,145
0901262	BETHANY	OK		05/06/2010		227,398											7,111
0901290	VANDALIA	OH		08/05/2010		1,325,101											40,739
0901293	JACKSONVILLE	FL		08/12/2010		1,945,967											26,811
0901294	INDIANAPOLIS	IN		07/01/2010		1,660,218											13,478
0901304	AUSTINTOWN	OH		08/19/2010		1,871,898											15,143
0901305	OREM	UT		07/01/2010		3,503,075											81,220
0901306	SANTA FE	NM		07/06/2010		498,288											4,050
0901307	ALBUQUERQUE	NM		07/06/2010		830,480											6,750
0901308	ALBUQUERQUE	NM		07/06/2010		830,480											6,750
0901314	PHOENIX	AZ		09/08/2010		2,239,314											31,101
0901322	JACKSON	MS		10/29/2010		2,575,803											20,994
0901325	BEAUMONT	TX		12/02/2010		368,736											10,576
0901326	SPARKS	NV		10/19/2010		1,703,354											13,956
0901331	CARROLLTON	TX		10/08/2010		802,159											17,059
0901334	CLEVELAND	OH		12/09/2010		1,121,658											32,032

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1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0901342	STERLING HEIGHTS	MI		11/30/2010		1,786,436											25,056
0901345	RANCHO SANTA MARGARITA	CA		12/20/2010		1,059,475											22,118
0901347	NASHVILLE	TN		12/17/2010		581,235											12,071
0901354	POMPANO BEACH	FL		12/17/2010		1,186,237											24,957
0901355	PENNSAUKEN	NJ		12/09/2010		351,266											10,129
0901356	MONTCLAIR	CA		03/01/2011		1,162,833											9,707
0901361	LINCOLN	NE		02/01/2011		1,463,205											30,552
0901366	EL PASO	TX		11/22/2010		816,320											23,731
0901368	HOUSTON	TX		01/13/2011		1,133,004											23,387
0901371	BOULDER	CO		01/14/2011		1,484,074											12,719
0901381	ELLSVILLE	MO		03/04/2011		6,635,656											19,074
0901386	CONROE	TX		02/22/2011		967,616											8,045
0901394	PORTLAND	OR		02/16/2011		2,081,849											11,535
0901395	EUGENE	OR		02/22/2011		4,169,035											34,210
0901398	STROUDSBURG	PA		01/31/2011		2,391,557											27,605
0901403	TEMPE	AZ		02/28/2011		1,241,675											35,569
0901417	CINCINNATI	OH		04/04/2011		1,176,380											52,324
0901424	PINEVILLE	NC		03/17/2011		1,691,805											34,349
0901432	MONROE	NC		04/12/2011		1,205,477											32,637
0901436	SWEDESBORO	NJ		04/07/2011		923,179											7,418
0901437	SWEDESBORO	NJ		03/30/2011		2,176,045											17,627
0901444	NATIONAL CITY	CA		06/13/2011		807,724											21,264
0901445	HEBRON	KY		05/11/2011		608,773											16,742
0901456	OMAHA	NE		05/31/2011		1,607,121											44,391
0901458	RIVERSIDE	CA		06/23/2011		2,277,154											18,043
0901462	STOW	OH		06/09/2011		3,104,797											42,168
0901467	CHANHASSEN	MN		08/29/2011		2,051,113											94,755
0901472	MAYFIELD HEIGHTS	OH		07/28/2011		1,168,528											148,456
0901474	MONTCLAIR	CA		08/11/2011		4,261,352											33,999
0901475	HUNTSVILLE	AL		06/30/2011		891,679											17,493
0901480	MILWAUKEE	WI		07/12/2011		1,917,135											16,474
0901482	JACKSON	MS		07/21/2011		620,303											16,334
0901484	WEST ALLIS	WI		07/19/2011		599,301											19,791
0901486	BOULDER	CO		12/06/2011		1,532,345											11,955
0901492	CARLSBAD	CA		07/29/2011		1,302,837											10,541
0901514	GLENDALE	WI		09/23/2011		2,430,567											20,578
0901521	TAYLORSVILLE	UT		09/30/2011		1,513,858											38,830
0901523	KNOXVILLE	TN		09/30/2011		718,260											60,571
0901525	NIAGARA FALLS	NY		11/29/2011		848,631											15,760
0901528	EDEN PRAIRIE	MN		09/26/2011		1,687,759											46,641
0901534	SAN ANTONIO	TX		09/12/2011		1,227,314											28,434
0901535	SALT LAKE CITY	UT		10/18/2011		825,558											16,897
0901538	KIRKLAND	WA		12/02/2011		849,263											6,672
0901542	DRAPER	UT		12/15/2011		1,898,406											14,810
0901548	AUBURN HILLS	MI		12/23/2011		648,983											15,915
0901557	CHARLOTTE	NC		02/06/2012		885,055											7,004
0901559	TACOMA	WA		02/15/2012		3,814,852											49,285
0901560	TACOMA	WA		02/15/2012		2,024,207											26,151
0901563	TUCSON	AZ		03/29/2012		3,544,269											27,695
0901571	HARRISON CITY	PA		04/30/2012		7,859,252											66,469
0901572	EGAN	MN		04/05/2012		1,531,070											8,255

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0901575	ABERDEEN	NC		04/26/2012		7,043,916											57,267
0901579	JACKSON	MS		04/17/2012		5,418,473											49,897
0901583	WIXOM	MI		04/19/2012		701,646											16,919
0901585	DRAPER	UT		03/30/2012		516,950											15,927
0901586	FOUNTAIN VALLEY	CA		05/10/2012		786,668											14,447
0901602	TIGARD	OR		06/13/2012		1,530,813											36,838
0901603	ALISA VIEJO	CA		05/31/2012		3,383,940											28,135
0901604	FORT COLLINS	CO		06/08/2012		3,622,546											21,701
0901606	ATLANTA	GA		06/13/2012		1,400,125											18,715
0901608	BRENTWOOD	TN		05/31/2012		2,641,055											22,969
0901610	SPRINGFIELD	MO		06/27/2012		1,449,223											26,673
0901611	PENNSAUKEN	NJ		05/18/2012		1,254,938											10,135
0901612	AKRON	OH		06/27/2012		1,998,551											27,216
0901613	LOS ANGELES	CA		06/28/2012		1,365,006											10,946
0901616	ANDERSON	IN		07/12/2012		4,229,080											36,287
0901617	JACKSON	MS		06/28/2012		1,501,479											24,011
0901618	ORANGE	CA		06/27/2012		1,062,845											8,673
0901622	MINNEAPOLIS	MINN		07/10/2012		1,282,877											10,220
0901625	RIVERVIEW	FL		08/28/2012		3,239,701											74,702
0901630	BLAINE	MINN		08/16/2012		1,480,610											12,047
0901632	SOMERSET	KY		09/07/2012		2,605,789											33,731
0901633	DULUTH	GA		10/04/2012		2,406,934											18,837
0901636	PASADENA	CA		09/04/2012		3,636,933											65,143
0901641	JOHNSON CITY	TN		09/10/2012		5,972,410											48,906
0901642	LOGAN	UT		09/11/2012		4,991,229											40,871
0901643	SNELVILLE	GA		10/01/2012		933,337											16,537
0901644	KALAMAZOO	MI		09/20/2012		1,792,763											79,138
0901645	WEST SENECA	NY		10/18/2012		2,124,079											37,573
0901646	BENICIA	CA		09/05/2012		675,833											15,547
0901648	FOUNTAIN VALLEY	CA		09/10/2012		1,018,341											18,240
0901650	PORTLAND	OR		11/15/2012		2,218,808											28,335
0901651	MILL VALLEY	CA		09/25/2012		1,966,991											45,032
0901654	CAMARILLO	CA		09/28/2012		1,216,052											10,133
0901655	CITY OF LEON VALLEY	TX		10/10/2012		954,564											16,785
0901657	SAN ANTONIO	TX		10/29/2012		1,065,758											8,825
0901661	PINSON	AL		11/20/2012		3,090,193											71,489
0901664	LEXINGTON	KY		11/01/2012		2,744,403											36,498
0901665	ATLANTA	GA		11/13/2012		2,043,430											36,459
0901667	GRAFTON	WI		11/08/2012		1,233,729											10,573
0901668	FOLSOM	PA		12/18/2012		1,317,501											23,069
0901673	DRAPER	UT		11/21/2012		1,023,175											23,278
0901674	LEXINGTON	KY		11/19/2012		3,323,611											27,815
0901675	MINNEAPOLIS	MINN		12/18/2012		2,514,147											20,236
0901676	STERLING HEIGHTS	MI		12/21/2012		2,682,945											60,227
0901677	WIXOM	MI		12/21/2012		3,099,353											39,431
0901678	BROKEN ARROW	OK		12/12/2012		1,352,570											17,139
0901679	PHENIX CITY	AL		12/14/2012		2,256,718											39,276
0901682	MARYVILLE	TN		12/13/2012		1,909,316											34,180
0901683	NEW BERLIN	WI		12/28/2012		8,743,530											76,364
0901686	REDMOND	WA		12/20/2012		1,794,345											14,924
0901687	LYNNWOOD	WA		12/20/2012		982,617											8,173

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
0901688	NEW HOPE	MINN.		12/27/2012		1,333,461							23,137			
0901689	SOMERSET	NJ.		01/07/2013		1,718,766							13,959			
0901690	AMERICAN FORK	UT.		12/27/2012		2,271,547							18,565			
0901691	ST CLOUD	MINN.		12/20/2012		2,269,137							18,654			
0901693	CINCINNATI	OH.		12/27/2012		1,058,623							126,472			
0901695	BENSALEM	PA.		01/08/2013		7,076,878							90,429			
0901697	DURHAM	NC.		02/25/2013		1,577,589							26,669			
0901699	FLORENCE	KY.		01/24/2013		1,189,118							42,753			
0901700	FRANKLIN	TN.		02/19/2013		1,151,404							20,224			
0901701	SNYDER	TX.		02/20/2013		1,777,350							21,605			
0901702	ANDREWS	TX.		02/19/2013		1,268,181							10,439			
0901703	PACE	FL.		02/01/2013		2,657,180							21,637			
0901704	TOWN OF PERINTON	NY.		01/28/2013		1,246,188							21,487			
0901705	KENNESAW	GA.		01/09/2013		1,862,217							32,011			
0901707	TUCSON	AZ.		03/28/2013		4,967,284							83,977			
0901708	ORANGE	CA.		01/30/2013		2,350,886							19,659			
0901709	MINNEAPOLIS	MINN.		02/12/2013		4,052,031							33,635			
0901710	ROCK HALL	MD.		01/29/2013		190,276							27,945			
0901711	COMMERCE TOWNSHIP	MI.		02/28/2013		1,117,189							12,331			
0901713	CRESTVIEW HILLS	KY.		02/26/2013		1,555,406							48,355			
0901714	ST LOUIS PARK	MINN.		03/20/2013		1,942,559							15,652			
0901716	KENNESAW	GA.		02/28/2013		1,116,137							19,340			
0901717	WEST PALM BEACH	FL.		03/05/2013		2,800,849							35,496			
0901718	WOODSIDE	NY.		03/25/2013		5,861,753							49,061			
0901719	GLENDALE	WI.		03/28/2013		2,149,540							17,734			
0901720	WASHINGTON	DC.		03/11/2013		3,525,246							29,084			
0901721	TAKOMA PARK	MD.		03/11/2013		1,289,724							10,640			
0901722	CHICAGO	IL.		05/17/2013		1,518,238							25,351			
0901725	MINNETONKA	MINN.		04/01/2013		3,696,289							65,439			
0901726	MINNETONKA	MINN.		04/01/2013		3,696,289							65,439			
0901730	BLAINE	MINN.		04/01/2013		2,957,032							52,351			
0901731	SAN ANTONIO	TX.		04/18/2013		1,324,151							10,410			
0901734	ROMEVILLE	IL.		04/30/2013		1,112,138							19,153			
0901735	FOUNTAIN VALLEY	CA.		04/10/2013		1,369,241							11,706			
0901736	PLANTATION	FL.		04/11/2013		2,178,578							17,899			
0901737	KENOSHA	WI.		04/16/2013		5,198,509							45,988			
0901738	HIGHLAND PARK	IL.		04/16/2013		3,011,514							24,976			
0901743	EAGAN	MINN.		04/01/2013		1,469,559							25,313			
0901744	ROSWELL	GA.		04/30/2013		5,674,203							112,950			
0901745	MANSFIELD	TX.		05/16/2013		1,059,368							17,845			
0901746	MOBILE	AL.		05/24/2013		865,732							18,573			
0901748	SOUTH JORDAN	UT.		05/22/2013		2,580,143							21,647			
0901749	SAN DIEGO	CA.		06/25/2013		2,551,973							20,903			
0901750	TUCSON	AZ.		05/22/2013		8,687,581							69,939			
0901751	HAMBURG	NY.		05/09/2013		879,574							14,733			
0901752	LEE'S SUMMIT	MO.		04/23/2013		3,929,573							34,336			
0901753	KIRKLAND	WA.		04/30/2013		1,263,545							28,076			
0901754	NOVI	MI.		05/15/2013		923,796							11,339			
0901755	DEERFIELD	IL.		05/22/2013		2,070,445							17,068			
0901757	WOODBRIIDGE	VA.		05/31/2013		903,872							7,337			
0901760	SPRING	TX.		05/30/2013		2,480,911							20,211			

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0901761	FAIRFIELD	OH.		05/15/2013		2,659,115											59,125
0901762	CINCINNATI	OH.		05/15/2013		1,329,558											29,563
0901763	WHITEFISH BAY	WI.		06/06/2013		1,819,777											10,149
0901764	MOBILE	AL.		06/18/2013		1,341,457											23,852
0901765	HAWTHORNE	CA.		07/16/2013		2,688,687											19,099
0901766	LA PUENTE	CA.		06/03/2013		816,267											41,205
0901767	MILL VALLEY	CA.		06/10/2013		829,941											17,570
0901768	RAPID CITY	SD.		06/05/2013		3,543,262											29,194
0901769	SAINT JOHNS	FL.		06/20/2013		2,845,096											60,992
0901770	KANSAS CITY	MO.		06/14/2013		4,656,261											100,770
0901771	INDIANAPOLIS	IN.		06/25/2013		1,029,899											17,417
0901772	TORRANCE	CA.		06/27/2013		1,724,940											14,381
0901773	SOUTHAVEN	MS.		07/08/2013		868,770											28,566
0901775	PHOENIX	AZ.		07/10/2013		3,382,640											38,279
0901777	ROCKY MOUNT	NC.		06/26/2013		2,026,552											34,056
0901778	ALPHARETTA	GA.		06/27/2013		1,120,171											9,030
0901780	ORANGE	CA.		06/28/2013		951,583											7,794
0901781	CHARLOTTE	NC.		07/19/2013		1,575,910											39,161
0901782	AGOURA HILLS	CA.		08/14/2013		1,828,926											30,775
0901783	PLANTATION	FL.		08/06/2013		1,478,221											11,963
0901785	TUCSON	AZ.		07/03/2013		2,263,799											17,995
0901788	FERGUSON	MO.		08/13/2013		4,530,739											37,871
0901792	NEW BERLIN	WI.		08/07/2013		2,623,289											33,083
0901793	WINDER	GA.		07/18/2013		1,745,555											21,357
0901797	ELLSVILLE	MO.		08/13/2013		6,903,983											57,709
0901801	STONE MOUNTAIN	GA.		08/14/2013		4,970,846											35,132
0901802	NEWPORT NEWS	VA.		08/01/2013		5,404,243											31,447
0901803	HAMBURG	NY.		08/15/2013		3,131,556											25,283
0901804	WEST JORDAN	UT.		08/27/2013		4,891,173											39,584
0901805	BIRMINGHAM	AL.		09/03/2013		6,928,758											81,754
0901806	BROOKLYN	NY.		08/02/2013		6,357,077											17,762
0901807	EL PASO	TX.		09/05/2013		338,832											28,539
0901808	ANN ARBOR	MI.		08/29/2013		1,670,331											27,488
0901809	PERRY	GA.		07/31/2013		1,759,775											21,088
0901814	TUSCON	AZ.		09/26/2013		851,154											71,543
0901815	ATLANTA	GA.		09/10/2013		1,884,031											14,397
0901816	ATLANTA	GA.		09/10/2013		2,248,710											17,184
0901818	SYRACUSE	NY.		09/17/2013		2,254,088											22,012
0901819	LAWRENCEVILLE	NJ.		10/09/2013		1,940,635											14,594
0901820	CARROLLTON	GA.		09/26/2013		1,112,758											17,530
0901821	ATHENS	GA.		09/26/2013		1,952,207											30,755
0901822	MIAMI	FL.		09/25/2013		2,822,168											21,040
0901823	EL PASO	TX.		10/17/2013		2,580,644											52,443
0901824	SPRING HILL	KS.		10/03/2013		1,272,440											36,015
0901825	RIVERSIDE	CA.		10/10/2013		1,588,638											11,902
0901826	BELLEVUE	WA.		09/30/2013		1,050,431											16,733
0901828	INDIANAPOLIS	IN.		09/19/2013		3,140,461											64,919
0901829	ADDISON	IL.		10/10/2013		7,887,642											95,462
0901830	BREA	CA.		10/30/2013		2,198,959											16,866
0901831	REDMOND	WA.		10/07/2013		3,610,189											27,486
0901835	LORTON	VA.		11/21/2013		6,828,385											49,998

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
0901836	SAINT JOHN'S	FL		10/03/2013		742,793							14,692			
0901837	SCOTTSDALE	AZ		10/24/2013		969,454							7,336			
0901838	SCOTTSDALE	AZ		10/24/2013		1,321,983							10,003			
0901839	SCOTTSDALE	AZ		10/24/2013		1,762,644							13,337			
0901842	MIDDLETON	WI		10/24/2013		5,823,974							43,688			
0901843	OAK RIDGE	NC		10/31/2013		7,321,489							55,058			
0901844	HOUSTON	TX		11/12/2013		1,190,843							18,028			
0901845	ORANGE	CA		11/06/2013		3,832,272							28,983			
0901846	MEMPHIS	TN		01/15/2014		8,257,256							62,330			
0901847	KENNESAW	GA		11/01/2013		1,693,218							18,969			
0901849	SHERWOOD	OR		12/18/2013		2,015,204							38,884			
0901850	RIVERVIEW	FL		12/04/2013		911,202							20,020			
0901851	TALLAHASSEE	FL		12/09/2013		8,610,196							60,851			
0901852	SANTA MONICA	CA		01/14/2014		1,215,640							23,065			
0901855	WICHITA FALLS	TX		11/19/2013		1,442,745							12,022			
0901856	MURRELLS INLET	SC		01/08/2014		7,203,848							52,756			
0901857	NEW YORK	NY		11/22/2013		2,722,549							42,054			
0901860	BROOMFIELD	CO		12/05/2013		4,265,654							20,863			
0901861	LOUISVILLE	KY		01/02/2014		3,756,368							20,396			
0901862	FORT WORTH	TX		01/02/2014		1,338,102							39,581			
0901863	CHITTENANGO	NY		12/19/2013		2,381,358							36,335			
0901866	GERMANTOWN	WI		01/07/2014		1,648,479							32,735			
0901870	ST. PETER	MN		02/07/2014		2,368,947							16,912			
0901871	CHANHASSEN	MN		01/21/2014		4,276,693							40,325			
0901872	ARDMORE	PA		01/07/2014		1,134,318							8,286			
0901873	BROOKFIELD	WI		01/17/2014		9,113,396							71,407			
0901875	CHARLOTTE	NC		01/16/2014		1,290,439							9,403			
0901877	TUALATIN	OR		01/22/2014		3,064,952							23,321			
0901878	STONE MOUNTAIN	GA		01/22/2014		5,344,148							39,433			
0901881	VIRGINIA BEACH	VA		01/31/2014		1,515,763							22,907			
0901882	EXTON	PA		01/23/2014		690,872							13,376			
0901883	LOGAN	UT		04/14/2014		8,799,873							43,136			
0901887	FORT WAYNE	IN		03/17/2014		7,065,833							135,122			
0901888	COLORADO SPRINGS	CO		05/16/2014		3,903,428							26,706			
0901889	CORPUS CHRISTI	TX		03/20/2014		2,066,135							30,031			
0901891	KANNAPOLIS	NC		04/01/2014		2,697,997							18,557			
0901892	CHARLOTTE	NC		03/27/2014		1,796,413							12,485			
0901893	MIDLOTHIAN	VA		03/27/2014		2,002,089							14,223			
0901894	MARIETTA	GA		04/10/2014		3,788,294							40,809			
0901896	TUCSON	AZ		03/27/2014		1,698,130							18,543			
0901897	SNELLVILLE	GA		05/02/2014		1,791,866							17,286			
0901898	ODESSA	TX		04/30/2014		2,257,352							34,065			
0901899	ODESSA	TX		04/30/2014		2,579,831							38,932			
0901900	GAINESVILLE	FL		04/15/2014		3,729,962							26,117			
0901902	MEBANE	NC		05/01/2014		6,908,718							49,066			
0901903	INDIANAPOLIS	IN		06/27/2014		4,545,031							46,897			
0901906	WICHITA FALLS	TX		05/01/2014		6,708,717							42,482			
0901907	SOUTHAVEN	MS		05/14/2014		1,739,371							19,696			
0901911	NEW HUDSON	MI		06/02/2014		3,265,515							47,404			
0901912	BLOOMFIELD HILLS	MI		06/02/2014		3,434,866							49,512			
0901913	HOUSTON	TX		06/05/2014		3,335,583							23,358			



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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0901914	DENVER	CO		05/01/2014		2,926,501											29,186
0901915	GAINESVILLE	FL		06/25/2014		4,945,775											53,987
0901916	CRANBURY	NJ		09/09/2014		987,380											38,068
0901917	RIALTO	CA		06/16/2014		3,898,057											19,187
0901919	KATY	TX		07/21/2014		3,434,451											36,940
0901920	FORT WORTH	TX		10/01/2014		7,240,613											103,038
0901921	CHESAPEAKE	MD		06/30/2014		6,467,038											46,709
0901922	WINCHESTER	KY		07/30/2014		4,008,700											29,884
0901923	DOTHAN	AL		10/01/2014		1,969,711											13,803
0901926	MINNETONKA	MN		07/29/2014		4,689,606											39,591
0901927	LONG BEACH	CA		08/18/2014		2,166,710											15,382
0901928	ANNDALE	VA		09/02/2014		3,167,359											22,341
0901930	CHICAGO	IL		07/31/2014		2,338,475											16,918
0901931	AMES	IA		09/18/2014		2,996,118											42,646
0901932	KENNESAW	GA		09/17/2014		2,057,941											29,798
0901933	CRANBURY	NJ		12/02/2014		2,119,912											37,950
0901934	AUSTIN	TX		10/21/2014		2,541,283											17,702
0901936	AUSTELL	GA		10/30/2014		2,342,820											24,574
0901937	LOUISVILLE	KY		10/31/2014		2,317,784											26,171
0901938	URBANDALE	IA		11/03/2014		12,234,078											94,826
0901939	RIVERSIDE	CA		12/01/2014		1,805,333											13,415
0901940	PORTLAND	OR		11/20/2014		6,220,830											36,018
0901942	READINGTON	NJ		12/23/2014		9,975,889											182,435
0901943	LOPATCONG TOWNSHIP/PHILLIPSBURG	NJ		12/23/2014		5,949,763											154,747
0901944	SEATTLE	WA		02/09/2015		3,170,920											16,684
0901945	BRENTWOOD	CA		12/01/2014		4,079,531											29,169
0901946	MADISON	TN		01/06/2015		1,228,268											30,281
0901947	CHICAGO	IL		12/12/2014		3,172,392											58,448
0901948	CHICAGO	IL		12/12/2014		2,744,119											50,558
0901949	BRANCHBURG	NJ		11/25/2014		4,540,069											45,071
0901951	ROUND ROCK	TX		02/25/2015		1,913,133											13,725
0901952	LEE'S SUMMIT	MO		01/09/2015		3,887,551											29,780
0901954	SARTELL	MN		12/17/2014		4,972,788											36,976
0901955	AUSTIN	TX		01/08/2015		1,932,054											19,508
0901956	KENNESAW	GA		12/17/2014		1,900,045											27,733
0901957	FOREST GROVE	OR		12/23/2014		2,684,393											15,204
0901958	FOREST GROVE	OR		12/23/2014		1,805,023											10,223
0901959	TUCSON	AZ		12/23/2014		1,658,122											29,564
0901960	WEST VALLEY CITY	UT		03/02/2015		5,911,156											43,130
0901961	VALENCIA	CA		12/30/2014		4,136,396											60,746
0901963	GRAND FORKS	ND		12/30/2014		2,263,575											16,618
0901964	LEXINGTON	KY		02/02/2015		5,355,187											39,784
0901965	THOUSAND OAKS	CA		07/14/2015		3,291,896											16,885
0901967	NORFOLK	VA		02/18/2015		3,899,784											11,261
0901968	ORO VALLEY	AZ		01/28/2015		1,723,592											12,629
0901969	MUKILTEO	WA		01/30/2015		1,202,557											21,433
0901970	SAINT JOHNS	FL		02/19/2015		1,917,405											20,760
0901971	JACKSONVILLE	FL		02/19/2015		2,483,912											26,893
0901972	JACKSON	MS		02/11/2015		4,270,747											31,785
0901973	TUCSON	AZ		02/10/2015		4,531,987											33,464
0901974	TUSTIN	CA		03/24/2015		2,170,910											16,765

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
0901975	FULLERTON	CA		03/26/2015		6,060,457							46,802			
0901977	GREECE	NY		03/11/2015		1,727,551							50,863			
0901978	HAMBURG	NY		03/13/2015		928,849							12,924			
0901979	ROCK TAVERN	NY		12/23/2014		1,561,962							28,565			
0901980	AMELIA	OH		03/31/2015		3,914,124							43,922			
0901981	GAHANNA	OH		03/10/2015		5,674,056							31,348			
0901982	OAK CREEK	WI		03/11/2015		3,858,952							29,386			
0901983	MONTICELLO	MIN		04/28/2015		1,483,427							20,545			
0901984	CHARLESTON	SC		02/26/2015		1,545,995							11,280			
0901985	BRIDGETON	MO		03/16/2015		3,550,125							26,091			
0901986	LOUISVILLE	KY		04/29/2015		2,141,713							29,789			
0901987	BIRMINGHAM	AL		03/02/2015		3,283,262							46,360			
0901989	STERLING HEIGHTS	MI		06/17/2015		4,434,986							61,342			
0901990	DURHAM	NC		03/24/2015		1,366,986							19,373			
0901991	BRONX	NY		04/30/2015		7,518,764							56,271			
0901992	OCFEE	FL		04/28/2015		2,734,877							26,605			
0901993	VISTA	CA		04/15/2015		3,791,872							54,084			
0901994	HILLSBORO	OR		04/30/2015		3,289,441							23,720			
0901995	BROOKFIELD	WI		04/13/2015		4,721,278							36,578			
0901996	BROOKFIELD	WI		04/10/2015		1,679,685							13,013			
0901997	WILMINGTON	CA		07/24/2015		14,177,513							190,906			
0901998	OMAHA	NE		05/15/2015		4,722,183							68,563			
0901999	MIDDLEBURG HEIGHTS	OH		04/23/2015		3,470,077							38,672			
0902000	FULLERTON	CA		04/30/2015		6,578,882							47,440			
0902001	ALBUQUERQUE	NM		04/28/2015		5,891,701							113,636			
0902002	WEBSTER	TX		04/28/2015		4,671,645							110,566			
0902004	LAS VEGAS	NV		05/14/2015		2,658,442							46,205			
0902005	HOLDEN	MA		07/01/2015		9,417,582							66,653			
0902006	GRESHAM	OR		04/30/2015		4,766,949							25,247			
0902007	MENDOTA HEIGHTS	MIN		06/11/2015		3,580,311							25,517			
0902008	SAN JOSE	CA		05/21/2015		1,760,323							24,638			
0902009	SAN JOSE	CA		05/28/2015		3,280,391							17,028			
0902010	TUALATIN	OR		05/21/2015		4,110,633							30,251			
0902012	FARMERS BRANCH	TX		06/01/2015		2,882,283							40,491			
0902013	FULLERTON	CA		06/04/2015		2,346,197							12,197			
0902014	MIDLAND	TX		05/14/2015		12,670,127							101,192			
0902015	HUNTINGTON BEACH	CA		09/01/2015		7,053,820							36,936			
0902016	AUSTIN	TX		06/19/2015		1,750,756							29,969			
0902017	SAN JOSE	CA		07/06/2015		7,261,389							101,150			
0902018	OCONOMOWOC	WI		08/27/2015		16,559,651							119,809			
0902019	ORANGE	CA		06/18/2015		1,823,825							13,763			
0902020	SALEM	OR		06/16/2015		2,056,096							39,524			
0902021	NEWARK	DE		10/01/2015		5,193,076							26,139			
0902022	WARREN	MI		06/17/2015		2,675,657							45,942			
0902023	NEWPORT NEWS	VA		07/09/2015		1,380,149							9,781			
0902024	FLORENCE	KY		06/18/2015		2,316,804							16,949			
0902025	ANNAPOLIS	MD		07/01/2015		6,859,277							50,837			
0902027	COLLEEVILLE	TX		06/26/2015		3,392,476							24,497			
0902028	AUSTIN	TX		07/30/2015		3,289,965							23,624			
0902029	SAN ANTONIO	TX		06/24/2015		2,432,434							33,518			
0902030	AUBURN	WA		06/25/2015		1,458,788							24,971			

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
0902031	TACOMA	WA		06/25/2015		3,056,508							52,321			
0902032	AUBURN	WA		06/25/2015		2,083,983							23,816			
0902033	KENT	WA		06/25/2015		1,766,125							20,430			
0902034	LAWRENCEVILLE	GA		07/23/2015		2,109,061							30,424			
0902035	ST PAUL	MIN.		07/15/2015		4,431,596							63,088			
0902036	ST. LOUIS PARK	MIN.		06/18/2015		9,752,552							71,349			
0902037	MISSION VIEJO	CA		09/17/2015		6,001,372							42,601			
0902038	IRVINE	CA		06/30/2015		3,211,104							23,036			
0902039	CORPUS CHRISTI	TX		08/13/2015		1,902,788							25,811			
0902040	PORT ARANSAS	TX		08/13/2015		2,402,163							32,585			
0902041	SALEM	OR		07/30/2015		3,868,136							27,219			
0902042	SOUTH BEND	IN		08/12/2015		1,512,583							48,943			
0902043	OCFEE	FL		09/15/2015		1,176,512							19,304			
0902044	ORLANDO	FL		09/15/2015		1,848,805							30,335			
0902045	MECHANICSVILLE	VA		08/06/2015		9,053,859							64,310			
0902046	VISTA	CA		07/08/2015		5,453,616							28,092			
0902047	POMPANO BEACH	FL		08/14/2015		2,682,564							26,807			
0902048	PEARLAND	TX		08/04/2015		3,790,537							25,940			
0902050	ALBUQUERQUE	NM		08/13/2015		5,818,357							105,630			
0902051	GREENFIELD	WI		09/09/2015		8,784,333							61,288			
0902052	MIDDLETON	WI		08/13/2015		4,161,777							28,367			
0902053	ALPHARETTA	GA		01/05/2016		3,732,778							33,276			
0902054	NASHVILLE	TN		12/01/2015		2,599,154							18,070			
0902055	JACKSONVILLE	FL		10/07/2015		2,555,844							25,933			
0902056	JACKSONVILLE	FL		10/01/2015		3,664,912							37,438			
0902057	SAN JOSE	CA		11/03/2015		3,318,845							16,249			
0902058	ROCKVILLE	MD		12/14/2015		3,367,355							21,657			
0902059	SCOTTSDALE	AZ		10/01/2015		2,681,643							27,394			
0902060	CHARLOTTE	NC		10/15/2015		4,420,333							29,894			
0902061	STAFFORD	TX		09/29/2015		4,328,774							57,893			
0902062	FLOWOOD	MS		10/27/2015		2,516,994							25,044			
0902063	BELLEVUE	WA		10/26/2015		7,033,665							94,055			
0902064	EARTH CITY	MO		11/17/2015		3,154,688							21,512			
0902065	EXTON	PA		10/30/2015		4,865,215							33,754			
0902066	SERLING HEIGHTS	MI		01/14/2016		2,472,565							55,543			
0902067	WEST CHESTER	OH		01/11/2016		3,023,265							20,562			
0902068	AUSTIN	TX		12/10/2015		5,090,480							66,130			
0902069	WATAUGA	TX		11/19/2015		3,751,132							26,137			
0902070	SAN ANTONIO	TX		11/12/2015		2,232,003							28,951			
0902071	SHOREWOOD	MIN.		11/04/2015		5,577,423							38,104			
0902072	PORTLAND	OR		11/30/2015		2,046,801							13,833			
0902073	CHULA VISTA	CA		11/19/2015		2,819,919							16,419			
0902074	TUCSON	AZ		12/03/2015		5,970,093							39,847			
0902075	SOUTH JORDAN	UT		11/17/2015		3,074,093							20,439			
0902076	OAKLAND	CA		02/01/2016		11,210,781							75,013			
0902078	ST CLOUD	MIN.		01/29/2016		7,838,095							53,308			
0902079	BOULDER	CO		12/31/2015		2,234,066							29,363			
0902080	GAINESVILLE	FL		12/30/2015		2,629,882							34,432			
0902081	GAINESVILLE	FL		12/30/2015		2,629,882							34,432			
0902082	ADDISON	TX		12/30/2015		3,156,609							41,264			
0902083	ELK RIVER	MIN.		01/19/2016		3,929,609							25,759			

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1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
0902084	JACKSON	MS		12/21/2015		2,079,957								20,490		
0902085	OWINGS MILLS	MD		01/29/2016		7,146,686								48,604		
0902086	ELLIOTT CITY	MD		01/29/2016		16,442,048								111,820		
0902088	SEATTLE	WA		01/27/2016		5,229,081								35,228		
0902089	LAKE ZURICH	IL		02/11/2016		5,296,573								49,860		
0902091	SURPRISE	AZ		03/01/2016		4,690,942								30,381		
0902093	KIRKWOOD	MO		02/16/2016		9,376,496								61,233		
0902094	WEBSTER	NY		04/15/2016		1,466,168								37,136		
0902095	PITTSFORD	NY		03/08/2016		917,035								23,500		
0902096	BRIGHTON	CO		03/24/2016		751,129								19,245		
0902097	HAMBURG	NY		03/08/2016		565,309								14,485		
0902098	WESTMINSTER	CO		03/09/2016		2,771,354								70,904		
0902099	WILSONVILLE	OR		03/17/2016		2,865,349								13,500		
0902101	SAN DIEGO	CA		03/30/2016		20,105,345								100,771		
0902102	MILWAUKEE	WI		02/24/2016		7,585,062								74,263		
0902103	MILWAUKEE	WI		02/24/2016		4,911,191								48,084		
0902104	MADISON	WI		04/26/2016		5,444,201								37,330		
0902105	CANON CITY	CO		04/27/2016		3,204,071								30,882		
0902106	ALBANY	NY		05/13/2016		8,557,134								58,700		
0902107	CORPUS CHRISTI	TX		05/02/2016		3,861,783								25,481		
0902108	PORTLAND	OR		05/02/2016		2,910,949								36,508		
0902109	KINGSTON BOROUGH	PA		05/02/2016		3,861,783								25,481		
0902110	MINNETONKA	MINN		11/01/2016		8,846,654								50,988		
0902111	DALLAS	TX		05/06/2016		10,729,651								137,489		
0902112	ST. LOUIS	MO		05/24/2016		5,367,500								67,968		
0902113	VANCOUVER	WA		06/01/2016		6,354,991								42,223		
0902114	CHARLOTTE	NC		06/09/2016		1,935,184								12,693		
0902115	NORCO	CA		07/01/2016		4,010,244								26,487		
0902116	EARTH CITY	MO		06/28/2016		10,352,933								69,002		
0902117	TUCSON	AZ		05/19/2016		1,628,894								24,914		
0902118	FLORA	MS		06/13/2016		1,892,757								40,798		
0902119	DEERFIELD	IL		07/12/2016		2,836,677								18,633		
0902120	KENNESAW	GA		10/07/2016		4,181,428								35,963		
0902121	KENNESAW	GA		06/22/2016		9,301,645								80,518		
0902122	ROSWELL	GA		06/23/2016		4,104,091								34,998		
0902123	CONYERS	GA		06/23/2016		3,022,185								25,772		
0902124	SMYRNA	GA		06/23/2016		4,287,103								37,133		
0902125	SMYRNA	GA		06/15/2016		5,490,819								47,579		
0902126	NORCROSS	GA		06/23/2016		3,245,720								27,678		
0902127	CARTERSVILLE	GA		06/15/2016		3,960,668								34,235		
0902128	MARIETTA	GA		06/17/2016		3,444,557								30,042		
0902129	CONYERS	GA		07/07/2016		2,536,427								21,464		
0902130	PANAMA CITY	FL		06/17/2016		4,742,042								41,021		
0902131	CHARLOTTE	NC		06/27/2016		4,941,807								61,993		
0902132	BIRMINGHAM	AL		06/13/2016		5,661,521								37,394		
0902133	HERNANDO	MS		06/30/2016		12,832,262								90,820		
0902134	EAGAN	MINN		07/01/2016		1,319,581								20,076		
0902135	TUCSON	AZ		08/08/2016		2,845,946								18,204		
0902136	NEWINGTON	VA		11/01/2016		16,211,726								100,345		
0902137	EDINA	MINN		08/09/2016		4,453,363								29,091		
0902138	ALPHARETTA	GA		08/10/2016		3,979,622								49,787		

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1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)					
0902139	CORONA	CA		08/02/2016		5,574,182							38,776			
0902140	AUSTIN	TX		08/19/2016		1,326,534							8,665			
0902141	MENTOR	OH		08/22/2016		2,368,810							15,474			
0902142	ARLINGTON	VA		09/01/2016		1,134,737							7,674			
0902143	LAS VEGAS	NV		09/01/2016		2,987,068							19,242			
0902145	WARREN	MI		09/22/2016		2,232,516							20,644			
0902146	BLACKLICK	OH		10/03/2016		951,209							6,187			
0902147	BLACKLICK (COLUMBUS)	OH		10/03/2016		3,210,331							20,880			
0902148	HARRISBURG	PA		09/30/2016		1,920,879							9,595			
0902149	YORBA LINDA	CA		10/31/2016		1,899,577							12,704			
0902150	JACKSONVILLE	FL		10/25/2016		9,569,989							118,437			
0902151	CHESAPEAKE	VA		11/07/2016		2,213,224							14,718			
0902152	BROWNSVILLE	TX		10/19/2016		7,650,007							96,166			
0902153	RACINE	WI		09/15/2016		3,449,485							43,359			
0902154	CHARLOTTE	NC		10/05/2016		2,042,620							13,791			
0902155	COLORADO SPRINGS	CO		11/09/2016		3,242,342							20,819			
0902156	OREM	UT		10/11/2016		4,280,441							27,840			
0902158	PANAMA CITY	FL		11/01/2016		3,853,206							18,489			
0902159	SNELLVILLE	GA		11/29/2016		2,377,069							16,366			
0902160	LITTLETON	CO		12/01/2016		12,999,462							65,453			
0902161	SAN ANTONIO	TX		12/08/2016		17,158,893							115,090			
0902162	HOUSTON	TX		12/06/2016		1,305,117							26,382			
0902163	GLEN BURNIE	MD		11/29/2016		7,137,573							61,878			
0902164	SPOKANE VALLEY	WA		12/01/2016		2,095,745							13,743			
0902165	OAKWOOD VILLAGE	OH		12/21/2016		2,962,260							18,917			
0902166	INDEPENDENCE	OH		12/21/2016		1,767,800							11,289			
0902167	SUGAR LAND	TX		01/18/2017		3,143,546							30,187			
0902168	SAN ANTONIO	TX		12/19/2016		2,484,476							15,866			
0902169	CRESTVIEW	FL		03/02/2017		6,690,812							61,768			
0902170	EDEN PRAIRIE	MN		12/01/2016		3,810,445							24,988			
0902171	SAN DIEGO	CA		02/15/2017		3,909,949							24,848			
0902172	MIDVALE	UT		04/06/2017		7,622,060							46,197			
0902173	SAN DIEGO	CA		04/11/2017		6,078,481							53,641			
0902174	CYPRESS	CA		01/31/2017		10,668,702							47,144			
0902175	CUMMING	GA		02/01/2017		3,231,701							39,038			
0902176	SAVANNAH	GA		02/01/2017		4,339,713							52,422			
0902177	SAVANNAH	GA		02/01/2017		6,001,731							72,498			
0902178	NORCROSS	GA		02/01/2017		1,689,718							20,411			
0902179	CULVER CITY	CA		02/23/2017		1,467,517							8,700			
0902180	WILMINGTON	DE		02/09/2017		4,061,723							36,129			
0902181	COCOA	FL		01/27/2017		2,112,504							29,591			
0902182	LAS VEGAS	NV		01/18/2017		9,602,733							86,150			
0902183	NOTTINGHAM	MD		02/23/2017		6,233,367							25,155			
0902184	NEWPORT NEWS	VA		03/13/2017		9,094,802							80,200			
0902186	ROCHESTER	NY		03/07/2017		3,799,806							31,944			
0902187	COLUMBUS	OH		01/25/2017		2,882,212							16,933			
0902189	WESTMINSTER	CA		03/23/2017		4,372,468							28,307			
0902190	GAHANNA	OH		02/28/2017		5,344,656							31,826			
0902191	MORAGA	CA		04/13/2017		3,864,506							22,577			
0902192	MANKATO	MN		03/30/2017		5,209,346							30,216			
0902194	CINCINNATI	OH		03/31/2017		3,954,582							23,040			

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0902195	SOUTH JORDAN	UT.		05/01/2017		11,670,436											71,355
0902196	SOUTH JORDAN	UT.		05/01/2017		15,528,431											94,943
0902197	PALANTINE	IL.		05/04/2017		2,033,916											11,589
0902198	CINCINNATI	OH.		04/03/2017		2,502,133											14,640
0902199	TUCSON	AZ.		05/04/2017		4,389,021											37,163
0902201	BASKING RIDGE	NJ.		05/11/2017		2,573,872											22,179
0902202	TUCSON	AZ.		06/22/2017		5,669,010											31,766
0902203	CENTENNIAL	CO.		05/30/2017		3,518,108											17,806
0902204	DURHAM	OR.		06/14/2017		4,298,393											37,802
0902205	MILWAUKEE	WI.		04/28/2017		9,824,632											113,620
0902206	MINNETONKA	MIN.		06/13/2017		14,046,528											83,922
0902207	CHARLESTON	SC.		06/01/2017		4,934,083											42,377
0902208	DALLAS	TX.		07/12/2017		2,873,292											14,986
0902209	SCOTTSDALE	AZ.		05/16/2017		16,445,957											96,967
0902210	LINCOLN	NE.		06/28/2017		5,038,114											29,968
0902211	LINCOLN	NE.		06/28/2017		2,712,831											16,137
0902212	LINCOLN	NE.		06/28/2017		7,266,511											43,223
0902213	PANAMA CITY	FL.		07/24/2017		3,008,828											24,912
0902214	MILWAUKEE	WI.		05/25/2017		6,415,590											68,045
0902215	SPOKANE VALLEY	WA.		07/31/2017		8,667,807											45,357
0902216	FAYETTEVILLE	NC.		07/11/2017		10,712,170											96,608
0902217	SPRINGFIELD	OR.		08/01/2017		4,537,333											26,960
0902218	HAWAIIAN GARDENS	CA.		06/29/2017		10,980,907											30,412
0902219	OMAHA	NE.		07/27/2017		4,694,371											20,554
0902220	ORLANDO	FL.		08/08/2017		14,616,431											122,251
0902221	ST. LOUIS	MO.		06/22/2017		3,050,528											27,651
0902222	MESA	AZ.		08/10/2017		5,349,868											31,240
0902223	LIVERPOOL	NY.		08/18/2017		3,918,892											16,756
0902224	LOS ANGELES	CA.		09/07/2017		8,279,665											49,075
0902225	VANCOUVER	WA.		08/24/2017		4,278,985											25,173
0902226	LAKEWOOD	CO.		07/25/2017		1,698,311											10,121
0902227	COSTA MESA	CA.		10/04/2017		1,929,599											17,069
0902228	SEATTLE	WA.		11/14/2017		2,932,417											17,471
0902229	PASADENA	CA.		08/30/2017		2,510,700											16,082
0902230	MESQUITE	TX.		09/21/2017		9,046,500											78,983
0902231	NOTTINGHAM	MD.		10/02/2017		16,670,380											47,280
0902232	WEST DES MOINES	IA.		09/28/2017		18,804,821											100,584
0902233	WILSONVILLE	OR.		09/20/2017		2,304,178											10,343
0902234	ALEXANDRIA	VA.		10/02/2017		3,473,830											30,239
0902235	HALFMOON	NY.		09/01/2017		20,897,437											87,332
0902236	BOISE	ID.		10/31/2017		2,356,710											10,263
0902237	ONTARIO	CA.		10/05/2017		2,245,652											12,984
0902238	FORT WORTH	TX.		10/17/2017		2,464,236											20,613
0902239	VERNON	CA.		11/02/2017		3,115,524											34,932
0902240	LONG BEACH	CA.		11/02/2017		4,798,313											52,517
0902241	WOODLAND HILLS	CA.		09/27/2017		3,921,578											17,354
0902242	ALPHARETTA	GA.		10/24/2017		8,377,854											96,080
0902243	ALPHARETTA	GA.		10/24/2017		3,561,185											40,841
0902244	MARIETTA	GA.		10/19/2017		6,075,666											35,657
0902245	WESTLAKE VILLAGE	CA.		10/30/2017		11,723,273											52,366
0902246	ALPHARETTA	GA.		10/31/2017		3,952,690											35,638

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	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0902247	JACKSONVILLE BEACH	FL		11/28/2017		3,274,532											19,509
0902248	SAN ANTONIO	TX		10/30/2017		3,660,851											21,293
0902249	CHARLOTTE	NC		10/12/2017		2,852,802											17,221
0902250	CHATSWORTH	CA		10/25/2017		3,874,432											17,584
0902251	SARASOTA	FL		10/27/2017		2,028,143											17,250
0902252	SARASOTA	FL		10/27/2017		3,090,504											26,286
0902253	ST. PETERSBURG	FL		10/27/2017		2,800,769											23,822
0902254	ST. PETERSBURG	FL		10/27/2017		3,274,003											27,847
0902255	JACKSONVILLE BEACH	FL		11/28/2017		3,518,900											20,965
0902256	JACKSONVILLE	FL		11/28/2017		8,015,273											47,753
0902257	SACRAMENTO	CA		11/30/2017		3,873,745											33,197
0902258	PORTSMOUTH	VA		10/31/2017		4,638,268											26,696
0902259	EVERETT	WA		12/27/2017		3,478,317											20,124
0902260	ESCONDIDO	CA		01/30/2018		2,206,957											13,204
0902261	GAINESVILLE	GA		01/30/2018		13,018,819											72,297
0902262	MEMPHIS	TN		02/08/2018		5,115,395											29,286
0902263	OREM	UT		03/29/2018		6,854,410											56,551
0902264	WEST GOSHEN TOWNSHIP	PA		03/13/2018		4,699,098											39,167
0902265	COLLIERVILLE	TN		02/06/2018		9,837,043											56,404
0902266	SYRACUSE	NY		02/22/2018		2,066,842											11,495
0902268	MADISON	WI		01/17/2018		1,946,709											16,462
0902269	SANTA ANA	CA		01/25/2018		23,568,318											100,935
0902272	HUNTSVILLE	AL		03/06/2018		3,133,698											18,500
0902273	FREDERICK	MD		05/16/2018		7,276,849											37,725
0902274	BRADENTON	FL		04/05/2018		2,933,352											29,747
0902275	RACINE	WI		02/22/2018		6,810,106											66,302
0902276	FLOWOOD	MS		03/15/2018		1,543,189											12,489
0902277	FLOWOOD	MS		03/15/2018		2,131,070											17,247
0902278	HILLIARD	OH		04/12/2018		2,564,052											14,067
0902279	VIRGINIA BEACH	VA		03/28/2018		3,173,679											18,156
0902280	LAS VEGAS	NV		03/27/2018		8,641,947											40,085
0902281	Corona	CA		04/26/2018		2,971,579											12,682
0902282	Hazelwood	MO		05/17/2018		9,139,290											49,055
0902283	BROOK PARK	OH		05/03/2018		12,857,415											74,142
0902284	MIDLAND	TX		05/10/2018		3,860,236											20,767
0902285	JACKSONVILLE	FL		05/17/2018		2,956,104											22,722
0902286	SALT LAKE CITY	UT		05/10/2018		2,680,094											10,207
0902287	SALT LAKE CITY	UT		06/26/2018		4,870,584											18,261
0902289	NORTH OLMSTED	OH		05/09/2018		2,779,064											10,732
0902290	NILES	OH		05/31/2018		3,446,638											27,562
0902291	NILES	OH		06/07/2018		5,529,774											43,674
0902292	MILWAUKEE	WI		04/19/2018		3,880,618											30,906
0902293	CLINTON	MS		05/24/2018		3,574,095											13,285
0902294	MOUNT PLEASANT	SC		04/30/2018		3,161,707											17,036
0902295	WILLOWICK	OH		05/24/2018		3,672,112											14,296
0902296	HOMEWOOD	AL		05/24/2018		3,214,486											17,981
0902297	MILWAUKEE	WI		06/07/2018		15,393,422											66,275
0902298	CORONA	CA		06/14/2018		2,483,548											10,184
0902299	CLINTON	MS		07/02/2018		1,142,646											5,669
0902300	SAN ANTONIO	TX		07/09/2018		12,911,435											68,177
0902301	RENTON	WA		06/19/2018		2,483,647											10,124

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
0902302	TUCSON	AZ		06/27/2018		6,063,380											22,733
0902303	AMERICAN FORK	UT		07/05/2018		9,831,246											52,902
0902304	TWINSBURG	OH		07/19/2018		3,167,905											24,999
0902305	SIGNAL HILLS	CA		07/11/2018		4,477,971											16,875
0902306	ALPHARETTA	GA		06/21/2018		2,082,850											10,681
0902307	TWINSBURG	OH		07/23/2018		2,467,873											25,022
0902308	ALBUQUERQUE	NM		07/18/2018		8,651,916											46,539
0902309	ALBUQUERQUE	NM		07/18/2018		4,631,455											24,918
0902310	MONTCLAIR	CA		06/15/2018		6,800,000											18,303
0902312	LITTLETON	CO		06/28/2018		2,479,210											12,937
0902313	MENLO PARK	CA		07/19/2018		2,680,948											14,653
0902314	CORPUS CHRISTI	TX		07/26/2018		4,966,804											25,569
0902315	RIDGELAND	MS		07/19/2018		2,816,531											25,878
0902316	PITTSBURGH	PA		08/30/2018		2,654,437											19,697
0902317	HENDERSON	NV		08/07/2018		2,389,769											10,297
0902318	LOS GATOS	CA		08/08/2018		4,453,939											35,533
0902319	LIVERPOOL	NY		08/30/2018		5,180,983											19,036
0902320	LAS VEGAS	NV		08/30/2018		3,735,516											14,524
0902321	SAN ANTONIO	TX		09/13/2018		23,846,020											238,824
0902322	WESTMONT	IL		07/25/2018		6,707,506											26,239
0902323	FORT WORTH	TX		10/01/2018		4,239,638											15,810
0902324	TIMONIUM	MD		07/31/2018		2,482,721											13,297
0902325	ROSEVILLE	MI		09/13/2018		1,444,912											7,797
0902328	PORTLAND	OR		10/03/2018		6,988,961											32,368
0902329	PEWaukee	WI		08/30/2018		7,459,989											41,425
0902330	CHARLOTTE	NC		09/13/2018		2,294,105											9,139
0902331	SANTA CLARITA	CA		10/17/2018		2,792,810											21,530
0902332	COLLEGE STATION	TX		09/28/2018		4,065,325											14,762
0902334	MURRAY	UT		10/09/2018		3,493,809											18,253
0902335	MURRAY	UT		09/26/2018		3,986,341											20,941
0902336	MILWAUKEE	WI		08/30/2018		9,152,555											49,207
0902337	CHICAGO	IL		10/24/2018		5,091,268											25,678
0902338	FREDERICK	MD		11/09/2018		15,000,000											105,672
0902339	MCALLEN	TX		10/25/2018		13,981,564											53,482
0902340	MILWAUKEE	WI		10/24/2018		6,987,890											37,509
0902342	TWINSBURG	OH		01/02/2019													37,429
0902344	PORTLAND	OR		12/05/2018		2,650,000											13,253
0902345	ST CLOUD	MN		12/20/2018		2,875,000											9,993
0902346	CHARLOTTE	NC		12/20/2018		13,975,000											58,460
0902347	MARIETTA	GA		01/09/2019													11,122
0902348	MARIETTA	GA		02/07/2019													11,286
0902350	TUKWILA	WA		02/28/2019													29,540
0902351	ABINGDON	MD		12/14/2018		9,100,000											44,626
0902352	HOUSTON	TX		12/17/2018		7,500,000											34,419
0902353	MOORESTOWN	NJ		02/11/2019													45,340
0902354	KENNESAW	GA		01/30/2019													21,880
0902355	EL PASO	TX		02/14/2019													41,478
0902356	ALBUQUERQUE	NM		02/01/2019													21,031
0902357	ALBUQUERQUE	NM		02/01/2019													36,610
0902358	CEDAR PARK	TX		02/28/2019													12,513
0902359	SOUTH SALT LAKE	UT		03/05/2019													15,136



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal		
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value	
0902360	NEWPORT BEACH	CA		03/12/2019														
0902361	MIDDLETOWN	NJ		03/08/2019														
0902362	PASADENA	TX		02/27/2019														
0902363	DULUTH	GA		03/12/2019														
0902364	ST CLOUD	MIN		03/07/2019														
0902366	COLUMBUS	OH		03/04/2019														
0902367	INDIANAPOLIS	IN		04/22/2019														
0902368	ADDISON	TX		05/01/2019														
0902369	HALFMOON	NY		05/06/2019														
0902370	GARDNER	KS		05/09/2019														
0902371	MOUNT PROSPECT	IL		04/17/2019														
0902372	KNOXVILLE	TN		05/24/2019														
0902378	PHILADELPHIA	PA		07/15/2019														
0902379	RACINE	WI		06/13/2019														
0902382	HOUSTON	TX		07/25/2019														
0902385	TUKWILA	WA		07/24/2019														
0902390	MIDDLE RIVER	MD		08/28/2019														
04G1007	JEFFERSON	OR		04/26/2019														
975TRG1	LANCASTER	PA		08/18/2011		10,589,153												
987JCR9	HOLYOKE	MA		02/08/2011		30,954,465												
990B1S1	KATY	TX		12/06/2017		4,452,434												
990CON1	CINCINNATI	OH		03/02/2018		5,938,192												
990CSFR	FORT MYERS	FL		08/16/2018		6,796,312												
990ELJ1	LA JOLLA	CA		08/09/2018		9,941,391												
990GEN1	PHILADELPHIA	PA		11/17/2016		23,711,518												
990HART	ST. HELENA	CA		06/05/2019														
990HPA1	VARIOUS	CA		07/25/2018		4,918,402												
990HPA2	ORLANDO	FL		05/16/2019														
990MA1M	BROOKLYN	NY		01/25/2019														
990OVR1	DULUTH	GA		05/25/2017		3,000,000												
990RIV1	HAPPY VALLEY	OR		06/28/2018		29,232												
990WEN1	ROCHESTER	NY		11/07/2017		5,069,383												
VC29790	TAMPA	FL		02/28/2019														
0299999	Mortgages with partial repayments						2,767,068,046											
0599999	- Totals						2,848,952,191		14,916			14,916		103,800,776	138,915,582			

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
BANON8-DY-1	AA DIRECT					07/05/2018			336,685			
BANON8-DC-9	APOLLO HYBRID VALUE FUND					07/05/2018			2,845,339			
1BANON-RP-0	APOLLO NATURAL RESOURCE PARTNERS					02/10/2017			912,009			
BANOKX-RF-5	APOLLO STRUCTURED RECOVERY FUND					12/11/2017			1,110,949			
BANOU8-FT-2	ATH-INVEST NET LEASE 2					05/28/2019			68,246			
BANOUJ-RS-8	ATHENE MOMENTUM INVESTORS					09/28/2017			2,932,714			
BANORL-F1-8	A-A SPN-2 (MHC-AEL) LP					11/19/2018			800,859			
000000-00-0	BLUEWATER INVESTMENT HOLDINGS					11/21/2017			14,940,000			
000000-00-0	CAPITAL ALIGNMENT PARTNERS III					09/09/2016			2,600,000			
000000-00-0	CASTLELAKE AVIATION III					07/28/2017			1,484,047			
000000-00-0	FINANCIAL CREDIT INVESTMENT					07/18/2017			1,731,148			
BANOKP-ET-6	JCR INCOME PLUS FUND IV					05/04/2018			250,000			
000000-00-0	LEVINE LEICHTMAN					05/31/2017			79,827			
BANOUA-CQ-6	NNN INVESTOR II					07/11/2019		6,711,750				
466993-50-8	PEG SECONDARY PRIVATE EQUITY					08/12/2016			532,987			
BANOKF-BP-9	CIII RECOVERY FUND					09/24/2018			567,322			
2199999. Joint Venture Interests - Other - Unaffiliated								6,711,750	31,192,132			XXX
4499999. Total - Unaffiliated								6,711,750	31,192,132			XXX
4599999. Total - Affiliated												XXX
4699999 - Totals								6,711,750	31,192,132			XXX

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in Book/Adjusted Carrying Value (9+10-11+12)	14 Total Foreign Exchange Change in Book/Adjusted Carrying Value						
000000-00-0	NAPIER PARK AIRCRAFT LEASING VEHICLE	NEW YORK	NY	DISTRIBUTION	11/14/2014	08/27/2019	90,139						90,139					90,139	
000000-00-0	NAPIER PARK AIRCRAFT ROLLOVER LEASING	NEW YORK	NY	DISTRIBUTION	08/09/2017	08/28/2019	21,482						21,482	21,050				432	
000000-00-0	NAPIER PARK RAILCAR INVESTMENTS II	NEW YORK	NY	DISTRIBUTION	12/18/2015	08/23/2019	192,245						192,245					192,245	
000000-00-0	NAPIER PARK RAILCAR INVESTMENTS III	NEW YORK	NY	DISTRIBUTION	12/18/2018	09/27/2019	2,451,638						2,451,638	2,451,638					
0399999. Transportation Equipment - Unaffiliated								2,755,504					2,755,504	2,472,688					282,816
BANOPE-FS-7	ACE CREDIT FUND			DISTRIBUTION	08/10/2018	07/15/2019	594,853						594,853					594,853	
000000-00-0	APOLLO NATURAL RESOURCES			DISTRIBUTION	02/10/2017	09/24/2019	395,357						395,357					395,357	
BANOUJ-RS-8	ATHENE MOMENTUM INVESTORS			DISTRIBUTION	09/28/2017	07/01/2019	45,441,394						45,441,394	39,026,926				6,414,468	
BANONK-Z8-7	BISHOP SENIOR LIVING			DISTRIBUTION	06/04/2018	08/09/2019	191,176						191,176					191,176	
000000-00-0	BLUEWATER INVESTMENT HOLDINGS			DISTRIBUTION	11/21/2017	07/11/2019	18,000,000						18,000,000	17,402,373				597,627	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other Than Temporary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
000000-00-0	CARLYLE ENERGY			DISTRIBUTION	06/26/2012	08/02/2019	2,147,631							2,147,631	1,508,542				639,089
000000-00-0	CASTLELAKE AVIATION III			DISTRIBUTION	07/28/2017	09/30/2019	424,561							424,561					424,561
BANOKP-ET-6	JCR INCOME PLUS FUND			DISTRIBUTION	05/04/2018	07/25/2019	107,065							107,065	42,218				64,847
000000-00-0	LEVINE LEICHTMAN			DISTRIBUTION	05/31/2017	07/24/2019	372,409							372,409	345,117				27,292
BANONL-H7-7	NNN INVESTOR I			DISTRIBUTION	06/07/2018	07/30/2019	310,018							310,018					310,018
BANOUA-CQ-6	NNN INVESTOR II			DISTRIBUTION	07/11/2019	08/29/2019	134,609							134,609					134,609
000000-00-0	REDDING RIDGE HOLDINGS			DISTRIBUTION	07/14/2017	08/15/2019	375,340							375,340					375,340
000000-00-0	AA ASIA PRIVATE CREDIT			DISTRIBUTION	04/20/2012	07/12/2019	318,075							318,075	318,075				
<b>2199999. Joint Venture Interests - Other - Unaffiliated</b>							68,812,488							68,812,488	58,834,427				9,978,061
BANOLJ-N8-5	FINANCIAL CREDIT INVESTMENT I			REDEMPTION	12/31/2017	08/31/2019	91,128							91,128	91,128		(11,982,986)	(11,982,986)	
BANOLJ-N8-5	FINANCIAL CREDIT INVESTMENT I			REDEMPTION	12/31/2017	09/30/2019	12,611							12,611	12,611				
<b>2399999. Surplus Debentures, etc - Unaffiliated</b>							103,739							103,739	103,739		(11,982,986)	(11,982,986)	
000000-00-0	AERL, LC	WEST DES MOINES	IA	DISTRIBUTION	04/03/2009	09/26/2019	1,645,891							1,645,891	1,645,891				
<b>4399999. Any Other Class of Assets - Affiliated</b>							1,645,891							1,645,891	1,645,891				
<b>4499999. Total - Unaffiliated</b>							71,671,731							71,671,731	61,410,854		(11,982,986)	(11,982,986)	10,260,877
<b>4599999. Total - Affiliated</b>							1,645,891							1,645,891	1,645,891				
<b>4699999 - Totals</b>							73,317,622							73,317,622	63,056,745		(11,982,986)	(11,982,986)	10,260,877

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
60416S-W2-2	MINNESOTA ST HSG FIN AGY SINGLE FAMILY HSG 2.905% 07/01/34		08/21/2019	RBC CAPITAL MARKETS		2,200,000	2,200,000		1FE
60416S-W3-0	MINNESOTA ST HSG FIN AGY SINGLE FAMILY HSG 3.164% 01/01/40		08/21/2019	RBC CAPITAL MARKETS		2,750,000	2,750,000		1FE
64990G-UE-0	NEW YORK ST DORM AUTH REVENUES HIGHER EDUCATION 3.439% 07/01/42		09/20/2019	RBC CAPITAL MARKETS		6,000,000	6,000,000		1FE
<b>1799999. Subtotal - Bonds - U.S. States, Territories and Possessions</b>						10,950,000	10,950,000		XXX
052397-PP-3	AUSTIN TX GENERAL OBLIGATION 2.926% 09/01/39		09/11/2019	RAMIREZ & CO		7,185,000	7,185,000		1FE
052397-SF-4	AUSTIN TX GENERAL OBLIGATION 2.926% 09/01/39		09/11/2019	RAMIREZ & CO		3,000,000	3,000,000		1FE
055033-RR-7	AZUSA CA UNIF SCH DIST SCHOOL DISTRICT 2.901% 08/01/41		08/28/2019	RBC CAPITAL MARKETS		5,600,000	5,600,000		1FE
138735-XL-4	CANUTILLO TX INDEP SCH DIST SCHOOL DISTRICT 2.964% 02/15/36		09/11/2019	RBC CAPITAL MARKETS		2,000,000	2,000,000		1FE
223093-VV-4	COVINA-VLY CA UNIF SCH DIST SCHOOL DISTRICT 3.071% 08/01/39		08/14/2019	RBC CAPITAL MARKETS		2,250,000	2,250,000		1FE
365298-Z8-4	GARDEN GROVE CA UNIF SCH DIST SCHOOL DISTRICT 3.237% 08/01/40		09/25/2019	RAYMOND JAMES & ASSOCIATES		17,000,000	17,000,000		1FE
586840-NM-8	MENLO PARK CA CITY SCH DIST SCHOOL DISTRICT 2.969% 07/01/38		09/11/2019	STIFEL NICOLAUS		3,000,000	3,000,000		1FE
655867-B8-1	NORFOLK VA GENERAL OBLIGATION 2.820% 10/01/39		09/10/2019	PIPER JAFFRAY		12,129,616	12,290,000		1FE
797272-RG-8	SAN DIEGO CA CMNTY CLG DIST GENERAL OBLIGATION 3.316% 08/01/41		09/18/2019	RBC CAPITAL MARKETS		20,000,000	20,000,000		1FE
867578-VA-1	SUNNYVALE CA SCH DIST SCHOOL DISTRICT 2.947% 09/01/39		09/06/2019	STIFEL NICOLAUS		7,570,000	7,570,000		1FE
<b>2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>						79,734,616	79,895,000		XXX
052414-TJ-8	AUSTIN TX ELEC UTILITY SYS REV UTILITIES 3.336% 11/15/39		07/31/2019	GOLDMAN SACHS & CO.		5,000,000	5,000,000		1FE
084509-XE-3	BERKS CNTY PA GENERAL OBLIGATION 2.965% 11/15/37		08/07/2019	RBC CAPITAL MARKETS		3,025,000	3,025,000		1FE
101427-CR-1	BOULDER CO COPS GENERAL 2.900% 11/01/39		09/11/2019	RAYMOND JAMES & ASSOCIATES		6,010,000	6,010,000		1FE
130770-HW-5	CALIFORNIA ST UNIV REVENUE HIGHER EDUCATION 3.470% 11/01/39		07/16/2019	PIPER JAFFRAY		3,087,265	3,060,000		1FE
3133EK-N7-7	FEDERAL FARM CREDIT BANK AGENCY DEBENTURES 2.800% 09/18/34		09/05/2019	Various		120,000,000	120,000,000		1FE
3136A7-6E-7	FANNIE MAE FNR 2012-101 CZ 3.500% 09/25/42		09/01/2019	Interest Capitalization		122,379	122,379		1
3136A8-6Y-1	FANNIE MAE FNR 2012-105 PZ 3.500% 10/25/42		09/01/2019	Interest Capitalization		25,798	25,798		1
3136A8-F9-6	FANNIE MAE FNR 2012-103 NZ 3.500% 09/25/42		09/01/2019	Interest Capitalization		52,902	52,902		1
3136A9-KU-1	FANNIE MAE FNR 2012-114 NZ 3.500% 10/25/42		09/01/2019	Interest Capitalization		51,674	51,674		1
3137AS-Z7-2	FREDDIE MAC FHR 4100 JZ 3.500% 08/15/42		09/01/2019	Interest Capitalization		11,143	11,143		1
3137AU-3Z-0	FREDDIE MAC FHR 4101 CZ 3.500% 09/15/32		09/01/2019	Interest Capitalization		49,499	49,499		1
31397Q-YH-5	FANNIE MAE FNR 2011-17 ZM 3.500% 03/25/31		09/01/2019	Interest Capitalization		244,314	244,314		1
31398S-6F-5	FANNIE MAE FNR 2010-148 ZX 4.000% 01/25/41		09/01/2019	Interest Capitalization		79,233	79,233		1
31398S-6M-0	FANNIE MAE FNR 2010-148 ZH 4.000% 01/25/41		09/01/2019	Interest Capitalization		79,233	79,233		1
409327-LQ-4	HAMPTON ROADS VA SANTN DIST WIS WATER 2.782% 02/01/39		08/30/2019	CITIGROUP GLOBAL MARKETS		15,000,000	15,000,000		1FE
44244C-YA-9	HOUSTON TX UTILITY SYS REVENUE WATER 2.984% 11/15/42		09/11/2019	Various		19,379,491	19,535,000		1FE
57582R-YN-0	MASSACHUSETTS ST GENERAL OBLIGATION 2.663% 09/01/39		08/27/2019	BANK OF AMERICA		25,000,000	25,000,000		1FE
61212L-RA-9	MONTANA ST BRD OF RGTS HGR EDU HIGHER EDUCATION 3.075% 11/15/39		09/17/2019	Various		8,456,450	8,500,000		1FE
647377-AQ-3	NEW MEXICO ST HOSP EQUIPMENT L NURSING HOME 3.850% 07/01/26		07/12/2019	PIPER JAFFRAY		2,686,807	2,695,000		2FE
767027-DU-6	RIO CA ELEM SCH DIST CMNTY FAC EDUCATION 3.500% 09/01/39		09/18/2019	RAYMOND JAMES & ASSOCIATES		4,791,750	5,000,000		1FE
799017-VU-9	SAN MATEO CA UNION HIGH SCH DI SCHOOL DISTRICT 3.282% 09/01/41		07/17/2019	STIFEL NICOLAUS		2,500,000	2,500,000		1FE
91417K-3G-4	UNIV OF COLORADO CO ENTERPRISE HIGHER EDUCATION 3.167% 06/01/43		07/10/2019	RBC CAPITAL MARKETS		4,500,000	4,500,000		1FE
92812V-XT-8	VIRGINIA ST HSG DEV AUTH MULTIFAMILY HSG 3.050% 10/01/40		09/25/2019	WELLS FARGO		6,940,080	7,015,000		1FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						227,093,018	227,556,175		XXX
00195F-AA-9	AMAZON COM INC AMAZONCOM INC 3.57 10/31/40 3.570% 10/31/40		07/19/2019	SUNTRUST CAPITAL		10,584,805	10,584,805		1Z
00846U-AL-5	AGILENT TECHNOLOGIES INC A 2 3/4 09/15/29 2.750% 09/15/29		09/17/2019	Various		32,487,600	33,000,000	4,125	2FE
00914A-AE-2	AIR LEASE CORP AL 3 1/4 10/01/29 3.250% 10/01/29		09/09/2019	Various		11,865,960	12,000,000		2FE
01185*-AA-3	ALASKA VENTURES LLC ALASKA VENTURES LLC 4.670% 06/30/33		09/30/2019	Interest Capitalization		605,095	605,095		2PL
01185*-AB-1	ALASKA VENTURES LLC ALASKA VENTURES LLC 4.67 6/30/33 4.670% 06/30/33		08/23/2019	PARETO SECURITIES		3,000,000	3,000,000		2PL
01400E-AB-9	ALCON FINANCE CORP ALCSW 3 09/23/29 3.000% 09/23/29		09/16/2019	BANK OF AMERICA		39,852,800	40,000,000		2FE
015271-AR-0	ALEXANDRIA REAL ESTATE E ARE 3 3/8 08/15/31 3.375% 08/15/31		07/09/2019	GOLDMAN SACHS & CO.		11,983,080	12,000,000		2FE
015271-AT-6	ALEXANDRIA REAL ESTATE E ARE 2 3/4 12/15/29 2.750% 12/15/29		09/17/2019	Various		7,448,745	7,500,000	802	2FE
023135-BF-2	AMAZON.COM INC AMAZON.COM INC 3.875% 08/22/37		07/30/2019	WELLS FARGO		30,862,200	28,000,000	475,764	1FE
03027W-AK-8	AMERICAN TOWER TRUST I AMETOW 3.652 03/23/28 3.652% 03/23/28		09/06/2019	ROBERT W. BAIRD		21,790,200	20,000,000	50,722	1FE







STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
81880X-AG-7	Shackleton CLO LTD SERIES 201914A CLASS C 5.878% 07/20/30	D	07/01/2019	DEFAULT ATHENE BROKER		2,000,000	2,000,000		2FE
87089N-AA-8	SWISS RE FINANCE LUX SPENX 5 04/02/49 5.000% 04/02/49	D	07/16/2019	STIFEL NICOLAUS		16,256,250	15,000,000	220,833	1FE
88032W-AN-6	TENCENT HOLDINGS LTD TENCNT 3.975 04/11/29 3.975% 04/11/29	D	07/18/2019	WELLS FARGO		10,469,500	10,000,000	111,521	1FE
89152U-AF-9	TOTAL CAPITAL SA BASIC 4.125% 01/28/21	D	08/29/2019	DEFAULT ATHENE BROKER		5,156,412	5,000,000	17,760	1FE
961214-EF-6	WESTPAC BANKING CORP BASIC 4.110% 07/24/34	D	07/16/2019	DEFAULT ATHENE BROKER		1,000,000	1,000,000		2FE
961214-EG-4	WESTPAC BANKING CORP BASIC 4.421% 07/24/39	D	07/16/2019	DEFAULT ATHENE BROKER		1,000,000	1,000,000		2FE
97988U-AE-5	WOODMONT TRUST SERIES 20196A CLASS A2 4.303% 07/15/31	D	07/01/2019	DEFAULT ATHENE BROKER		2,000,000	2,000,000		1FE
97988U-AG-0	WOODMONT TRUST SERIES 20196A CLASS B 4.703% 07/15/31	D	07/01/2019	DEFAULT ATHENE BROKER		5,000,000	5,000,000		1FE
97988U-AJ-4	WOODMONT TRUST SERIES 20196A CLASS C 5.703% 07/15/31	D	07/01/2019	DEFAULT ATHENE BROKER		3,500,000	3,500,000		1FE
97988U-AL-9	WOODMONT TRUST SERIES 20196A CLASS D 6.703% 07/15/31	D	07/01/2019	DEFAULT ATHENE BROKER		3,000,000	3,000,000		2FE
66515#-AJ-3	JOHN WOOD GROUP PLC JOHN WOOD GRP PLC 4.61 7/2/26 4.610% 07/02/26	D	07/02/2019	MITSUBISHI		13,300,000	13,300,000		2Z
66515#-AK-0	JOHN WOOD GROUP PLC JOHN WOOD GRP PLC 4.78 7/2/29 4.780% 07/02/29	D	07/02/2019	MITSUBISHI		10,000,000	10,000,000		2Z
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>1,668,172,675</b>	<b>1,738,430,795</b>	<b>4,566,109</b>	<b>XXX</b>
L72598-YY-2	LENMARK LENDMARK FUNDING TRUST 2018 A 4.707% 01/29/21		09/11/2019	DIRECT		4,140,443	4,140,443		1Z
L72598-ZZ-8	LENMARK LENDMARK FUNDING TRUST 2018-A 6.557% 01/29/21		09/11/2019	DIRECT		2,070,221	2,070,221		2Z
BANOPK-V1-4	GEORGIA RENEWABLE POWER GEORGIA RENEWABLE POWER 11.125% 11/30/22		08/15/2019	DEFAULT ATHENE BROKER		124,376	127,566		3Z
2LX179-24-8	CSC RECEIVABLES II LLC CLASS A 3/19 5.350% 03/29/26		09/26/2019	DIRECT		125,625	125,625		1Z
6BL315-23-1	KAR AUCTION SERVICES INC LOAN 4.307% 09/19/26		09/25/2019	DIRECT		900,044	900,044		3FE
04327#-YY-4	ASCENTIUM EQUIPMENT REC ASCENTIUM EQUIP REC 3.937% 03/20/29		09/25/2019	DIRECT		360,369	360,369		2Z
04327#-ZZ-0	ASCENTIUM EQUIPMENT REC ASCENTIUM EQUIPMENT REC 3.387% 03/20/29		09/25/2019	DIRECT		7,928,118	7,928,118		1Z
24702#-ZZ-3	DELL FINANCIAL SERVICES DELL FINANCIAL SERVICES 3.668% 08/22/22		09/03/2019	DIRECT		8,812,983	8,812,983		2Z
68269A-AA-8	OneMain Financial Issuance Tru SERIES 2019AA CLASS A 3.390% 08/14/36		08/01/2019	Various		42,084,435	42,289,000		1PL
68269A-AB-6	OneMain Financial Issuance Tru SERIES 2019AA CLASS B 3.920% 08/14/36		08/01/2019	Various		6,821,337	6,854,000		1Z
68269A-AC-4	OneMain Financial Issuance Tru SERIES 2019AA CLASS C 4.670% 08/14/36		08/01/2019	Various		5,800,439	5,827,000		1Z
68269A-AD-2	OneMain Financial Issuance Tru SERIES 2019AA CLASS D 6.290% 08/14/36		08/01/2019	DIRECT		19,538,412	19,630,000		2Z
74166Y-AA-8	Primrose Schools SERIES 20191A CLASS A2 4.475% 07/30/49		08/21/2019	DIRECT		16,000,000	16,000,000		2FE
83218#-XX-3	SOFI FUNDING PL XII LLC CLASS A TRANCHE 4.294% 10/18/21		07/26/2019	DIRECT		16,917,123	16,917,123		1Z
83218#-YY-0	SOFI FUNDING PL XII LLC CLASS B TRANCHE 5.191% 10/18/21		07/26/2019	DIRECT		3,925,334	3,925,334		1Z
<b>8299999. Subtotal - Bonds - Bank Loans</b>						<b>135,549,259</b>	<b>135,907,826</b>		<b>XXX</b>
<b>8399997. Total - Bonds - Part 3</b>						<b>2,121,499,568</b>	<b>2,192,739,796</b>	<b>4,566,109</b>	<b>XXX</b>
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>2,121,499,568</b>	<b>2,192,739,796</b>	<b>4,566,109</b>	<b>XXX</b>
BANORQ-5D-2	AA Infrastructure Fund LTD AA Infrastructure Debt Fund 1		09/12/2019	DIRECT		76,258,100	0.00		P5ZL
<b>8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)</b>						<b>7,991,818</b>	<b>XXX</b>		<b>XXX</b>
<b>8999997. Total - Preferred Stocks - Part 3</b>						<b>7,991,818</b>	<b>XXX</b>		<b>XXX</b>
<b>8999998. Total - Preferred Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8999999. Total - Preferred Stocks</b>						<b>7,991,818</b>	<b>XXX</b>		<b>XXX</b>
617455-69-6	MORGAN STANLEY INVESTMENT MGMT MS ULTRA SHORT INC PORTFOLIO		09/12/2019	DIRECT		150,000,000.000	150,000,000		U
<b>9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)</b>						<b>150,000,000</b>	<b>XXX</b>		<b>XXX</b>
<b>9799997. Total - Common Stocks - Part 3</b>						<b>150,000,000</b>	<b>XXX</b>		<b>XXX</b>
<b>9799998. Total - Common Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>9799999. Total - Common Stocks</b>						<b>150,000,000</b>	<b>XXX</b>		<b>XXX</b>
<b>9899999. Total - Preferred and Common Stocks</b>						<b>157,991,818</b>	<b>XXX</b>		<b>XXX</b>
<b>9999999 - Totals</b>						<b>2,279,491,386</b>	<b>XXX</b>	<b>4,566,109</b>	<b>XXX</b>

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues ..... 1



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..228027-AB-4	VESSEL MANAGEMENT SERVIC CROWL 3.477 01/16/37 3.477% 01/16/37		07/16/2019	Redemption 100.0000		159,000	159,000	163,849	163,417		(4,417)		(4,417)		159,000				5,528	01/16/2037	1.....
0599999	<b>Subtotal - Bonds - U.S. Governments</b>					159,000	159,000	163,849	163,417		(4,417)		(4,417)		159,000				5,528	XXX	XXX
..455780-AM-8	AID-INDONESIA AID 6.65 07/15/29 6.650%	C.....	09/18/2019	DEUTSCHE BANK Redemption 100.0000		15,790,862	13,159,052	16,065,491	14,933,292		(83,292)		(83,292)		14,850,000		940,862	940,862	1,033,077	07/15/2029	1Z.....
..455780-AM-8	AID-INDONESIA AID 6.65 07/15/29 6.650%	C.....	07/15/2019	Redemption 100.0000		458,501	458,501	559,770	520,321		(61,820)		(61,820)		458,501				30,490	07/15/2029	1Z.....
..716540-AX-0	PETROLEOS MEXICANOS PEMEX 5 1/2 01/21/21 5.500% 01/21/21	D.....	09/23/2019	TENDER OFFER		10,375,000	10,000,000	9,902,468	9,976,093		8,168		8,168		9,984,260		390,740	390,740	644,722	01/21/2021	2FE.....
1099999	<b>Subtotal - Bonds - All Other Governments</b>					26,624,363	23,617,553	26,527,729	25,429,706		(136,944)		(136,944)		25,292,761		1,331,602	1,331,602	1,708,289	XXX	XXX
..34153P-SJ-8	FLORIDA ST BRD OF EDU PUBLIC E BUILD AMERICA BONDS 5.900% 06/01/39		09/20/2019	Call 100.0000 Redemption 100.0000		5,000,000	5,000,000	4,930,850	4,941,559		1,005		1,005		4,942,565		57,435	57,435	236,819	06/01/2039	1FE.....
..60416S-G4-6	MINNESOTA ST HSG FIN AGY SINGLE FAMILY HSG 4.630% 07/01/38		09/01/2019	Redemption 100.0000		15,000	15,000	15,000	15,000						15,000				423	07/01/2038	1FE.....
..60416S-PY-0	MINNESOTA ST HSG FIN AGY TXBL-REF-RSDL HSG- SER C 3.800% 07/01/31		08/01/2019	Redemption 100.0000		10,000	10,000	10,000	10,000						10,000				387	07/01/2031	1FE.....
..60416S-PZ-7	MINNESOTA ST HSG FIN AGY TXBL-REF-RSDL HSG- SER C 4.200% 07/01/37		08/01/2019	Redemption 100.0000		10,000	10,000	10,000	10,000						10,000				428	07/01/2037	1FE.....
..60416S-YQ-7	MINNESOTA ST HSG FIN AGY SINGLE FAMILY HSG 4.450% 07/01/40		09/01/2019	Redemption 100.0000		25,000	25,000	25,000	25,000						25,000				1,197	07/01/2040	1FE.....
1799999	<b>Subtotal - Bonds - U.S. States, Territories and Possessions</b>					5,060,000	5,060,000	4,990,850	5,001,559		1,005		1,005		5,002,565		57,435	57,435	239,254	XXX	XXX
..478718-A7-4	JOHNSON CNTY KS UNIF SCH DIST BUILD AMERICA BONDS-SER A-TXB 5.900% 09/01/29		09/01/2019	Call 100.0000		1,700,000	1,700,000	1,780,121	1,707,683		(7,683)		(7,683)		1,700,000				100,300	09/01/2029	1FE.....
..623307-ES-0	MOUNT UNION PA AREA SCH DIST BUILD AMERICA BONDS-TAXABLE 6.750% 02/01/31		08/01/2019	Call 100.0000 Redemption 100.0000		3,170,000	3,170,000	3,176,340	3,174,549		93		93		3,174,642		(4,642)	(4,642)	213,975	02/01/2031	1FE.....
..725894-FY-0	PLACENTIA-YORBA LINDA CA SCH GENERAL OBLIGATION 6.650% 08/01/34		08/01/2019	Redemption 100.0000		2,000,000	2,000,000	1,874,060	1,896,533		103,467		103,467		2,000,000				133,000	08/01/2034	1FE.....
..766651-NN-9	RILEY CNTY KS UNIF SCH DIST #3 BUILD AMERICA BONDS-TXB 6.650% 09/01/30		09/03/2019	Call 100.0000		3,905,000	3,905,000	4,073,032	3,919,438		(14,438)		(14,438)		3,905,000				259,683	09/01/2030	1FE.....
..849254-QA-8	SPOTSYLVANIA CNTY VA BUILD AMERICA BONDS 6.000% 07/15/34		08/21/2019	Call 100.0000		500,000	500,000	510,325	500,837		(837)		(837)		500,000				33,000	07/15/2034	1FE.....
2499999	<b>Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>					11,275,000	11,275,000	11,413,878	11,199,040		80,602		80,602		11,279,642		(4,642)	(4,642)	739,958	XXX	XXX
..134041-FK-3	CAMPBELL & KENTON CNTYS KY SAN BUILD AMERICA BONDS-TAXABLE-01 5.900% 08/01/32		09/19/2019	Call 100.0000		8,525,000	8,525,000	8,556,543	8,530,998		(5,998)		(5,998)		8,525,000				570,038	08/01/2032	1FE.....
..140542-CG-4	CAPITAL TRUST AGY FL SENIOR LI NURSING HOME 4.500% 07/01/21		07/01/2019	Call 100.0000		235,000	235,000	235,000	235,000						235,000				5,611	07/01/2021	2FE.....
..131047-DP-7	FEDERAL HOME LOAN BANK FHLB 3.51 03/14/41 3.510% 03/14/41		08/26/2019	Call 100.0000		10,000,000	10,000,000	10,000,000	10,000,000						10,000,000				333,450	03/14/2041	1.....
..31339W-LL-8	FED HI LN MTG CORP FHR 2419 J 7.351% 02/15/32		09/01/2019	Paydown		85,260	85,260	85,260	85,260						85,260				4,142	02/15/2032	1.....
..3133EE-N2-2	FEDERAL FARM CREDIT BANK FFCB 3 5/8 05/21/35 3.625% 05/21/35		07/24/2019	Call 100.0000		10,000,000	10,000,000	10,000,000	10,000,000						10,000,000				244,688	05/21/2035	1.....
..3133EE-QE-3	FEDERAL FARM CREDIT BANK FFCB 3.48 02/20/35 3.480% 02/20/35		07/24/2019	Call 100.0000		20,000,000	20,000,000	19,971,380	19,975,484		646		646		19,976,130		23,870	23,870	645,733	02/20/2035	1.....
..3133EF-A4-9	FEDERAL FARM CREDIT BANK FFCB 3.3 02/22/36 3.300% 02/22/36		09/05/2019	Call 100.0000		30,000,000	30,000,000	30,000,000	30,000,000						30,000,000				1,025,750	02/22/2036	1.....
..3133EF-C8-8	FEDERAL FARM CREDIT BANK FFCB 3.14 02/24/31 3.140% 02/24/31		08/14/2019	Call 100.0000		225,000,000	225,000,000	224,730,000	224,772,111		9,639		9,639		224,781,750		218,250	218,250	6,868,750	02/24/2031	1.....
..3133EF-T2-3	FEDERAL FARM CREDIT BANK FFCB 3.1 03/28/33 3.100% 03/28/33		09/12/2019	Call 100.0000		160,000,000	160,000,000	160,000,000	160,000,000						160,000,000				4,739,556	03/28/2033	1.....
..3133EF-T3-1	FEDERAL FARM CREDIT BANK FFCB 3.2 03/28/36 3.200% 03/28/36		09/06/2019	Call 100.0000		20,000,000	20,000,000	20,000,000	20,000,000						20,000,000				600,889	03/28/2036	1.....

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..3138LC-Z7-3	FNMA POOL FN AN0765 3.910% 01/25/36		09/01/2019	Paydown		13,241	13,241	13,683	13,621		(380)		(380)		13,241				349	01/25/2036	1
..3138LE-XC-0	FNMA DUS FN AN2474 3.350% 08/01/46		09/01/2019	Paydown		3,993	3,993	4,135	4,112		(119)		(119)		3,993				90	08/01/2046	1
..3138LE-Z0-7	FNMA DUS FN AN2550 3.420% 08/01/46		09/01/2019	Paydown		4,769	4,769	4,943	4,915		(147)		(147)		4,769				109	08/01/2046	1
..3138LG-PC-4	FNMA POOL FN AN4018 3.890% 01/01/47		09/01/2019	Paydown		3,121	3,121	3,235	3,221		(100)		(100)		3,121				82	01/01/2047	1
..3138LH-A2-0	FNMA DUS FN AN4524 3.920% 11/01/47		09/01/2019	Paydown		5,428	5,428	5,642	5,614		(186)		(186)		5,428				143	11/01/2047	1
..3138NJ-BD-9	FANNIE MAE FN FN0035 3.290% 12/01/32		09/01/2019	Paydown		39,682	39,682	35,614	36,389		3,293		3,293		39,682				879	12/01/2032	1
..31393E-TS-8	FANNIE MAE FNR 2003-90 BA 5.500% 09/25/33		09/01/2019	Paydown		619,201	619,201	609,240	614,233		4,967		4,967		619,201				23,163	09/25/2033	1
..31398N-5W-0	FANNIE MAE FNR 2010-133 BZ 4.000% 11/25/40		09/01/2019	Paydown		630,275	630,275	556,608	591,195		39,080		39,080		630,275				16,915	11/25/2040	1
..31398S-4P-5	FANNIE MAE FNR 2010-156 ZB 4.000% 12/25/40		09/01/2019	Paydown		778,442	778,442	708,124	748,813		29,628		29,628		778,442				22,687	12/25/2040	1
..31398S-GH-0	FANNIE MAE FNR 2010-129 KZ 4.000% 11/25/40		09/01/2019	Paydown		59,231	59,231	52,583	55,586		3,645		3,645		59,231				1,582	11/25/2040	1
..31398S-SD-6	FANNIE MAE FNR 2010-136 AZ 4.000% 12/25/40		09/01/2019	Paydown		62,158	62,158	54,936	58,222		3,936		3,936		62,158				1,651	12/25/2040	1
..31398S-SF-1	FANNIE MAE FNR 2010-136 MZ 4.000% 12/25/40		09/01/2019	Paydown		70,969	70,969	62,786	66,548		4,421		4,421		70,969				1,893	12/25/2040	1
..31398S-UD-3	FANNIE MAE FNR 2010-138 Z 4.000% 12/25/40		09/01/2019	Paydown		742,069	742,069	657,739	695,015		47,054		47,054		742,069				21,463	12/25/2040	1
..31398S-YU-1	FANNIE MAE FNR 2010-141 BZ 4.000% 12/25/40		09/01/2019	Paydown		390,993	390,993	346,609	366,662		24,331		24,331		390,993				11,366	12/25/2040	1
..36194S-PD-4	GN AU4920 UNION HEALTH GN AU4920 3.020% 09/15/41		09/01/2019	Paydown		858,018	858,018	873,838	870,751		(12,733)		(12,733)		858,018				17,279	09/15/2041	1
..38376R-MF-0	GNMA GNR 2015-H29 VI 1.799% 11/20/65		09/01/2019	Paydown		69,481	69,481	57,960	57,960		(57,960)		(57,960)		69,481				6,478	10/01/2065	1
..38376R-RV-0	GNMA GNMA 2016-H07 1.523% 03/20/66		09/01/2019	Paydown		23,386	23,386	19,974	19,974		(19,974)		(19,974)		23,386				1,880	03/20/2066	1
..485512-KR-7	KANSAS ST TURNPIKE AUTH TURNPI BUILD AMERICA BONDS-SER A-1 6.470% 09/01/31		09/01/2019	Call 100.0000 Redemption 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				129,400	09/01/2031	1FE
..590545-F2-0	MESA AZ UTILITY SYS REVENUE BUILD AMERICA BONDS 6.375% 07/01/33		07/01/2019	Redemption 100.0000		1,140,000	1,140,000	1,140,167					(167)		1,140,000				36,338	07/01/2033	1FE
..590545-G5-2	MESA AZ UTILITY SYS REVENUE BUILD AMERICA BONDS 6.375% 07/01/33		07/01/2019	Call 100.0000		7,640,000	7,640,000	7,628,631					(535)		7,628,096		11,904	11,904	243,525	07/01/2033	1FE
..59334P-CN-5	MIAMI-DADE CNTY FL TRANSIT SAL BUILD AMERICA BOND-TAXABLE-SER 6.710% 07/01/29		07/01/2019	Call 100.0000 Redemption 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				134,200	07/01/2029	1FE
..59334P-CP-0	MIAMI-DADE CNTY FL TRANSIT SAL 6.910% 07/01/39		07/01/2019	Redemption 100.0000		7,000,000	7,000,000	7,668,010	7,573,129		(573,129)		(573,129)		7,000,000				483,700	07/01/2039	1FE
..64469D-B4-2	NEW HAMPSHIRE ST HSG FIN AUTHS TXBL-REF-ACQ-SER A SER A 3.611% 07/01/28		08/01/2019	Redemption 100.0000		20,000	20,000	20,000	20,000						20,000				737	07/01/2028	1FE
..64469D-B5-9	NEW HAMPSHIRE ST HSG FIN AUTHS TXBL-REF-ACQ-SER A SER A 4.077% 01/01/34		08/01/2019	Redemption 100.0000		130,000	130,000	130,000	130,000						130,000				5,438	01/01/2034	1FE
..64469D-E7-2	NEW HAMPSHIRE ST HSG FIN AUTHS SINGLE FAMILY HSG 3.736% 01/01/33		08/01/2019	Redemption 100.0000		35,000	35,000	35,000	35,000						35,000				1,348	01/01/2033	1FE
..677555-YE-8	OHIO ST ECON DEV REVENUE TAXABLE-OHIO ENTERPRISE-SER 2 8.000% 03/01/29		09/01/2019	Redemption 100.0000		15,000	15,000	15,000	15,000						15,000				900	03/01/2029	1FE
..75902J-AR-0	REGL PUBLIC TRANSPRTN AUTH AZ BUILD AMERICA BONDS-TAXABLE-SE 6.310% 07/01/22		07/01/2019	Call 100.0000		3,000,000	3,000,000	3,035,430	3,002,327		(2,327)		(2,327)		3,000,000				189,300	07/01/2022	1FE
..796253-Z6-2	SAN ANTONIO TX ELEC & GAS REVE TXBL 4.077% 02/01/29		09/25/2019	Call 119.0380		8,332,660	7,000,000	7,000,000	7,000,000						7,000,000				1,661,651	02/01/2029	1FE
..796253-Z7-0	SAN ANTONIO TX ELEC & GAS REVE TXBL 4.127% 02/01/30		09/26/2019	Call 121.3560 Redemption 100.0000		8,185,462	6,745,000	6,847,673	6,824,352		(4,345)		(4,345)		6,820,007		(75,007)	(75,007)	1,761,357	02/01/2030	1FE
..917435-AA-7	UTAH ST HSG CORP MILITARY HSG TAXABLE-BOYER HILL-SER A CL I 5.392% 07/01/50		07/01/2019	Redemption 100.0000		34,865	34,865	34,737	34,754		111		111		34,865				1,880	07/01/2050	1FE

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..917435-AB-5	UTAH ST HSG CORP MILITARY HSG TAXABLE-BOYER HILL-SER A-CL 11 5.442% 07/01/50		07/01/2019	Redemption 100.0000		25,000	25,000	23,818	23,955		1,045		1,045		25,000				1,361	07/01/2050	1FE
..983220-ML-8	WYOMING ST CMNTY DEV AUTH HSGR HSG REVENUE BONDS 2017-SER 2 3.750% 12/01/38		09/01/2019	Redemption 100.0000		135,000	135,000	135,000	135,000						135,000				3,797	12/01/2038	1FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						712,024,538	709,251,416	708,718,020	700,337,463		(33,857)		(33,857)		709,072,399		179,017	179,017	25,033,199	XXX	XXX
..000366-AA-2	APOLLO AVIATION SEC EQUITY TRS APOLLO AVIATION SEC EQUITY TRU 3.967% 05/16/42		07/31/2019	DEFAULT ATHENE BROKER		1,146,160	1,135,013	1,135,007	1,135,921		(217)		(217)		1,135,704		10,457	10,457	28,141	05/16/2042	1FE
..000366-AA-2	APOLLO AVIATION SEC EQUITY TRS APOLLO AVIATION SEC EQUITY TRU 3.967% 05/16/42		07/16/2019	Paydown		153,941	153,941	153,940	154,064		(123)		(123)		153,941				3,562	05/16/2042	1FE
..00164T-AA-6	AMC EAST COMMUNITIES LLC 2007 SERIES A CLASS I 5.740% 01/15/28		07/15/2019	Redemption 100.0000		314,031	314,031	328,665	321,289		(7,258)		(7,258)		314,031				18,025	01/15/2028	1FE
..00192J-AD-8	RESECURITIZATION TRUST APS RESECURITIZATION TRUST 2.418% 09/30/55		09/25/2019	Paydown		36,751	36,773	22,432	17,875		18,876		18,876		36,751				2,122	09/30/2055	1FM
..00256D-AB-8	AASET 2019-1 Trust SERIES 20191 CLASS B 4.948% 05/15/39		09/15/2019	Paydown		45,450	45,450	45,449			1		1		45,450				297	05/15/2039	2FE
..00432C-DK-4	Access Group Inc 2007-A Class B 2.682% 02/25/37		08/26/2019	Paydown		291,227	291,227	246,815	255,413		35,814		35,814		291,227				6,993	02/25/2037	2FE
..006346-AS-9	ADAMS OUTDOOR ADVERTISING SERIES 20181 CLASS A 4.810% 11/15/48		09/15/2019	Paydown		8,948	8,948	8,947	8,947						8,948				287	11/15/2048	1FE
..007036-PG-5	ADJUSTABLE RATE MORTGAGE TRUST ARMT 2005-6A 2A1 2.638% 11/25/35		09/25/2019	Paydown		67,441	67,441	56,461	57,583		9,859		9,859		67,441				1,375	11/25/2035	3FM
..007036-VG-8	ADJUSTABLE RATE MORTGAGE TRUST Adj Rate Mtge Trust 2005-11 4A 3.921% 02/01/56		09/01/2019	Paydown		193,901	195,454	139,645	139,499		54,403		54,403		193,901				5,254	02/01/2056	1FM
..00764M-CF-2	AEGIS ABS TRUST 2004-3 M1 2.918% 09/25/34		09/25/2019	Paydown		158,681	158,681	105,409	139,935		18,747		18,747		158,681				3,710	09/25/2034	1FM
..01185*-AA-3	ALASKA VENTURES LLC ALASKA VENTURES LLC 4.670% 06/30/33		09/30/2019	Redemption 100.0000		295,738	295,738	295,738	295,738						295,738				17,275	06/30/2033	2PL
..01185*-AB-1	ALASKA VENTURES LLC ALASKA VENTURES 4.67 6/30/33 4.670% 06/30/33		09/30/2019	Redemption 100.0000		78,712	78,712	78,712							78,712				388	06/30/2033	2PL
..015271-AC-3	ALEXANDRIA REAL ESTATE E ARE 4.6 04/01/22		07/17/2019	TENDER OFFER		21,224,200	20,000,000	20,101,720	20,035,899		(6,138)		(6,138)		20,029,761		1,194,439	1,194,439	730,889	04/01/2022	2FE
..020908-AH-2	COUNTRYWIDE ALTERNATIVE LN TR CIALT 2007-J2 2A5 6.000% 07/25/37		09/01/2019	Paydown		260,521	353,722	277,081	259,535		986		986		260,521				12,698	07/25/2037	1FM
..02146T-AY-3	COUNTRYWIDE ALT LOAN TRUST 2006-24CB A23 6.000% 06/25/36		09/01/2019	Paydown		20,053	20,851	15,013	14,113		5,940		5,940		20,053				880	06/25/2036	1FM
..02147G-AF-1	COUNTRYWIDE ALTERNATIVE LN TR 2006-21CB A6 3.582% 07/25/36		09/25/2019	Paydown				25,987	9,131		(9,131)		(9,131)						3,399	07/25/2036	6FE
..02147R-AG-5	COUNTRYWIDE ALT LOAN TRUST 2006-23CB 1A7 6.000% 08/25/36		09/01/2019	Paydown		43,233	45,635	35,089	36,061		7,172		7,172		43,233				1,762	08/25/2036	1FM
..02147R-AJ-9	COUNTRYWIDE ALT LOAN TRUST CIALT 2006-23CB 1A9 6.000% 08/25/36		09/01/2019	Paydown		55,259	58,329	50,997	51,656		3,604		3,604		55,259				2,252	08/25/2036	1FM
..02147V-AA-9	COUNTRYWIDE ALTERNATIVE LN TR 2006-25C A1 6.000% 05/25/42		09/01/2019	Paydown		31,120	35,380	22,638	19,402		11,718		11,718		31,120				1,505	05/25/2042	1FM
..02149M-AQ-2	COUNTRYWIDE ALTERNATIVE LN TR 2007-J1 2A2 3.782% 03/25/37		09/25/2019	Paydown				811	769	403	(1,172)		(769)						109	03/25/2037	6FE
..02149V-AJ-8	COUNTRYWIDE ALTERNATIVE LN TR CIALT 2007-3T1 1A9 6.000% 04/25/37		09/01/2019	Paydown		205,712	323,636	236,525	169,007		36,705		36,705		205,712				13,107	04/25/2037	1FM
..02150E-BG-7	COUNTRYWIDE ALT LOAN TRUST CIALT 2007-5CB 1A31 5.500% 04/25/37		09/01/2019	Paydown		26,936	31,338	25,560	24,765		2,171		2,171		26,936				1,149	04/25/2037	2FM
..02150V-AD-7	COUNTRYWIDE ALT LOAN TRUST COUNTRYWIDE ALT LOANTRUST 2.248% 08/25/37		09/25/2019	Paydown		55,208	56,324	50,876	56,324		(1,116)		(1,116)		55,208				1,027	08/25/2037	3FM
..02151B-BX-5	COUNTRYWIDE ALTERNATIVE LN TR CIALT 2007-22 1A17 6.250% 09/25/37		09/01/2019	Paydown		119,038	118,053	100,112	84,147		34,891		34,891		119,038				4,395	09/25/2037	1FM
..02151C-AX-4	COUNTRYWIDE ALTERNATIVE LN TR CIALT 2007-15CB A13 5.750% 07/25/37		09/01/2019	Paydown		98,903	119,040	92,424	73,890		25,014		25,014		98,903				4,351	07/25/2037	1FM

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**SCHEDULE D - PART 4**

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										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..02153L-AA-2	ALTEIRA FINANCE LLC MKL 6 1/4 09/30/20		09/23/2019	TENDER OFFER		7,790,250	7,500,000	7,499,925	7,499,997				1		7,499,998		290,252	290,252	459,635	09/30/2020	2FE
..023767-AA-4	AMERICAN AIRLINES AAL 5 1/4 01/31/21 5.250%		07/31/2019	Redemption 100.0000		252,811	252,811	252,811	252,811						252,811				13,273	01/31/2021	1FE
..02377B-AA-4	AMER AIRLN 15-2 A PASS T AAL 4 09/22/27		09/22/2019	Redemption 100.0000		592,179	592,179	592,179	592,179						592,179				23,687	09/22/2027	1FE
..02378A-AA-5	AMER AIRLINE 17-1 A PTT AAL 4 02/15/29		08/15/2019	Redemption 100.0000		95,000	95,000	95,000	95,000						95,000				3,800	02/15/2029	1FE
..02378L-AA-1	AMERICAN AIRLINES AMERICAN AIRLINES 2017-1C EETC 5.180% 08/15/23		08/15/2019	Redemption 100.0000		503,148	503,148	503,148	503,148						503,148				26,063	08/15/2023	2PL
..02660T-DJ-9	AMERICAN HOME MTG INV TRUST 2005-1 7A1		09/01/2019	Paydown		108,297	108,297	98,127	109,364		(1,067)		(1,067)		108,297				2,850	06/25/2045	1FM
..02660T-FJ-7	AMERICAN HOME MTG INV TRUST AHM 2005-2 5A4C		09/01/2019	Paydown		73,452	73,452	56,776	57,403		16,049		16,049		73,452				1,968	09/25/2035	1FM
..032479-AD-9	ANADARKO FINANCE CO 7.500% 05/01/31		09/18/2019	Tax Free Exchange		3,368,451	2,638,000	3,589,421	3,402,339		(33,888)		(33,888)		3,368,451				176,856	05/01/2031	2FE
..032511-AY-3	ANADARKO PETROLEUM CORP APC 6.45 09/15/36		09/18/2019	Tax Free Exchange		11,532,058	10,000,000	11,882,800	11,571,997		(39,939)		(39,939)		11,532,058				650,375	09/15/2036	2FE
..032511-BJ-5	ANADARKO PETROLEUM CORP APC 3.45 07/15/24		09/18/2019	Tax Free Exchange		7,625,147	8,000,000	7,395,680	7,577,470		47,678		47,678		7,625,147				332,300	07/15/2024	2FE
..032511-BK-2	ANADARKO PETROLEUM CORP APC 4 1/2 07/15/44		09/18/2019	Tax Free Exchange		14,957,685	15,000,000	14,952,749	14,957,030		654		654		14,957,685				808,125	07/15/2044	2FE
..03350W-AC-3	ANDEAVOR LOGIS LP/CORP ANDX 4 1/4 12/01/27		09/23/2019	Tax Free Exchange		23,931,515	24,000,000	23,921,200	23,926,681		4,834		4,834		23,931,515				851,333	12/01/2027	2FE
..038779-AA-2	ARBYS FUNDING ARBYS FUNDING LLC 4.969%		07/31/2019	DEFAULT ATHENE BROKER		3,808,158	3,712,500	3,712,500	3,712,500						3,712,500		95,658	95,658	138,356	10/30/2045	2FE
..038779-AA-2	ARBYS FUNDING ARBYS FUNDING LLC 4.969%		07/30/2019	Paydown		9,643	9,643	9,643	9,643						9,643				359	10/30/2045	2FE
..05178T-AA-9	AURORA MILITARY HOUSING III AURMIL 5.82 07/15/34 5.820% 07/15/34		07/15/2019	Redemption 100.0000		156,539	156,539	156,539	156,539						156,539				9,111	07/15/2034	1FE
..05377R-CP-7	AESOP FUNDING II LLC AVIS BUDGET RENTAL CAR FUNDING 4.830% 11/20/22		07/31/2019	DEFAULT ATHENE BROKER		3,309,829	3,229,583	3,228,215	3,232,937		(1,126)		(1,126)		3,231,812		78,018	78,018	95,760	11/20/2022	2FE
..05377R-CS-1	AESOP FUNDING II LLC AVIS BUDGET RENTAL CAR 4.150% 09/20/23		07/31/2019	DEFAULT ATHENE BROKER		3,340,207	3,273,333	3,272,266	3,272,621		(267)		(267)		3,272,354		67,853	67,853	83,393	09/20/2023	2FE
..05526D-AR-6	BAT CAPITAL CORP BASIC 2.297% 08/14/20		09/16/2019	Redemption 100.2870		6,017,220	6,000,000	5,970,894	5,980,566		45,105		45,105		6,017,220				92,965	08/14/2020	2FE
..05531U-AD-2	BCAP LLC TRUST BCAP LLC TRUST 6.000%		09/01/2019	Paydown		78,588	77,650	61,828	60,206		18,382		18,382		78,588				3,089	02/26/2037	1FM
..05531X-AG-9	BCAP LLC TRUST BCAP LLC TRUST 6.000%		09/01/2019	Paydown		122,143	183,855	148,620	150,872		(28,729)		(28,729)		122,143				7,338	07/28/2037	2FM
..05531Y-AB-8	BCAP LLC TRUST BCAP 2009-RR6 1A2 Mtge		09/01/2019	Paydown		270,161	270,161	245,678	254,853		15,308		15,308		270,161				8,097	10/26/2035	1FM
..05532E-AD-7	BCAP LLC TRUST BCAP LLC TRUST 4.254%		09/01/2019	Paydown		94,327	106,556	89,576	91,698		2,629		2,629		94,327				2,912	08/26/2035	1FM
..05532E-AP-0	BCAP LLC TRUST BCAP 2009-RR10 7A2 MTGE		09/01/2019	Paydown		358,953	358,953	324,852	332,378		26,574		26,574		358,953				10,753	10/26/2035	1FM
..05532U-AL-3	BCAP LLC TRUST BCAP LLC TRUST 6.100%		09/01/2019	Paydown		266,060	266,060	265,062	264,983		1,077		1,077		266,060				10,881	04/28/2037	1FM
..05532V-BB-2	BCAP LLC TRUST BCAP LLC TRUST 2.618%		09/25/2019	Paydown		100,130	100,130	75,473	76,089		24,041		24,041		100,130				1,948	08/26/2037	1FM
..05536L-AD-7	BCAP LLC TRUST BCAP 2011-RR8 1A4 4.575%		09/01/2019	Paydown		229,352	229,164	180,788	184,181		45,171		45,171		229,352				6,411	07/26/2036	1FM
..05537L-AA-2	BCAP BCAP 2011-RR10 1A1 5.000% 03/26/37		09/01/2019	Paydown		53,539	53,539	53,740	53,630		(90)		(90)		53,539				1,788	03/26/2037	1FM
..05539B-AY-0	BCAP LLC TRUST 4.160% 07/26/37		09/01/2019	Paydown		520,700	520,700	488,481	520,431		269		269		520,700				11,309	01/01/2020	1FM
..05540X-AJ-2	BCAP LLC TRUST 3.551% 04/26/37		08/01/2019	Paydown		90,083	90,083	83,890	89,733		350		350		90,083				1,794	04/26/2037	1FM

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..05540X-AT-0	BCAP LLC TRUST 3.598% 07/26/37		09/01/2019	Paydown		816,331	816,331	778,320	815,455			876	876		816,331				17,537	07/26/2037	1FM.....
..05577@-AG-5	BTMJ CAP CORP BNSF RAILWAY CORP SERIES A 6.550% 02/26/21		08/26/2019	Redemption	100.0000	111,490	111,490	111,490	111,490						111,490				7,303	02/26/2021	1FE.....
..05577@-AH-3	BTMJ CAP CORP BNSF RAILWAY CORP SERIES B 6.550% 02/26/21		08/26/2019	Redemption	100.0000	107,302	107,302	107,302	107,302						107,302				7,028	02/26/2021	1FE.....
..05577@-AJ-9	BTMJ CAP CORP BNSF RAILWAY CORP SERIES C 6.550% 02/26/21		08/26/2019	Redemption	100.0000	32,715	32,715	32,715	32,715						32,715				2,143	02/26/2021	1FE.....
..05577@-AK-6	BTMJ CAP CORP BNSF RAILWAY CORP SERIES D 6.550% 02/26/21		08/26/2019	Redemption	100.0000	33,513	33,513	33,513	33,513						33,513				2,195	02/26/2021	1FE.....
..05577@-AM-2	BTMJ CAP CORP BNSF RAILWAY CORP SERIES E 6.550% 02/26/21		08/26/2019	Redemption	100.0000	13,286	13,286	13,286	13,286						13,286				870	02/26/2021	1FE.....
..059469-AE-6	BANC OF AMERICA ALT LOAN BANC OF AMERICA ALT LOAN 6.226% 01/01/56		09/01/2019	Paydown		130,923	130,923	83,423	75,102		55,821		55,821		130,923				2,747	01/01/2056	1FM.....
..05946X-XH-1	BANC OF AMERICA MORTGAGE SEC BAFC 2005-E 1A1 2.624% 05/20/35		09/20/2019	Paydown		63,294	89,929	61,489	79,784		(16,490)		(16,490)		63,294				1,842	05/20/2035	1FM.....
..059475-AB-9	BANC OF AMERICA ALT LN TR BOAA 2007-2 1A2 5.500% 06/25/37		09/01/2019	Paydown		21,869	32,254	25,091	22,899		(1,030)		(1,030)		21,869				1,171	06/25/2037	1FM.....
..059487-AC-2	BANC AMERICA ALT LOAN BOAA 2006-6CB3 6.000% 07/25/46		09/01/2019	Paydown		47,113	56,531	46,638	47,121		(8)		(8)		47,113				2,247	07/25/2046	1FM.....
..05948K-Y3-7	BANC OF AMERICA ALT LOAN TRUST 2005-12 1CB5 5.750% 01/25/36		09/01/2019	Paydown		36,213	37,624	33,815	34,382		1,831		1,831		36,213				1,443	01/25/2036	1FM.....
..05949A-6J-4	BANC OF AMERICA MORTGAGE 2005-L 2A5 4.710% 01/25/36		09/01/2019	Paydown		438,948	439,513	317,823	374,045		64,903		64,903		438,948				13,779	06/25/2035	1FM.....
..05949C-PL-4	BANC OF AMERICA MORTGAGE 2005-L 2A5 4.710% 01/25/36		09/01/2019	Paydown		3,232	3,808	2,995	3,567		(336)		(336)		3,232				120	01/25/2036	1FM.....
..05949T-BF-5	BANC OF AMERICA FUNDING CORP BAFC 2006-1 3A1 5.500% 01/25/36		09/01/2019	Paydown		34,665	36,385	32,351	31,669		2,997		2,997		34,665				1,331	01/25/2036	1FM.....
..05951F-AK-0	BANC AMERICA FUNDING CORP BANC AMERICA FUNDING CORP 5.847% 07/01/55		09/01/2019	Paydown		19,816	31,624	25,207	26,832		(7,016)		(7,016)		19,816				1,027	07/01/2055	1FM.....
..05951G-AC-6	BANC AMERICA FUNDING CORP 2.148% 03/25/37		09/25/2019	Paydown		90,144	96,068	66,407	67,202		22,942		22,942		90,144				1,718	03/25/2037	1FM.....
..059522-BE-1	BANC OF AMERICA FUNDING CORP BANC OF AMERICA FUNDING CORP 4.438% 05/20/36		09/01/2019	Paydown		82,422	87,384	86,505	86,625		(4,203)		(4,203)		82,422				2,582	05/20/2036	1FM.....
..059529-AJ-6	BANC OF AMERICA FUNDING CORP BAFC 2007-7 3A2 5.215% 09/25/37		09/01/2019	Paydown		281,742	365,028	282,130	249,041		32,701		32,701		281,742				12,942	09/25/2037	1FM.....
..05952F-AK-9	BANC OF AMERICA MORTGAGE SEC BOAMS 2007-2 A10 5.850% 05/25/37		09/01/2019	Paydown		44,355	52,500	42,822	44,628		(273)		(273)		44,355				2,137	05/25/2037	2FM.....
..05952X-AE-4	BANC OF AMERICA FUNDING BANC OF AMERICA FUNDING CORP 6.000% 07/26/36		09/01/2019	Paydown		241,643	256,887	199,088	213,901		27,742		27,742		241,643				10,561	07/26/2036	1FM.....
..05963S-AE-2	BANC OF AMERICA FUNDING BACF 14 -R7 5.174% 09/26/36		09/25/2019	Paydown		21,661	12,753	14,825	14,825		(14,825)		(14,825)						1,728	09/26/2036	2FM.....
..07386H-XN-6	BEAR STEARNS ALT A TRUST BEAR STEARNS ALTA TRUST 2.538% 11/25/35		09/25/2019	Paydown		480,062	504,708	462,784	461,925		18,136		18,136		480,062				10,908	11/25/2035	1FM.....
..07386H-ZE-4	BEAR STEARNS ALT A TRUST BEAR STEARNS ALT A TRUST 4.268% 01/25/36		09/01/2019	Paydown		137,074	147,871	118,940	111,894		25,180		25,180		137,074				4,116	01/25/2036	1FM.....
..073875-AK-2	BEAR STEARNS ALT A TRUST BEAR STEARNS ALT A TRUST 4.023% 12/25/46		09/01/2019	Paydown		255,544	262,060	183,934	167,742		87,802		87,802		255,544				6,685	12/25/2046	1FM.....
..073879-PS-1	BEAR STEARNS ASSET BACKED SEC 2005-HE1 M2 3.263% 01/25/35		09/25/2019	Paydown		86,641	86,641	67,430	77,453		9,189		9,189		86,641				2,194	01/25/2035	1FM.....
..07387A-BR-5	BEAR STEARNS ADJ RATE MTGE BEAR STEARNS ADJ RATE MTGE 4.257% 08/25/35		09/01/2019	Paydown		21,596	21,476	19,382	18,767		2,828		2,828		21,596				614	08/25/2035	1FM.....
..07389K-AA-9	BEAR STEARNS ALT A TRUST I1 SER 07-1 CLASS 1A1 3.920% 09/25/47		09/01/2019	Paydown		224,186	247,602	169,905	149,496		74,690		74,690		224,186				6,436	09/25/2047	1FM.....
..07401A-AA-5	BEAR STEARNS MTGE FUNDING BEAR STEARNS MTGE FUNDING 2.218% 09/25/46		09/25/2019	Paydown		175,728	187,811	153,594	139,254		36,474		36,474		175,728				3,634	09/25/2046	1FM.....

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..084423-AQ-5	BERKLEY (WR) CORPORATION WRB 7 3/8 09/15/19 7.375% 09/15/19		09/15/2019	Maturity		10,000,000	10,000,000	10,000,000	10,000,000						10,000,000				737,500	09/15/2019	2FE
..09256B-AB-3	BLACKSTONE HOLDINGS FINA BX 5 7/8 03/15/21 5.875% 03/15/21		09/10/2019	TENDER OFFER		25,347,120	24,000,000	23,972,610	23,995,058		1,439		1,439		23,996,497		1,350,623	1,350,623	1,390,417	03/15/2021	1FE
..10510K-AA-5	BRAMBLES USA INC BXB AU 5.35 04/01/20 5.350% 04/01/20		07/05/2019	Call Redemption	100.0000 100.0000	900,000	900,000	974,016	913,164		(5,307)		(5,307)		907,857		(7,857)	(7,857)	24,075	04/01/2020	2FE
..11043H-AA-6	BRITISH AIR 18-1 A PTT IAGLN 4 1/8 09/20/31 4.125% 09/20/31		09/20/2019	Business Jet Securities, LLC SERIES 20181 CLASS A 4.335% 02/15/33		166,465	166,465	166,465	166,465						166,465				5,150	09/20/2031	1FE
..123262-AN-7	Business Jet Securities, LLC BUSINESS JET SECURITIES LLC 6.048% 02/15/33		09/15/2019	Paydown		18,966	18,966	18,965	18,966						18,966				764	02/15/2033	2FE
..123262-AQ-0	Business Jet Securities, LLC SERIES 20191 CLASS A 4.212% 07/15/34		09/15/2019	Paydown		1,255,822	1,255,822	1,255,779			.44		.44		1,255,822				6,677	07/15/2034	1FE
..123262-AA-0	Business Jet Securities, LLC SERIES 20182 CLASS A 4.447% 06/15/33		09/15/2019	Paydown		599,686	599,686	599,675	599,685		.1		.1		599,686				17,779	06/15/2033	1FE
..12543R-AT-6	COUNTRYWIDE HOME LOANS CWHL 2007-3 A18 6.000% 04/25/37		09/01/2019	Paydown		91,380	163,244	137,568	125,079		(33,700)		(33,700)		91,380				6,912	04/25/2037	1FM
..12543R-BJ-7	COUNTRYWIDE HOME LOANS CWHL 2007-3 A33 6.000% 04/25/37		09/01/2019	Paydown		98,996	176,849	143,807	130,592		(31,596)		(31,596)		98,996				7,488	04/25/2037	1FM
..12543T-AB-1	COUNTRYWIDE HOME LOANS CWHL 2006-13 1A2 6.250% 09/25/36		09/01/2019	Paydown		962	66,081	54,030	46,654		(45,692)		(45,692)		962				2,414	09/25/2036	1FM
..12543U-AE-2	COUNTRYWIDE HOME LOANS CWHL 2006-15 A5 6.250% 10/25/36		09/01/2019	Paydown		43,658	44,400	36,152	31,030		12,628		12,628		43,658				2,001	10/25/2036	1FM
..12544D-AW-9	COUNTRYWIDE HOME LOANS CWHL 2007-14 A21 6.250% 09/25/37		09/01/2019	Paydown		23,772	20,679	18,074	16,685		7,087		7,087		23,772				1,046	09/25/2037	1FM
..12544L-AQ-4	COUNTRYWIDE HOME LOANS CWHL 2007-11 A15 6.000% 08/25/37		09/01/2019	Paydown		69,543	107,555	93,668	80,763		(11,220)		(11,220)		69,543				4,038	08/25/2037	1FM
..12545A-AX-2	COUNTRYWIDE HOME LOANS CWHL 2007-8 1A22 6.000% 01/25/38		09/01/2019	Paydown		106,496	104,909	93,069	79,770		26,726		26,726		106,496				4,594	01/25/2038	1FM
..12545B-AC-6	COUNTRYWIDE HOME LOANS CWHL 2008-3R 2A1 6.000% 08/25/37		09/01/2019	Paydown		182,475	264,292	240,888	215,255		(32,780)		(32,780)		182,475				9,835	08/25/2037	1FM
..12556M-AB-0	CIM Trust SERIES 2019H1 CLASS 1A2 3.500% 08/25/49		09/01/2019	Paydown		1,271,223	1,271,223	1,288,305			(17,082)		(17,082)		1,271,223				3,708	08/25/2049	1
..125634-AN-5	CLIF FUNDING LLC CLI FUNDING LLC 3.290% 06/18/29		09/18/2019	Paydown		92,621	92,621	86,319	88,926		3,695		3,695		92,621				1,974	06/18/2029	1FE
..125634-AQ-8	CLIF FUNDING LLC CLI FUNDING LLC 3.380% 10/18/29		09/18/2019	Paydown		80,894	80,894	75,860	77,932		2,962		2,962		80,894				1,932	10/18/2029	1FE
..12563L-AE-7	CLIF FUNDING LLC CLI FUNDING LLC 3.620% 05/18/42		09/18/2019	Paydown		51,820	51,820	51,814	51,898		(78)		(78)		51,820				1,252	05/18/2042	1FE
..12564A-AA-8	CLIF FUNDING LLC SERIES 20181H CLASS A 6.020% 08/19/43		09/19/2019	Paydown		763,439	763,439	763,374	763,374		.65		.65		763,439				32,476	08/19/2043	2FE
..12566V-AE-2	CITIMORTGAGE ALT LN TR CMALT 2007-A4 1A5 5.750% 04/25/37		09/01/2019	Paydown		186,903	211,176	163,597	163,471		23,433		23,433		186,903				8,113	04/25/2037	1FM
..12624P-AF-2	COMM MORTGAGE TRUST COMM 2012-CR3 XA 1.861% 11/15/45		09/01/2019	Paydown		60,619		23,111			(23,111)		(23,111)						5,542	11/15/2045	1FE
..12624Q-AS-2	COMMERCIAL MORTGAGE TRUST COMM 2012-CR4 XA 1.702% 10/15/45		09/01/2019	Paydown				758,372	305,360		(305,360)		(305,360)						112,942	10/15/2045	1FE
..12625F-AF-3	COMMERCIAL MORTGAGE TRUST COMM 2013-CR7 XA 1.214% 03/10/46		09/01/2019	Paydown				495,373	217,890		(217,890)		(217,890)						51,752	03/10/2046	1FE
..12625U-BC-6	COMM MORTGAGE TRUST COMM 2013-CR9 XA 0.116% 07/10/45		09/01/2019	Paydown				24,679	5,833		(5,833)		(5,833)						1,184	07/10/2045	1FE
..126379-AH-9	CREDIT SUISSE MORTGAGE CAP CER CSMC 2007-4 2A3 6.000% 06/25/37		09/01/2019	Paydown		39,613	35,524	29,278	31,892		7,721		7,721		39,613				1,728	06/25/2037	3FM

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**SCHEDULE D - PART 4**

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
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..12638P-BT-5	CREDIT SUISSSE MORTGAGE CAP CER CSMC 2007-3 444 5.500% 04/25/37		09/01/2019	Paydown		244,861	244,861	204,459	220,422		24,438		24,438		244,861				8,979	04/25/2037	1FM
..12641R-AS-9	CREDIT SUISSSE MTGE TRUST Credit suisse mtge trust 5.500% 10/26/35		09/01/2019	Paydown			48,134	38,026	38,786		(38,786)		(38,786)						1,762	10/26/2035	1FM
..12641T-AS-5	CREDIT SUISSSE MTGE CAPITAL CREDIT SUISSSE MTGE TRUST 4.335% 07/26/49		09/01/2019	Paydown		104,654	104,682	84,858	89,165		15,489		15,489		104,654				2,993	07/26/2049	1FM
..12645Q-AC-2	CREDIT SUISSSE MTGE TRST CREDIT SUISSSE MTGE TRST 4.553% 12/01/55		09/01/2019	Paydown		210,390	246,238	161,709	177,385		33,005		33,005		210,390				7,539	12/01/2055	1FM
..12645Q-ON-6	CREDIT SUISSSE MTGE TRST CREDIT SUISSSE MTGE CAPITAL 4.117% 07/28/36		09/01/2019	Paydown		186,111	205,938	139,008	135,333		50,778		50,778		186,111				6,139	07/28/2036	1FM
..12645V-AY-3	CREDIT SUISSSE MTGE TRST CREDIT SUISSSE MTGE TRUST 3.886% 02/27/37		09/01/2019	Paydown			82,432	56,569	62,374		(62,374)		(62,374)						2,330	02/27/2037	1FM
..12646P-AE-9	CREDIT SUISSSE MTGE TRUST 3.777% 05/28/36		09/01/2019	Paydown		569,525	562,807	449,191	479,103		90,422		90,422		569,525				14,296	05/28/2036	1FM
..12646P-CW-7	CREDIT SUISSSE MTGE TRUST CREDIT SUISSSE TRUST 4.024% 03/27/36		09/01/2019	Paydown		118,672	143,944	107,238	114,153		4,519		4,519		118,672				3,870	03/27/2036	1FM
..12646T-CE-9	CREDIT SUISSSE MTGE TRUST CREDIT SUISSSE MORTGAGE TRST 4.041% 10/27/36		09/01/2019	Paydown Redemption	99.4809	130,307	129,647	107,850	106,262		24,045		24,045		130,307				3,829	10/27/2036	1FM
..126650-BS-8	CVS HEALTH CORP 144A 7.507% 01/10/32		09/10/2019			918	923	923	923		(5)		(5)		918				65	01/10/2032	2FE
..126671-YC-4	CIVIL RESIDENTIAL ASSET SEC TR 3.068% 03/25/33		09/25/2019	Paydown		71,550	71,550	63,500	72,179		(630)		(630)		71,550				1,674	03/25/2033	1FM
..12667F-2R-5	COUNTRYWIDE ALT LN TR CIALT 2005-1CB 2A2 5.500% 03/25/35		09/01/2019	Paydown		12,085	9,474	7,273	6,378		5,706		5,706		12,085				469	03/25/2035	1FM
..12667F-A4-7	COUNTRYWIDE ALT LN TR 2004-33 3A1 4.285% 12/25/34		09/01/2019	Paydown		1,731	1,731	1,627	1,773		(42)		(42)		1,731				44	12/25/2034	1FM
..12667F-R6-4	COUNTRYWIDE ALT LN TR COUNTRYWIDE ALT LOAN TRST 5.500% 03/01/36		09/01/2019	Paydown		90,524	107,812	99,935	102,263		(11,739)		(11,739)		90,524				3,842	03/01/2036	1FM
..12668A-AG-0	COUNTRYWIDE ALT LOAN TRUST 2005-47CB A7 5.500% 10/25/35		09/01/2019	Paydown		61,504	61,195	47,616	46,725		14,778		14,778		61,504				2,250	10/25/2035	1FM
..12668A-Q2-4	COUNTRYWIDE ALT LOAN TRUST CIALT 2005-J14 A3 5.500% 12/25/35		09/01/2019	Paydown		244,048	252,015	195,687	194,469		49,580		49,580		244,048				9,719	12/25/2035	1FM
..12668A-X9-1	COUNTRYWIDE ALT LOAN TRUST 2005-65CB 1A7 5.500% 01/25/36		09/01/2019	Paydown		6,789	7,030	6,011	5,546		1,243		1,243		6,789				264	01/25/2036	1FM
..12668B-VB-6	COUNTRYWIDE ALT LN TR CIALT 2006-9T1 A2 5.750% 05/25/36		09/01/2019	Paydown		103,388	150,675	116,003	85,558		17,831		17,831		103,388				5,963	05/25/2036	1FM
..12668B-XC-2	COUNTRYWIDE ALT LN TR CIALT 2006-12CB A7 6.000% 05/25/36		09/01/2019	Paydown		17,225	24,000	18,840	18,102		(877)		(877)		17,225				916	05/25/2036	1FM
..126694-GU-6	COUNTRYWIDE HOME LOANS CWHL 2005-23 A1 5.500% 11/25/35		09/01/2019	Paydown		65,084	63,750	54,251	54,454		10,630		10,630		65,084				2,491	11/25/2035	2FM
..126694-JG-4	COUNTRYWIDE HOME LOANS CWHL 2005-R3 AF MTGE 2.418% 09/25/35		09/25/2019	Paydown		153,544	153,544	136,701	139,841		13,703		13,703		153,544				2,926	09/25/2035	1FM
..126694-JH-2	COUNTRYWIDE HOME LOANS COUNTRYWIDE HOME LOAN 3.920% 09/25/35		09/01/2019	Paydown				44,773	33,936		(33,936)		(33,936)						6,929	09/25/2035	5FE
..126694-JT-6	COUNTRYWIDE HOME LOANS CWHL 2005-24 A3 5.500% 11/25/35		09/01/2019	Paydown		95,940	101,017	86,496	90,853		5,087		5,087		95,940				3,859	11/25/2035	3FM
..126694-K3-1	COUNTRYWIDE HOME LOANS CWHL 2006-6 A1 6.000% 04/25/36		09/01/2019	Paydown		18,742	20,931	19,842	17,900		841		841		18,742				855	04/25/2036	3FM
..126694-NV-6	COUNTRYWIDE HOME LOANS 2005-27 2A1 5.500% 12/25/35		09/01/2019	Paydown		126,481	126,935	110,766	96,666		29,815		29,815		126,481				5,243	12/25/2035	1FM
..126694-TD-0	COUNTRYWIDE HOME LOANS CWHL 2005-29 A1 5.750% 12/25/35		09/01/2019	Paydown		233,091	181,643	159,499	133,975		99,115		99,115		233,091				10,940	12/25/2035	1FM
..126694-TS-7	COUNTRYWIDE HOME LOANS CWHL 2005-30 A3 5.500% 01/25/36		09/01/2019	Paydown		68,372	162,741	142,065	134,084		(65,712)		(65,712)		68,372				5,989	01/25/2036	1FM
..126694-VM-7	COUNTRYWIDE HOME LOANS 2005-HY10 CLASS 3A1A 4.184% 02/20/36		09/01/2019	Paydown		60,172	60,234	44,272	49,957		10,215		10,215		60,172				1,752	02/20/2036	1FM

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..12669D-6H-6	COUNTRYWIDE HOME LOANS CWHL 2003-J3 1A10 5.750% 05/25/33		09/01/2019	Paydown		12,685	12,685	11,797	12,063		622		622		12,685				486	05/25/2033	1FM
..12669F-RG-0	COUNTRYWIDE HOME LOANS CWHL 2004-4 A5 5.250% 05/25/34		09/01/2019	Paydown		180,874	180,874	182,231	181,277		(403)		(403)		180,874				6,697	05/25/2034	1FM
..12669G-K6-7	COUNTRYWIDE ALT LN TR CWHL 2005-14 A2 5.500% 07/25/35		09/01/2019	Paydown		22,195	22,195	18,117	19,046		3,149		3,149		22,195				818	07/25/2035	1FM
..12702*-AA-4	CVS LSE BACKD PT PP TR SER 201 CVS LSE BKD PT PP TR SERIES 2 3.901% 10/10/39		09/10/2019	Redemption 100.0000		37,645	37,645	37,645	37,645						37,645				979	10/10/2039	2
..12803P-AB-4	CAJUN GLOBAL LLC CAJUN GLOBAL LLC 6.500% 08/20/47		08/20/2019	Paydown Redemption 100.0000		30,000	30,000	29,767	30,749		(749)		(749)		30,000				1,463	08/20/2047	2FE
..12806*-AH-4	CAITHNESS LONG ISLAND LLC 5.710% 01/15/32		07/15/2019	Paydown		218,060	218,060	218,060	218,060						218,060				12,451	01/15/2032	2PL
..14069B-AA-2	CAPMARK MILITARY HSG CPMRK 2007-AETC A1 5.746% 02/10/52		09/10/2019	Paydown		35,667	35,667	32,279	32,587		3,080		3,080		35,667				1,367	02/10/2052	1
..14069E-AA-6	CAPMARK MILITARY HOUSING TRUST CPMRK 2007-ACC A1 6.084% 07/10/52		09/10/2019	Paydown Redemption 100.0000		77,215	77,215	84,900	84,539		(7,325)		(7,325)		77,215				3,133	07/10/2052	1FE
..14173*-AA-6	CARESOURCE DMANAGEMENT GRP CO CARESOURCE MANAGEMENT GROUP CO 4.670% 03/15/44		09/15/2019	Paydown		37,022	37,022	37,022	37,022						37,022				1,153	03/15/2044	1
..14313*-AB-9	CARMAX AUTO SUPERSTORES INC. CARMAX AUTO SUPERSTORES INC 4.170% 04/27/26		09/06/2019	SEAPORT		2,637,300	2,500,000	2,500,000	2,500,000						2,500,000		137,300	137,300	92,667	04/27/2026	2
..14313*-AC-7	CARMAX AUTO SUPERSTORES INC. CARMAX AUTO SUPERSTORES INC 4.270% 04/27/28		09/06/2019	SEAPORT		1,069,070	1,000,000	1,000,000	1,000,000						1,000,000		69,070	69,070	37,956	04/27/2028	2
..144531-AE-0	CARRINGTON MTGE LOAN TRUST CARRINGTON MTGE LOAN TRUST 2.918% 05/25/34		09/25/2019	Paydown		27,240	27,240	25,605	25,772		1,468		1,468		27,240				612	05/25/2034	1FM
..14855J-AB-1	CASTLE LAKE CASTLE LAKE AIRCRAFT 4.450% 08/15/41		09/15/2019	Paydown		133,727	133,727	133,688	134,646		(919)		(919)		133,727				3,987	08/15/2041	1FE
..14855J-AC-9	CASTLE LAKE CASTLE LAKE AIRCRAFT 6.150% 08/15/41		09/15/2019	Paydown		84,246	84,246	84,188	85,873		(1,627)		(1,627)		84,246				3,471	08/15/2041	2FE
..14855L-AD-2	CASTLELAKE AIRCRAFT SECURITIZA SERIES 20171 CLASS C 6.899% 07/15/42		07/01/2019	Paydown		(24,403)	(24,403)	(24,403)	(24,403)						(24,403)				864	07/15/2042	3
..14855L-AD-2	CASTLELAKE AIRCRAFT SECURITIZA SERIES 20171 CLASS C 6.899% 07/15/42		09/15/2019	Paydown		84,663	84,663	84,663	84,663						84,663				2,439	07/15/2042	3FE
..14856C-AA-7	CASTLELAKE AIRCRAFT SEC TRUST CASTLELAKE AIRCRAFT SEC TRST 4.125% 06/15/43		07/31/2019	DEFAULT ATHENE BROKER		3,099,903	3,046,596	3,044,297	3,044,415		(165)		(165)		3,044,250		55,653	55,653	78,894	06/15/2043	1FE
..14856C-AA-7	CASTLELAKE AIRCRAFT SEC TRUST CASTLELAKE AIRCRAFT SEC TRST 4.125% 06/15/43		07/15/2019	Paydown		19,854	19,854	19,839	19,840		14		14		19,854				478	06/15/2043	1FE
..14856C-AB-5	CASTLELAKE AIRCRAFT SEC TRUST CASTLE LAKE AIRCRAFT SEC TRST 5.300% 06/15/43		07/31/2019	DEFAULT ATHENE BROKER		883,290	870,456	869,901	869,921		(84)		(84)		869,837		13,454	13,454	28,962	06/15/2043	2FE
..14856C-AB-5	CASTLELAKE AIRCRAFT SEC TRUST CASTLE LAKE AIRCRAFT SEC TRST 5.300% 06/15/43		07/15/2019	Paydown		5,673	5,673	5,669	5,669		3		3		5,673				175	06/15/2043	2FE
..14856E-AA-3	CASTLELAKE AIRCRAFT SECURITIZA SERIES 20151A CLASS AR 4.750% 03/15/34		09/15/2019	Paydown		115,198	115,198	114,682			516		516		115,198				2,294	03/15/2034	1PL
..14856E-AB-1	CASTLELAKE AIRCRAFT SECURITIZA SERIES 20151A CLASS BR 5.750% 03/15/34		09/15/2019	Paydown		98,735	98,735	98,348			387		387		98,735				2,380	03/15/2034	2Z
..161631-AX-4	CHASE MORTGAGE FINANCE CORP CHASE 2007-55 1A22 6.000% 07/25/37		09/01/2019	Paydown		231,078	301,734	252,881	223,852		7,227		7,227		231,078				11,424	07/25/2037	1FM
..16163B-AW-4	CHASE MORTGAGE FINANCE CORP CHASE 2006-S2 2A1 6.000% 10/25/36		09/01/2019	Paydown		317,811	265,714	216,807	189,417		128,394		128,394		317,811				15,698	10/25/2036	1FM
..16165L-AF-7	CHASEFLEX TRUST CHASEFLEX TRUST 6.300% 06/25/36		09/01/2019	Paydown		286,489	355,605	300,264	305,866		(19,377)		(19,377)		286,489				8,218	06/25/2036	1FM
..16165Y-AC-6	CHASEFLEX TRUST 2007-MH 1A3 2.358% 08/25/37		09/25/2019	Paydown		50,991	54,104	40,781	37,581		13,409		13,409		50,991				1,023	08/25/2037	1FM
..16678W-AA-4	CHEVY CHASE MTGE CHEVY CHASE MOTGAGE 2.148% 04/25/47		09/25/2019	Paydown		230,019	233,668	201,830	205,916		24,102		24,102		230,019				3,606	04/25/2047	1FM

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..17025T-AV-3	COUNTRYWIDE HOME LOANS CWHL 2007-15 1A20 6.250% 09/25/37		09/01/2019	Paydown		92,839	92,940	85,550	78,580		14,259		14,259		92,839				8,836	09/25/2037	1FM
..172973-W8-8	CITICORP MORTGAGE SECURITIES CMSI 2005-4 2A1 5.000% 07/25/20		09/01/2019	Paydown		13,080	13,080	12,492	12,922		158		158		13,080				436	07/25/2020	1FM
..17307G-FQ-6	CITIGROUP MORTGAGE LOAN TRUST CMLTI 2004-NCM1 1A2 6.500% 07/25/34		09/01/2019	Paydown		36,136	36,136	35,278	35,472		664		664		36,136				1,542	07/25/2034	1FM
..17307G-T4-0	CITIGROUP MORTGAGE LOAN TRUST 2005-10 1A1A 4.564% 12/25/35		09/01/2019	Paydown		68,701	89,789	47,782	56,984		11,717		11,717		68,701				2,909	12/25/2035	1FM
..17307G-VM-7	CITIGROUP MORTGAGE LOAN TRUST CITIGROUP MTGE LN TRST INC 5.550% 03/01/47		09/01/2019	Paydown		147,055	147,055	139,978	139,880		7,175		7,175		147,055				3,152	03/01/2047	1FM
..17309Y-AE-7	CITIGROUP MTGE LN TRUST INC CITIGROUP MTGE LN TRST INC 6.255% 10/25/36		09/01/2019	Paydown		59,519	59,519	46,053	44,336		15,184		15,184		59,519				1,783	10/25/2036	1FM
..17311L-AA-9	CITIGROUP MTGE LN TRUST INC CITIGROUP MTGE LN TRUST 4.733% 04/25/37		09/01/2019	Paydown		254,911	261,135	217,370	220,345		34,566		34,566		254,911				8,157	04/25/2037	1FM
..17312F-AL-7	CITICORP MORTGAGE SEC INC CMSI 2007-3 1A11 6.000% 04/25/37		09/01/2019	Paydown		6,014	10,684	10,100	10,211		(4,197)		(4,197)		6,014				430	04/25/2037	3FM
..17315A-AB-7	CITI MTGE LN TRUST INC CMLTI 2009-2 1A2 Mtge 4.211% 04/25/36		09/01/2019	Paydown		117,590	123,156	105,840	104,136		13,454		13,454		117,590				3,490	04/25/2036	1FM
..17316Y-AG-3	CITIGROUP MORTGAGE LOAN TRUST CMLTI 2010-6 4A1 5.500% 12/25/36		09/01/2019	Paydown		319,630	319,630	318,032	318,375		1,255		1,255		319,630				12,576	12/25/2036	1FM
..17316Y-AH-1	CITIGROUP MORTGAGE LOAN TRUST CITIGROUP MTGE LOAN TRUST INC 5.783% 12/25/36		09/01/2019	Paydown		7,339		5,664	6,276		(6,276)		(6,276)						279	12/25/2036	1FM
..17318V-AB-8	CITIGROUP MTGE LOAN TRUST INC CITIGROUP MTGE LOAN TRST 4.539% 04/25/37		09/01/2019	Paydown		74,114	74,631	55,716	60,998		13,116		13,116		74,114				2,312	04/25/2037	1FM
..17319G-AJ-3	CITIGROUP MTGE LOAN TRUST CITIGROUP MTGE LON TRUST 4.993% 03/25/36		09/01/2019	Paydown		482,028	523,692	447,964	471,145		10,884		10,884		482,028				16,900	03/25/2036	1FM
..17322J-AB-9	CITIGROUP MTGE LOAN TRUST INC CITIGROUP MTGE LOAN TRUST INC 3.357% 02/20/36		09/03/2019	Paydown		552,787	688,827	532,183	589,703		(36,916)		(36,916)		552,787				19,664	02/20/2036	1FM
..17323X-AP-6	CITIGROUP MTGE LOAN TRUST CITIGROUP MTGE LOAN TRUST INC 2.518% 01/25/36		09/25/2019	Paydown		2,044		1,377	1,491		(1,491)		(1,491)						421	01/25/2036	1FM
..18976G-AN-6	CITIMORTGAGE ALTERNATIVE LN TR CMLT 2007-A6 1A13 6.000% 06/25/37		09/01/2019	Paydown		104,208	135,741	106,183	106,978		(2,770)		(2,770)		104,208				5,589	06/25/2037	1FM
..20030N-AZ-4	COMCAST CORP 5.700% 07/01/19		07/01/2019	Maturity		500,000	500,000	523,380	501,489		(1,489)		(1,489)		500,000				28,500	07/01/2019	1FE
..212168-AA-6	CONTINENTAL WIND EXC 6 02/28/33 6.000% 02/28/33		08/31/2019	Redemption	100.0000	527,065	527,065	527,065	527,065						527,065				31,624	02/28/2033	2FE
..225410-7L-0	CREDIT SUISSE FIRST BOSTON CREDIT SUISSE FIRST BOSTON MTG 4.614% 03/25/34		09/01/2019	Paydown		47,464	47,464	38,920	43,168		4,296		4,296		47,464				1,455	03/25/2034	1FM
..22541S-G3-6	CREDIT SUISSE FIRST BOSTON 2004-AA1 M3 3.143% 02/25/35		09/25/2019	Paydown		11,396	11,396	9,250	11,223		173		173		11,396				372	02/25/2035	1FM
..225458-2N-7	CREDIT SUISSE FIRST BOSTON MTG CSFB MTGE SEC 05-08 CLS 9A4 5.500% 09/25/35		09/01/2019	Paydown		2,049	2,050	1,799	1,827		221		221		2,049				70	09/25/2035	1FM
..225458-XX-1	CREDIT SUISSE FIRST BOSTON MTG CSFB 2005-6 6A1 6.000% 07/25/35		08/01/2019	Paydown		85,922	85,922	71,580	72,906		14,276	1,260	13,016		85,922				3,007	07/25/2035	2FM
..225458-YA-0	CREDIT SUISSE FIRST BOSTON MTG CSFB 2005-6 8A1 4.500% 12/25/38		09/01/2019	Paydown		45,034	45,034	43,177	44,252		782		782		45,034				1,351	12/25/2038	1FM
..22943Y-MV-8	CSMC CSMC 2009-3R 18A1 6.000% 11/27/36		09/01/2019	Paydown		152,225	152,225	143,282	147,093		5,132		5,132		152,225				6,287	11/27/2036	1FM
..22943Y-NP-0	CSMC CSMC 2009-3R 19A1 6.000% 01/27/38		09/01/2019	Paydown		164,195	164,195	158,653	160,734		3,461		3,461		164,195				6,474	01/27/2038	1FM
..22943Y-PJ-2	CSMC CSMC 2009-3R 20A1 6.000% 10/27/36		09/01/2019	Paydown		122,394	122,394	116,733	118,664		3,730		3,730		122,394				4,876	10/27/2036	1FM
..22943Y-RM-3	CSMC CSMC 2009-3R 22A1 6.500% 10/27/36		09/01/2019	Paydown		33,376	33,376	31,833	32,356		1,020		1,020		33,376				1,487	10/27/2036	1FM
..22943Y-SE-0	CSMC CSMC 2009-3R 23A1 6.000% 06/27/36		09/01/2019	Paydown		115,388	115,388	110,051	111,869		3,519		3,519		115,388				5,120	06/27/2036	1FM
..22976E-AA-8	CTL 2016-05 TR (DOW CHEMICAL) CTL 2016-05 TR (DOW CHEMICAL) 4.010% 10/15/36		09/15/2019	Redemption	100.0000	30,979	30,979	30,979	30,979						30,979				828	10/15/2036	2
..22977E-AA-9	PENNSYLVANIA MANUFACTURERS PENNSYLVANIA MAN ASSOC 3.870% 12/15/38		09/15/2019	Redemption	100.0000	124,239	124,239	124,239	124,239						124,239				3,206	12/15/2038	1

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										11	12	13	14	15							
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..233046-AE-1	DB MASTER FINANCE LLC DB MASTER FINANCE LLC 3.629% 11/20/47		07/31/2019	DEFAULT ATHENE BROKER ..		1,198,288	1,182,000	1,182,000	1,182,000						1,182,000		16,288	16,288	29,907	11/20/2047	2FE.....
..233046-AF-8	DB MASTER FINANCE LLC DB MASTER FINANCE LLC 4.030% 11/20/47		07/31/2019	DEFAULT ATHENE BROKER ..		1,996,595	1,970,000	1,970,000	1,970,000						1,970,000		26,595	26,595	55,353	11/20/2047	2FE.....
..233046-AL-5	DB MASTER FINANCE LLC SERIES 20191A CLASS A23 4.352% 05/20/49		07/31/2019	DEFAULT ATHENE BROKER ..		5,168,354	5,000,000	5,000,000							5,000,000		168,354	168,354	54,400	05/20/2049	2FE.....
..247158-AA-2	DELOITTE LLP SERIES A NOTES 6.730% 08/20/23		08/20/2019	Redemption 100.0000		363,636	363,636	363,636	363,636						363,636				24,473	08/20/2023	1.....
..247367-BH-7	DELTA AIR LINES DAL 6.821 08/10/22 6.821% 08/10/22		08/10/2019	Various Redemption 100.0000		223,664	223,664	222,018	222,914		750		750		223,664				15,223	08/10/2022	1FE.....
..24736X-AA-6	DELTA AIR LINES 2015-1AA DAL 3 5/8 07/30/27 3.625% 07/30/27		07/30/2019	Redemption 100.0000		109,578	109,578	109,578	109,578						109,578				3,972	07/30/2027	1FE.....
..24736Y-AA-4	DELTA AIR LINES 2015-1A DAL 3 7/8 07/30/27 3.875% 07/30/27		07/30/2019	Redemption 100.0000		109,579	109,579	109,579	109,579						109,579				4,246	07/30/2027	1FE.....
..25179M-AP-8	DEVON ENERGY CORPORATION DVN 3 1/4 05/15/22 3.250% 05/15/22		07/29/2019	Call 103.2871		5,164,355	5,000,000	5,053,100	5,020,224		(3,571)		(3,571)		5,016,653		(16,653)	(16,653)	278,105	05/15/2022	2FE.....
..25755T-AH-3	DOMINOS PIZZA MASTER ISSUER DOMINOS PIZZA MASTER ISSUER LL 4.118% 07/25/47		07/25/2019	Paydown		60,000	60,000	60,000	60,000						60,000				1,853	07/25/2047	2FE.....
..26208A-AG-7	DRIVE AUTO RECEIVABLES TRUST DRIVE 2016-BA D 4.530% 08/15/23		09/15/2019	Paydown		3,014,584	3,014,584	3,014,130	2,965,540		49,045		49,045		3,014,584				90,887	08/15/2023	1FE.....
..26208D-AF-3	DRIVE AUTO RECEIVABLES TRUST DRIVE 2017-BA D 3.720% 10/17/22		09/15/2019	Paydown		1,513,784	1,513,784	1,513,745	1,513,780		4		4		1,513,784				39,990	10/17/2022	1FE.....
..26208L-AA-6	DRIVEN BRANDS FUNDING LLC 5.216% 07/20/22		07/20/2019	DRIVEN BRANDS FUNDING LLC DRIVEN BRANDS FUNDING LLC 6.125% 07/20/46		37,500	37,500	37,500	37,500						37,500				1,467	07/20/2022	2FE.....
..26208L-AB-4	DRYDEN SR LOAN FUND DRSLF 2016-43A C 4.978% 07/20/29		07/20/2019	Call 100.0000		11,000,000	11,000,000	11,000,000	11,000,000						11,000,000				442,617	07/20/2029	1FE.....
..26245C-AG-8	ELM TRUST MDCP ELM TRUST MDCP 5.535% 06/20/25		09/20/2019	Paydown		194,444	194,444	194,440	194,414		30		30		194,444				6,906	06/20/2025	2FE.....
..268648-AN-2	EMC CORP DELL 3 3/8 06/01/23 3.375% 06/01/23		09/25/2019	STIFEL NICOLAUS Redemption 100.0000		34,935,000	35,000,000	34,976,650	34,988,660		1,612		1,612		34,990,272		(55,272)	(55,272)	897,375	06/01/2023	3FE.....
..28932M-AG-0	ELM RD GENERATING STAT WEC 4.673 01/19/31 4.673% 01/19/31		07/19/2019	FLOCON 2017-1A FLOCON 2017-1 A 4.581% 02/15/42		229,170	229,170	259,831	249,922		(20,752)		(20,752)		229,170				10,709	01/19/2031	1FE.....
..30605Y-AB-7	CONNECTICUT AVENUE SECURITIES 5.018% 07/25/24		09/15/2019	Paydown		604,954	604,954	580,853	621,258		(16,304)		(16,304)		604,954				22,189	07/25/2024	1.....
..32051G-T2-1	FIRST HORIZON ALTERNATIVE MTG FIRST HORIZON ALT MTGE 5.750% 04/25/36		09/01/2019	Paydown		74,517	108,076	70,249	72,524		1,993		1,993		74,517				4,398	04/25/2036	1FM.....
..33972P-AA-7	FLNG LIQUEFACTION 2 LLC FLIQLI 4 1/8 03/31/38 4.125% 03/31/38		09/30/2019	Redemption 100.0000		137,000	137,000	137,000	137,000						137,000				5,651	03/31/2038	2FE.....
..34417M-AA-5	FOCUS 2017-1A A21 FOCUS 2017-1A A21 3.857% 04/30/47		07/30/2019	Paydown		25,000	25,000	25,000	25,000						25,000				723	04/30/2047	2FE.....
..34417Q-AA-6	Focus Brands Funding LLC SERIES 20181 CLASS A2 5.184% 10/30/48		07/30/2019	Paydown		2,500	2,500	2,500	2,500						2,500				98	10/30/2048	2FE.....
..347508-AB-9	FORT KNOX MILITARY HOUSING I-B 144A 2.368% 02/15/52		09/15/2019	Paydown		17,869	17,869	10,989	14,315		3,554		3,554		17,869				335	02/15/2052	2FE.....
..36185M-AM-4	GMAC MTG CORP LOAN TRUST GMACM 2005-AF1 A5 5.750% 07/25/35		09/01/2019	Paydown		142,911	142,911	133,060	130,499		12,412		12,412		142,911				6,039	07/25/2035	1FM.....
..36185M-BJ-0	GMAC MTG CORP LOAN TRUST 4.146% 11/19/35 04/30/47		09/01/2019	Paydown		254	1,017	936	944		(690)		(690)		254				28	11/19/2035	1FM.....
..36185N-H7-8	GMAC MTG CORP LOAN TRUST GMACM 2003-JB A 5.250% 12/25/33		09/01/2019	Paydown		8,678	8,678	7,810	8,041		637		637		8,678				304	12/25/2033	1FM.....
..361863-AA-1	GMACN 2004-BUCK A1 GMACN 2004-BUCK A1 6.033% 08/10/42		09/10/2019	Paydown		73,388	73,388	75,601	75,616		(2,228)		(2,228)		73,388				2,953	08/10/2042	1.....

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..36186V-AA-9	GMACN 2003-CAMP A GMACN 2003-CAMP A 6.049% 12/10/43		09/10/2019	Paydown		19,739	149,510	171,247	194,979		(175,240)		(175,240)		19,739				12,757	12/10/2043	1Z.....
..36186X-AD-9	GMAC COMM MTG ASSET CORP GMACN 2012-BLIS A 5.174% 07/10/50		09/10/2019	Paydown		112,525	112,525	112,510	112,511		14		14		112,525				3,883	07/10/2050	1FE.....
..36186X-AE-7	GMAC COMM MTG ASSET CORP GMACN 2012-BLIS A 1/0 0.576% 07/10/50		09/10/2019	Paydown				42,478	37,198		(37,198)		(37,198)					2,790	07/10/2050	1FE.....	
..36191Y-AL-2	GSMS 2011-GCS D GSMS 2011-GCS D 5.390% 08/10/44		08/22/2019	BMO CAPITAL MARKETS		6,818,438	7,000,000	7,343,438	7,131,180		(35,999)		(35,999)		7,095,181		(276,744)	(276,744)	281,483	08/10/2044	2FM.....
..36197V-AJ-7	GS MORTGAGE SECURITIES TRUST 2013-PEMB E 144A 3.668% 03/05/25		09/13/2019	DEFAULT ATHENE BROKER		7,644,875	8,750,000	7,569,705	7,585,813		134,148		134,148		7,719,962		(75,087)	(75,087)	247,085	03/05/2025	1FM.....
..3622E8-AC-9	GSAA HOME EQUITY TRUST GSAA 2006-15 AF3A 5.882% 09/25/36		09/01/2019	Paydown		154,868	154,868	98,728	85,017		69,851		69,851		154,868				2,426	09/25/2036	1FM.....
..362334-JV-7	GSR MORTGAGE LOAN TRUST GSR 2006-3F 2A7 5.750% 03/25/36		09/01/2019	Paydown		81,420	87,829	80,239	80,628		792		792		81,420				3,314	03/25/2036	2FM.....
..362334-NB-6	GSR MORTGAGE LOAN TRUST 5.995% 03/25/46		09/01/2019	Paydown		24,718	24,718	18,633	17,583		7,135		7,135		24,718				534	03/25/2046	1FM.....
..36242D-SW-9	GSR MORTGAGE LOAN TRUST GSR 2005-5F 2A2 5.500% 06/25/35		09/01/2019	Paydown		11,574	11,574	11,611	11,590		(16)		(16)		11,574				438	06/25/2035	1FM.....
..36251E-AH-2	GSMS RESECURITIZATION GSMS RECURITIZATION TRUST 4.189% 01/26/36		09/01/2019	Paydown		405,426	408,952	266,074	265,580		139,846		139,846		405,426				11,333	01/26/2036	1FM.....
..362611-AC-9	GSR MORTGAGE LOAN TRUST GSR 2006-8F 2A1 6.000% 09/25/36		09/01/2019	Paydown		112,565	113,773	104,306	105,431		7,134		7,134		112,565				4,099	09/25/2036	2FM.....
..36297T-AG-7	GSR MORTGAGE LOAN TRUST 4.107% 04/25/36		09/01/2019	Paydown		85,847	83,620	74,450	74,305		11,542		11,542		85,847				2,323	04/25/2036	1FM.....
..37952U-AD-5	GLOBAL SC FINANCE SRL SERIES 20141A CLASS A1 3.190% 07/17/29		09/17/2019	Paydown		25,000	25,000	25,128			(128)		(128)		25,000				66	07/17/2029	1.....
..37956A-AA-1	GLOBAL SC FINANCE SRL GLOBAL SC FINANCE SRL 3.850% 04/15/37		07/31/2019	DEFAULT ATHENE BROKER		4,007,867	3,932,336	3,930,928	3,938,018		(853)		(853)		3,937,165		70,702	70,702	94,201	04/15/2037	1FE.....
..37956A-AA-1	GLOBAL SC FINANCE SRL GLOBAL SC FINANCE SRL 3.850% 04/15/37		07/17/2019	Paydown		37,432	37,432	37,419	37,486		(54)		(54)		37,432				841	04/15/2037	1FE.....
..37956A-AB-9	GLOBAL SC FINANCE SRL GLOBAL SC FINANCE SRL 4.290% 05/17/38		09/17/2019	Paydown		76,200	76,200	76,185	76,186		14		14		76,200				2,182	05/17/2038	1FE.....
..37956A-AC-7	GLOBAL SC FINANCE SRL GLOBAL SC FINANCE SRL 5.100% 05/17/38		09/17/2019	Paydown		38,100	38,100	38,100	38,099		1		1		38,100				1,297	05/17/2038	2FE.....
..38012L-AA-7	GMACN GMACN 2006-NELL A 5.364% 05/10/51		09/10/2019	Paydown		13,525	13,525	13,525	13,525						13,525				484	05/10/2051	1.....
..38013A-AB-8	GMACN GMACN 2011-DRUM A 5.587% 05/10/50		09/10/2019	Paydown		54,460	54,460	54,456	54,456		4		4		54,460				2,029	05/10/2050	1FE.....
..38013A-AC-6	GMACN GMACN 2011-DRUM A IO 1.013% 05/10/50		07/01/2019	Paydown					265		(265)		(265)						11	05/10/2050	1FE.....
..38081E-AA-9	GOLDEN BEAR 2016-1A A GLDN 2016-1A A 3.750% 09/20/47		09/20/2019	Paydown		596,676	596,676	596,676	596,676						596,676				22,375	09/20/2047	1FE.....
..38148B-AA-6	GOLDMAN SACHS GROUP INC. 5.700% 12/29/49		07/12/2019	Redemption	100.0000	808,000	808,000	808,000	808,000						808,000				32,119	12/29/2049	3FE.....
..39121J-AE-0	GREAT RIVER ENERGY GRRIVE 6.254 07/01/38		07/01/2019	Redemption	100.0000	2,171,560	2,171,560	2,152,494	2,162,857		8,703		8,703		2,171,560				135,809	07/01/2038	1FE.....
..39538W-EE-4	GREENPOINT MORTGAGE FUNDING TR GREENPOINT MTGE FUND TRST 2.578% 11/25/45		09/25/2019	Paydown		193,763	196,523	51,649	87,780		108,310	2,327	105,983		193,763				3,920	11/25/2045	1FM.....
..39538W-FH-6	GREENPOINT MORTGAGE FUNDING TR GPMF 2006-1AR1 A1A 2.598% 02/25/36		09/25/2019	Paydown		12,043	12,043	8,878	8,552		3,491		3,491		12,043				257	02/25/2036	1FM.....
..39538W-GF-9	GREENPOINT MORTGAGE FUNDING TR 2006-AR2 CL G3A1 2.158% 03/25/36		09/25/2019	Paydown		1,398,870	1,398,870	1,176,362	1,243,624		155,246		155,246		1,398,870				24,456	03/25/2036	1FM.....
..40433J-AA-9	HOOTY 2014-1A A2 HOOTY 2014-1a 2a 4.846% 08/20/44		08/20/2019	Paydown		7,500	7,500	6,834	7,068		432		432		7,500				273	08/20/2044	2FE.....
..40573L-AN-6	HALFMOON PARENT INC BASIC 3.193% 07/15/23		08/28/2019	Tax Free Exchange		3,500,000	3,500,000	3,500,000	3,500,000						3,500,000				106,437	07/15/2023	2FE.....
..40573L-AS-5	HALFMOON PARENT INC BASIC 4.375% 10/15/28		08/28/2019	Tax Free Exchange		2,996,431	3,000,000	2,996,010	2,996,139		293		293		2,996,431				124,323	10/15/2028	2FE.....
..40573L-AU-0	HALFMOON PARENT INC CI 4.8 08/15/38 4.800% 08/15/38		08/28/2019	Tax Free Exchange		5,990,383	6,000,000	5,990,340	5,990,340		43		43		5,990,383				272,800	08/15/2038	2FE.....

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
40573L-AW-6	HALFMOON PARENT INC BASIC 4.900% 12/15/48 HALLIBURTON COMPANY HAL 3 1/2 08/01/23		08/28/2019	Tax Free Exchange		997,989	1,000,000	997,750	997,771				218		997,989				46,414	12/15/2048	2FE
406216-BD-2	3.500% 08/01/23		08/29/2019	DEFAULT ATHENE BROKER .. Redemption 100.0000		2,663,993	2,550,000	2,607,885	2,598,301			(6,957)	(6,957)	2,591,345		72,648	72,648		96,192	08/01/2023	2FE
40867#-AD-6	HAMPAC LLC HAMPAC LLC 4.250% 08/10/42		09/10/2019			25,295	25,295	25,295	25,295					25,295					720	08/10/2042	1
41161P-VJ-9	HARBORVIEW MTG LN TRUST HVMLT 2005-12 2A11		09/03/2019	Paydown		63,362	63,362	55,204	54,271			9,091	9,091	63,362					1,664	08/19/2050	1FM
41161U-AE-2	HARBORVIEW MTGE LN TRUST HARBORVIEW MTGE LN TRUST 4.489% 03/01/56		09/01/2019	Paydown		86,234	86,224	68,628	71,168			15,066	15,066	86,234					2,563	03/01/2056	1FM
411707-AF-9	CKE RESTAURANT'S HOLDINGS INC. HARDEES FUNDING 5.710% 06/20/48		09/20/2019	Paydown		25,000	25,000	25,000	25,000					25,000					1,071	06/20/2048	2FE
419838-AA-5	HAWAIIAN AIRLINES 13-1A HA 3.9 01/15/26		07/15/2019	Redemption 100.0000		622,096	622,096	573,985	577,608			44,488	44,488	622,096					22,399	01/15/2026	1FE
42208#-AA-8	HD SALEM OR LANDLORD LLC 6.250% 11/30/30		09/30/2019			51,144	51,144	51,144	51,144					51,144					2,183	11/30/2030	1
42217K-AU-0	WELLTOWER INC HCN 4.95 01/15/21 4.950%		09/09/2019	Call 103.5657		3,851,610	3,719,000	3,705,017	3,715,441			1,164	1,164	3,716,606		2,394	2,394		343,291	01/15/2021	2FE
42217K-AW-6	WELLTOWER INC HCN 5 1/4 01/15/22 5.250%		09/09/2019	Call 107.1783		5,358,915	5,000,000	4,966,950	4,988,636			2,423	2,423	4,991,059		8,941	8,941		659,332	01/15/2022	2FE
42770Q-AA-0	HERO 2014-2A HERO 2014-2A A 3.990% 09/21/40		09/20/2019	Paydown		607,751	607,751	607,337	607,371			380	380	607,751					24,249	09/21/2040	1FE
42770W-AA-7	HERO FUNDING TRUST HERO 2016-2A A 3.750%		09/20/2019	Paydown		349,074	349,074	348,959	352,575			(3,502)	(3,502)	349,074					11,668	09/20/2041	1FE
42806D-AZ-2	HERTZ HERTZ 2016-3A B 3.110% 07/25/20		07/25/2019	Paydown		3,500,000	3,500,000	3,499,909	3,499,004			996	996	3,500,000					63,496	07/25/2020	1FE
42806D-BA-6	HERTZ HERTZ 2016-3A C 4.430% 07/25/20		07/25/2019	Paydown		2,000,000	2,000,000	1,999,420	1,999,078			922	922	2,000,000					51,683	07/25/2020	2FE
437084-BL-9	HOME EQUITY ASSET TRUST 3.199% 07/25/34		07/30/2019	DEFAULT ATHENE BROKER		1,300,806	1,307,959	1,215,993	1,325,657			(1,331)	(1,331)	1,324,327		(23,521)	(23,521)		25,826	07/25/2034	1FM
437084-BL-9	HOME EQUITY ASSET TRUST 3.199% 07/25/34		07/25/2019	Redemption 100.0000		15,397	15,397	14,315	15,606			(208)	(208)	15,397					295	07/25/2034	1FM
44919*-AC-2	I 595 EXPRESS I 595 EXPRESS 3.310% 12/31/31		09/30/2019			164,719	164,719	164,719	164,719					164,719					5,193	12/31/2031	1PL
45112A-AA-5	ICONX ICONX 2012-1A A 4.229% 01/25/43		07/25/2019	Paydown		183,580	183,580	183,580	183,580					183,580					5,823	01/25/2043	4FE
45660L-2V-0	RESIDENTIAL ASSET SEC TR RAST 2005-A16 A3		08/01/2019	Paydown			(6)	(5)	(5)			5	5							02/25/2036	1FM
45660L-2V-0	RESIDENTIAL ASSET SEC TR RAST 2005-A16 A3		07/01/2019	Paydown				(17,440)	(17,440)			17,441	17,441						2,150	02/25/2036	2FM
45660L-4E-6	RESIDENTIAL ASSET SEC TR RESIDENTIAL ASSET SEC TRST 5.750% 02/25/36		09/01/2019	Paydown		70,991	81,879	76,243	76,496			(5,505)	(5,505)	70,991					2,812	02/25/2036	2FM
45660L-4G-1	RESIDENTIAL ASSET SEC TR RAST 2005-A15 5A1		09/01/2019	Paydown		128,302	129,259	101,613	92,791			35,511	35,511	128,302					5,157	02/25/2036	2FM
45660L-KE-8	RESIDENTIAL ASSET SEC TR RAST 2005-A5 A8		09/01/2019	Paydown		278,829	278,829	257,001	255,859			22,970	22,970	278,829					9,411	05/25/2035	1FM
45660L-LR-8	RESIDENTIAL ASSET SEC TR INDX 2005-AR7		09/01/2019	Paydown		50,455	50,455	39,832	44,994			10,396	4,936	50,455					1,155	06/25/2035	3FM
45660L-S7-5	RESIDENTIAL ASSET SEC TR 3.583% 12/25/35		09/01/2019	Paydown		17,418	9,976	8,135	8,529			8,889	8,889	17,418					583	12/25/2035	1FM
45660L-SP-5	RESIDENTIAL ASSET SEC TR 2.518% 07/25/35		09/25/2019	Paydown		59,553	57,529	43,085	43,213			16,340	16,340	59,553					1,295	07/25/2035	1FM
45660L-WE-5	RESIDENTIAL ASSET SEC TR SER 05-AR18 CLASS 2A1B 3.578% 10/25/36		09/25/2019	Paydown		127,706	127,706	89,235	90,668			37,038	37,038	127,706					3,215	10/25/2036	1FM
45661E-GH-1	INDYMAC INDX MORTGAGE LN TRUST INDYMAC INDX 2006-AR9 2.368% 06/25/36		09/25/2019	Paydown		208,111	208,111	177,639	194,307			13,804	13,804	208,111					3,723	06/25/2036	1FM
45661L-AG-3	INDYMAC INDX MTGE LOAN TRUST 2.218%		09/25/2019	Paydown		138,014	138,014	115,544	109,888			18,916	18,916	138,014					2,290	10/25/2036	1FM
456681-AE-5	INDYMAC INDX MTGE LN TRST INDYMAC INDX MTGE LOAN TRUST 3.554% 07/25/37		09/01/2019	Paydown		223,565	242,230	165,448	169,554			54,011	54,011	223,565					5,956	07/25/2037	1FM

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..45668R-AB-4	INDYMAC INDYMAC INDX MORTGAGE LOAN TRU 2.158% 04/25/37		09/25/2019	Paydown		218,874	218,874	198,765	217,468						218,874				3,957	04/25/2037	1FM.....
..46033#-AA-8	INTERNATIONAL SPEEDWAY LEASE BACKED TRUST 2008 6.250% 10/01/34		09/01/2019	Redemption 100.0000		67,159	67,159	67,159	67,159						67,159				2,800	10/01/2034	2.....
..46591F-AC-8	JP MORGAN MORTGAGE TRUST SERIES 2019S CLASS A3 4.000% 11/25/49		09/01/2019	Paydown		660,284	660,284	675,140			(14,856)		(14,856)		660,284				4,769	11/25/2049	1FE.....
..466247-B2-8	JP MORGAN MORTGAGE TRUST JPMIT 2005-S3 1A21 5.500% 01/25/36		09/01/2019	Paydown		116,467	116,307	100,670	94,540		21,927		21,927		116,467				4,397	01/25/2036	2FM.....
..466247-B6-9	JP MORGAN MORTGAGE TRUST JPMIT 2005-S3 2A3 6.000% 01/25/21		09/01/2019	Paydown		13,067	13,067	12,727	13,061		6		6		13,067				516	01/25/2021	3FM.....
..466247-IIS-8	JP MORGAN MORTGAGE TRUST JP MORGAN MTGE TRUST 4.168% 10/25/35		09/01/2019	Paydown		340,394	340,394	314,013	315,440		24,954		24,954		340,394				9,308	10/25/2035	1FM.....
..46625H-RW-2	JPMORGAN CHASE & CO JPMORGAN CHASE & CO 3.513% 10/24/23		08/29/2019	DEFAULT ATHENE BROKER		1,015,312	1,000,000	1,000,000	1,000,000						1,000,000		15,312	15,312	32,670	10/24/2023	1FE.....
..46627M-AV-9	JP MORGAN ALT LN TRUST JPALT 2005-S1 2A10 6.000% 12/25/35		09/01/2019	Paydown		85,071	84,390	70,531	71,232		13,839		13,839		85,071				3,342	12/25/2035	1FM.....
..46627M-EJ-2	JP MORGAN ALT LN TRUST 2006-S1 1A12 6.000% 03/25/36		09/01/2019	Paydown		31,972	32,085	25,488	22,449		9,524		9,524		31,972				1,313	03/25/2036	1FM.....
..46627M-FV-4	JP MORGAN ALT LN TRUST JPALT 2006-S1 3A 6.180% 03/25/36		09/01/2019	Paydown			123,428	79,222	87,800		(87,800)		(87,800)						3,618	03/25/2036	1FM.....
..46627Q-BC-1	JP MORGAN CHASE COMM MTGE JP MORGAN CHASE COMM MTGE 5.855% 06/12/43		07/10/2019	DEFAULT ATHENE BROKER		580,460	575,336	571,865	575,628		(292)		(292)		575,336		5,124	5,124	17,351	06/12/2043	1FM.....
..46628K-AA-8	JP MORGAN MORTGAGE TRUST JP MORGAN MTGE TRUST 4.417% 05/25/36		09/01/2019	Paydown		199,708	194,373	174,715	178,928		20,780		20,780		199,708				6,245	05/25/2036	1FM.....
..46628S-AG-8	JP MORGAN MTGE JP MORGAN MORTGAGE 6.410% 07/25/36		09/01/2019	Paydown		17,654	17,654	9,754	8,772		8,881		8,881		17,654				178	07/25/2036	1FM.....
..46628S-AH-6	JP MORGAN MORTGAGE TRUST JPMIT 2006-S2 3A4 6.250% 07/25/36		09/01/2019	Paydown		30,452	30,452	17,129	15,460		14,992		14,992		30,452				307	07/25/2036	1FM.....
..46628Y-BF-6	JP MORGAN MORTGAGE TRUST JPMIT 2006-S3 1A10 6.500% 08/25/36		09/01/2019	Paydown		8,475	8,552	6,507	5,942		2,533		2,533		8,475				355	07/25/2036	1FM.....
..46629A-AK-7	JP MORGAN MORTGAGE TRUST JPMIT 2006-S3 1A11 6.500% 08/25/36		09/01/2019	Paydown		81,754	141,544	118,539	103,269		(21,515)		(21,515)		81,754				6,696	08/25/2036	2FM.....
..46629A-AL-5	JP MORGAN MORTGAGE TRUST JPMIT 2006-S3 1A11 6.500% 08/25/36		09/01/2019	Paydown		201,204	348,429	287,778	246,003		(44,799)		(44,799)		201,204				16,476	08/25/2036	1FM.....
..46629A-AL-5	JP MORGAN MORTGAGE TRUST JPMIT 2006-S3 2A3 6.500% 08/25/36		09/01/2019	Paydown		36,217	62,717	54,094	46,305		(10,088)		(10,088)		36,217				2,967	08/25/2036	2FM.....
..46629A-BT-7	JP MORGAN MTGE ACQUISITION JP MORGAN MTGE ACQUISITION 5.763% 08/25/46		09/01/2019	Paydown		26,143	26,151	23,013	25,710		433		433		26,143				868	08/25/2021	1FM.....
..46629Q-AE-6	JP MORGAN MORTGAGE TRUST JPMORGAN MTGE TRUST 4.627% 07/25/35		09/01/2019	Paydown		51,840	51,840	45,864	51,120		720		720		51,840				1,648	07/25/2035	1FM.....
..46630M-AE-2	JPM MTGE ACQUISITION JPM MTGE ACQUISITION 4.626% 01/25/37		09/01/2019	Paydown		38,469	38,469	26,688	24,956		13,513		13,513		38,469				873	01/25/2037	1FM.....
..46635B-AN-1	JP MORGAN MORTGAGE TRUST SERIES 2018S CLASS A1 3.500% 10/25/48		08/01/2019	Paydown		46,929	67,503	50,383	53,190		(6,261)		(6,261)		46,929				1,943	06/26/2040	1FM.....
..46649K-AA-3	JP MORGAN MORTGAGE TRUST SERIES 20191 CLASS A3 4.000% 05/25/49		09/01/2019	Paydown		115,825	115,825	116,929			(1,104)		(1,104)		115,825				771	10/25/2048	1FM.....
..46650H-AC-2	JP MORGAN MORTGAGE TRUST SERIES 2019LTV2 CLASS A1B 4.000% 12/25/49		09/01/2019	Paydown		195,774	195,774	201,005			(5,231)		(5,231)		195,774				644	05/25/2049	1.....
..46651A-AT-9	JP MORGAN MORTGAGE TRUST SERIES 20196 CLASS A3 3.500% 12/25/49		09/01/2019	Paydown		2,148,030	2,148,030	2,196,361			(48,331)		(48,331)		2,148,030				11,126	12/25/2049	1.....
..46651B-AC-4	JP MORGAN MORTGAGE TRUST SERIES 20196 CLASS A3 3.500% 12/25/49		09/01/2019	Paydown		772,889	772,889	784,966			(12,076)		(12,076)		772,889				2,254	12/25/2049	1.....

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)	
..46658#-AA-2	JLL CTL PT TR(CITY OF DALLAS) JLL CTL PT TR (CITY OF DALLAS) 4.433% 12/15/45		09/15/2019	Redemption	100.0000																	
..47232X-AA-6	JEFFERIES MILITARY HOUSING JMHT 2010-SILL A 6.730% 06/10/55		09/10/2019	Paydown		28,904	28,904	30,684	30,633		(1,729)		(843)						1,297	06/10/2055	1FE	
..47760Q-AA-1	JIMMY 2017-1A 2A1 JIMMY 2017-1A A21 3.610% 07/30/47		07/30/2019	Paydown		20,000	20,000	20,000	20,000										542	07/30/2047	2FE	
..478045-AA-5	JOHN SEVIER COMB CYCLE JSOCGL 4.626 01/15/42 4.626% 01/15/42		07/15/2019	Redemption	100.0000		348,910	357,681	356,066		(7,156)		(843)						16,138	01/15/2042	1FE	
..48203R-AF-1	JUNIPER NETWORKS INC JNPR 4.6 03/15/21 4.600% 03/15/21		08/28/2019	TENDER OFFER		6,343,361	6,084,000	6,258,259	6,131,046		(13,582)		(13,582)				225,897	225,897	265,093	03/15/2021	2FE	
..48239@-AC-4	KBCM CTL PASS THRU TR /ERIE MEDICAL/ST. VINCENT HOSP 6.000% 03/15/32		09/15/2019	Redemption	100.0000		43,046	43,046	43,046										1,723	03/15/2032	3	
..48239@-AE-0	KBCM CTL PASS THRU TR /RIVERSIDE HEALTH SYSTEMS LN 1 6.320% 10/15/32		09/15/2019	Redemption	100.0000		35,401	35,401	35,401										1,500	10/15/2032	1	
..48239@-AF-7	KBCM CTL PASS THRU TR /RIVERSIDE HEALTH SYSTEM LN 3 6.260% 10/15/32		09/15/2019	Redemption	100.0000		35,107	35,107	35,107										1,466	10/15/2032	1	
..48239@-AG-5	KBCM CTL PASS THRU TR /RIVERSIDE CAMPUS MOB LLC 6.970% 06/15/34		09/15/2019	Redemption	100.0000		14,813	14,813	14,813										693	06/15/2034	1	
..48239@-AK-6	KBCM CTL PASS THRU TR /RIVERSIDE WILLIAMSBURG MOB 8.250% 03/10/34		09/10/2019	Redemption	100.0000		20,169	20,169	20,169										1,110	03/10/2034	1	
..50543L-AA-0	LABRADOR AVIATION FINANCE LTD LABRADOR AVIATION FINANCE LTD 4.300% 01/15/42		09/15/2019	Paydown		143,750	143,750	140,961	141,932		1,818		1,818						4,121	01/15/2042	1FE	
..50987*-AA-0	LAKE HEALTH 2016 CTL PT TR LAKE HEALTH 2016 CTL PT 3.750% 11/15/35		09/15/2019	Redemption	100.0000		37,430	37,430	37,430										936	11/15/2035	1	
..52521R-AD-3	LEHMAN MORTGAGE TRUST LMT 2007-5 1A2 5.750% 06/25/37		09/01/2019	Paydown		284,563	342,265	265,660	275,485		9,078		9,078						12,659	06/25/2037	1FM	
..52521R-AY-7	LEHMAN MORTGAGE TRUST LMT 2007-5 3A3 5.000% 12/25/35		09/01/2019	Paydown		83,671	83,469	67,621	70,262		13,409		13,409						3,007	12/25/2035	1FM	
..52677@-AA-9	LEROY HOUSE TRUSTEE /WALGREENS 6.410% 09/21/32		09/15/2019	Redemption	100.0000		19,071	19,071	19,071										824	09/21/2032	2FE	
..56127@-AA-6	MALON D MIMMS FAMILY LP 6.560% 09/05/33		09/01/2019	Redemption	100.0000		20,006	20,006	20,006										884	09/05/2033	2FE	
..570535-AJ-3	MARKEL CORPORATION MKL 5.35 06/01/21 5.350% 06/01/21		09/23/2019	TENDER OFFER		15,804,000	15,000,000	15,115,800	15,034,221		(9,896)		(9,896)				779,675	779,675	650,917	06/01/2021	2FE	
..576434-V9-2	MASTR ALTERNATE LOAN TRUST MALT 2005-6 1A5 5.500% 12/25/35		09/01/2019	Paydown		116,022	116,042	99,824	94,489		21,533		21,533						4,235	12/25/2035	1FM	
..57643L-EW-2	MASTER ABS TRUST 2004-OPT2 M1 2.918% 09/25/34		09/25/2019	Paydown		193,628	193,628	115,282	164,632		28,996		28,996						2,195	09/25/2034	1FM	
..590214-AC-6	MLMI 2006 MERRILL LYNCH MTGE INVESTORS 4.575% 07/25/36		09/01/2019	Paydown		102,676	102,593	89,256	92,423		10,254		10,254						2,870	07/25/2036	1FM	
..59524E-AB-8	MID-ATLANTIC MILITARY CO MIDATL 5.24 08/01/50 5.240% 08/01/50		08/01/2019	Redemption	100.0000		104,655	97,994	59,931		5,393		5,393						4,553	08/01/2050	1FE	
..59748T-AA-7	MIDLAND COGEN VENTURE MIDCOG 6 03/15/25 6.000% 03/15/25		09/15/2019	Redemption	100.0000		369,740	369,740	369,740										22,184	03/15/2025	3FE	
..61691A-BQ-5	MORGAN STANLEY CAPITAL TRUST MSC 2015-UBS8 C 4.739% 12/15/48		09/04/2019	MORGAN STANLEY		7,252,383	7,000,000	6,815,261	6,854,038		10,029		10,029						388,316	12/15/2048	1FM	
..61748H-QW-4	MORGAN STANLEY MORTGAGE LN TR MORGAN STANLEY MTGE LN TRST 2.308% 12/25/35		09/25/2019	Paydown		66,857	66,881	50,662	55,673		11,185		11,185						1,210	12/25/2035	1FM	
..61748J-AE-7	MORGAN STANLEY MORTGAGE LN TR MORGAN STANLEY MTGE LN TRUST MORGAN STANLEY MTGE LOAN TRUST 2.538% 08/25/36		09/25/2019	Paydown		84,237	84,237	44,909	40,611		43,626		43,626						1,032	08/25/2036	1FM	
..61749W-AH-0	MORGAN STANLEY MORTGAGE LN TRUST MSM 2006-11 1A2 6.354% 08/25/36		09/01/2019	Paydown		116,522	116,522	55,494	46,718		69,804		69,804						1,460	08/25/2036	1FM	

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SCHEDULE D - PART 4

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
.61752R-AH-5	MORGAN STANLEY MTGE LOAN TRST 5.704% 01/25/47		09/01/2019	Paydown		46,087	46,087	28,142	26,112				19,975		46,087				685	01/25/2047	1FM
.61752R-AJ-1	MORGAN STANLEY MTGE LOAN TRST MORGAN STANLEY MTG LN 5.858% 01/25/47		09/01/2019	Paydown		67,235	67,235	38,844	38,844				28,391		67,235				1,000	01/25/2047	1FM
.61754H-AD-4	MORGAN STANLEY MTGE LN TRUST 2007-7 AX 2A3 2.378% 04/25/37		09/25/2019	Paydown		45,794	45,794	23,584	21,756				24,038		45,794				551	04/25/2037	1FM
.61755G-AS-2	MORGAN STANLEY MORTGAGE LN TR MSM 2007-12 3A9 6.000% 08/25/37		09/01/2019	Paydown		144,070	144,089	105,738	108,935				37,717	2,582	144,070				6,490	08/25/2037	1FM
.61756X-AG-0	MORGAN STANLEY MTGE LOAN TRUST MORGAN STANLEY MTGE LOAN TRUST 3.629% 11/25/37		09/01/2019	Paydown		63,489	63,489	58,569	55,383				8,106		63,489				1,598	11/25/2037	1FM
.61758V-AR-8	MORGAN STANLEY REEMERIC TRST MORGAN STANLEY REEMERIC TRUST 2.498% 05/26/36		09/26/2019	Paydown		81,421	86,242	65,921	68,164				13,257		81,421				1,646	05/26/2036	1FM
.61759F-AJ-0	MORGAN STANLEY REEMERIC MSRR 2010-R42B 4.616% 07/26/36		09/25/2019	Paydown		122,322	164,026	114,613	121,174				1,147		122,322				5,319	07/26/2036	1FM
.61759H-BA-4	MORGAN STANLEY REEMERIC TRST MORGAN STANLEY REEMERIC TRST 3.368% 06/26/36		09/25/2019	Paydown		147,241	195,417	142,046	149,009				(1,767)		147,241				4,361	06/26/2036	1FM
.61762F-AU-0	MORGAN STANLEY REEMERIC TRUST MSRR 2013-R4 4B2 4.275% 07/26/36		09/01/2019	Paydown		139,590	145,582	123,607	125,922				13,668		139,590				3,401	07/26/2036	1FM
.61762M-BX-8	MORGAN STANLEY BAML TRUST MSBAM 2013-C10 XA 0.165% 07/15/46		09/01/2019	Paydown				155,752	36,885				(36,885)						8,257	07/15/2046	1FE
.626717-AD-4	MURPHY OIL CORP MUR 4 06/01/22 4.000% 06/01/22		09/19/2019	STIFEL NICOLAUS Redemption 100.0000		10,125,000	10,000,000	9,978,600	9,991,796				1,657		9,993,453		131,547	131,547	324,444	06/01/2022	3FE
.62978*-AA-6	NRG CANAL 3 DEV LLC 4.794% 05/31/26		08/01/2019			16,083	16,083	15,922	15,932				151		16,083				506	05/31/2026	3
.638904-AB-8	NAVIGATORS GROUP INC NAVG 5 3/4 10/15/23 5.750% 10/15/23		08/19/2019	TENDER OFFER		22,050,240	19,200,000	20,051,000	19,676,609				(57,320)		19,619,290		2,430,950	2,430,950	932,267	10/15/2023	2FE
.64352V-LY-5	NEW CENTURY HOME EQUITY LN TR 2005-A A4 4.688% 08/25/35		09/01/2019	Paydown		111,896	111,896	99,157	110,954				942		111,896				3,371	08/25/2035	1FM
.651639-AS-5	NEWMONT MINING CORP NEM 3.7 03/15/23 3.700% 03/15/23		08/09/2019	Tax Free Exchange		36,010,293	36,000,000	36,013,161					(2,868)		36,010,293				395,900	03/15/2023	2FE
.65535V-EW-4	NOMURA ASSET ACCEPTANCE CORP NOMURA ASSET ACCEPTANCE CORP 3.128% 10/25/34		09/25/2019	Paydown		40,343	40,343	37,923	38,576				1,767		40,343				949	10/25/2034	1FM
.65539C-AK-2	NOMURA RESECURITIZATION TRST NOMURA RESECURITIZATION TRST 2.768% 12/26/36		09/01/2019	Paydown		300,148	299,256	233,981	249,389				50,759		300,148				7,496	12/26/2036	1FM
.65539K-AF-5	NOMURA RESECURITIZATION TRST NOMURA RESECURITIZATION TRST 4.993% 03/26/36		09/01/2019	Paydown		306,133	332,593	302,327	311,760				(5,627)		306,133				10,733	03/26/2036	1FM
.668457-AA-2	NORTHIND HOLDINGS LLC 2007-1A A1 144A 2.912% 12/01/37		09/01/2019	Paydown		503,653	503,653	415,003	485,076				18,578		503,653				13,062	12/01/2037	1FE
.67085K-AA-0	OFFUTT AFB AMERICA FIRST OFFUTT 5.46 09/01/50 5.460% 09/01/50		09/01/2019	Paydown		154,527	154,527	159,583	159,341				(4,814)		154,527				8,437	09/01/2050	2FE
.67112C-AE-7	OZLM LTD SERIES 201719A CLASS B 4.253% 11/22/30		09/13/2019	DEFAULT ATHENE BROKER Redemption 100.0000		961,531	1,000,000	963,750					785		964,535		(3,004)	(3,004)	18,582	11/22/2030	1FE
.67502#-AA-6	OCEAN SPRAY OCEAN SPRAY 3.390% 08/15/36		09/15/2019			45,940	45,940	45,940	45,940						45,940				1,339	08/15/2036	2
.67575N-BF-9	Ocwen Master Advance Receivabl SERIES 2018T1 CLASS DT1 4.296% 08/15/49		08/15/2019	Call 100.0000		2,000,000	2,000,000	1,999,999	2,000,020				2		2,000,023		(23)	(23)	56,485	08/15/2049	2FE
.67575N-BK-8	Ocwen Master Advance Receivabl SERIES 2018T2 CLASS DT2 4.532% 08/15/50		08/15/2019	Call 100.0000		3,500,000	3,500,000	3,499,993	3,500,036				5		3,500,041		(41)	(41)	105,744	08/15/2050	2FE
.681919-AY-2	OMNICOM GROUP INC OMC 6 1/4 07/15/19 6.250% 07/15/19		07/15/2019	Maturity		10,000,000	10,000,000	9,933,500	9,995,309				4,691		10,000,000				625,000	07/15/2019	2FE
.68217F-AA-0	OMNICOM GROUP INC OMNICOM GROUP INC 3.600% 04/15/26		07/24/2019	DEFAULT ATHENE BROKER Redemption 100.0000		3,094,770	3,000,000	2,997,210	2,997,894				145		2,998,040		96,730	96,730	84,300	04/15/2026	2FE
.68268#-AA-8	152ND ST HOMESTEAD ASSOC LLC 4.850% 01/31/34		09/01/2019			49,081	49,081	49,081	49,081						49,081				1,590	01/31/2034	2FE

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..68268E-AB-9	ONEMAIN FINANCIAL ONEMAIN FINANCIAL 3.850% 03/18/26		09/18/2019	Paydown		3,087,610	3,087,610	2,940,949	3,046,870		40,740		40,740		3,087,610				77,307	03/18/2026	1FE.....
..68268G-AA-6	ONEMAIN FINANCIAL ISSUANCE ONEMAIN FINANCIAL ISSUANCE 3.660% 02/20/29		09/18/2019	Paydown		672,435	672,435	672,262	672,239		196		196		672,435				16,360	02/20/2029	1FE.....
..68268N-AN-3	ONECK PARTNERS LP OKS 3.8 03/15/20 3.800% 03/15/20		09/14/2019	Call	100.7807		4,031,228	4,000,000	3,999,809		110		110		3,999,919		81	81	182,805	03/15/2020	2FE.....
..68504U-AC-5	Orange Lake Timeshare Trust SERIES 2019A CLASS C 3.610% 04/09/38		09/09/2019	Paydown		147,354	147,354	147,350			3		3		147,354				1,103	04/09/2038	2FE.....
..70213B-AA-9	PARTNERRE FINANCE B LLC PRE 5 1/2 06/01/20 5.500% 06/01/20		07/19/2019	Call	102.7530		17,159,751	16,700,000	17,200,084		(35,856)		(35,856)		16,758,425		(58,425)	(58,425)	1,041,468	06/01/2020	2FE.....
..70469H-AA-7	PEAKS TRUST 2009-1 7.532% 01/15/20		07/29/2019	Redemption	100.0000		17,774	17,774			17,774	10,330	7,444		17,774				1,697	01/15/2020	6.....
..70469H-AA-7	PEAKS TRUST 2009-1 7.532% 01/15/20		09/01/2019	Security Withdraw			1,099,814		639,212			639,212	(639,212)						104,989	01/15/2020	6.....
..724060-AA-6	PIPELINE FUNDING CO NEE 7 1/2 01/15/30 7.500% 01/15/30		07/15/2019	Redemption	100.0000		69,000	69,000	77,064		(7,427)		(5,427)		69,000				5,175	01/15/2030	2FE.....
..74162J-AB-3	PRIME MORTGAGE TRUST PRIME 2007-2 A2 6.000% 04/25/37		09/01/2019	Paydown			189,919	144,463	150,018		(150,018)		(150,018)						7,995	04/25/2037	1FM.....
..74922G-AF-1	RESIDENTIAL ACCREDIT LOANS INC RALI 2006-QS14 A6 6.500% 11/25/36		09/01/2019	Paydown		105,035	145,665	104,681	88,155		16,881		16,881		105,035				6,580	11/25/2036	1FM.....
..74922G-AM-6	RESIDENTIAL ACCREDIT LOANS INC RALI 2006-QS14 A12 6.250% 11/25/36		09/01/2019	Paydown		151,605	210,248	148,029	124,310		27,295		27,295		151,605				9,133	11/25/2036	1FM.....
..74924D-AA-7	RESIDENTIAL ACCREDIT LOANS INC RALI 2007-QS10 A1 6.500% 09/25/37		09/01/2019	Paydown		248,356	360,612	253,929	212,370		35,985		35,985		248,356				15,320	09/25/2037	1FM.....
..74925G-AA-9	RESIDENTIAL ACCREDIT LOANS INC RALI 2007-QS11 A1 7.000% 10/25/37		09/01/2019	Paydown		227,190	288,311	207,999	206,194		20,996		20,996		227,190				13,476	10/25/2037	1FM.....
..74927X-AE-2	RBSP RESECURITIZATION TRUST RBSP THROUGH 6.000% 01/25/37		09/01/2019	Paydown		68,090	68,146	58,903	58,239		9,850		9,850		68,090				2,574	01/25/2037	1FM.....
..74928R-AC-8	RBSP RESECURITIZATION TRUST RBSP THROUGH 15.071% 01/26/37		09/01/2019	Paydown		42,302	42,302	47,802	50,432		(8,130)		(8,130)		42,302				4,107	01/26/2037	1FM.....
..74928X-BB-6	RBSP RESECURITIZATION TRUST RBSP RESECURITIZATION TRUST 4.402% 01/26/36		09/25/2019	Paydown		1,018,343	1,051,648	896,694	915,979		102,364		102,364		1,018,343				31,678	01/26/2036	1FM.....
..74929X-AJ-9	RBSP RESECURITIZATION TRUST RBSP RESECURITIZATION TRUST RBSP 5.500% 07/26/36		09/01/2019	Paydown		85,542	85,542	85,114	85,179		363		363		85,542				2,783	07/26/2036	1FM.....
..74929X-BZ-2	RBSP RESECURITIZATION TRUST RBSP RESECURITIZATION TRST 6.563% 07/26/36		09/01/2019	Paydown			14,506	10,762	10,591		(10,591)		(10,591)						636	07/26/2036	4FM.....
..749580-AG-1	RESIDENTIAL FUNDING MTG SEC I RFMSI 2007-S5 A7 6.000% 05/25/37		09/01/2019	Paydown		193,535	208,102	182,129	177,444		16,091		16,091		193,535				8,432	05/25/2037	1FM.....
..749583-AE-0	RESIDENTIAL FUNDING MTG SEC I RFMSI 2007-S2 A5 6.000% 02/25/37		09/01/2019	Paydown		17,542	25,900	23,026	23,005		(5,463)		(5,463)		17,542				962	02/25/2037	2FM.....
..75114H-AD-9	RESIDENTIAL ACCREDIT LOANS INC RESIDENTIAL ACCREDIT LOANS INC 2.208% 05/25/46		09/25/2019	Paydown		36,518	36,644	31,949	31,398		5,119		5,119		36,518				626	05/25/2046	1FM.....
..75114T-AJ-0	RESIDENTIAL ACCREDIT LOANS INC RESIDENTIAL ACCREDIT LNS INC 6.000% 05/25/36		09/01/2019	Paydown		47,649	76,467	63,850	65,601		(17,952)		(17,952)		47,649				3,144	05/25/2036	2FM.....
..751151-AD-3	RESIDENTIAL ACCREDIT LOANS INC 2006-QS12 1A4 6.000% 09/25/36		09/01/2019	Paydown		11,246	12,773	7,488	6,874		4,371		4,371		11,246				483	09/25/2036	1FM.....
..75974e-AA-0	RENEWABLE POWER GENERATION LLC RENEWABLE POWER GENERATION LLC 4.110% 03/31/35		09/30/2019	Redemption	100.0000		449,947	449,947	449,947						449,947				18,493	03/31/2035	2PL.....
..76110H-3S-6	RESIDENTIAL ACCREDIT LNS INC 2005-QS4 A5 5.500% 04/25/35		09/01/2019	Paydown		123,958	123,958	108,308	107,473		16,485		16,485		123,958				4,597	04/25/2035	1FM.....
..76110H-T7-4	RESIDENTIAL ACCREDIT LNS INC RESIDENTIAL ACCREDIT LOANS 4.647% 02/25/35		09/01/2019	Paydown		61,009	63,509	54,380	54,828		6,181		6,181		61,009				2,086	02/25/2035	1FM.....
..761118-1C-9	RESIDENTIAL ACCREDIT LOANS INC RESIDENTIAL ACCREDIT LOANS 5.750% 11/25/35		09/01/2019	Paydown		95,978	105,677	92,534	93,964		2,014		2,014		95,978				4,135	11/25/2035	1FM.....
..76111X-NB-2	RESIDENTIAL FUNDING MTG SEC I RFMSI 2006-S3 A2 5.500% 03/25/36		09/01/2019	Paydown		92,082	105,844	83,052	83,193		8,888		8,888		92,082				4,206	03/25/2036	1FM.....

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..76111X-VG-5	RESIDENTIAL FUNDING MTG SEC I 2005-SA2 2A2 4.492% 06/25/35		09/01/2019	Paydown		36,664	36,664	28,842	31,213		5,451		5,451		36,664				1,083	06/25/2035	1FM
..761120-AE-4	RESIDENTIAL ASSET SEC TRUST RAST 2007-A2 1A5 6.000% 04/25/37		09/01/2019	Paydown		95,229	102,112	82,606	81,002		14,227		14,227		95,229				4,230	04/25/2037	1FM
..761120-AH-7	RESIDENTIAL ASSET SEC TRUST RAST 2007-A2 1A8 6.000% 04/25/37		09/01/2019	Paydown		92,236	98,903	80,010	78,456		13,780		13,780		92,236				4,097	04/25/2037	1FM
..76112H-AC-1	RESIDENTIAL ASSET SECUR TRUST 2006-A9CB A3 5.112% 03/25/37		09/25/2019	Paydown				2,879	877		(877)		(877)						691	03/25/2037	6FE
..76112H-AL-1	RESIDENTIAL ASSET SECUR TRUST 2006-A9CB A11 2.448% 09/25/36		09/25/2019	Paydown			5,945	2,050	2,399		(1,193)	250	(1,443)		956				198	09/25/2036	1FM
..76113N-AC-7	RESIDENTIAL ASSET SEC RESIDENTIAL ASSET SEC 6.250% 07/25/36		09/01/2019	Paydown			76,738	72,709	73,196		2,379		2,379		75,575				3,497	07/25/2036	2FM
..76114H-AM-7	RAST RESIDENTIAL ASSET SEC TRUST 6.000% 05/25/37		09/01/2019	Paydown			156,874	135,500	130,883		26,967		26,967		157,850				6,174	05/25/2037	2FM
..779382-AK-6	ROWAN COMPANIES INC RDC 7 7/8 08/01/19 7.875% 08/01/19		08/01/2019	Maturity Redemption	100.0000		11,069,000	12,191,223	11,160,836		(91,836)		(91,836)		11,069,000				871,684	08/01/2019	4FE
..78347@-AB-2	RUTTENBERG FAMILY LP /WALGREENS 6.520% 02/15/29		09/15/2019	Paydown			36,120	36,120	36,120						36,120				1,588	02/15/2029	2FE
..78443C-CV-4	SLM STUDENT LOAN TRUST SLM STUDENT LOAN TRUST 2.409% 12/15/39		09/16/2019	Paydown			176,863	128,226	132,068		44,795		44,795		176,863				3,878	12/15/2039	1FE
..78469Q-AV-4	SPS Servicer Advance Receivabl SERIES 2018T1 CLASS CT1 4.160% 10/17/50		08/15/2019	Paydown			270,020	270,000	270,000		20		20		270,020				7,489	10/17/2050	1FE
..78469Q-AW-2	SPS Servicer Advance Receivabl SERIES 2018T1 CLASS DT1 4.500% 10/17/50		08/15/2019	Paydown			370,015	369,963	369,962		53		53		370,015				11,100	10/17/2050	2FE
..78512*-AA-5	S&E REPLACEMENT POWER S&E REPLACEMENT POWER LLC 4.120% 05/31/29		09/30/2019	Redemption	100.0000		95,476	95,476	95,476						95,476				2,955	05/31/2029	1PL
..78512*-AA-5	S&E REPLACEMENT POWER S&E REPLACEMENT POWER LLC 4.120% 05/31/29		08/30/2019	Redemption	100.0000		189,973	189,973	189,973						189,973				4,901	05/31/2029	1Z
..78662M-AB-2	Saganaw Receivables, LLC SERIES 20191A CLASS A 5.125% 12/01/23		09/01/2019	Paydown			236,055	236,055	236,055						236,055				1,893	12/01/2023	2PL
..80306A-AA-8	SAPPHIRE AVIATION 4.250% 03/15/40		09/15/2019	Paydown			64,941	64,910	64,917		23		23		64,941				1,838	03/15/2040	1FE
..81744U-AC-8	SEQUOIA MORTGAGE TRUST SEMT 2012-2 A3 3.500% 04/25/42		09/01/2019	Paydown			267,125	249,606	254,071		13,054		13,054		267,125				5,611	04/25/2042	1FM
..81747A-AA-3	SEQUOIA MORTGAGE TRUST SERIES 20191 CLASS A1 4.000% 02/25/49		09/01/2019	Paydown			566,194	580,068	580,068		(13,874)		(13,874)		566,194				3,674	02/25/2049	1FE
..81785@-AA-7	74TH STREET - DORAL ASSOCIATES 4.850% 01/31/34		09/05/2019	Redemption	100.0000		51,863	51,863	51,863						51,863				1,696	01/31/2034	2FE
..82281E-AA-5	Shellpoint Co-Originator Trust SERIES 20161 CLASS 1A1 3.500% 11/25/46		09/01/2019	Paydown			168,198	163,599	163,673		4,525		4,525		168,198				4,025	11/25/2046	1FM
..82652X-AB-2	SRFC -2016-1A B SRFC 2016-1A B 3.670% 03/21/33		09/20/2019	Paydown			277,602	277,539	278,083		(481)		(481)		277,602				6,825	03/21/2033	2FE
..82653G-AC-6	SIERRA RECEIVABLES FUNDING 00 SERIES 20183A CLASS C 4.170% 03/20/26		09/20/2019	Paydown			322,476	322,470	322,469		7		7		322,476				8,923	03/20/2026	2FE
..83416W-AA-1	SOLAR STAR FUNDING LLC BRKHEC 5 3/8 06/30/35 5.375% 06/30/35		07/01/2019	Redemption	100.0000		59,007	59,007	59,007						59,007				1,586	06/30/2035	2FE
..83546D-AD-0	SONIC CAPITAL LLC SONIC 2016-1A A2 4.472% 05/20/46		09/20/2019	Paydown			57,708	57,796	57,808		(100)		(100)		57,708				1,721	05/20/2046	2FE
..83546D-AF-5	SONIC CAPITAL LLC SERIES 20181A CLASS A2 4.026% 02/20/48		09/01/2019	Paydown			12,500	12,500	12,500						12,500				336	02/20/2048	2FE
..84055*-AE-8	SOUTH TEXAS ELEC COOP INC SOUTH TEXAS ELEC COOP INC 4.160% 08/15/48		08/15/2019	Redemption	100.0000		33,333	33,333	33,333						33,333				1,387	08/15/2048	1
..841215-AA-4	SOUTHAVEN OMBD CYCLE GEN SOGGLL 3.846 08/15/33 3.846% 08/15/33		08/15/2019	Redemption	100.0000		464,656	464,656	464,656						464,656				17,871	08/15/2033	1FE

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**SCHEDULE D - PART 4**

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
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..85045@-AA-4	SPRINGFIELD CLINIC LLP 6.090% 12/21/26		09/21/2019	Redemption 100.0000			55,187	55,187	55,187						55,187				2,242	12/21/2026	2.....
..85208N-AA-8	SPRINT SPECTRUM BASIC 3.360% 09/20/21		09/20/2019	Redemption 100.0000			487,500	487,500	490,571		(3,071)		(3,071)		487,500				4,095	09/20/2021	2.....
..85236#-AA-1	SUNNOVA RAYS 1 SUNNOVA 4.95 3/16/2044		07/31/2019	Redemption 100.0000			78,822	78,822	78,822						78,822				1,333	01/31/2031	1PL.....
..85572R-AA-7	START LTD START LTD 4.089% 05/15/43		09/15/2019	Paydown			30,089	30,089	29,811		299		299		30,089				820	05/15/2043	1FE.....
..863579-RT-7	STRUCTURED ADJ RATE MTG LOAN STRUCTURED ADJ RATE MTGE 4.637% 02/25/35		09/01/2019	Paydown			89,153	89,160	82,037		5,885		5,885		89,153				2,768	05/25/2035	1FM.....
..86359B-3J-8	STRUCTURED ASSET SECS CORP STRUCTURED ASSET SEC CORP 5.500% 02/25/35		09/01/2019	Paydown			3,500,000	3,500,000	3,292,995		140,863		140,863		3,500,000				144,375	02/25/2035	1FM.....
..86359D-QS-9	STRUCTURED ASSET SEC CORP SASC 2005-16 3A1 6.000% 09/25/35		08/01/2019	Paydown			7,666,777	10,159,957	7,988,641		309,775		309,775		7,666,777				405,920	09/25/2035	1FM.....
..86359K-AB-7	STRUCTURED RECEIVABLES FINANCE SFS 2005-A B 6.948% 05/15/25		09/15/2019	Paydown			310,191	310,191	316,007		(842)		(842)		310,191				14,294	05/15/2025	1FE.....
..86361W-AA-9	STRUCTURED ASSET MTGE INVEST STRUCTURED ASSET MTGE INVEST 2.218% 10/25/36		09/25/2019	Paydown			190,637	190,637	157,275		25,650		25,650		190,637				3,449	10/25/2036	1FM.....
..86364C-AF-9	STRUCTURED ADJ RATE MTGE SARF 2007-6 3A1 3.847% 07/25/37		09/01/2019	Paydown			296,740	323,706	237,638		79,976		79,976		296,740				8,174	07/25/2037	1FM.....
..86789M-AQ-0	SUNTRUST ALT LOAN TRUST 2005-1F 2A5 5.750% 12/25/35		09/01/2019	Paydown			72,627	82,881	74,048		(3,480)		(3,480)		72,627				3,202	12/25/2035	1FM.....
..86964W-AC-6	SUZANO AUSTRIA GMBH BASIC 6.000% 01/15/29		08/12/2019	Tax Free Exchange			6,420,040	6,430,000	6,430,000		(9,960)		(9,960)		6,420,040				207,000	01/15/2029	2FE.....
..87342R-AB-0	BELL 2016-1A TACO BELL FUNDING 4.377% 05/25/46		07/31/2019	DEFAULT ATHENE BROKER			2,790,539	2,744,000	2,744,000						2,744,000		46,539	46,539	82,072	05/25/2046	2FE.....
..87342R-AC-8	BELL 2016-1A BELL 2016-1A A23 4.970% 05/25/46		08/25/2019	Paydown			93,950	93,950	93,950						93,950				3,502	05/25/2046	2FE.....
..87407P-AR-1	TAL ADVANTAGE LLC TAL ADVANTAGE LLC 3.270% 11/21/39		09/20/2019	Paydown			56,063	56,063	54,442		804		804		56,063				1,222	11/21/2039	1FE.....
..87407P-AT-7	TAL ADVANTAGE LLC TAL ADVANTAGE LLC 4.150% 11/21/39		09/20/2019	Paydown			50,000	50,000	47,207		1,697		1,697		50,000				1,383	11/21/2039	2FE.....
..87804B-AD-9	TBW MRTG BK PASS THRU CERT TBW 2006-2 1A4 6.000% 07/25/36		08/01/2019	Paydown			59,997	59,997	46,109		20,511		20,511		59,997				2,587	07/25/2036	2FM.....
..882384-AC-4	TEXAS EASTERN TRANSMISSION SEP 2.8 10/15/22 2.800% 10/15/22		09/17/2019	BARCLAYS CAPITAL GROUP Redemption 100.0000			11,551,980	11,500,000	11,178,230		25,475		25,475		11,384,038		167,942	167,942	298,744	10/15/2022	2FE.....
..88581#-AA-6	301 NORTH FEDERAL WAY LLC 4.350% 07/18/38		09/01/2019	Redemption			17,303	17,303	17,303						17,303				504	07/18/2038	2FE.....
..88606W-AA-0	THUNDERBOLT AIRCRAFT LEASE LTD THUNDERBOLT AIRCRAFT LEASE LTD 4.212% 05/17/32		09/15/2019	Paydown			4,035	4,035	4,035		(5)		(5)		4,035				124	05/17/2032	1FE.....
..88708L-AA-1	TIMBERLAKE FINANCIAL LLC TIMBR A A 144A 2.334% 06/30/36		09/30/2019	Paydown			773,500	773,500	620,511		50,362		50,362		773,500				14,601	06/30/2036	3FE.....
..89679H-AA-3	TRITON CONTAINER FINANCE LLC TRITON CONTAINER FINANCE LLC 3.520% 06/20/42		09/20/2019	Paydown			100,342	100,342	100,324		(334)		(334)		100,342				2,355	06/20/2042	1FE.....
..89679H-AJ-4	TRITON CONTAINER FINANCE LLC TRITON CONTAINER FINANCE LLC 3.950% 03/20/43		09/20/2019	Paydown			37,500	37,500	37,493		5		5		37,500				988	03/20/2043	1FE.....
..89679H-AL-9	TRITON CONTAINER FINANCE LLC TRITON CONTAINER FINANCE LLC 4.780% 03/20/43		09/20/2019	Paydown Redemption 100.0000			25,000	25,000	24,992		8		8		25,000				797	03/20/2043	2FE.....
..89916#-AA-0	TULAROSA HOLDINGS LLC 6.480% 09/15/28		09/15/2019	Redemption			14,449	14,449	14,449						14,449				625	09/15/2028	2FE.....
..90269G-AN-1	UBS COMMERCIAL MORTGAGE TRUST UBSCM 2012-C1 D 5.728% 05/10/45		09/20/2019	Various Redemption 100.0000			14,957,031	15,000,000	15,530,859		(77,649)		(77,649)		15,122,924		(165,893)	(165,893)	686,177	05/10/2045	2FM.....
..902753-AA-0	UIRC - GSA IV LLC 5.000% 12/05/34		09/05/2019	Redemption			53,172	53,172	53,172						53,172				1,773	12/05/2034	1.....
..90349D-AJ-1	UBS-BARCLAYS COMMERCIAL MTG TR UBSCB 2012-C3 XA 1.823% 08/10/49		09/01/2019	Paydown				76,629	30,600		(30,600)		(30,600)						6,781	08/10/2049	1FE.....

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..909318-AA-5	UNITED AIR 2018-1 AA PTT BASIC 3.500% 03/01/30		09/03/2019	Redemption	100.0000		99,130	99,130	99,130						99,130				3,470	03/01/2030	1FE
..90931V-AA-4	UNITED AIR 2018-1 A PTT UAL 3.7 03/01/30 3.700% 03/01/30		09/01/2019	Redemption	100.0000		371,739	371,739	371,739						371,739				13,754	03/01/2030	1FE
..90983V-AA-1	MCGUIRE AIR FORCE BASE MCGAFB 5.611 09/15/51 5.611% 09/15/51		09/15/2019	Redemption	100.0000		205,526	194,952	196,148		9,378		9,378		205,526				11,508	09/15/2051	2FE
..91927#-AA-3	VALLEY HEALTH VALLEY HEALTH 4.000% 01/15/43		09/15/2019	Redemption	100.0000		91,236	91,236	91,236						91,236				2,417	01/15/2043	1
..92211M-AC-7	VANTAGE DATA CENTERS ISSUER, L SERIES 20181A CLASS A2 4.072% 02/16/43		09/16/2019	Paydown			15,000	15,000	15,000						15,000				.407	02/16/2043	1FE
..92211M-AE-3	VANTAGE DATA CENTERS ISSUER, L SERIES 20182A CLASS A2 4.196% 11/16/43		09/15/2019	Paydown			26,875	26,875	26,875						26,875				.745	11/16/2043	1FE
..92212K-AA-4	Vantage Data Centers LLC SERIES 20191A CLASS A2 3.160% 07/15/44		09/15/2019	Paydown			2,917	2,917	2,917						2,917				10	07/15/2044	1
..92276M-AX-3	VENTAS REALTY LP/CAP CRP VTR 4 1/4 03/01/22 4.250% 03/01/22		08/21/2019	TENDER OFFER			15,354,685	14,560,000	14,733,841		(20,769)		(20,769)		14,636,649		718,036	718,036	601,611	03/01/2022	2FE
..92535V-AA-0	VERTICAL BRIDGE CC LLC VERTICAL BRIDGE CC LLC 5.193% 10/15/46		09/15/2019	Paydown			13,502	13,502	13,501		(5)		(5)		13,502				.468	10/15/2046	2FE
..9264VW-11-3	BNFS LEASE BACKED SECURITY 4.070% 05/15/34		09/15/2019	Redemption	100.0000		61,356	61,356	61,356						61,356				1,665	05/15/2034	2FE
..92783#-AA-4	VIRGINIA INTERNATIONAL GATEWAY 3.930% 06/30/30		09/30/2019	Redemption	100.0000		22,492	22,492	22,492						22,492				.663	06/30/2030	1FE
..929227-2G-0	WASHINGTON MUTUAL PASS THRU CE WAMU 2003-S5 1A4 5.500% 06/25/33		09/01/2019	Paydown			43,586	43,586	36,448		4,969		4,969		43,586				1,597	06/25/2033	1FM
..92922F-4D-7	WAMU MORTGAGE PASS THRU CTF5 2005-AR14 1A4 4.166% 12/25/35		09/01/2019	Paydown			27,652	27,652	25,371		531		531		27,652				.750	12/25/2035	1FM
..92922F-TJ-7	WAMU MORTGAGE PASS THRU CTF5 2.438% 06/25/44		09/25/2019	Paydown			24,257	24,257	22,499		1,264		1,264		24,257				.496	06/25/2044	1FM
..92930R-AF-9	WF-RBS COMMERCIAL MTG TRUST WFRBS 2012-C9 XA 1.899% 11/15/45		09/01/2019	Paydown				56,788	22,731		(22,731)		(22,731)						6,934	11/15/2045	1FE
..92936Y-AK-7	WF-RBS COMMERCIAL MTG TRUST WFRBS 2012-C8 XA 1.814% 08/15/45		09/01/2019	Paydown				285,399	121,456		(121,456)		(121,456)						30,658	08/15/2045	1FE
..92938C-AG-2	WF-RBS COMM MORTGAGE TRUST WFRBS 2013-C15 XA 0.434% 08/15/46		09/01/2019	Paydown				905,850	362,996		(362,996)		(362,996)						79,096	08/15/2046	1FE
..92940V-AB-7	WRG Debt Funding II LLC SERIES 20171 CLASS B 5.926% 03/15/26		07/31/2019	DEFAULT ATHENE BROKER			1,314,930	1,300,000	1,299,797		(602)		(602)		1,301,115		13,815	13,815	48,363	03/15/2026	2Z
..92959#-AA-2	WEC 2008B - DMB- 2 LLC /CVS #8292 7.750% 01/15/23		09/15/2019	Redemption	100.0000		43,719	43,719	43,842		(39)		(39)		43,719				2,286	01/15/2023	2FE
..92959*-AA-6	WEC 2008B - DMB- 2 LLC /CVS #8296 7.750% 01/15/23		09/15/2019	Redemption	100.0000		42,903	42,903	43,024		(39)		(39)		42,903				2,243	01/15/2023	2FE
..92961#-AA-8	WEC 2008B - DMB- 2 LLC /CVS #6086 7.750% 01/15/23		09/15/2019	Redemption	100.0000		50,351	50,351	50,492		(35)		(35)		50,351				2,634	01/15/2023	2FE
..933634-AJ-6	WAMU MORTGAGE PASS THRU CERT 2007-HY3 4A1 4.241% 03/25/37		09/01/2019	Paydown			33,724	34,218	26,236		4,221		4,221		33,724				.933	03/25/2037	1FM
..933635-AA-2	WAMU MORTGAGE PASS THRU CERT 2007-OA2 1A 3.146% 03/25/47		09/01/2019	Paydown			58,673	70,867	34,143		20,799		20,799		58,673				1,443	03/25/2047	1FM
..93363C-AB-5	WAMU MTGE PASS THRU CERT WAMU 2006-AR7 2A 3.426% 07/25/46		09/01/2019	Paydown			65,476	60,956	51,280		11,934		11,934		65,476				1,567	07/25/2046	1FM
..939336-T2-9	WASHINGTON MUTUAL MSC MORT WAMMS 2004-RA3 2A 6.124% 08/25/38		09/01/2019	Paydown			67,468	67,468	63,125		3,338		3,338		67,468				2,555	08/25/2038	1FM
..93934F-BC-5	WASHINGTON MUTUAL MTG SEC CORP WAMALT 2005-7 1A1 5.500% 09/25/35		09/01/2019	Paydown			91,678	99,877	90,378		5,377		5,377		91,678				4,025	09/25/2035	1FM
..93934F-JQ-6	WASHINGTON MUTUAL MTG SEC CORP WASHINGTON MUTUAL MTGE PASS TH 2.518% 02/25/36		09/25/2019	Paydown			56,555	75,131	64,566		(8,518)		(8,518)		56,555				1,464	02/25/2036	1FM

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..93934F-MN-9	WASHINGTON MUTUAL MTG SEC CORP WASHINGTON MUTUAL MTGE PASS TH 3.386% 04/25/46		09/01/2019	Paydown		46,853	47,658	40,271	40,566		6,287		6,287		46,853				1,189	04/25/2046	1FM.....
..93935L-AB-4	WASHINGTON MUTUAL MTG WASHINGTON MUTUAL MORTGAGE 3.296% 10/25/46		09/01/2019	Paydown Redemption 100.0000		68,417	81,625	71,218	72,693		(4,275)		(4,275)		68,417				1,881	10/25/2046	1FM.....
..94684@-AA-2	WELLS DAIRY COMPANY 6.420% 01/18/27 Welk Resorts LLC SERIES 2013AA CLASS A		09/30/2019			137,793	137,793	137,793	137,793						137,793				7,367	01/18/2027	4.....
..949456-AA-5	3.100% 03/15/29 Welk Resorts LLC SERIES 2013AA CLASS B		09/15/2019	Paydown		61,637	61,637	61,590			47		47		61,637				159	03/15/2029	1.....
..949456-AB-3	3.960% 03/15/29 WELLS FARGO MTG BK SEC WIFMBS 2004-6 A4		09/15/2019	Paydown		76,121	76,121	76,266			(145)		(145)		76,121				251	03/15/2029	2.....
..949757-AD-0	5.500% 06/25/34 WELLS FARGO MTG BK SEC WIFMBS 2005-16 A2		09/01/2019	Paydown		37,506	37,506	33,005	34,404		3,102		3,102		37,506				1,387	06/25/2034	1FM.....
..94981S-AE-4	5.000% 01/25/36 WELLS FARGO MTG BK SEC WIFMBS 2005-17 1A1		09/01/2019	Paydown		10,542	10,542	9,172	9,501		1,041		1,041		10,542				348	01/25/2036	1FM.....
..94982S-AD-5	5.500% 01/25/36 WELLS FARGO MTG BK SEC WIFMBS 2007-10 1A17		09/01/2019	Paydown		370,269	372,507	323,772	334,880		35,389		35,389		370,269				13,556	01/25/2036	1FM.....
..949837-AS-7	6.000% 07/25/37 WELLS FARGO MTG BK SEC WIFMBS 2007-10 1A31		09/01/2019	Paydown		98,108	116,573	101,401	108,658		(10,550)		(10,550)		98,108				4,494	07/25/2037	1FM.....
..949837-BG-2	6.250% 07/25/37 WELLS FARGO MTG BK SEC WIFMBS 2006-8 A15		09/01/2019	Paydown		196,191	233,116	211,197	224,122		(27,931)		(27,931)		196,191				9,360	07/25/2037	3FM.....
..94983S-AS-1	6.000% 07/25/36 WELLS FARGO MTG BK SEC WIFMBS 2006-11 A8		09/01/2019	Paydown		2,483,192	2,731,237	2,454,740	2,541,247		(58,055)		(58,055)		2,483,192				121,895	07/25/2036	1FM.....
..94984F-AH-2	6.000% 09/25/36 WELLS FARGO MTG BK SEC WIFMBS 2006-15 A1		09/01/2019	Paydown		1,841,813	1,924,793	1,714,014	1,808,501		33,312		33,312		1,841,813				84,796	09/25/2036	1FM.....
..94984R-AA-1	6.000% 11/25/36 WELLS FARGO MTG BK SEC WIFMBS 2007-7 A39		09/01/2019	Paydown		415,587	434,163	400,188	417,850		(2,262)		(2,262)		415,587				19,411	11/25/2036	2FM.....
..94985J-BQ-2	6.000% 06/25/37 WELLS FARGO MTG BK SEC WIFMBS 2007-4 A8		09/01/2019	Paydown		188,388	200,265	177,726	190,515		(2,127)		(2,127)		188,388				8,108	06/25/2037	2FM.....
..94985R-AH-5	6.000% 04/25/37 WELLS FARGO MTG BK SEC WIFMBS 2007-11 A92		09/01/2019	Paydown		4,024,254	4,265,150	3,838,247	4,099,900		(75,646)		(75,646)		4,024,254				183,055	04/25/2037	2FM.....
..94985W-DV-0	6.000% 08/25/37 WELLS FARGO MTG BK SEC WIFMBS 2007-8 2A10		09/01/2019	Paydown		173,987	182,524	167,296	174,488		(501)		(501)		173,987				7,075	08/25/2037	2FM.....
..94986A-BK-3	6.000% 07/25/37 WELLS FARGO MTG BK SEC WIFMBS 2007-12 A6		09/01/2019	Paydown		3,126,155	3,326,757	3,011,191	3,184,973		(58,819)		(58,819)		3,126,155				146,518	07/25/2037	2FM.....
..94986F-AF-4	5.500% 09/25/37 WELLS FARGO MTGE LOAN TRUST WELLS FARGO MTGE LOAN TRUST 4.576% 08/27/35		09/01/2019	Paydown		263,916	263,916	261,963	264,417		(501)		(501)		263,916				8,458	08/27/2035	1FM.....
..95001R-AY-5	CLASS XA 0.954% 01/15/52 Wells Fargo Mortgage Backed Se SERIES 20191		09/01/2019	Paydown				5,797	5,793		(5,793)		(5,793)						542	01/15/2052	1FE.....
..95001T-AA-3	CLASS A1 4.000% 11/25/48 WELLS FARGO COMMERCIAL MORTGAG SERIES 2019C52		09/01/2019	Paydown		746,229	746,229	764,217			(17,988)		(17,988)		746,229				5,812	11/25/2048	1FE.....
..95002M-AY-5	CLASS XA 1.771% 08/15/52 WENDYS FUNDING WENDYS FUNDING LLC 4.497%		09/15/2019	Paydown			23,828	2,903			(2,903)		(2,903)						35	08/15/2052	1.....
..95058X-AC-2	06/15/45 WENDYS FUNDING WENDYS FUNDING LLC 3.884%		09/15/2019	Paydown		7,813	7,813	7,812	7,819		(6)		(6)		7,813				263	06/15/2045	2FE.....
..95058X-AE-8	03/15/48 WENDYS FUNDING WENDYS FUNDING LLC 3.884%		07/31/2019	DEFAULT ATHENE BROKER		1,982,253	1,970,000	1,970,000	1,970,000						1,970,000		12,253	12,253	48,034	03/15/2048	2FE.....
..95058X-AE-8	03/15/48 WENDYS FUNDING SERIES 20191A CLASS A211		09/15/2019	Paydown		2,500	2,500	2,500	2,500						2,500				73	03/15/2048	2FE.....
..95058X-AH-1	4.080% 06/15/49 WILLIAM BLAIR & COMPANY CTL 4.090% 09/15/44		09/26/2019	Paydown Redemption 100.0000		3,750	3,750	3,750						3,750					34	06/15/2049	2FE.....
..96928*-FV-4			09/15/2019			80,314	80,314	80,314	80,314						80,314				2,191	09/15/2044	1.....

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**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..97651L-AC-5	Winwater Mortgage Loan Trust SERIES 20154 CLASS A3 3.500% 06/20/45		09/01/2019	Paydown		514,686	514,686	501,653	501,889		12,797		12,797		514,686				12,704	06/20/2045	1FM
..BCC2CS-Q4-5	PROP LIMITED ELIX AVIATION 5.300% 03/15/42		09/01/2019	Paydown		364,524	364,524	365,099	364,559		(35)		(35)		364,524				12,959	03/15/2042	1Z
..BCC2CS-Q6-0	PROP LIMITED ELIX AVIATION 6.900% 03/15/42		09/01/2019	Paydown		52,081	52,081	51,603	51,578		502		502		52,081				2,410	03/15/2042	2Z
..BCC2EW-AW-7	HARBOUR AIRCRAFT INV LTD HARBOUR AIRCRAFT INV LTD 4.000% 11/15/37		09/15/2019	Paydown		51,719	51,719	52,953	52,747		(1,028)		(1,028)		51,719				1,348	11/15/2037	1Z
..BCC2EW-AZ-2	HARBOUR AIRCRAFT INV LTD HARBOUR AIRCRAFT INV LTD 5.680% 11/15/37		09/15/2019	Paydown		36,203	36,203	36,934	36,817		(614)		(614)		36,203				1,342	11/15/2037	2Z
..009090-AA-9	AIR CANADA 2015-1A PTT ACACN 3.6 03/15/27	A	09/15/2019	Redemption	100.0000	241,245	241,245	241,245	241,245						241,245				8,685	03/15/2027	1FE
..29250N-AF-2	ENBRIDGE INC ENBON 4 10/01/23 4.000%	A	09/17/2019	BARCLAYS CAPITAL GROUP		31,578,900	30,000,000	29,769,100	29,878,623		16,826		16,826		29,895,449		1,683,451	1,683,451	1,160,000	10/01/2023	2FE
..98462Y-C#-5	YAMANA GOLD INC SERIES B SENIOR NOTES 4.780% 06/10/23	A	08/12/2019	TENDER OFFER		6,194,089	5,787,407	5,787,407	5,787,407						5,787,407		406,681	406,681	170,967	06/10/2023	2
..98462Y-C#-9	YAMANA GOLD INC SERIES D SENIOR NOTES 4.910% 03/23/24	A	08/22/2019	SEAPORT Redemption	100.0000	3,707,180	3,524,604	3,524,604	3,524,604						3,524,604		182,576	182,576	111,836	03/23/2024	2
..000000-00-0	CERBERUS CLO 4.128% 05/09/25	D	09/16/2019	ANADOLU EFES AEFES 3 3/8 11/01/22 3.375%		216,618	216,618	216,618	216,618						216,618				3,583	05/09/2025	1Z
..032523-AA-0	ASPEN INSURANCE HLDG LTD AHL 6 12/15/20	D	09/18/2019	DEUTSCHE BANK		24,437,500	25,000,000	24,690,250	24,868,405		23,374		23,374		24,891,778		(454,278)	(454,278)	747,656	11/01/2022	4FE
..04530D-AC-6	BAIN CAPITAL CREDIT CLO LTD BCC 2016-2A C 4.903% 01/15/29	D	09/30/2019	Call	104.3901	5,219,504	5,000,000	4,982,500	4,995,733		1,575		1,575		4,997,308		2,692	2,692	457,004	12/15/2020	2FE
..056828-AE-6	TURKISH AIRLN 15-1 A PTT THYAO 4.2 03/15/27	D	08/30/2019	Redemption	100.0000	19,890,000	19,890,000	19,890,000	19,890,000						19,890,000				909,779	01/15/2029	1FE
..10010Y-AA-0	BRITISH AIRWAYS PLC IAGLN 4 5/8 06/20/24	D	09/15/2019	Redemption	100.0000	274,161	274,161	274,161	274,161						274,161				11,508	03/15/2027	3FE
..11042A-AA-2	SKY PLC SKYLN 3 1/8 11/26/22 3.125%	D	09/20/2019	BARCLAYS CAPITAL GROUP		30,842,100	30,000,000	29,954,525	29,980,518		3,401		3,401		29,983,919		858,181	858,181	763,021	11/26/2022	1FE
..12479L-AA-8	CAL FUNDING II LTD CAI 2012-1A A 3.470%	D	09/25/2019	Paydown		125,000	125,000	124,973	124,979		21		21		125,000				2,892	10/25/2027	1FE
..12479L-AC-4	CAL FUNDING III LTD CAL FUNDING III LTD 3.620% 06/25/42	D	09/25/2019	Paydown		350,000	350,000	349,976	349,963		37		37		350,000				7,817	03/27/2028	1FE
..12805P-AA-4	CAL FUNDING III LTD SERIES 20182A CLASS A 4.340% 09/25/43	D	09/25/2019	Paydown		25,000	25,000	24,997	25,027		(27)		(27)		25,000				603	06/25/2042	1FE
..12805P-AJ-5	SYMPHONY CLO LTD SYMP 2012-9A CR 4.772%	D	09/25/2019	Call	100.0000	10,500,000	10,500,000	10,500,000	10,500,000						10,500,000				386,236	10/16/2028	1FE
..25156P-AN-3	ECAF LTD ECAF LTD 2015 5.802% 06/15/40	D	07/08/2019	Various		10,300,000	10,300,000	10,256,672	10,297,063		2,937		2,937		10,300,000				618,000	07/08/2019	2FE
..26827E-AE-5	ECAF LTD ECAF LTD 2015 5.802% 06/15/40	D	07/31/2019	DEFALUT ATHENE BROKER		1,230,748	1,204,672	1,186,602	1,202,500		604		604		1,203,105		27,644	27,644	43,879	06/15/2040	2FE
..26827E-AE-5	FINANCIAL INSTITUTION NOTE FINANCIAL INSTITUTION NOTE SEC 5.000% 07/30/26	D	07/15/2019	Paydown		8,424	8,424	8,298	8,409		15		15		8,424				285	06/15/2040	2FE
..317579-AA-8	IBERDROLA FIN IRELAND IBESM 5 09/11/19	D	07/31/2019	DEFALUT ATHENE BROKER		3,478,992	3,439,873	3,439,873	3,439,873						3,439,873		39,118	39,118	128,995	07/30/2026	1FE
..45074G-AB-6	INVERSIONES LATIN AMERICA POWE INVERSIONES LATIN AMERICA PWR 5.350% 03/31/33	D	09/11/2019	Maturity	100.0000	5,214,000	5,214,000	5,157,937	5,208,724		5,276		5,276		5,214,000				260,700	09/11/2019	2FE
..46137N-AB-4	LINE ONE PERU METRO EXPA BASIC 4.737%	D	09/30/2019	Redemption	100.0000	254,844	254,844	254,844	254,844						254,844				13,634	03/31/2033	2FE
..53566T-AA-9	01/10/33	D	07/10/2019	Redemption	100.0000	311,788	311,788	311,788	311,788						311,788				4,882	01/10/2033	2FE

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**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)
..53566T-AB-7	LINE ONE PERU METRO EXPA BASIC 4.737% 04/10/33	D.....	07/10/2019	Redemption 100.0000		232,944	232,944	232,944						232,944					3,648	04/10/2033	2FE.....
..55820N-AE-1	MADISON PARK FUNDING LTD MDPK 2016-24A C1 4.878% 01/20/28	D.....	08/15/2019	Call 100.0000		9,000,000	9,000,000	8,934,300	8,945,199	(325)			(325)	8,944,874		55,126	55,126	384,582	01/20/2028	1FE.....	
..62983P-AB-1	NAKILAT INC QGTS 6.267 12/31/33 6.267% 12/31/33	D.....	07/01/2019	Redemption 100.0000		8	8	6	(12,301)		12,309		12,309	8				2,544	12/31/2033	1FE.....	
..66934#-AA-6	LARVIKSFJORDEN LTD NORWEGIAN AIR 4.750% 01/22/28	D.....	07/22/2019	Redemption 100.0000		575,886	575,886	575,886	575,886					575,886				27,298	01/22/2028	2FE.....	
..75405T-AA-7	RAS LAFFAN LNG II RASGAS 5.298 09/30/20 5.298% 09/30/20	D.....	09/30/2019	Redemption 100.0000		273,500	273,500	261,193	271,193	2,307			2,307	273,500				14,490	09/30/2020	1FE.....	
..780097-BH-3	ROYAL BK SCOTLAND 3.656% 06/25/24	D.....	08/29/2019	DEFAULT ATHENE BROKER		1,996,281	2,000,000	2,000,000	2,000,000					2,000,000		(3,719)	(3,719)	56,672	06/25/2024	2FE.....	
..81881Q-AW-6	Shackleton CLO LTD SERIES 20133A CLASS CR 4.253% 07/15/30	D.....	09/13/2019	DEFAULT ATHENE BROKER		1,930,302	2,000,000	1,932,500			1,403		1,403	1,933,903		(3,601)	(3,601)	37,164	07/15/2030	1FE.....	
..82321U-AA-1	SHENTON AIRCRAFT INVESTMENT SHENTON AIRCRAFT INV LTD 4.750% 10/15/42	D.....	09/15/2019	Paydown		104,953	104,953	103,946	105,808		(855)		(855)	104,953				3,442	10/15/2042	1FE.....	
..82481L-AD-1	SHIRE ACQUISITIONS INVESTMENTS SHIRE ACQUISITIONS INVESTMENTS 3.200% 09/23/26	D.....	07/19/2019	DEFAULT ATHENE BROKER		5,061,700	5,000,000	4,994,050	4,995,241		307		307	4,995,548		66,152	66,152	133,333	09/23/2026	2FE.....	
..87938W-AH-6	TELEFONICA EMISIONES SAU TELEFO 5.877 07/15/19 5.877% 07/15/19	D.....	07/15/2019	Maturity		5,000,000	5,000,000	5,000,000	5,000,000					5,000,000				293,850	07/15/2019	2FE.....	
..G5814#-AA-2	MARI BOYLE LTD MARI BOYLE LTD 5.580% 06/30/31	D.....	09/30/2019	Redemption 100.0000		124,697	124,697	124,697	124,697					124,697				5,219	06/30/2031	2PL.....	
..G5814#-AB-0	MARI BOYLE LTD MARI JONE LTD 5.580% 06/30/31	D.....	09/30/2019	Redemption 100.0000		124,697	124,697	124,697	124,697					124,697				5,219	06/30/2031	2PL.....	
..L8038*-AA-4	SBM BALEIA AZUL S A R L SENIOR SECURED NOTES 5.500% 09/15/27	D.....	09/15/2019	Redemption 100.0000		275,200	275,200	275,200	275,200					275,200				11,352	09/15/2027	3.....	
..P7003*-AA-3	LA BUFA WIND SAPO DE CV 5.770% 09/30/37	D.....	09/30/2019	Redemption 100.0000		96,809	96,809	96,809	96,809					96,809				2,021	09/30/2037	2PL.....	
..P7003*-AA-3	LA BUFA WIND SAPO DE CV 5.770% 09/30/37	D.....	09/01/2019	Redemption 100.0000		(4,303)	(4,303)	(4,303)	(4,303)					(4,303)				143	09/30/2037	2Z.....	
..00458*-AF-6	AQUASURE FINANCE PTY LTD AQUASURE FINANCE PTY LTD 4.320% 01/12/34	D.....	07/12/2019	Redemption 100.0000		169,910	169,910	169,910						169,910				3,609	01/12/2034	2FE.....	
..R9836#-AA-6	WELCO ECO SHIP WELCO ECO SHIP AS 5.300% 04/30/31	D.....	09/30/2019	Redemption 100.0000		22,218	22,218	21,996	22,025		193		193	22,218				882	04/30/2031	2PL.....	
..R9836#-AA-6	WELCO ECO SHIP WELCO ECO SHIP AS 5.300% 04/30/31	D.....	08/31/2019	Redemption 100.0000		45,669	45,669	45,213	45,273		397		397	45,669				1,512	04/30/2031	2Z.....	
..R9836#-AB-4	WELCO ECO SHIP WELCO ECO SHIP 5.360% 04/30/31	D.....	09/30/2019	Redemption 100.0000		22,218	22,218	21,996	22,025		193		193	22,218				892	04/30/2031	2PL.....	
..R9836#-AB-4	WELCO ECO SHIP WELCO ECO SHIP 5.360% 04/30/31	D.....	08/31/2019	Redemption 100.0000		45,669	45,669	45,213	45,273		397		397	45,669				1,529	04/30/2031	2Z.....	
<b>3899999</b>	<b>Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>					<b>743,022,136</b>	<b>736,835,104</b>	<b>732,349,391</b>	<b>663,780,776</b>	<b>403</b>	<b>1,724,147</b>	<b>660,897</b>	<b>1,063,653</b>	<b>730,717,355</b>		<b>10,938,419</b>	<b>10,938,419</b>	<b>29,535,431</b>	<b>XXX</b>	<b>XXX</b>	
..000000-00-0	LENDMARK LENDMARK FUNDING TRUST 2018 A 4.707% 01/29/21		09/20/2019	Redemption 100.0000		144,403	144,403	144,403						144,403				157	01/29/2021	1Z.....	
..000000-00-0	LENDMARK LENDMARK FUNDING TRUST 2018-A 6.557% 01/29/21		09/20/2019	Redemption 100.0000		72,201	72,201	72,201						72,201				102	01/29/2021	2Z.....	
..000000-00-0	ALPHASOURCE ALPHASOURCE INC 6.625% 11/17/23		09/17/2019	Redemption 100.0000		25,000	25,000	24,813	24,835		165		165	25,000				1,771	11/17/2023	1Z.....	
..000000-00-0	ANALOGIC CORPORATION ANALOGIC CORP BANK LOAN 8.340% 06/22/24		07/22/2019	Redemption 100.0000		12,500	12,500	12,375	12,384		116		116	12,500				468	06/22/2024	2FE.....	
..000000-00-0	NF FUNDING I LLC CLASS A 3/19 4.850% 03/29/26		09/16/2019	Redemption 100.0000		7,804,857	7,804,857	7,804,857						7,804,857				414,814	03/29/2026	1Z.....	
..000000-00-0	CSC RECEIVABLES II LLC CLASS A 3/19 5.350% 03/29/26		09/16/2019	Redemption 100.0000		200,000	200,000	200,000						200,000				17,817	03/29/2026	1Z.....	

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22		
										11	12	13	14	15									
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol /Market Indicator (a)		
..01310T-AU-3	ALBERTSONS HOLDINGS LLC ALBERTSONS 2017 TL B6 5.135% 06/22/23		08/19/2019	Redemption	100.0000										3,724,000				133,517	06/22/2023	3FE		
..04649V-AV-2	ASURION LLC ASURION TL B6 1L ASSIGN 4.989% 11/03/23		09/30/2019	Redemption	100.0000		10,326	10,326	10,326						10,326				17,669	11/03/2023	4FE		
..15670B-AB-8	CENTURYLINK INC CENTURY LINK 2017 TL B ASSIGN 4.835% 01/31/25		09/30/2019	Redemption	100.0000		10,025	10,025	10,025						10,025				16,804	01/31/2025	3FE		
..24702#-ZZ-3	DELL FINANCIAL SERVICES DELL FINANCIAL SERVICES 3.668% 08/22/22		09/23/2019	Redemption	100.0000		352,559	352,559	352,559						352,559				1,449	08/22/2022	2		
..39303N-AB-7	GREEN ENERGY PARTNERS GREEN ENERGY PTNR TL B1 7.617% 11/13/21		09/30/2019	Redemption	100.0000		5,695	5,695	5,586		69		69		5,695				349	11/13/2021	3FE		
..59408B-AQ-9	MICHAELS STORES INC MICHAELS STORES TER LOAN B 1L 4.766% 01/28/23		09/25/2019	DIRECT			3,829,282	3,834,362	3,834,362						3,834,362		(5,080)	(5,080)	111,076	01/28/2023	3FE		
..59408B-AQ-9	MICHAELS STORES INC MICHAELS STORES TER LOAN B 1L 4.766% 01/28/23		07/31/2019	Redemption	100.0000		10,198	10,198	10,198						10,198				12,459	01/28/2023	3FE		
..64072U-AJ-1	NEPTUNE FINANCE CORP CSC HOLDINGS LLC 5.303% 04/15/27		07/16/2019	Redemption	100.0000		3,036	3,036	3,036						3,036				5,145	04/15/2027	3FE		
..72749D-AC-0	PLANTRONICS PLANTRONICS 2018 TERM LOAN B 4.813% 07/02/25		09/27/2019	Redemption	100.0000		5,627	5,627	5,627						5,627				161	07/02/2025	3FE		
..78466D-BD-5	SS & C TECHNOLOGIES SS&C TECHNOLOGIES 4.800% 04/16/25		09/30/2019	Redemption	100.0000		1,440	1,440	1,440						1,440				2,149	04/16/2025	3FE		
..78466D-BE-3	SS & C TECHNOLOGIES SS&C TECHNOLOGIES 4.800% 04/16/25		09/30/2019	Redemption	100.0000		15,247	15,247	15,247						15,247				4,530	04/16/2025	3FE		
..829229-AQ-6	SINCLAIR TELEVISION GROUP SINCLAIR TELEVISION GRP TL B2 4.516% 01/31/24		09/30/2019	Redemption	100.0000		4,937	4,937	4,937						4,937				7,365	01/31/2024	3FE		
..83218#-XX-3	SOFI FUNDING PL XII LLC CLASS A TRANCHE 4.294% 10/18/21		08/07/2019	Redemption	100.0000		16,917,118	16,917,123	16,917,123						16,917,123				109,562	10/18/2021	1Z		
..83218#-YY-0	SOFI FUNDING PL XII LLC CLASS B TRANCHE 5.191% 10/18/21		08/19/2019	Redemption	100.0000		3,925,329	3,925,334	3,925,334						3,925,334				27,624	10/18/2021	1Z		
..89334G-AV-6	TRANS UNION LLC TRANS UNION LLC 2018 TL B4 4.159% 06/19/25		09/30/2019	Redemption	100.0000		1,250	1,250	1,250						1,250				1,781	06/19/2025	3FE		
..BANADS-6G-5	ARPAD SOLAR BORROWER LLC ARPAD SOLAR BORROWER LLC 5.250% 07/15/34		07/15/2019	Redemption	100.0000		52,056	52,056	52,056						52,056				1,995	07/15/2034	2Z		
..87951Y-AS-0	TELESAT CANADA INC TELESAT CANADA 2017 TL B 5.136% 11/17/23	A	09/30/2019	Redemption	100.0000		10,000	10,000	10,000						10,000				49,816	11/17/2023	3FE		
..C5184A-AK-7	LIONS GATE ENTERTAINMENT CORP LIONS GATE ENT CORP 2018 TL B 4.363% 03/24/25	A	09/30/2019	Redemption	100.0000		835	835	835						835				574	03/24/2025	3FE		
..C7052B-AF-1	GFL ENVIRONMENTAL GFL ENVIRONMENTAL TL B 1L 4.888% 05/31/25	A	09/30/2019	Redemption	100.0000		5,001	5,001	5,001						5,001				8,901	05/31/2025	4FE		
..C9413P-AZ-6	VALEANT PHARMACEUTICALS VALEANT PHARMA TL B 1L 5.039% 05/28/25	A	09/30/2019	Redemption	100.0000		156,073	155,293	155,348		725		725		156,073				6,311	05/28/2025	3FE		
..L2968E-AB-8	ENDO LUX FINANCE 2017 TL B ENDO LUX FIN CO-2017 TL B 6.278% 04/12/24	D	09/30/2019	Redemption	100.0000		10,076	10,076	10,076						10,076				22,029	04/12/2024	4FE		
..N0805E-AL-5	AVAST SOFTWARE BV 2017 TL B AVAST SOFTWARE BV 2018TL B ASN 4.585% 09/30/23	D	09/30/2019	Redemption	100.0000		23,353	23,353	23,353						23,353				22,062	09/30/2023	3Z		
<b>8299999. Subtotal - Bonds - Bank Loans</b>								37,332,424	37,337,514	37,336,313	7,916,926		1,075	1,075	37,337,514		(5,080)	(5,080)	998,457	XXX	XXX		
<b>8399997. Total - Bonds - Part 4</b>								1,535,497,461	1,523,535,587	1,521,500,030	1,413,828,887		403	1,631,611	660,897	971,117	1,518,861,236		12,496,751	12,496,751	58,260,116	XXX	XXX
<b>8399998. Total - Bonds - Part 5</b>								XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
<b>8399999. Total - Bonds</b>								1,535,497,461	1,523,535,587	1,521,500,030	1,413,828,887		403	1,631,611	660,897	971,117	1,518,861,236		12,496,751	12,496,751	58,260,116	XXX	XXX
..000468-B2-6	WATFORD HOLDINGS LTD	D	08/01/2019	Call	25.0000		763,359,000	19,083,975	0.00	18,702,296	18,702,296				18,702,296		381,680	381,680	961,825		P1ZV		
<b>8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)</b>								19,083,975	XXX	18,702,296	18,702,296					18,702,296		381,680	381,680	961,825	XXX	XXX	
<b>8999997. Total - Preferred Stocks - Part 4</b>								19,083,975	XXX	18,702,296	18,702,296					18,702,296		381,680	381,680	961,825	XXX	XXX	

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation and Admini- strative Symbol /Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
8999998.	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8999999.	Total - Preferred Stocks					19,083,975	XXX	18,702,296	18,702,296						18,702,296		381,680	381,680	961,825	XXX	XXX	
..617455-69-6	MORGAN STANLEY INVESTMENT MGIN MS ULTRA SHORT INC PORTFOLIO		08/05/2019	DIRECT	100,000,000.000	100,000,000		100,000,000						100,000,000					683,924	XXX	U	
9099999.	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					100,000,000	XXX	100,000,000						100,000,000					683,924	XXX	XXX	
..26968*-10-5	EAGLE LIFE INSURANCE COMPANY		09/30/2019	Basis Adjustment	2,500,000.000	(8,190)		(8,190)	(8,190)					(8,190)							K	
9199999.	Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates					(8,190)	XXX	(8,190)	(8,190)					(8,190)							XXX	XXX
9799997.	Total - Common Stocks - Part 4					99,991,810	XXX	99,991,810	(8,190)					99,991,810					683,924	XXX	XXX	
9799998.	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999.	Total - Common Stocks					99,991,810	XXX	99,991,810	(8,190)					99,991,810					683,924	XXX	XXX	
9899999.	Total - Preferred and Common Stocks					119,075,785	XXX	118,694,106	18,694,106					118,694,106		381,680	381,680	1,645,749	XXX	XXX	XXX	
9999999.	Totals					1,654,573,246	XXX	1,640,194,136	1,432,522,993	403	1,631,611	660,897	971,117	1,637,555,342		12,878,431	12,878,431	59,905,865	XXX	XXX		

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues ..... 1



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/01/2019	01/31/2020	153,147	21,435,467	25063.89		343,363		111,095		462,690			(232,269)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/01/2019	02/01/2020	137	3,437,558	25063.89		64,662		24,248		114,818			(40,414)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/01/2019	02/02/2020	66	1,654,356	25063.89		30,652		11,495		54,035			(19,158)					
S&P Digital			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/01/2019	02/03/2020	117	2,920,269	25063.89		58,640		21,990		89,362			(36,650)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/04/2019	02/04/2020	460	1,254,199	2724.87		17,910		6,716		23,239			(11,194)					
UST 3.125% 11/29/2019			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/07/2019	02/07/2020	10,588	28,650,355	2706.05		823,408		308,778		1,299,907			(514,630)					
Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/13/2019	02/14/2020	181,039	20,495,524	98		314,786		118,045		441,310			(196,741)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/19/2019	02/19/2020	12,556	34,903,775	2779.76		1,059,568		397,338		1,493,666			(662,230)					
UST 2.625% 02/15/29			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/20/2019	02/21/2020	173,236	17,200,000	98		250,000		93,750		361,168			(156,250)					
Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	02/27/2019	02/27/2020	221	5,744,980	25985.16		110,860		41,572		128,265			(69,287)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/01/2019	02/28/2020	28,319	79,173,945	2803.69		2,411,818		1,022,620		3,297,570			(1,389,198)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/01/2019	03/02/2020	11,162	31,294,871	2803.69		860,595		394,439		1,139,774			(466,155)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/01/2019	03/03/2020	9,681	27,143,712	2803.69		744,357		341,164		983,709			(403,193)					
UST 2.625% 02/15/29			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/06/2019	03/06/2020	200,977	19,940,000	98		283,000		129,708		400,523			(153,292)					
Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/11/2019	03/11/2020	12,125	33,747,316	2783.3		954,194		437,339		1,307,361			(516,855)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/12/2019	03/12/2020	12,129	33,857,948	2791.52		938,812		430,289		1,284,002			(508,523)					
UST 2.625% 02/15/29			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/13/2019	03/13/2020	222,962	56,141,060	98		1,358,226		622,520		1,823,329			(735,706)					
Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/18/2019	03/18/2020	11,662	33,037,648	2832.94		984,896		451,411		1,243,156			(533,485)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/20/2019	03/20/2020	244,710	112,578,173	98		3,019,066		1,383,739		4,072,931			(1,635,327)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/22/2019	03/22/2020	4,027	11,277,268	2800.71		217,499		99,687		340,255			(117,812)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/22/2019	03/23/2020	14,749	41,306,764	2800.71		1,176,660		539,303		1,612,528			(637,358)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/22/2019	03/24/2020	15,842	44,368,049	2800.71		1,100,717		504,495		1,487,540			(596,222)					
UST 2.625% 02/15/29			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/27/2019	03/27/2020	238,769	104,067,394	98		2,860,934		1,311,261		3,727,790			(1,549,672)					
Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/29/2019	03/30/2020	11,204	31,755,915	2834.4		850,034		389,599		1,061,064			(460,435)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/29/2019	03/31/2020	12,707	36,017,511	2834.4		975,691		447,192		1,219,123			(528,500)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	04/02/2019	04/02/2020	13,040	37,388,047	2867.24		1,166,192		631,687		1,379,229			(534,505)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	04/11/2019	04/09/2020	28,829	83,559,146	2888.32		2,529,336		1,370,057		2,773,983			(1,159,279)					
UST 2.625% 02/15/29			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	04/10/2019	04/10/2020	222,614	22,074,000	98		295,000		159,792		402,387			(135,208)					
Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	04/12/2019	04/13/2020	14,971	43,526,559	2907.41		1,154,328		625,261		1,254,709			(529,067)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	04/12/2019	04/13/2020	14,971	43,526,559	2907.41		1,154,328		625,261		1,254,709			(529,067)					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/12/2019	.04/14/2020	9,991	29,046,697	2907.41		701,558		380,011		765,236			(321,548)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/16/2019	.04/16/2020	13,813	40,154,486	2907.06		1,228,317		665,338		1,316,581			(562,978)				
UST 2.625% 02/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/17/2019	.04/17/2020	231,096	30,892,160	98		475,137		257,366		613,814			(217,771)				
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/18/2019	.04/18/2020	86	2,296,009	26559.54		43,114		23,354		39,215			(19,761)				
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/18/2019	.04/19/2020	68	1,797,022	26559.54		31,356		16,985		29,330			(14,372)				
Dow Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/18/2019	.04/20/2020	152	4,038,311	26559.54		79,927		43,294		78,581			(36,633)				
Dow Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/18/2019	.04/21/2020	221	5,882,124	26559.54		108,613		58,832		109,557			(49,781)				
Dow Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/23/2019	.04/23/2020	227	6,055,939	26656.39		110,939		60,092		103,978			(50,847)				
UST 2.625% 02/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/24/2019	.04/24/2020	227,868	67,056,465	98		1,712,034		927,352		1,841,220			(784,682)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/26/2019	.04/27/2020	15,557	45,736,595	2939.88		1,236,631		669,842		1,243,376			(566,789)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.04/26/2019	.04/28/2020	13,336	39,204,821	2939.88		1,057,745		572,945		1,060,464			(484,800)				
UST 2.625% 02/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/01/2019	.05/01/2020	214,483	21,286,000	98		313,000		195,625		455,433			(117,375)				
Dow Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/10/2019	.05/08/2020	15,497	56,138,287	25942.37		1,574,119		983,824		1,784,453			(590,295)				
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/09/2019	.05/09/2020	86	2,227,427	25828.36		39,720		24,825		54,230			(14,895)				
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/10/2019	.05/10/2020	25	652,735	25942.37		8,616		5,385		10,614			(3,231)				
Dow Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/10/2019	.05/11/2020	13,347	47,788,462	25942.37		1,273,544		795,965		1,457,897			(477,579)				
Dow Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/10/2019	.05/12/2020	12,858	39,048,231	25942.37		995,155		621,972		1,123,286			(373,183)				
UST 2.625% 02/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/15/2019	.05/15/2020	209,148	20,742,000	98		284,000		177,500		382,101			(106,500)				
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/20/2019	.05/20/2020	4,048	11,496,699	2840.23		233,763		146,102		340,703			(87,661)				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/22/2019	.05/22/2020	241,995	136,436,936	98		3,903,958		2,439,974		5,029,442			(1,463,984)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/24/2019	.05/26/2020	10,002	28,266,287	2826.06		776,976		485,610		993,322			(291,366)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/24/2019	.05/27/2020	13,769	38,911,452	2826.06		966,856		604,285		1,215,394			(362,571)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/31/2019	.05/29/2020	11,823	32,538,468	2752.06		1,078,766		674,229		1,655,027			(404,537)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/31/2019	.06/01/2020	16,398	45,129,143	2752.06		1,289,692		806,057		1,883,224			(483,634)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.05/31/2019	.06/02/2020	14,579	40,121,428	2752.06		1,033,067		645,667		1,466,463			(387,400)				
Dow Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/03/2019	.06/03/2020	14,454	43,945,613	24819.78		1,137,129		805,466		1,684,018			(331,662)				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/05/2019	.06/05/2020	191,084	18,958,000	98		260,000		184,167		329,084			(75,833)				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/12/2019	.06/12/2020	262,764	65,109,451	98		1,511,182		1,070,421		1,816,028			(440,761)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/14/2019	.06/15/2020	12,966	37,433,553	2886.98		1,039,506		736,317		1,222,427			(303,189)				
S&P 500 Index Option PTP			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/14/2019	.06/16/2020	12,313	35,548,677	2886.98		855,727		606,140		996,300			(249,587)				

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/21/2019	.06/19/2020	15,686	46,279,546	2950.46		1,349,016		955,553		1,384,348			(393,463)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/21/2019	.06/22/2020	13,028	38,438,227	2950.46		1,027,293		727,666		1,057,360			(299,627)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/21/2019	.06/23/2020	11,364	33,529,310	2950.46		816,754		578,534		840,933			(238,220)					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.06/26/2019	.06/26/2020	243,525	24,163,000	98		331,000		234,458		416,036			(96,542)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/01/2019	.07/01/2020	12,242	40,180,028	26717.43		1,013,905		802,675		1,013,699			(211,230)					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/10/2019	.07/10/2020	189,929	26,401,719	98		402,688		318,795		463,905			(83,893)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/12/2019	.07/12/2020	85	2,314,624	27332.03		42,219		33,424		27,266			(8,796)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/12/2019	.07/13/2020	207	5,666,265	27332.03		101,263		80,167		79,080			(21,096)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/12/2019	.07/14/2020	200	5,470,515	27332.03		105,033		83,151		82,999			(21,882)					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/15/2019	.07/15/2020	151	4,130,167	27359.16		84,392		66,810		62,649			(17,582)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/17/2019	.07/17/2020	247,097	113,147,330	98		2,795,653		2,213,225		2,741,365			(582,428)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/19/2019	.07/19/2020	57	1,541,565	27154.2		28,361		22,452		22,176			(5,909)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/19/2019	.07/20/2020	13,119	43,006,993	27154.2		1,082,011		856,592		1,047,313			(225,419)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/19/2019	.07/21/2020	12,713	41,761,212	27154.2		1,012,994		801,954		979,658			(211,041)					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/23/2019	.07/23/2020	2,577	7,744,283	3005.47		141,222		111,801		106,606			(29,421)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/24/2019	.07/24/2020	232,464	64,292,629	98		1,512,686		1,197,543		1,431,842			(315,143)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/26/2019	.07/26/2020	78	2,127,762	27192.45		36,584		28,962		28,401			(7,622)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/26/2019	.07/27/2020	215	5,857,422	27192.45		105,121		83,221		89,579			(21,900)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/26/2019	.07/28/2020	180	4,889,391	27192.45		99,873		79,066		84,594			(20,807)					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/29/2019	.07/29/2020	14,403	48,407,387	27221.35		1,175,149		930,327		1,036,679			(244,823)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.07/31/2019	.07/31/2020	220,602	21,889,000	98		304,000		240,667		383,504			(63,333)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/06/2019	.08/06/2020	12,924	41,366,315	26029.52		1,174,323		1,027,533		1,381,462			(146,790)					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/12/2019	.08/12/2020	12,973	37,410,055	2883.75		944,422		826,370		1,099,006			(118,053)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/14/2019	.08/14/2020	230,785	59,609,659	98		1,384,285		1,211,250		1,556,156			(173,036)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/16/2019	.08/17/2020	12,791	36,948,111	2888.68		965,744		845,026		1,109,778			(120,718)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/16/2019	.08/18/2020	14,220	41,078,326	2888.68		951,190		832,291		1,081,751			(118,899)					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/20/2019	.08/20/2020	11,848	34,364,252	2900.51		885,989		775,240		999,842			(110,749)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/21/2019	.08/21/2020	216,222	25,499,312	98		368,093		322,082		335,656			(46,012)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	.08/23/2019	.08/23/2020	96	2,466,323	25628.9		56,613		49,536		73,964			(7,077)					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	08/23/2019	08/24/2020	215	5,507,477	25628.9		108,119		94,604		130,436			(13,515)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	08/23/2019	08/25/2020	203	5,198,153	25628.9		95,985		83,987		124,808			(11,998)					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	08/28/2019	08/28/2020	214,438	21,286,000	98		287,000		251,125		231,266			(35,875)					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/04/2019	09/04/2020	225,395	82,347,167	98		1,966,204		1,884,279		1,859,276			(81,925)					
Dow Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/05/2019	09/05/2020	68	1,815,188	26728.15		41,423		39,697		41,788			(1,726)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/06/2019	09/06/2020	2,626	7,821,126	2978.71		156,389		149,872		151,070			(6,516)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/06/2019	09/07/2020	3,836	11,427,395	2978.71		239,218		229,250		227,972			(9,967)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/06/2019	09/08/2020	13,599	40,506,344	2978.71		1,003,604		961,787		971,419			(41,817)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/09/2019	09/09/2020	12,922	38,486,200	2978.43		945,293		905,906		914,621			(39,387)					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/11/2019	09/11/2020	270,317	62,405,488	98		1,325,623		1,270,389		1,224,257			(55,234)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/13/2019	09/13/2020	3,386	10,183,380	3007.39		200,949		192,576		175,100			(8,373)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/13/2019	09/14/2020	17,400	52,329,579	3007.39		1,316,407		1,261,557		1,194,491			(54,850)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/13/2019	09/15/2020	15,829	47,603,334	3007.39		1,090,357		1,044,925		989,758			(45,432)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/17/2019	09/17/2020	3,684	11,071,768	3005.7		206,740		198,126		181,602			(8,614)					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/18/2019	09/18/2020	280,684	118,602,536	98		2,901,087		2,780,208		2,657,435			(120,879)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/20/2019	09/21/2020	11,022	32,979,444	2992.07		915,115		876,985		852,017			(38,130)					
S&P 500 Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/20/2019	09/22/2020	10,476	31,344,067	2992.07		855,290		819,653		796,670			(35,637)					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/25/2019	09/25/2020	291,787	28,996,000	98		395,000		378,542		371,414			(16,458)					
Dow Index Option PTPT			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/30/2019	09/30/2020	17,262	56,335,496	26916.83		1,344,482		1,288,462		1,295,986			(56,020)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/20/2019	03/20/2021	45	125,762	2824.23		2,327		1,696		4,460			(630)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	03/22/2019	03/23/2021	174	487,757	2800.71		7,048		5,139		20,329			(1,909)					
S&P 500 Index Option MAV			Equity/Index	BAR ..... G5GSEF7VJP5170UK5573	09/18/2019	09/18/2021	65	196,389	3006.73		5,430		5,317		4,247			(113)					
S&P 500 Index Option MAV			Equity/Index	BOA ..... B4TYDEB6GKMZ0031MB27	10/01/2018	10/01/2019	4,802	14,044,709	2924.59		287,001		11,958					(215,250)					
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA ..... B4TYDEB6GKMZ0031MB27	10/03/2018	10/03/2019	14,172	41,459,932	2925.51		784,191		32,675					(588,143)					
S&P 500 Index Option MAV			Equity/Index	BOA ..... B4TYDEB6GKMZ0031MB27	10/04/2017	10/04/2019	52	132,439	2537.74		2,453		51		6,096			(920)					
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA ..... B4TYDEB6GKMZ0031MB27	10/09/2018	10/09/2019	14,900	42,916,038	2880.34		812,296		33,846					(609,222)					
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA ..... B4TYDEB6GKMZ0031MB27	10/12/2018	10/12/2019	13,607	37,653,508	2767.13		673,794		28,075		96			(505,346)					
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA ..... B4TYDEB6GKMZ0031MB27	10/12/2018	10/13/2019	13,799	38,184,480	2767.13		657,000		27,375		51			(492,750)					
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA ..... B4TYDEB6GKMZ0031MB27	10/12/2018	10/14/2019	12,313	34,070,600	2767.13		566,850		23,619					(425,138)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/15/2018	10/15/2019	11,733	32,275,591	2750.79	573,828		23,909		200			(430,371)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/16/2018	10/16/2019	237	6,105,439	25798.42	125,270		5,220		99,862			(93,953)					
Dow Index Option PTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/19/2018	10/19/2019	13,952	38,616,329	2767.78	688,351		28,681		3,149			(516,263)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/19/2018	10/20/2019	14,336	39,679,898	2767.78	679,377		28,307					(509,533)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/19/2018	10/21/2019	14,724	40,753,102	2767.78	700,109		29,171					(525,081)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/24/2018	10/24/2019	16,550	43,957,741	2656.1	740,750		30,865		325,298			(555,563)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/25/2018	10/25/2019	13,483	36,480,009	2705.57	616,151		25,673					(462,113)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/26/2018	10/26/2019	16,202	43,075,121	2658.69	722,457		30,102					(541,843)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/26/2018	10/27/2019	14,265	37,927,193	2658.69	613,944		25,581					(460,458)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/26/2018	10/28/2019	15,339	40,782,043	2658.69	644,130		26,839					(483,098)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	10/31/2018	10/31/2019	4,031	10,930,361	2711.74	215,013		8,959		351,986			(161,260)					
S&P 500 Index Option			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/01/2018	11/01/2019	175	4,440,665	25380.74	90,741		11,343		117,448			(68,056)					
MAV			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/02/2018	11/02/2019	13,040	35,507,570	2723.06	588,346		73,543					(441,260)					
Dow Index Option PTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/02/2018	11/03/2019	13,916	37,893,714	2723.06	598,685		74,836					(449,014)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/02/2018	11/04/2019	14,301	38,942,300	2723.06	605,938		75,742		130			(454,454)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/08/2018	11/08/2019	13,105	36,782,184	2806.83	627,461		78,433		410			(470,596)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/16/2018	11/16/2019	14,397	39,395,286	2736.27	642,878		80,360		55,450			(482,159)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/16/2018	11/17/2019	13,347	36,519,853	2736.27	578,653		72,332		43,808			(433,990)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/16/2018	11/18/2019	14,843	40,613,537	2736.27	614,866		76,858		17,937			(461,150)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/20/2018	11/20/2019	16,036	42,366,451	2641.89	607,106		75,888		27,335			(455,330)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/21/2018	11/21/2019	14,371	38,082,573	2649.93	562,515		70,314		17,295			(421,886)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/21/2018	11/22/2019	13,016	34,492,703	2649.93	528,101		66,013		354			(396,076)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/23/2018	11/23/2019	12,391	32,620,891	2632.56	498,912		62,364					(374,184)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/23/2018	11/24/2019	11,454	30,154,400	2632.56	436,568		54,571					(327,426)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/23/2018	11/25/2019	12,913	33,994,591	2632.56	493,858		61,732					(370,394)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/27/2018	11/27/2019	8,913	23,907,475	2682.17	350,597		43,825					(262,948)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/28/2018	11/28/2019	6,452	17,703,358	2743.79	264,280		33,035					(198,210)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/30/2018	11/30/2019	15,306	42,247,839	2760.17	662,461		82,808					(496,846)					

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## STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/30/2018	12/01/2019	15,494	42,765,438	2760.17	619,245		77,406						(464,434)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	11/30/2018	12/02/2019	15,618	43,109,693	2760.17	630,867		78,858						(473,150)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/03/2018	12/03/2019	12,590	35,130,475	2790.37	537,685		112,018						(403,264)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/07/2018	12/07/2019	14,452	38,054,327	2633.08	551,164		114,826		282,333				(413,373)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/07/2018	12/08/2019	17,590	46,317,034	2633.08	658,457		137,179		1,075,827				(493,843)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/07/2018	12/09/2019	14,720	38,757,995	2633.08	537,326		111,943		953,690				(402,995)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/10/2018	12/10/2019	14,768	38,954,013	2637.72	513,331		106,944		898,257				(384,998)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/13/2018	12/13/2019	14,453	38,308,515	2650.54	554,116		115,441		291,240				(415,587)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/14/2018	12/14/2019	16,331	42,460,353	2599.95	576,423		120,088		203,854				(432,317)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/14/2018	12/15/2019	16,485	42,859,317	2599.95	567,406		118,209		482,267				(425,554)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/14/2018	12/16/2019	16,246	42,239,691	2599.95	550,831		114,756		960,579				(413,123)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/17/2018	12/17/2019	14,648	37,293,898	2545.94	457,795		95,374		1,189,251				(343,346)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/26/2018	12/26/2019	8,415	20,765,553	2467.7	249,495		51,978		173,623				(187,121)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	12/31/2018	12/31/2019	19,008	47,649,885	2506.85	646,531		134,694		693,447				(484,898)			
Dow Index Option PTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/04/2019	01/03/2020	327	7,674,049	23433.16	135,589		39,547		220,701				(96,042)			
Dow Index Option MAV			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/04/2019	01/04/2020	22,849	62,522,197	23433.16	894,952		261,028		1,077,470				(633,924)			
Dow Index Option MAV			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/04/2019	01/05/2020	16,195	42,664,216	23433.16	564,236		164,569		339,492				(399,667)			
Dow Index Option PTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/04/2019	01/06/2020	13,975	38,814,236	23433.16	545,976		159,243		737,309				(386,733)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/09/2019	01/09/2020	10,999	28,431,235	2584.96	377,250		110,031		1,033,609				(267,218)			
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/11/2019	01/10/2020	1,318	3,421,123	2596.26	46,869		13,670		69,178				(33,199)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/11/2019	01/11/2020	13,745	35,685,378	2596.26	496,301		144,755		1,093,808				(351,547)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/11/2019	01/12/2020	17,858	46,363,429	2596.26	631,412		184,162		659,686				(447,250)			
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/11/2019	01/13/2020	14,606	37,922,177	2596.26	521,839		152,203		640,703				(369,636)			
Nasdaq 100 Indx Opt MAV			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/15/2019	01/15/2020	380	992,950	2610.3	26,710		7,791		41,074				(18,920)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/17/2019	01/17/2020	25,940	68,376,743	2635.96	1,419,567		414,040		2,516,892				(1,005,527)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/18/2019	01/18/2020	12,688	33,886,595	2670.71	528,847		154,247		876,028				(374,600)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/18/2019	01/19/2020	14,891	39,768,265	2670.71	592,089		172,693		1,385,713				(419,396)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/18/2019	01/20/2020	15,316	40,903,589	2670.71	582,335		169,848		913,502				(412,487)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/18/2019	01/21/2020	9,980	26,653,033	2670.71	382,074		111,438		917,581				(270,636)			
S&P 500 Indx Opt MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/22/2019	01/22/2020	15,408	40,566,840	2632.9	565,451		164,923		1,025,821				(400,528)			

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/23/2019	450	1,188,731	2638.7		16,761		4,889		23,310			(11,872)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/25/2019	13,785	36,732,620	2664.76		552,656		161,191		169,336			(391,465)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/25/2019	14,890	39,677,208	2664.76		591,375		172,484		307,901			(418,891)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/25/2019	11,967	31,888,817	2664.76		458,365		133,690		150,162			(324,675)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/29/2019	13,991	36,937,471	2640		525,839		153,370		316,231			(372,469)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	01/31/2019	14,123	38,190,240	2704.1		575,874		167,963		385,093			(407,911)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/04/2019	13,500	36,784,422	2724.87		541,973		203,240		322,754			(338,733)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/06/2019	11,397	31,132,853	2731.61		463,550		173,831		344,735			(289,719)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/08/2019	14,875	40,280,222	2707.88		596,056		223,521		1,188,470			(372,535)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/08/2019	15,915	43,095,119	2707.88		631,744		236,904		1,229,586			(394,840)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/08/2019	13,874	37,569,351	2707.88		544,525		204,197		995,120			(340,328)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/12/2019	15,650	42,955,936	2744.73		634,352		237,882		407,858			(396,470)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/13/2019	12,532	34,500,986	2753.03		526,496		197,436		432,569			(329,060)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/15/2019	17,956	48,812,682	1684.191		970,984		364,119		1,005,121			(606,865)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/15/2019	9,728	27,001,567	2775.6		412,197		154,574		188,203			(257,623)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/15/2019	11,567	32,105,046	2775.6		500,919		187,845		510,489			(313,074)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/15/2019	12,689	35,220,649	2775.6		547,711		205,391		677,448			(342,319)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/15/2019	13,140	36,465,799	2774.13		578,710		217,016		631,798			(361,694)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/21/2019	8,650	24,001,946	2774.88		654,758		245,534		927,565			(409,224)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	02/27/2019	810	2,262,424	2792.38		32,579		12,217		39,519			(20,362)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	03/01/2019	558	1,565,431	2803.69		22,699		10,404		27,115			(12,295)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	03/01/2019	665	1,864,664	2803.69		27,038		12,392		32,244			(14,645)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	03/01/2019	474	1,329,387	2803.69		19,276		8,835		22,976			(10,441)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	03/04/2019	1,393	2,348,207	1685.224		51,426		23,570		58,706			(27,856)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	03/13/2019	13,472	37,869,201	2810.92		606,874		278,151		306,384			(328,723)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	03/25/2019	13,381	37,444,017	2798.36		586,936		269,012		115,756			(317,924)					
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	04/03/2019	437	1,256,306	2873.4		31,910		17,285		5,081			(14,625)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	04/10/2019	633	1,829,179	2888.21		26,797		14,515		29,007			(12,282)					
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	04/15/2019	14,104	40,981,157	2905.58		704,701		381,713		126,053			(322,988)					
MOPTPT			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	04/16/2019	471	1,368,639	2907.06		20,119		10,898		21,215			(9,221)					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	04/23/2019	13,995	41,057,839	2933.68		1,211,410		656,180		1,216,105			(555,229)					
PTPT																							
Nasdaq 100 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/01/2019	215	627,943	2923.73		16,829		10,518		4,448			(6,311)					
MAV																							
Nasdaq 100 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/07/2019	12,826	36,991,736	2884.05		592,420		370,263		448,488			(222,158)					
MAV																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/10/2019	11,357	32,723,477	2881.4		522,020		326,262		626,891			(195,757)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/10/2019	14,209	40,942,244	2881.4		689,976		431,235		680,387			(258,741)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/10/2019	13,191	38,007,921	2881.4		644,860		403,037		342,003			(241,822)					
PTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/13/2019	14,507	40,792,078	2811.87		1,138,312		711,445		1,475,150			(426,867)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/14/2019	13,496	38,251,957	2834.41		581,521		363,451		296,782			(218,071)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/15/2019	15,861	45,220,469	2850.96		686,426		429,016		388,115			(257,410)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/20/2019	12,572	35,706,390	2840.23		556,226		347,641		721,673			(208,585)					
MOPTPT																							
Nasdaq 100 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/28/2019	11,074	31,033,054	2802.39		487,560		304,725		533,759			(182,835)					
MAV																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	05/29/2019	13,366	37,198,380	2783.02		534,409		334,005		783,934			(200,403)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/03/2019	13,462	36,945,368	2744.45		509,410		360,832		582,831			(148,578)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/05/2019	13,034	36,835,912	2826.15		541,987		383,908		390,686			(158,080)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/12/2019	15,002	43,204,609	2879.84		629,635		445,991		406,991			(183,644)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/13/2019	12,650	36,578,899	2891.64		547,179		387,585		539,577			(159,594)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/14/2019	14,549	42,003,946	2886.98		655,879		464,581		271,949			(191,298)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/14/2019	12,183	35,172,626	2886.98		549,165		388,992		260,909			(160,173)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/14/2019	14,444	41,700,672	2886.98		623,876		441,912		519,437			(181,964)					
MOPTPT																							
Nasdaq 100 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	06/26/2019	334	974,449	2913.78		25,056		17,739		20,189			(7,304)					
MAV																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	07/03/2019	9,575	28,685,896	2995.82		454,442		359,767		339,051			(94,675)					
MOPTPT																							
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	07/11/2019	559	1,675,793	2999.91		24,131		19,104		22,361			(5,027)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	07/17/2019	13,913	41,523,243	2984.42		669,151		529,745		444,490			(139,406)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	07/19/2019	11,321	33,698,327	2976.61		554,043		438,617		535,406			(115,426)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	07/19/2019	10,774	32,071,298	2976.61		553,496		438,184		477,885			(115,312)					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	07/19/2019	11,535	34,335,822	2976.61		572,331		453,096		595,575			(119,236)					
MOPTPT																							
S&P Digital			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	07/22/2019	682	2,035,894	2985.03		29,317		23,209		27,734			(6,108)					
MOPTPT																							
Nasdaq 100 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	08/14/2019	304	863,814	2840.6		21,854		19,123		27,350			(2,732)					
MAV																							
Nasdaq 100 Indx Opt			Equity/Index	BOA	B4TYDEB6GKMZ0031MB27	08/21/2019	339	990,010	2924.43		25,245		22,090		32,506			(3,156)					
MAV																							

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**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Nasdaq 100 Indx Opt MAV			Equity/Index	BOA B4TYDEB6GKMZ0031MB27	08/28/2019	08/28/2020	262	756,284	2887.94		19,588		17,139		27,338			(2,448)					
S&P 500 Index Option PTPT			Equity/Index	BOA B4TYDEB6GKMZ0031MB27	09/12/2019	09/11/2020	8,982	27,032,441	3009.57		747,151		716,019		670,427			(31,131)					
Nasdaq 100 Indx Opt MAV			Equity/Index	BOA B4TYDEB6GKMZ0031MB27	09/18/2019	09/18/2020	323	970,101	3006.73		24,447		23,428		20,687			(1,019)					
S&P 500 Index Option MAV			Equity/Index	BOA B4TYDEB6GKMZ0031MB27	10/09/2018	10/09/2020	174	500,141	2880.34		13,659		7,114		1,659			(5,122)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	BOA B4TYDEB6GKMZ0031MB27	02/15/2019	02/12/2021	788	1,327,045	1684.191		40,608		27,918		46,687			(12,690)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	BOA B4TYDEB6GKMZ0031MB27	03/04/2019	03/04/2021	802	1,351,064	1685.224		42,829		31,229		47,610			(11,599)					
Dow Index Option PTPT			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/03/2018	10/03/2019	5,225	20,598,711	26828.39		405,346		16,889		45,101			(304,009)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/04/2018	10/04/2019	5,621	22,061,107	2901.61		455,222		18,968		49,302			(341,417)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/05/2018	10/05/2019	5,058	14,596,611	2885.57		317,399		13,225					(238,049)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/05/2018	10/06/2019	5,040	14,543,120	2885.57		302,408		12,600					(226,806)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/05/2018	10/07/2019	5,793	16,715,595	2885.57		357,190		14,883					(267,892)					
S&P Digital			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/08/2018	10/08/2019	4,225	12,186,484	2884.43		246,819		10,284		20,015			(185,114)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/10/2018	10/10/2019	3,646	10,157,855	2785.68		217,159		9,048		156,151			(162,869)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/11/2018	10/11/2019	5,867	16,007,044	2728.37		352,639		14,693		563,380			(264,479)					
Dow Index Option PTPT			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/15/2018	10/15/2019	3,828	13,502,603	25250.55		267,818		11,159		335,144			(200,863)					
S&P 500 Index Option PTPT			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/16/2018	10/16/2019	15,606	43,850,468	2809.92		1,303,167		54,299		1,339,993			(977,375)					
Dow Index Option PTPT			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/17/2018	10/17/2019	4,342	17,262,812	25706.68		352,181		14,674		223,272			(264,136)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/18/2018	10/18/2019	5,462	15,122,025	2768.78		325,192		13,550		369,948			(243,894)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/19/2018	10/19/2019	4,977	13,775,360	2767.78		315,574		13,149		343,564			(236,680)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/19/2018	10/20/2019	4,835	13,382,624	2767.78		288,897		12,037		276,424			(216,673)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/19/2018	10/21/2019	5,364	14,845,709	2767.78		301,946		12,581		313,319			(226,459)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/22/2018	10/22/2019	3,684	10,151,448	2755.88		210,461		8,769		244,851			(157,846)					
S&P 500 Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/23/2018	10/23/2019	4,071	11,156,297	2740.69		237,882		9,912		270,432			(178,412)					
S&P 500 Index Option PTPT			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/24/2018	10/24/2019	12,165	32,311,317	2656.1		1,015,803		42,325		1,663,554			(761,853)					
Dow Index Option PTPT			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/26/2018	10/25/2019	36,702	103,907,179	24688.31		2,903,038		120,960		4,763,246			(2,177,278)					
Dow Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/26/2018	10/26/2019	4,701	16,074,740	24688.31		330,747		13,781		587,216			(248,060)					
Dow Index Option MAV			Equity/Index	CIBC 21GI19DL770XOHC3ZE78	10/26/2018	10/27/2019	5,802	17,257,684	24688.31		372,314		15,513		669,513			(279,235)					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Dow Index Option PTPT			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	10/26/2018	10/28/2019	16,269	47,916,855	24688.31	1,061,999			44,250		1,803,818			(796,499)				
S&P 500 Index Option PTPT			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	10/29/2018	10/29/2019	15,236	40,241,302	2641.25	1,045,603			43,567		1,767,239			(784,202)				
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	10/30/2018	10/30/2019	4,329	11,614,012	2682.63	235,322			9,805		394,323			(176,491)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/01/2018	11/01/2019	4,543	12,449,264	2740.37	249,075			31,134		396,699			(186,807)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/02/2018	11/02/2019	5,810	15,821,134	2723.06	338,473			42,309		549,383			(253,855)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/02/2018	11/03/2019	4,931	13,428,317	2723.06	277,654			34,707		467,543			(208,240)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/02/2018	11/04/2019	4,092	11,142,143	2723.06	231,161			28,895		367,575			(173,371)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/05/2018	11/05/2019	3,819	10,457,677	2738.31	208,540			26,068		328,286			(156,405)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/06/2018	11/06/2019	3,686	10,155,490	2755.45	196,920			24,615		278,022			(147,690)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/07/2018	11/07/2019	2,754	7,750,243	2813.89	150,895			18,862		66,147			(113,171)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/09/2018	11/09/2019	4,812	13,383,458	2781.01	293,742			36,718		293,388			(220,307)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/09/2018	11/10/2019	5,303	14,746,834	2781.01	297,159			37,145		328,756			(222,869)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/09/2018	11/11/2019	3,720	10,344,966	2781.01	198,253			24,782		251,896			(148,690)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/12/2018	11/12/2019	3,867	10,542,184	2726.22	221,214			27,652		374,728			(165,910)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/13/2018	11/13/2019	3,862	10,514,248	2722.18	201,650			25,206		333,545			(151,238)				
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/14/2018	11/14/2019	3,967	10,716,972	2701.58	218,904			27,363		371,132			(164,178)				
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/15/2018	11/15/2019	4,442	12,126,919	2730.2	233,268			29,159		384,407			(174,951)				
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/19/2018	11/19/2019	4,469	12,025,344	2690.73	237,279			29,660		412,634			(177,959)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/20/2018	11/20/2019	4,399	11,622,684	2641.89	237,179			29,647		433,324			(177,884)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/21/2018	11/21/2019	3,829	10,147,343	2649.93	204,528			25,566		386,347			(153,396)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/21/2018	11/22/2019	3,874	10,264,793	2649.93	216,585			27,073		394,165			(162,439)				
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/26/2018	11/26/2019	8,853	23,667,904	2673.45	622,117			77,765		996,198			(466,588)				
Dow Index Option PTPT			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/28/2018	11/27/2019	89	2,247,683	25366.43	57,515			7,189		75,144			(43,137)				
Dow Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/28/2018	11/28/2019	125	3,180,620	25366.43	57,391			7,174		71,126			(43,043)				
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/29/2018	11/29/2019	15,650	42,845,517	2737.76	1,114,193			139,274		1,611,697			(835,645)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/30/2018	11/30/2019	5,268	14,540,238	2760.17	294,431			36,804		459,732			(220,823)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/30/2018	12/01/2019	5,092	14,055,652	2760.17	279,015			34,877		420,872			(209,262)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/30/2018	12/02/2019	4,416	12,190,009	2760.17	236,704			29,588		361,946			(177,528)				
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	12/03/2018	12/03/2019	4,429	12,359,106	2790.37	233,866			48,722		276,063			(175,400)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	12/04/2018	12/04/2019	3,569	9,635,549	2700.06	183,488			38,227		320,782			(137,616)				
S&P 500 Index Option MAV			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	12/04/2018	12/05/2019	2,933	7,920,237	2700.06	149,507			31,147		259,248			(112,130)				
Dow Index Option PTPT			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	12/07/2018	12/06/2019	21,096	64,712,178	24388.95	1,867,790			389,123		2,867,632			(1,400,843)				

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/07/2018	5,238	15,423,545	24388.95	337,540			70,321		639,372			(253,155)					
Dow Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/07/2018	4,958	15,953,967	24388.95	304,402			63,417		566,000			(228,301)					
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/07/2018	5,218	18,647,434	24388.95	365,990			76,248		653,765			(274,492)					
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/10/2018	3,763	13,384,732	24423.26	263,990			54,998		476,644			(197,993)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/11/2018	4,358	11,492,128	2636.78	226,959			47,283		437,174			(170,219)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/12/2018	5,405	14,328,939	2651.07	256,194			53,374		480,371			(192,145)					
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/14/2018	6,404	23,380,004	24100.51	462,042			96,259		822,464			(346,532)					
Dow Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/14/2018	157	3,789,398	24100.51	68,108			14,189		136,713			(51,081)					
Dow Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/14/2018	97	2,347,856	24100.51	38,366			7,993		75,764			(28,775)					
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/14/2018	266	6,422,464	24100.51	113,744			23,697		190,088			(85,308)					
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/17/2018	5,549	17,069,131	23592.98	315,520			65,733		622,406			(236,640)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/19/2018	4,828	12,103,300	2506.96	211,205			44,001		464,434			(158,404)					
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/21/2018	9,128	28,767,044	22445.37	511,646			106,593		1,011,756			(383,735)					
Dow Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/21/2018	6,031	17,445,991	22445.37	350,063			72,930		799,478			(262,547)					
Dow Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/21/2018	7,029	19,868,475	22445.37	371,457			77,387		844,736			(278,593)					
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/21/2018	6,843	21,200,892	22445.37	382,201			79,625		794,733			(286,651)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/26/2018	2,370	5,848,766	2467.7	111,277			23,183		262,006			(83,458)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	12/31/2018	6,308	15,812,592	2506.85	272,993			56,874		568,630			(204,745)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/02/2019	3,098	7,777,308	2510.03		152,994		44,623		339,396			(108,371)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/04/2019	7,240	18,331,523	2531.94		363,156		105,920		781,826			(257,235)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/04/2019	4,796	12,144,379	2531.94		215,694		62,911		461,032			(152,783)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/04/2019	3,624	9,174,544	2531.94		174,417		50,872		379,721			(123,545)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/07/2019	4,864	12,401,066	2549.69		220,804		64,401		455,026			(156,403)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/08/2019	3,587	9,234,690	2574.41		169,691		49,493		360,296			(120,198)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/09/2019	3,229	8,346,436	2584.96		162,021		47,256		342,037			(114,765)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/10/2019	4,708	12,224,441	2596.64		227,180		66,261		449,398			(160,919)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/14/2019	4,034	10,419,495	2582.61		183,978		53,660		369,157			(130,317)					
S&P 500 Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/15/2019	15,064	39,322,492	2610.3		1,123,378		327,652		2,119,700			(795,726)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/16/2019	2,418	6,325,124	2616.1		125,154		36,503		279,027			(88,651)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/18/2019	1,609	4,297,487	2670.71		61,024		17,799		83,393			(43,226)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/18/2019	4,560	12,178,458	2670.71		247,729		72,254		487,182			(175,475)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/18/2019	4,709	12,577,398	2670.71		238,159		69,463		468,819			(168,696)					
S&P 500 Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/18/2019	3,258	8,702,249	2670.71		172,656		50,358		342,822			(122,298)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/18/2019	3,338	8,913,846	2670.71		161,473		47,096		311,226			(114,377)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option	PTPT		Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/22/2019	01/22/2020	3,270	10,190,580	2440.48	190,821		55,656		371,066			(135,165)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/23/2019	01/23/2020	2,239	5,908,934	2638.7	115,718		33,751		251,713			(81,967)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/25/2019	01/24/2020	1,212	3,230,437	2664.76	44,580		13,003		62,478			(31,578)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/25/2019	01/25/2020	4,101	10,928,336	2664.76	207,519		60,526		399,619			(146,993)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/25/2019	01/26/2020	4,154	11,069,483	2664.76	215,323		62,803		419,628			(152,521)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/25/2019	01/27/2020	4,484	11,947,874	2664.76	221,782		64,686		417,622			(157,095)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/28/2019	01/28/2020	13,193	34,881,603	2643.85	884,903		258,097		1,534,628			(626,806)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/29/2019	01/29/2020	3,209	8,472,486	2640	166,587		48,588		338,888			(117,999)					
Dow Index Option	PTPT		Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/30/2019	01/30/2020	11,308	33,874,944	25014.86	1,086,995		317,040		1,792,709			(769,955)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	01/31/2019	01/31/2020	4,067	11,001,671	2704.1	2,658		58,212		365,083			(141,427)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/01/2019	02/01/2020	3,471	9,395,640	2706.53	183,717		68,894		350,929			(114,823)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/01/2019	02/02/2020	4,142	11,209,958	2706.53	217,796		81,673		412,876			(136,122)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/01/2019	02/03/2020	3,084	8,345,600	2706.53	153,707		57,640		288,889			(96,067)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/05/2019	02/05/2020	14,633	40,059,936	2737.7	1,204,773		451,790		1,890,669			(752,983)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/08/2019	02/07/2020	4,608	12,470,568	2707.88	217,211		81,464		382,863			(135,774)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/08/2019	02/08/2020	4,004	10,841,539	2707.88	216,069		81,026		410,738			(135,043)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/08/2019	02/09/2020	4,083	11,057,466	2707.88	214,216		80,331		402,677			(133,885)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/08/2019	02/10/2020	4,521	12,242,690	2707.88	230,791		86,547		439,556			(144,245)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/11/2019	02/11/2020	3,807	10,316,619	2709.8	193,208		72,453		363,322			(120,755)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/15/2019	02/14/2020	13,830	38,011,754	2775.6	938,421		351,908		1,414,012			(586,513)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/15/2019	02/15/2020	2,545	7,063,204	2775.6	141,285		52,982		242,959			(88,303)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/15/2019	02/16/2020	4,060	11,270,177	2775.6	204,422		76,658		350,966			(127,764)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/15/2019	02/17/2020	3,461	9,605,120	2775.6	177,472		66,552		301,329			(110,920)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/15/2019	02/18/2020	3,148	8,738,561	2775.6	157,351		59,006		260,930			(98,344)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/19/2019	02/19/2020	3,171	8,814,353	2779.76	157,320		58,995		268,539			(98,325)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/20/2019	02/20/2020	10,002	27,851,994	2784.7	946,915		355,093		1,329,533			(591,822)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/22/2019	02/21/2020	27,306	76,210,689	2792.67	2,136,471		801,177		2,960,843			(1,335,294)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/22/2019	02/24/2020	10,797	30,153,127	2792.67	667,022		250,133		885,524			(416,889)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/25/2019	02/25/2020	3,401	9,508,438	2796.11	174,608		65,478		276,148			(109,130)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/27/2019	02/27/2020	3,001	8,380,956	2792.38	148,661		55,748		236,060			(92,913)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	02/28/2019	02/28/2020	3,206	8,927,186	2784.49	164,917		61,844		263,475			(103,073)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/01/2019	03/01/2020	4,650	13,036,381	2803.69	239,831		109,923		375,483			(129,909)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/01/2019	03/02/2020	3,165	8,874,817	2803.69	172,560		79,090		267,894			(93,470)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/01/2019	03/03/2020	3,219	9,024,192	2803.69	189,910		87,042		294,524			(102,868)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/04/2019	03/04/2020	3,524	9,840,856	2792.81	186,287		85,381		291,931			(100,905)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/05/2019	3,887	10,843,088	2789.65		201,992		92,580		323,214								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/08/2019	13,158	36,169,301	2743.07		1,026,209		470,346		1,472,198								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/07/2019	2,806	7,713,903	2748.93		151,817		69,583		262,512								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/08/2019	3,138	8,608,870	2743.07		170,595		78,189		297,291								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/08/2019	3,785	10,381,161	2743.07		200,515		91,903		336,778								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/08/2019	4,378	12,008,147	2743.07		229,156		105,030		381,662								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/11/2019	4,894	13,620,546	2783.3		252,019		115,509		415,251								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/12/2019	3,174	8,859,371	2791.52		167,990		76,995		278,454								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/15/2019	5,291	14,877,776	2822.48		257,637		118,084		382,970								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/14/2019	3,016	8,470,908	2808.48		161,855		74,184		253,429								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/15/2019	498	1,405,146	2822.48		20,515		9,403		23,809								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/15/2019	635	1,792,122	2822.48		26,165		11,992		30,352								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/19/2019	3,488	9,880,088	2832.57		165,295		75,760		242,685								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/21/2019	1,237	3,510,762	2854.88		51,257		23,493		58,690								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/21/2019	3,153	9,000,963	2854.88		168,894		77,410		235,175								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/25/2019	15,852	44,360,054	2798.36		1,147,464		525,921		1,569,514								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/26/2019	343	967,680	2818.46		13,935		6,387		16,372								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/29/2019	5,722	16,073,047	2834.4		284,854		130,558		420,514								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/28/2019	3,266	9,187,251	2815.44	3,827	166,475		77,178		252,056								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/29/2019	512	1,451,835	2834.4		21,052		9,649		24,247								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	03/29/2019	693	1,964,778	2834.4		28,489		13,058		32,791								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/01/2019	741	2,125,606	2867.19		31,246		16,925		34,532								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/02/2019	3,110	8,916,912	2867.24		143,278		77,609		177,251								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/05/2019	1,786	5,151,280	2892.74		75,539		40,917		82,267								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/04/2019	3,613	10,402,644	2879.39		184,584		99,983		231,462								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/05/2019	4,522	13,079,846	2892.74		244,921		132,665		283,688								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/05/2019	3,386	9,795,629	2892.74		186,254		100,887		217,005								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/05/2019	3,688	10,668,967	2892.74		195,959		106,144		223,059								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/08/2019	4,901	14,192,242	2895.77		266,279		144,235		306,099								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/12/2019	6,180	17,805,009	2907.41		302,075		163,624		366,367								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/11/2019	3,241	9,360,028	2888.32		167,743		90,861		204,858								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/12/2019	652	1,894,975	2907.41		28,046		15,191		29,410								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/12/2019	646	1,878,930	2907.41		27,808		15,063		29,145								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/15/2019	15,524	45,105,111	2905.58		1,217,151		659,290		1,318,064								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/18/2019	6,355	18,435,602	2905.03		313,500		169,812		348,001								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/18/2019	3,412	9,910,560	2905.03		191,316		103,629		211,317								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/18/2019	3,198	9,290,588	2905.03		179,403		97,177		204,103								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/18/2019	4,083	11,860,633	2905.03		220,211		119,281		247,652								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/18/2019	4,462	12,962,091	2905.03		228,289		123,657		261,633								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/22/2019	459	1,335,604	2907.97		19,767		10,707		20,621								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/23/2019	4,479	13,140,863	2933.68		236,482		128,094		210,361								

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/26/2019	04/24/2020	5,616	16,443,750	2939.88		273,302	148,039		263,148								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/26/2019	04/25/2020	3,476	10,171,692	2926.17		189,618	102,709		177,338								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/26/2019	04/27/2020	556	1,633,916	2939.88		24,182	13,099		24,248								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/26/2019	04/28/2020	712	2,094,467	2939.88		30,998	16,791		31,071								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/29/2019	04/29/2020	479	1,408,840	2943.03		20,851	11,234		20,806								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	04/30/2019	04/30/2020	19,404	57,160,106	2945.83		1,570,964	850,939		1,488,848								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/03/2019	05/01/2020	1,949	5,707,876	2945.64		84,321	52,701		85,752								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/02/2019	05/02/2020	3,738	10,905,372	2917.52		204,407	127,754		198,677								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/03/2019	05/04/2020	485	1,428,591	2945.64		21,143	13,214		20,984								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/03/2019	05/05/2020	492	1,449,980	2945.64		21,460	13,412		21,291								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/06/2019	05/06/2020	4,229	12,401,662	2932.47		235,899	147,437		216,668								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/07/2019	05/07/2020	3,779	10,898,240	2884.05		215,231	134,520		249,060								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/10/2019	05/08/2020	5,950	17,130,824	2881.4		310,341	193,963		371,988								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/09/2019	05/09/2020	3,320	9,530,751	2870.72		188,559	117,849		239,881								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/10/2019	05/10/2020	3,275	9,437,947	2881.4		188,273	117,670		232,610								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/10/2019	05/11/2020	4,450	12,822,730	2881.4		248,529	155,331		309,238								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/10/2019	05/12/2020	4,401	12,680,074	2881.4		239,224	149,515		295,161								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/13/2019	05/13/2020	5,225	14,691,315	2811.87		282,785	176,741		410,933								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/14/2019	05/14/2020	4,624	13,107,403	2834.41		250,607	156,629		351,626								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/15/2019	05/15/2020	562	1,601,075	2850.96		23,376	14,610		25,718								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/16/2019	05/16/2020	3,002	8,634,101	2876.32		166,688	104,180		214,463								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/17/2019	05/17/2020	4,165	11,909,708	2859.53		244,094	152,559		329,021								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/17/2019	05/18/2020	3,922	11,213,774	2859.53		218,805	136,753		299,660								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/17/2019	05/19/2020	3,649	10,435,362	2859.53		208,597	130,373		282,515								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/20/2019	05/20/2020	760	2,158,951	2840.23		31,521	19,700		34,878								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/21/2019	05/21/2020	3,753	10,751,338	2864.36		199,418	124,636		268,094								
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/24/2019	05/22/2020	4,902	18,711,813	25585.69		346,709	216,693		450,667								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/23/2019	05/23/2020	2,528	7,135,370	2822.24		134,670	84,169		198,436								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/24/2019	05/24/2020	4,542	16,363,258	25585.69		321,230	200,769		482,980								
Dow Index Option MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/24/2019	05/25/2020	2,394	7,868,074	25585.69		154,842	96,776		225,563								
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/24/2019	05/26/2020	2,028	7,767,525	25585.69		157,878	98,674		221,860								
Dow Index Option PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/24/2019	05/27/2020	4,926	20,493,926	25585.69		408,833	255,521		572,070								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/29/2019	05/29/2020	16,261	45,331,297	2783.02		1,393,840	871,150		2,030,074								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	05/30/2018	05/30/2020	47	127,048	2724.01	3,233		1,010		1,895								
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/03/2019	06/03/2020	4,073	11,179,386	2744.45		226,581	160,495		408,483								
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/05/2019	06/05/2020	4,191	11,845,788	2826.15		202,851	143,686		296,935								
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/10/2019	06/10/2020	4,272	12,331,458	2886.73		225,784	159,930		294,264								

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## STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5		6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/11/2019	06/11/2020	17,234	49,732,876	2885.72		1,427,075	1,010,845		1,701,450			(416,230)					
PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/13/2019	06/12/2020	18,551	53,586,755	2891.64		1,457,235	1,032,208		1,738,809			(425,027)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/13/2019	06/13/2020	2,541	7,348,055	2891.64		130,536	92,463		167,611			(38,073)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/14/2019	06/14/2020	3,933	11,353,423	2886.98		218,886	155,044		284,987			(63,842)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/14/2019	06/15/2020	2,534	7,315,624	2886.98		140,787	99,724		182,110			(41,063)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/14/2019	06/16/2020	3,525	10,177,064	2886.98		192,752	136,533		252,397			(56,219)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/17/2019	06/17/2020	3,369	9,734,341	2889.67		187,235	132,624		237,924			(54,610)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/18/2019	06/18/2020	4,195	12,239,933	2917.75		210,759	149,288		242,914			(61,471)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/21/2019	06/19/2020	506	1,493,913	2950.46		21,363	15,132		21,501			(6,231)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/20/2019	06/20/2020	2,925	8,632,779	2954.18	2,666	148,828	106,364		149,937			(44,408)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/21/2019	06/21/2020	3,708	10,941,378	2950.46		206,800	146,483		212,250			(60,317)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/21/2019	06/22/2020	3,819	11,267,829	2950.46		211,878	150,080		215,290			(61,798)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/21/2019	06/23/2020	4,277	12,618,548	2950.46		231,858	164,233		233,276			(67,625)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/25/2019	06/25/2020	4,724	13,781,383	2917.38		246,565	174,650		280,496			(71,915)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/28/2019	06/26/2020	18,103	52,910,619	2941.76		1,382,230	979,079		1,515,209			(403,150)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/27/2019	06/27/2020	3,474	10,160,046	2924.92		188,473	133,502		210,050			(54,971)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/28/2019	06/28/2020	3,923	11,539,711	2941.76		223,422	158,257		238,190			(65,165)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/28/2019	06/29/2020	4,103	12,070,321	2941.76		239,654	169,755		253,446			(69,899)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	06/28/2019	06/30/2020	4,589	13,500,979	2941.76		247,368	175,219		262,499			(72,149)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/01/2019	07/01/2020	3,847	11,403,886	2964.33		213,318	168,877		199,161			(44,441)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/02/2019	07/02/2020	3,933	11,691,932	2973.01		212,484	168,216		189,238			(44,267)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/03/2019	07/03/2020	2,708	8,114,105	2995.82		148,005	117,171		106,402			(30,834)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/05/2019	07/05/2020	1,967	5,870,729	2990.41	3,408	115,834	93,051		90,565			(25,410)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/05/2019	07/06/2020	4,723	14,123,739	2990.41		262,879	208,113		210,124			(54,766)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/05/2019	07/07/2020	3,510	10,495,529	2990.41		200,824	158,985		162,473			(41,838)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/08/2019	07/08/2020	3,428	10,202,743	2975.95		183,471	145,248		165,310			(38,223)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/12/2019	07/10/2020	3,151	9,438,819	3013.77		156,959	124,259		131,195			(32,700)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/12/2019	07/12/2020	3,298	9,940,698	3013.77		184,137	145,775		132,752			(38,362)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/12/2019	07/13/2020	4,593	13,843,458	3013.77		253,861	200,973		188,391			(52,888)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/12/2019	07/14/2020	4,698	14,158,910	3013.77		248,186	196,481		180,450			(51,705)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/15/2019	07/15/2020	3,165	9,539,561	3014.3		171,721	135,946		121,717			(35,775)					
S&P Digital			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/16/2019	07/16/2020	3,507	10,535,817	3004.04		188,951	149,586		143,460			(39,365)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/17/2019	07/17/2020	17,477	51,838,710	2984.42	13,466	1,294,594	1,030,217		1,223,214			(274,757)					
PTPT			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/18/2019	07/18/2020	2,881	8,627,999	2995.11		151,755	120,139		125,127			(31,616)					
S&P 500 Index Option			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/19/2019	07/19/2020	3,144	9,357,626	2976.61		187,993	148,827		175,077			(39,165)					
MAV			Equity/Index	CIBC	21GI19DL770XOHC3ZE78	07/19/2019	07/19/2020	3,144	9,357,626	2976.61		187,993	148,827		175,077			(39,165)					

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**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/19/2019	07/20/2020	3,027	9,010,534	2976.61		167,297		132,444		154,371			(34,854)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/19/2019	07/21/2020	3,840	11,429,529	2976.61		221,289		175,187		206,760			(46,102)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/22/2019	07/22/2020	3,608	10,771,319	2985.03		205,059		162,339		180,540			(42,721)					
S&P 500 Index Option PTPT			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/26/2019	07/24/2020	17,932	54,239,886	3025.86		1,403,910		1,111,429		1,175,245			(292,481)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/25/2019	07/25/2020	3,474	10,434,264	3003.67		192,661		152,523		145,420			(40,138)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/26/2019	07/26/2020	3,350	10,135,354	3025.86		195,037		154,404		126,491			(40,633)					
S&P 500 Index Option PTPT			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/26/2019	07/27/2020	16,366	49,522,428	3025.86		1,272,817		1,007,647		1,064,837			(265,170)					
S&P 500 Index Option PTPT			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/26/2019	07/28/2020	16,070	48,626,912	3025.86		1,110,638		879,255		926,344			(231,383)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/29/2019	07/29/2020	4,339	13,107,154	3020.97		255,908		202,594		177,154			(53,314)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	07/30/2019	07/30/2020	2,872	8,652,966	3013.18		158,195		125,237		118,898			(32,957)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/01/2019	08/01/2020	3,047	8,999,654	2953.56		182,601		159,776		180,251			(22,825)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/02/2019	08/02/2020	3,098	9,082,776	2932.05		180,117		157,603		197,435			(22,515)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/02/2019	08/03/2020	4,711	13,813,404	2932.05		279,403		244,477		305,581			(34,925)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/02/2019	08/04/2020	3,368	9,875,651	2932.05		195,488		171,052		216,594			(24,436)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/05/2019	08/05/2020	4,852	13,803,641	2844.74		285,154		249,509		386,750			(35,644)					
S&P Digital			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/06/2019	08/06/2020	3,438	9,907,476	2881.77		206,168		180,397		261,044			(25,771)					
Dow Index Option PTPT			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/09/2019	08/07/2020	149	3,929,307	26287.44		81,440		71,260		83,581			(10,180)					
Dow Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/09/2019	08/09/2020	106	2,797,207	26287.44		47,762		41,791		58,358			(5,970)					
Dow Index Option PTPT			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/09/2019	08/10/2020	244	6,420,176	26287.44		130,360		114,065		145,286			(16,295)					
Dow Index Option PTPT			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/09/2019	08/11/2020	208	5,468,488	26287.44		99,970		87,474		109,806			(12,496)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/12/2019	08/12/2020	4,528	13,056,260	2883.75		276,052		241,545		352,848			(34,506)					
S&P 500 Index Option PTPT			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/13/2019	08/13/2020	13,929	40,759,883	2926.32		1,107,124		968,733		1,198,152			(138,390)					
S&P Digital			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/14/2019	08/14/2020	802	2,279,025	2840.6		32,134		28,117		35,601			(4,017)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/15/2019	08/15/2020	3,227	9,189,217	2847.6		181,604		158,904		247,442			(22,701)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/16/2019	08/16/2020	3,222	9,306,002	2888.68		220,920		193,305		276,784			(27,615)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/16/2019	08/17/2020	4,117	11,891,943	2888.68		256,195		224,171		319,932			(32,024)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/16/2019	08/18/2020	4,370	12,622,990	2888.68		263,278		230,368		336,463			(32,910)					
S&P Digital			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/19/2019	08/19/2020	549	1,605,225	2923.65		22,955		20,085		23,468			(2,869)					
S&P 500 Index Option MAV			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/20/2019	08/20/2020	3,368	9,768,441	2900.51		184,624		161,546		224,082			(23,078)					
S&P Digital			Equity/Index	CIBC 2IG119DL770XOHC3ZE78	08/21/2019	08/21/2020	19,590	57,245,941	2924.43		1,396,467		1,221,909		1,532,846			(174,558)					

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	08/22/2018	08/22/2020	60	172,894	2861.82	4,098			1,793		277			(1,537)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	08/23/2019	08/23/2020	4,287	12,206,731	2847.11		270,653		236,822		361,602			(33,832)					
MAV																							
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	08/23/2019	08/24/2020	3,836	10,922,019	2847.11		221,504		193,816		289,972			(27,688)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	08/23/2019	08/25/2020	4,794	13,648,119	2847.11		290,530		254,214		388,799			(36,316)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	08/26/2019	08/26/2020	5,195	14,953,100	2878.38		302,609		264,783		383,047			(37,826)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	08/27/2019	08/27/2020	17,195	49,336,223	2869.16		1,194,837		1,045,483		1,420,661			(149,355)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	08/28/2019	08/28/2020	3,989	11,520,575	2887.94		219,955		192,461		267,587			(27,494)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/03/2019	09/03/2020	3,172	9,219,878	2906.27		191,234		183,266		229,468			(7,968)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/06/2019	09/04/2020	11,939	34,193,056	2978.71	59,689	902,050		893,065		896,539			(59,969)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/06/2019	09/08/2020	487	1,451,305	2978.71		20,899		20,028		19,826			(871)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/09/2019	09/09/2020	5,036	14,998,493	2978.43		283,570		271,754		272,670			(11,815)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/10/2019	09/10/2020	10,919	32,532,024	2979.39		841,261		806,209		806,333			(35,053)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/13/2019	09/11/2020	4,238	12,723,912	3007.39		227,236		217,768		204,081			(9,468)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/13/2019	09/14/2020	389	1,168,808	3007.39		16,948		16,242		15,340			(706)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/13/2019	09/15/2020	548	1,646,717	3007.39		23,877		22,883		21,612			(995)					
S&P 500 Dividend																							
Aristocrats Daily																							
Risk Control 5% Index																							
			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/17/2018	09/17/2020	360	625,933	1738.62	20,092			9,628		9,002			(7,535)					
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/19/2019	09/18/2020	1,317	3,958,489	3006.79		56,806		54,439		51,986			(2,367)					
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/19/2018	09/19/2020	37	106,352	2907.95	2,584			1,238		116			(969)					
MAV																							
S&P Digital			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/23/2019	09/23/2020	16,029	47,954,557	2991.78		1,090,917		1,045,462		1,021,122			(45,455)					
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/27/2019	09/25/2020	17,074	50,662,109	2961.79		1,358,284		1,301,689		1,326,479			(56,595)					
PTPT																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/26/2019	09/26/2020	3,135	9,334,501	2977.62		199,061		190,767		195,022			(8,294)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/27/2019	09/27/2020	4,375	12,958,247	2961.79		270,770		259,488		275,791			(11,282)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/27/2019	09/28/2020	15,952	47,245,482	2961.79		1,250,527		1,198,422		1,238,753			(52,105)					
PTPT																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	09/27/2019	09/29/2020	17,160	50,824,756	2961.79		1,300,001		1,245,834		1,284,360			(54,167)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	10/10/2018	10/10/2020	47	129,552	2785.68	3,990			2,078		2,233			(1,496)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	11/14/2018	11/14/2020	38	101,310	2701.58	3,505			1,972		4,380			(1,314)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	12/12/2018	12/12/2020	51	134,790	2651.07	4,879			2,948		9,051			(1,830)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	01/02/2019	01/02/2021	59	149,259	2510.03		5,463		3,528		17,872			(1,935)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	01/23/2019	01/23/2021	48	126,262	2638.7		4,205		2,715		9,962			(1,489)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	03/13/2019	03/13/2021	87	244,936	2810.92		6,295		4,590		9,535			(1,705)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	03/27/2019	03/27/2021	89	249,646	2805.37		6,815		4,970		10,325			(1,846)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	04/17/2019	04/17/2021	119	343,841	2900.45		8,527		6,573		8,002			(1,954)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	06/05/2019	06/05/2021	54	151,937	2826.15		4,102		3,504		6,626			(598)					
MAV																							
S&P 500 Index Option			Equity/Index	CIBC 2IGI19DL770XOHC3ZE78	07/24/2019	07/24/2021	36	107,382	3019.56		2,459		2,203		1,584			(256)					
MAV																							

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option MAV			Equity/Index	CIBC 21G119DL770X0HC3ZE78	08/21/2019	08/21/2021	75	218,716	2924.43		6,058		5,680		7,151			(379)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/02/2018	10/02/2019	13,142	38,419,117	2923.43	742,688			30,945					(557,016)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/05/2018	10/04/2019	24,995	72,124,359	2885.57	1,996,218			83,176		2,012,229			(1,497,164)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/05/2018	10/07/2019	11,625	33,544,925	2885.57	846,874			35,286		899,729			(635,156)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/10/2018	10/10/2019	13,151	36,633,947	2785.68	1,162,399			48,433		1,507,909			(871,799)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/11/2018	10/11/2019	13,635	37,201,390	2728.37	1,012,257			42,177		1,557,915			(759,193)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/17/2018	10/17/2019	36,613	100,630,192	1674.91	2,410,911			100,455		1,998,190			(1,808,183)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/18/2018	10/18/2019	14,333	39,685,388	2768.78	714,019			29,751		1,854			(535,514)					
SPXDSUN Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/29/2018	10/29/2019	20,124	53,457,538	2699.13	901,527			37,564		440,067			(676,145)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WFEA76	10/30/2018	10/30/2019	1,780	2,966,317	1666.037	62,518			2,605		87,583			(46,889)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/05/2018	11/05/2019	1,127	1,888,508	1675.843	34,074			4,259		43,946			(25,555)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/08/2018	11/08/2019	16,681	46,259,467	1688.347	1,229,340			153,667		1,309,808			(922,005)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/09/2018	11/09/2019	396	1,101,795	2781.01	29,198			3,650		7,762			(21,898)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/12/2018	11/12/2019	10,658	29,055,566	2726.22	771,620			96,452		1,114,803			(578,715)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/16/2018	11/15/2019	39,782	108,786,075	2736.27	2,733,514			341,689		3,162,155			(2,050,136)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/16/2018	11/18/2019	12,490	34,175,004	2736.27	901,265			112,658		1,283,076			(675,949)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/21/2018	11/21/2019	1,544	2,912,866	2649.93	66,520			8,315		68,324			(49,890)					
S&P 500 Index Option PTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/28/2018	11/27/2019	8,666	23,777,477	2743.79	1,019,264			127,408		1,344,822			(764,448)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WFEA76	11/30/2018	11/29/2019	14,041	37,222,431	1691.193	581,742			72,718		34,678			(436,306)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WFEA76	12/04/2018	12/04/2019	1,742	2,924,607	1678.993	61,416			12,795		68,062			(46,062)					

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/07/2018	12/06/2019	3,866	6,468,803	1666.179	132,663			27,638		167,861			(99,498)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/10/2018	12/10/2019	1,324	2,205,936	1666.244	44,402			9,250		63,900			(33,302)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/11/2018	12/11/2019	2,467	4,110,728	1666.512	78,093			16,269		114,891			(58,570)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/14/2018	12/13/2019	1,780	2,957,402	1661.393	60,326			12,568		92,915			(45,245)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/18/2018	12/18/2019	2,289	3,776,922	1649.673	71,472			14,890		132,184			(53,604)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/19/2018	12/19/2019	12,226	30,651,133	2506.96	1,048,727			218,485		2,187,855			(786,545)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/21/2018	12/20/2019	36,487	88,174,946	2416.62	2,726,229			567,964		6,223,116			(2,044,672)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/21/2018	12/23/2019	14,538	35,133,447	2416.62	833,314			173,607		1,728,331			(624,986)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/24/2018	12/24/2019	8,891	20,902,984	2351.1	237,176			49,412		83,679			(177,882)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/28/2018	12/27/2019	17,109	41,789,622	1642.456	554,162			115,450		453,224			(415,621)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/28/2018	12/28/2019	27,902	69,358,174	2485.74	937,292			195,269		803,031			(702,969)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/28/2018	12/29/2019	16,627	41,329,363	2485.74	542,560			113,033		575,955			(406,920)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	12/28/2018	12/30/2019	23,586	58,628,566	2485.74	733,119			152,733		817,835			(549,839)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	01/04/2019	01/03/2020	35,095	88,859,498	2531.94		2,379,131		693,913		4,681,724			(1,685,218)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	01/04/2019	01/06/2020	9,420	23,849,707	2531.94		531,922		155,144		998,215			(376,778)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	01/11/2019	01/10/2020	28,356	73,618,709	2596.26		2,070,532		603,905		3,756,530			(1,466,627)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	01/11/2019	01/13/2020	10,688	27,748,348	2596.26		702,443		204,879		1,231,607			(497,564)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	01/24/2019	01/24/2020	13,380	35,353,255	2642.33		532,276		155,247		155,953			(377,029)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	02/08/2019	02/07/2020	23,984	64,944,638	2707.88		1,883,319		706,245		2,945,635			(1,177,074)					
S&P 500 Index Option			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	02/08/2019	02/10/2020	8,982	24,322,303	2707.88		599,226		224,710		917,274			(374,516)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	02/20/2019	02/20/2020	9,836	27,389,898	2784.7		433,149		162,431		508,431			(270,718)					
S&P 500 Indx Opt			Equity/Index	CITI ..... E570DZIZ7FF32WFEA76	02/21/2019	02/21/2020	12,339	34,238,823	2774.88		544,782		204,293		986,524			(340,489)					

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S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	02/22/2019	02/22/2020	12,515	34,950,592	2792.67		545,706		204,640		714,856			(341,066)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	02/22/2019	02/23/2020	13,116	36,629,987	2792.67		571,111		214,166		80,864			(356,944)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	02/22/2019	02/24/2020	13,507	37,719,407	2792.67		583,587		218,845		89,067			(364,742)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	02/25/2019	02/25/2020	12,580	35,173,877	2796.11		544,126		204,047		68,552			(340,078)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	02/28/2019	02/28/2020	14,775	41,142,122	2784.49		677,142		253,928		173,045			(423,214)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/01/2019	03/01/2020	16,267	45,607,010	2803.69		719,720		329,872		259,655			(389,848)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/01/2019	03/02/2020	14,132	39,621,566	2803.69		673,884		308,864		262,863			(365,021)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/01/2019	03/03/2020	12,326	34,559,150	2803.69		569,524		261,032		44,160			(308,492)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/05/2019	03/05/2020	11,982	33,425,425	2789.65		547,703		251,030		95,731			(296,672)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/08/2019	03/08/2020	14,427	39,575,172	2743.07		595,966		273,151		932,624			(322,815)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/08/2019	03/09/2020	11,798	32,362,277	2743.07		519,851		238,265		822,025			(281,586)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/08/2019	03/10/2020	15,897	43,605,302	2743.07		670,144		307,149		962,936			(362,995)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/15/2019	03/15/2020	10,537	29,740,039	2822.48		475,551		217,961		135,784			(257,590)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/15/2019	03/16/2020	13,121	37,034,612	2822.48		617,240		282,902		431,433			(334,338)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/15/2019	03/17/2020	13,233	37,349,913	2822.48		608,693		278,984		530,543			(329,708)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/19/2019	03/19/2020	11,475	32,503,861	2832.57		527,015		241,548		704,014			(285,466)				
S&P 500 Index Option			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/21/2019	03/20/2020	13,242	37,803,269	2854.88		1,121,792		514,155		1,349,599			(607,637)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/22/2019	03/22/2020	12,232	34,257,486	2800.71		530,427		243,112		664,475			(287,314)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/22/2019	03/23/2020	12,469	34,922,576	2800.71		552,273		253,125		101,002			(299,148)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/22/2019	03/24/2020	14,234	39,866,023	2800.71		653,458		299,502		151,633			(353,957)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/29/2019	03/29/2020	12,066	34,200,299	2834.4		537,046		246,146		124,268			(290,900)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/29/2019	03/30/2020	9,936	28,161,741	2834.4		471,001		215,875		166,542			(255,126)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	03/29/2019	03/31/2020	15,015	42,557,462	2834.4		685,816		314,332		196,843			(371,484)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	04/04/2019	04/03/2020	14,153	40,750,817	2879.39		1,209,902		655,363		1,385,234			(554,538)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	04/12/2019	04/12/2020	13,957	40,580,030	2907.41		703,264		380,934		205,045			(322,329)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	04/12/2019	04/13/2020	12,906	37,524,077	2907.41		662,392		358,796		253,303			(303,596)				
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WFEA76	04/12/2019	04/14/2020	11,992	34,866,802	2907.41		603,847		327,084		78,857			(276,763)				
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WFEA76	04/12/2019	04/14/2020	11,992	34,866,802	2907.41		603,847		327,084		78,857			(276,763)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	04/17/2018	04/17/2020	429	721,391	1681.481	22,291			6,037		20,310			(8,359)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	04/18/2019	04/18/2020	11,690	33,958,909	2905.03		576,082		312,044		349,033			(264,037)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	04/18/2019	04/19/2020	11,324	32,895,921	2905.03		561,038		303,895		566,683			(257,142)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	04/18/2019	04/20/2020	10,673	31,004,139	2905.03		551,723		298,850		347,857			(252,873)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	04/18/2019	04/21/2020	14,562	42,303,897	2905.03		724,012		392,173		748,275			(331,839)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	04/23/2018	04/23/2020	421	703,411	1672.787	22,157			6,001		22,690			(8,309)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/01/2019	05/01/2020	12,214	35,711,429	2923.73		619,430		387,144		87,096			(232,286)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/02/2019	05/02/2020	12,555	36,629,417	2917.52		619,133		386,958		75,185			(232,175)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/03/2019	05/03/2020	11,926	35,128,346	2945.64		606,489		379,056		7,278			(227,433)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/03/2019	05/04/2020	13,053	38,448,873	2945.64		685,115		428,197		119,945			(256,918)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/03/2019	05/05/2020	12,409	36,552,328	2945.64		649,460		405,913		42,772			(243,548)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/16/2019	05/16/2020	12,695	36,515,020	2876.32		600,911		375,569		491,102			(225,342)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/17/2019	05/17/2020	14,889	42,574,218	2859.53		694,642		434,151		748,688			(260,491)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/17/2019	05/18/2020	12,168	34,794,271	2859.53		576,031		360,019		620,900			(216,012)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/17/2019	05/19/2020	14,477	41,396,001	2859.53		682,337		426,461		1,060,492			(255,876)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/24/2019	05/24/2020	12,407	35,062,265	2826.06		547,464		342,165		416,689			(205,299)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/24/2019	05/25/2020	8,238	23,281,112	2826.06		372,629		232,893		311,008			(139,736)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/24/2019	05/26/2020	9,003	25,443,130	2826.06		417,342		260,839		399,506			(156,503)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/24/2019	05/27/2020	11,600	32,783,214	2826.06		517,406		323,379		477,459			(194,027)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/31/2019	05/31/2020	10,699	29,444,669	2752.06		409,291		255,807		810,427			(153,484)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/31/2019	06/01/2020	14,372	39,552,492	2752.06		570,218		356,386		989,124			(213,832)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	05/31/2019	06/02/2020	15,341	42,220,640	2752.06		636,318		397,699		1,002,016			(238,619)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	06/04/2019	06/04/2020	15,321	42,947,630	2803.27		612,614		433,935		831,381			(178,679)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	06/06/2019	06/05/2020	310	521,542	1682.938	16,533			5,855		15,168			(6,200)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	06/06/2019	06/06/2020	11,441	32,532,894	2843.49		472,905		334,974		472,537			(137,931)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	06/18/2019	11,961	34,898,255	2917.75		521,684		369,526		444,104			(152,158)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	06/20/2019	11,858	35,031,899	2954.18		524,562		371,565		552,784			(152,997)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	06/28/2019	10,002	29,422,513	2941.76		433,819		307,289		363,296			(126,531)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	06/28/2019	11,460	33,711,234	2941.76		537,784		380,930		603,177			(156,854)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	06/28/2019	14,260	41,949,717	2941.76		651,022		461,141		776,360			(189,882)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	07/12/2019	11,088	33,415,503	3013.77		560,368		443,625		233,015			(116,743)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	07/12/2019	11,328	34,139,069	3013.77		588,568		465,950		399,332			(122,618)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	07/12/2019	11,543	34,786,613	3013.77		578,402		457,901		150,888			(120,500)						
S&P 500 Index Option			Equity/Index	CITI	E570DZIZ7FF32WEFA76	07/16/2019	12,016	36,095,656	3004.04		964,287		763,394		876,691			(200,893)						
PTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	07/25/2019	9,920	29,796,148	3003.67		478,565		378,864		191,042			(99,701)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/01/2019	10,327	30,502,580	2953.56		476,048		416,542		653,473			(59,506)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/07/2019	10,703	30,867,599	2883.98		438,433		383,629		808,104			(54,804)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/09/2019	11,510	33,593,225	2918.65		500,553		437,984		904,952			(62,569)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/09/2019	10,140	29,593,837	2918.65		453,333		396,667		790,109			(56,667)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/09/2019	12,839	37,472,516	2918.65		569,143		498,000		910,179			(71,143)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/14/2019	12,054	34,241,948	2840.6		486,173		425,401		808,924			(60,772)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/19/2019	198	5,168,066	26135.79		99,632		87,178		113,600			(12,454)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/27/2019	11,775	33,784,082	2869.16		385,477		337,292		853,343			(48,185)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	08/28/2019	10,629	30,695,241	2887.94		377,079		329,944		782,719			(47,135)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/03/2019	8,048	23,390,400	2906.27		276,665		265,137		550,914			(11,528)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/06/2019	8,443	25,148,316	2978.71		354,150		339,394		515,945			(14,756)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/06/2019	8,263	24,614,450	2978.71		341,613		327,380		515,168			(14,234)						
S&P 500 Indx Opt			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/06/2019	7,486	22,298,081	2978.71		292,884		280,680		451,863			(12,203)						
MOPTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/09/2019	201	5,383,131	26835.51		103,384		99,076		101,515			(4,308)						
Dow Index Option			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/10/2019	204	5,489,084	26909.43		98,466		94,363		96,281			(4,103)						
PTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/11/2019	217	5,887,403	27137.04		114,024		109,273		103,691			(4,751)						
Dow Index Option			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/16/2019	149	4,022,676	27076.82		78,803		75,519		72,285			(3,283)						
PTPT			Equity/Index	CITI	E570DZIZ7FF32WEFA76	09/19/2019	72	1,941,264	27094.79		38,796		37,180		34,966			(1,617)						

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option MA V			Equity/Index	CITI E570DZIZ7FF32WEFA76	09/19/2019	09/19/2020	91	2,457,653	27094.79		42,806		41,022		38,986			(1,784)					
S&P 500 Indx Opt			Equity/Index	CITI E570DZIZ7FF32WEFA76	09/25/2019	09/25/2020	10,422	31,108,478	2984.87		395,279		378,809		573,311			(16,470)					
MOPTPT			Equity/Index	CITI E570DZIZ7FF32WEFA76	10/30/2018	10/30/2020	678	1,129,430	1666.037		36,706		19,118		45,515			(13,765)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	11/05/2018	11/05/2020	269	451,151	1675.843		14,617		8,222		16,330			(5,481)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	11/21/2018	11/20/2020	288	481,709	1671.866		15,656		8,806		18,420			(5,871)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	11/30/2018	11/30/2020	425	718,352	1691.193		23,418		13,173		22,094			(8,782)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	12/06/2018	12/04/2020	2,537	4,255,924	1676.289		138,916		83,929		154,303			(52,094)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	12/07/2018	12/07/2020	1,542	2,569,964	1666.179		83,267		50,307		105,638			(31,225)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	12/10/2018	12/10/2020	643	1,071,834	1666.244		34,727		20,981		44,099			(13,023)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	12/11/2018	12/11/2020	542	903,208	1666.512		29,174		17,626		37,075			(10,940)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	12/14/2018	12/14/2020	898	1,492,435	1661.393		48,653		29,395		64,779			(18,245)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	12/18/2018	12/18/2020	900	1,484,413	1649.673		48,392		29,237		72,733			(18,147)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CITI E570DZIZ7FF32WEFA76	12/28/2018	12/28/2020	251	412,125	1642.456		13,394		8,092		21,744			(5,023)					
S&P Digital			Equity/Index	CS E58DKGMJYYJLN8C3868	10/01/2018	10/01/2019	12,139	35,500,651	2924.59		683,217		28,467		27,055			(512,413)					
S&P Digital			Equity/Index	CS E58DKGMJYYJLN8C3868	10/02/2018	10/02/2019	400	1,170,301	2923.43		17,555		731		24,881			(13,166)					
S&P Digital			Equity/Index	CS E58DKGMJYYJLN8C3868	10/05/2018	10/04/2019	1,467	4,240,803	2885.57		63,311		2,638		91,339			(47,483)					
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYJLN8C3868	10/05/2018	10/05/2019	15,265	44,048,247	2885.57		862,829		35,951					(647,122)					
MOPTPT			Equity/Index	CS E58DKGMJYYJLN8C3868	10/05/2018	10/06/2019	14,558	42,009,560	2885.57		772,535		32,189					(579,401)					
MOPTPT			Equity/Index	CS E58DKGMJYYJLN8C3868	10/05/2018	10/07/2019	14,947	43,131,701	2885.57		802,785		33,449		23,497			(602,089)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/09/2018	10/09/2019	378	1,087,878	2880.34	16,209			675		22,283			(12,157)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/10/2018	10/10/2019	1,331	2,618,892	1688.307	44,241			1,843		49,388			(33,181)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/15/2018	10/15/2019	334	918,439	2750.79	13,501			563		20,135			(10,126)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/16/2018	10/16/2019	7,836	21,437,854	2731.62	469,938			19,581		472,253			(352,454)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/19/2018	10/18/2019	9,301	23,776,667	1671.411	507,137			21,131		591,550			(380,352)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/19/2018	10/21/2019	491	1,358,784	2767.78	19,974			832		28,951			(14,981)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/22/2018	10/22/2019	4,648	12,649,396	2721.68	271,156			11,298		300,109			(203,367)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/24/2018	10/24/2019	8,938	23,207,842	1654.751	531,797			22,158		811,195			(398,848)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/26/2018	10/25/2019	913	2,428,154	2658.69	34,844			1,452		53,344			(26,133)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/26/2018	10/28/2019	513	1,364,805	2658.69	19,585			816		29,826			(14,689)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/30/2018	10/30/2019	22,356	60,237,932	2718.89	1,065,425			44,393		539,385			(799,069)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	10/31/2018	10/31/2019	11,554	31,330,491	2711.74	484,847			20,202		24,329			(363,636)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/02/2018	11/01/2019	14,736	40,358,990	2723.06	648,564			81,070		77,893			(486,423)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/02/2018	11/04/2019	588	1,601,642	2723.06	22,903			2,863		33,674			(17,178)				
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/05/2018	11/05/2019	14,393	39,413,323	2738.31	625,665			78,208					(469,249)				
MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/06/2018	11/06/2019	428	1,178,249	2755.45	16,967			2,121		24,206			(12,725)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/07/2018	11/07/2019	23,173	62,747,196	1687.186	1,170,772			146,346		456,164			(878,079)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/09/2018	11/08/2019	18,221	48,643,197	1686.059	1,071,000			133,875		879,824			(803,250)				
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/09/2018	11/09/2019	12,530	34,845,787	2781.01	633,980			79,247		43,317			(475,485)				
MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/09/2018	11/10/2019	15,811	43,970,584	2781.01	726,149			90,769		28,229			(544,612)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/09/2018	11/11/2019	21,481	59,581,905	2753.3	1,037,393			129,674		259,627			(778,045)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/12/2018	11/12/2019	12,401	33,808,235	2726.22	558,981			69,873		25,123			(419,236)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/13/2018	11/13/2019	7,433	20,387,549	2744.48	449,885			56,236		420,735			(337,414)				
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/14/2018	11/14/2019	12,230	33,041,345	2701.58	520,700			65,087		286			(390,525)				
MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/16/2018	11/15/2019	1,345	3,680,046	2736.27	52,993			6,624		75,069			(39,744)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/16/2018	11/18/2019	615	1,682,781	2736.27	24,232			3,029		34,132			(18,174)				

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/20/2018	11/20/2019	9,256	22,107,878	1670.568	476,546			59,568		561,086			(357,409)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/28/2018	11/27/2019	8,733	19,365,821	1685.569	427,691			53,461		402,974			(320,768)				
Nasdaq 100 Indx Opt MAV			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/28/2018	11/28/2019	309	847,320	2743.79	22,708			2,839		5,101			(17,031)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/30/2018	11/29/2019	15,383	42,542,699	2766.05	960,926			120,116		753,515			(720,694)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	11/30/2018	12/02/2019	6,674	18,456,833	2766.05	389,507			48,688		290,964			(292,130)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/04/2018	12/04/2019	689	1,861,466	2700.06	26,433			5,507		37,538			(19,825)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/04/2018	12/05/2019	610	1,645,761	2700.06	23,370			4,869		33,136			(17,527)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/07/2018	12/06/2019	15,617	42,415,958	2726.74	904,972			188,536		1,164,048			(678,729)				
SPXDSUN Index Option			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/07/2018	12/09/2019	5,702	15,485,695	2726.74	306,529			63,860		379,180			(229,897)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/10/2018	12/10/2019	669	1,764,713	2637.72	24,353			5,074		36,372			(18,265)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/14/2018	12/13/2019	7,154	19,320,390	2599.95	388,330			80,902		491,221			(291,248)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/14/2018	12/16/2019	752	1,955,648	2599.95	26,597			5,541		40,595			(19,948)				
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/18/2018	12/18/2019	17,261	43,950,405	2546.16	509,739			106,196		1,217,091			(382,304)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/19/2018	12/19/2019	18,767	45,647,360	1643.4	568,987			118,539		1,973,869			(426,740)				
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/21/2018	12/21/2019	16,389	39,605,063	2416.62	508,364			105,909		1,912,909			(381,273)				
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/21/2018	12/22/2019	20,766	50,182,957	2416.62	618,735			128,903		1,778,339			(464,051)				
S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/21/2018	12/23/2019	19,443	46,987,382	2416.62	556,799			116,000		259,853			(417,600)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/28/2018	12/27/2019	8,677	19,758,932	2485.74	377,297			78,604		702,828			(282,973)				
Nasdaq 100 Indx Opt MAV			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/28/2018	12/28/2019	242	602,172	2485.74	15,416			3,212		43,880			(11,562)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	12/28/2018	12/30/2019	1,128	2,804,014	2485.74	37,293			7,769		59,380			(27,970)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	01/02/2019	01/02/2020	5,085	11,813,904	1642.784		238,449		69,547		454,545			(168,901)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	01/04/2019	01/03/2020	5,977	14,010,206	2531.94		260,583		76,003		524,472			(184,580)				
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	01/04/2019	01/06/2020	608	1,539,432	2531.94		20,628		6,017		31,977			(14,612)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	01/08/2019	01/08/2020	2,619	4,701,028	1650.597		87,596		25,549		155,658			(62,047)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	01/10/2019	01/10/2020	6,657	17,035,836	1653.5		369,066		107,644		622,523			(261,422)				

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	01/14/2019	01/14/2020	3,725	3,458,935	1650.758		69,737		20,340		130,611			(49,397)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	01/15/2019	01/15/2020	2,228	4,156,568	1652.505		72,138		21,040		126,328			(51,098)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	01/18/2019	01/17/2020	5,748	14,837,804	1662.787		315,965		92,156		508,668			(223,808)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	01/23/2019	01/23/2020	853	1,414,204	1656.959		26,380		7,694		44,311			(18,686)					
S&P Digital			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	01/24/2019	01/24/2020	301	795,653	2642.33		11,219		3,272		15,561			(7,947)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	01/29/2019	01/29/2020	1,322	2,191,390	1658.097		49,087		14,317		81,788			(34,770)					
S&P Digital			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	01/30/2019	01/30/2020	550	1,474,604	2681.05		31,135		9,081		47,614			(22,054)					
S&P Digital			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/01/2019	01/31/2020	1,713	3,655,940	2706.53		64,257		21,194		91,994			(43,063)					
S&P Digital			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/01/2019	02/03/2020	314	851,018	2706.53		11,999		4,500		15,951			(7,500)					
SPXDSUN Index Option PTP			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/04/2019	02/04/2020	5,024	13,795,122	2746.01		262,789		98,546		343,870			(164,243)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/05/2019	02/05/2020	3,274	5,869,787	1670.046		118,805		44,552		165,295			(74,253)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/06/2019	02/06/2020	2,762	5,176,440	1669.528		103,569		38,839		143,811			(64,731)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/11/2019	02/11/2020	978	1,634,515	1670.707		36,450		13,669		50,724			(22,781)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/13/2019	02/13/2020	292	804,268	2753.03		20,911		7,842		31,696			(13,069)					
S&P Digital			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/20/2019	02/20/2020	501	1,393,752	2784.7		19,931		7,474		24,577			(12,457)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/21/2019	02/21/2020	637	1,073,086	1685.363		23,823		8,933		26,280			(14,889)					
SPXDSUN Index Option PTP			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/26/2019	02/26/2020	18,207	50,810,937	2781.18		843,874		316,453		419,788			(527,421)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	02/27/2019	02/27/2020	12,581	35,131,865	2792.38		574,921		215,595		110,615			(359,326)					
SPXDSUN Index Option PTP			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	03/04/2019	03/04/2020	17,551	48,957,257	2780.23		837,873		384,025		490,486			(453,848)					
SPXDSUN Index Option PTP			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	03/06/2019	03/06/2020	19,146	53,070,164	2772.85		942,437		431,950		705,174			(510,487)					
S&P 500 Indx Opt MOPT			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	03/07/2019	03/07/2020	13,679	37,601,771	2748.93		576,495		264,227		487,566			(312,268)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/12/2019	03/12/2020	5,373	14,932,800	2778.41		299,503		137,272		318,330			(162,231)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/13/2019	03/13/2020	310	872,406	2810.92		22,944		10,516		25,160			(12,428)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/18/2019	03/18/2020	7,682	19,786,305	1690.28		390,924		179,173		376,894			(211,750)					
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/19/2019	03/19/2020	4,462	12,452,424	2790.93		250,385		114,760		233,560			(135,625)					
S&P Digital S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/22/2019	03/20/2020	19,962	56,191,426	2800.71		982,186		450,169		844,903			(532,018)					
MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/21/2019	03/21/2020	12,500	35,687,417	2854.88		574,571		263,345		772,759			(311,226)					
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/22/2019	03/23/2020	415	1,162,024	2800.71		16,733		7,669		19,927			(9,064)					
S&P Digital S&P 500 Indx Opt			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/22/2019	03/24/2020	431	1,208,345	2800.71		17,400		7,975		20,712			(9,425)					
MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	03/27/2019	03/27/2020	13,797	38,704,546	2805.37		601,603		275,735		118,560			(325,868)					
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/01/2019	04/01/2020	5,731	16,132,452	2814.94		304,149		164,748		231,942			(139,402)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/04/2019	04/04/2020	13,511	38,902,554	2879.39		632,527		342,619		140,826			(289,908)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/05/2019	04/05/2020	12,334	35,678,066	2892.74		598,153		324,000		57,726			(274,154)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/05/2019	04/06/2020	10,286	29,754,260	2892.74		522,607		283,079		190,973			(239,528)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/05/2019	04/07/2020	12,365	35,769,502	2892.74		618,983		335,282		366,703			(283,700)					
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/08/2019	04/08/2020	4,496	12,684,627	2821.24		250,361		135,612		184,374			(114,749)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/09/2019	04/09/2020	15,744	45,313,877	2878.2		743,048		402,484		805,466			(340,564)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/11/2019	04/11/2020	303	874,377	2888.32		23,084		12,504		18,930			(10,580)					
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/15/2019	04/15/2020	5,536	15,620,997	2821.57		316,399		171,383		242,541			(145,016)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/17/2019	04/17/2020	16,516	47,904,515	2900.45		788,683		427,203		498,638			(361,479)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/23/2019	04/23/2020	7,675	18,833,098	1705.641		372,034		201,518		291,803			(170,516)					
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/24/2019	04/24/2020	5,834	16,497,406	2823.97		336,316		182,171		254,015			(154,145)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/25/2019	04/25/2020	12,174	35,624,638	2926.17		610,582		330,732		77,185			(279,850)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/26/2019	04/26/2020	9,553	28,083,836	2939.88		475,268		257,437		54,258			(217,831)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/26/2019	04/27/2020	11,326	33,297,820	2939.88		598,552		324,215		83,780			(274,336)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/26/2019	04/28/2020	12,236	35,971,421	2939.88		653,174		353,802		80,106			(299,371)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	04/29/2019	04/29/2020	12,798	37,664,684	2943.03		638,828		346,032		81,542			(292,796)					
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/06/2019	05/06/2020	17,920	52,009,572	2822.17		897,012		560,632		375,363			(336,379)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/08/2019	05/08/2020	14,444	41,589,846	2879.42		649,662		406,039		904,893			(243,623)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/09/2019	05/09/2020	11,898	34,154,862	2870.72		555,809		347,380		691,746			(208,428)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/14/2019	05/14/2020	3,519	5,921,610	1682.611		121,631		76,019		152,295			(45,612)					
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/17/2019	05/15/2020	1,259	3,606,251	2859.53		61,708		38,567		58,062			(23,140)					
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/17/2019	05/18/2020	878	2,075,397	2859.53	19,562	21,145		19,329		42,444			(15,265)					
S&P Digital S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/17/2019	05/19/2020	775	2,216,284	2859.53		32,136		20,085		35,293			(12,051)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/20/2019	05/20/2020	810	1,364,531	1683.695		30,565		19,103		38,575			(11,462)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/21/2019	05/21/2020	13,413	38,418,321	2864.36		613,986		383,741		969,311			(230,245)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/23/2019	05/22/2020	9,343	22,755,849	1681.424		426,220		266,387		522,129			(159,832)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	05/28/2019	05/28/2020	4,887	12,072,182	1674.156		229,876		143,672		322,254			(86,203)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/03/2019	06/03/2020	5,626	14,201,107	1672.443		260,650		184,627		390,457			(76,023)					
S&P Digital			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/10/2019	06/10/2020	13,960	40,297,995	2886.73		601,689		426,196		761,514			(175,493)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/11/2019	06/11/2020	15,965	42,689,165	1701.732		645,150		456,981		705,317			(188,169)					
Nasdaq 100 Indx Opt MAV			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/12/2019	06/12/2020	587	1,691,646	2879.84		43,475		30,795		31,865			(12,680)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/20/2019	06/19/2020	21,257	60,003,429	1712.856		937,878		664,330		1,103,491			(273,548)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/21/2019	06/21/2020	10,673	31,489,236	2950.46		468,257		331,682		631,318			(136,575)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/21/2019	06/22/2020	12,558	37,051,694	2950.46		585,335		414,613		749,027			(170,723)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/21/2019	06/23/2020	10,924	32,229,736	2950.46		497,170		352,162		293,397			(145,008)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/24/2019	06/24/2020	13,665	38,824,192	1711.268		590,976		418,608		351,345			(172,368)					
SPXDSUN Index Option PTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/25/2019	06/25/2020	5,093	14,132,467	2840.76	15,392	237,658		173,792		243,088			(75,089)					
S&P 500 Indx Opt MOPTPT			Equity/Index	CS E58DKGMJYYYJLN8C3868	06/26/2019	06/26/2020	12,767	37,199,984	2913.78		539,841		382,387		372,585			(157,454)					

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## STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	07/08/2019	07/08/2020	15,375	43,442,393	1717.995		740,211		586,000		782,759								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/09/2019	07/09/2020	13,476	40,152,050	2979.63		626,824		496,236		670,393								
Nasdaq 100 Indx Opt MAV			Equity/Index	E58DKGMJYYYJLN8C3868	07/10/2019	07/10/2020	801	1,887,754	2993.07	21,231	31,219		33,119		43,916								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/15/2019	07/15/2020	10,685	32,207,075	3014.3		521,263		412,667		140,319								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/16/2019	07/16/2020	11,534	34,648,559	3004.04		537,369		425,417		298,467								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	07/19/2019	07/17/2020	6,387	18,404,346	2976.61		326,451		258,440		266,088								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/18/2019	07/18/2020	12,460	37,320,162	2995.11		595,232		471,225		374,316								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	07/19/2019	07/20/2020	513	1,526,286	2976.61		21,979		17,400		21,058								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	07/19/2019	07/21/2020	578	1,721,629	2976.61		24,791		19,627		23,749								
SPXDSUN Index Option PTPPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/23/2019	07/23/2020	17,244	51,265,941	2871.95		843,818		668,022		401,610								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/26/2019	07/26/2020	10,675	32,301,462	3025.86		527,661		417,732		250,627								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/26/2019	07/27/2020	10,328	31,250,422	3025.86		517,936		410,032		234,764								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	07/26/2019	07/28/2020	10,893	32,959,872	3025.86		555,892		440,082		329,884								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	07/29/2019	07/29/2020	511	1,543,899	3020.97		22,387		17,723		19,925								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	07/30/2019	07/30/2020	12,243	36,890,892	3013.18		579,124		458,473		496,432								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/02/2019	07/31/2020	20,724	57,855,236	2932.05		992,895		813,993		1,049,985								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	08/02/2019	08/02/2020	10,588	31,043,596	2932.05		479,282		419,371		748,007								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/02/2019	08/03/2020	11,512	33,754,790	2932.05		540,566		472,995		764,408								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/02/2019	08/04/2020	12,118	35,529,885	2932.05		562,890		492,529		896,144								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/05/2019	08/05/2020	11,860	33,739,758	2844.74		449,869		393,635		846,445								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	08/06/2019	08/06/2020	10,277	29,615,481	2881.77		398,083		348,323		735,624								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/08/2019	08/07/2020	1,231	3,576,938	2938.09		58,099		50,836		65,439								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/12/2019	08/12/2020	14,073	40,581,948	2883.75		550,639		481,809		903,156								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	08/13/2019	08/13/2020	15,192	40,473,732	1699.281		634,168		554,897		952,723								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/16/2019	08/14/2020	914	2,619,679	2888.68		36,529		31,963		40,183								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	08/15/2019	08/15/2020	12,176	34,672,637	2847.6		442,694		387,357		819,659								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	08/16/2019	08/16/2020	12,555	36,266,440	2888.68		508,912		445,298		896,879								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/16/2019	08/17/2020	12,713	36,723,827	2888.68		480,336		420,294		849,519								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/16/2019	08/18/2020	13,409	38,732,948	2888.68		480,539		420,471		838,520								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	08/19/2019	08/19/2020	11,522	33,686,911	2923.65		433,177		379,030		747,827								
S&P Digital			Equity/Index	E58DKGMJYYYJLN8C3868	08/20/2019	08/20/2020	11,450	33,209,438	2900.51		407,853		356,871		737,725								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	08/21/2019	08/21/2020	13,465	39,378,406	2924.43		506,045		442,789		926,436								
S&P 500 Indx Opt MOPTPT			Equity/Index	E58DKGMJYYYJLN8C3868	08/23/2019	08/23/2020	12,865	36,629,062	2847.11		471,834		412,855		879,440								

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**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/23/2019	08/24/2020	9,961	28,360,525	2847.11		353,405		309,229		728,825			(44,176)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/23/2019	08/25/2020	12,590	35,844,880	2847.11		453,761		397,041		885,825			(56,720)					
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/26/2019	08/26/2020	10,345	29,775,585	2878.38		341,515		298,825		721,328			(42,689)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/30/2019	08/28/2020	1,123	3,286,935	2926.46		46,674		40,840		47,871			(5,834)					
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/29/2019	08/29/2020	9,228	26,988,118	2924.58		345,826		302,597		687,597			(43,228)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/30/2019	08/30/2020	9,580	28,034,116	2926.46		362,212		316,936		694,914			(45,277)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/30/2019	08/31/2020	11,704	34,250,679	2926.46		468,509		409,945		850,853			(58,564)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/30/2019	09/01/2020	9,197	26,915,856	2926.46		340,125		297,609		646,353			(42,516)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/30/2019	09/02/2020	8,995	26,322,082	2926.46		332,596		291,021		620,912			(41,574)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/05/2019	09/04/2020	13,313	36,344,169	1708.974		466,295		446,866		803,858			(19,429)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/09/2019	09/09/2020	15,362	44,320,742	1711.716		600,466		575,447		863,799			(25,019)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/10/2019	09/10/2020	3,368	5,771,866	1713.663		118,679		113,734		109,441			(4,945)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/11/2019	09/11/2020	10,945	32,845,030	3000.93		461,140		441,926		571,387			(19,214)					
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/13/2019	09/13/2020	9,958	29,948,291	3007.39		438,681		420,403		531,982			(18,278)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/13/2019	09/14/2020	12,316	37,039,789	3007.39		538,660		516,215		660,820			(22,444)					
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/13/2019	09/15/2020	9,952	29,928,568	3007.39		398,933		382,311		515,581			(16,622)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/16/2019	09/16/2020	13,177	39,503,682	2997.96		522,227		500,467		703,258			(21,759)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/17/2019	09/17/2020	11,941	35,891,722	3005.7		459,619		440,468		635,013			(19,151)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/18/2019	09/18/2020	12,838	38,600,640	3006.73		482,318		462,221		665,908			(20,097)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/20/2019	09/20/2020	12,230	36,593,024	2992.07		495,305		474,668		709,859			(20,638)					
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/20/2019	09/21/2020	12,508	37,424,805	2992.07		509,925		488,678		722,435			(21,247)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/20/2019	09/22/2020	10,937	32,723,050	2992.07		429,846		411,936		615,786			(17,910)					
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/23/2019	09/23/2020	10,426	31,192,166	2991.78		388,583		372,392		571,179			(16,191)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/24/2019	09/24/2020	10,165	30,154,144	2986.6		384,676		368,648		605,828			(16,028)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/27/2019	09/25/2020	9,379	24,554,513	2961.79		418,486		401,049		402,937			(17,437)					
S&P 500 Indx Opt	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/27/2019	09/27/2020	8,871	26,272,783	2961.79		341,676		327,440		533,227			(14,237)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/27/2019	09/28/2020	11,588	34,321,993	2961.79		460,674		441,480		735,928			(19,195)					
S&P Digital	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/27/2019	09/29/2020	12,440	36,845,426	2961.79		477,133		457,253		743,940			(19,881)					
MOPTPT	.....	.....	Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/30/2019	09/30/2020	13,243	39,420,814	2976.74		497,269		476,549		762,633			(20,720)					



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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	10/19/2018	10/19/2020	236	393,740	1671.411	12,915			6,726		14,833			(4,843)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	11/07/2018	11/06/2020	1,036	1,748,084	1687.186	56,288			31,662		55,353			(21,108)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	11/09/2018	11/09/2020	462	779,107	1686.059	25,165			14,155		25,069			(9,437)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	11/20/2018	11/20/2020	944	1,576,990	1670.568	51,252			28,829		61,182			(19,220)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	11/28/2018	11/27/2020	1,848	3,103,310	1685.569	100,547			56,558		109,746			(37,705)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	12/19/2018	12/18/2020	709	1,164,694	1643.4	37,620			22,729		60,675			(14,107)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	12/27/2018	12/24/2020	1,146	1,882,658	1642.909	60,245			36,398		98,757			(22,592)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	01/03/2019	12/31/2020	1,321	2,166,095	1636.424		69,315		44,766		116,724			(24,549)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	01/10/2019	01/08/2021	1,940	3,205,215	1653.5		100,003		64,585		154,882			(35,418)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	01/14/2019	01/14/2021	1,140	1,881,795	1650.758		58,524		37,797		92,238			(20,727)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	01/18/2019	01/15/2021	1,848	3,060,261	1662.787		95,174		61,467		141,967			(33,707)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	01/23/2019	01/22/2021	445	737,215	1656.959		22,854		14,760		34,106			(8,094)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	01/31/2019	01/29/2021	2,286	3,796,003	1665		118,767		76,704		170,508			(42,063)					

E06.31

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	02/05/2019	02/05/2021	2,566	4,285,665	1670.046		136,405		93,778		174,819			(42,626)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	02/11/2019	02/11/2021	665	1,111,773	1670.707		35,466		24,383		45,151			(11,083)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	03/18/2019	03/18/2021	1,547	2,615,155	1690.28		83,162		60,639		88,022			(22,523)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	04/23/2019	04/23/2021	1,226	2,091,857	1705.641		66,730		51,438		60,940			(15,292)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	05/14/2019	05/14/2021	1,298	2,183,662	1682.611		70,096		56,953		82,520			(13,143)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	05/20/2019	05/20/2021	494	832,118	1683.695		26,545		21,567		31,205			(4,977)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	05/23/2019	05/21/2021	784	1,321,544	1681.424		42,157		34,253		48,259			(7,904)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	05/28/2019	05/28/2021	747	1,251,067	1674.156		40,034		32,528		51,821			(7,506)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	06/03/2019	06/03/2021	1,164	1,946,483	1672.443		62,287		53,204		82,222			(9,084)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	06/11/2019	06/11/2021	2,117	3,601,751	1701.732		114,896		98,140		113,448			(16,756)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	06/20/2019	06/18/2021	704	1,205,633	1712.856		38,098		32,542		33,830			(5,556)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	06/24/2019	06/24/2021	1,032	1,766,296	1711.268		56,345		48,128		50,660			(8,217)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	E58DKGMJYYYJLN8C3868	07/08/2019	07/08/2021	652	1,119,424	1717.995		35,934		32,190		30,142			(3,743)					

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/01/2019	07/30/2021	666	1,136,462	1705.134		37,446		35,106		35,683			(2,340)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	08/13/2019	08/13/2021	1,947	3,308,135	1699.281		108,441		101,663		111,285			(6,778)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/05/2019	09/03/2021	557	952,208	1708.974		30,852		30,209		29,398			(643)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/09/2019	09/09/2021	352	602,693	1711.716		19,467		19,061		18,160			(406)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/10/2019	09/10/2021	2,011	3,446,337	1713.663		111,799		109,470		101,834			(2,329)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	CS ..... E58DKGMJYYYJLN8C3868	09/26/2019	09/24/2021	320	549,175	1714.05		17,804		17,433		16,315			(371)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/01/2018	10/01/2019	5,342	14,800,329	1734.665		349,852		14,577		8,373			(262,389)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/02/2018	10/02/2019	6,043	17,087,512	2827.42		402,672		16,778		7,629			(302,004)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/05/2018	10/04/2019	21,011	58,114,290	1722.705		1,385,912		57,746		104,138			(1,039,434)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/05/2018	10/07/2019	7,434	20,864,100	2806.71		511,958		21,332		53,114			(383,968)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/08/2018	10/08/2019	4,621	12,437,717	1726.741		297,912		12,413		17,595			(223,434)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/09/2018	10/09/2019	5,575	15,123,119	1715.88		369,943		15,414		70,492			(277,458)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/10/2018	10/10/2019	8,691	23,915,110	2751.63		605,534		25,231		435,778			(454,151)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/12/2018	10/11/2019	23,297	62,459,830	2718.06		1,466,124		61,089		1,911,108			(1,099,593)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/12/2018	10/14/2019	7,128	19,375,257	2718.06		425,068		17,711		515,151			(318,801)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/15/2018	10/15/2019	4,570	12,423,583	2718.47		268,842		11,202		321,026			(201,632)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	10/19/2018	10/18/2019	16,742	45,632,949	2725.64		1,075,519		44,813		1,191,002			(806,640)					

E06.33

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

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SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	10/19/2018	10/21/2019	6,469	17,633,344	2725.64	373,436			15,560		385,068			(280,077)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	10/23/2018	10/23/2019	8,198	21,000,740	1665.818	470,282			19,595		588,556			(352,711)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	10/26/2018	10/25/2019	22,715	60,142,076	1652.391	1,334,732			55,614		2,133,061			(1,001,049)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	10/26/2018	10/28/2019	7,464	20,122,330	2695.86	420,899			17,537		691,997			(315,674)					
Nasdaq 100 Indx Opt MAV			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	10/31/2018	10/31/2019	10,646	27,972,911	2711.74	598,901			24,954		710,592			(449,176)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/02/2018	11/01/2019	15,259	40,604,439	1672.25	913,976			114,247		974,737			(685,482)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/02/2018	11/04/2019	7,140	19,486,229	2729.35	436,139			54,517		461,335			(327,104)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/05/2018	11/05/2019	5,881	16,090,239	2735.84	346,939			43,367		326,264			(260,204)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/06/2018	11/06/2019	1,330	2,233,666	1679.287	46,366			5,796		50,046			(34,774)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/13/2018	11/13/2019	1,309	2,199,375	1680.074	47,250			5,906		49,305			(35,438)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/16/2018	11/15/2019	20,724	56,038,449	1686.572	1,273,343			159,168		1,051,164			(955,007)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/16/2018	11/18/2019	7,073	19,490,625	2755.65	407,354			50,919		307,319			(305,515)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/19/2018	11/19/2019	6,304	16,245,391	2747.48	329,869			41,234		298,654			(247,402)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/21/2018	11/21/2019	8,822	24,108,707	2732.76	513,118			64,140		567,442			(384,838)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/21/2018	11/22/2019	15,923	43,480,497	2732.76	961,847			120,231		1,112,898			(721,385)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/23/2018	11/25/2019	5,450	14,877,471	2729.94	312,180			39,022		356,985			(234,135)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/26/2018	11/26/2019	1,514	4,145,541	2738.11	82,254			10,282		82,054			(61,690)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/27/2018	11/27/2019	2,413	6,612,100	2740.44	144,605			18,076		147,020			(108,453)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	11/29/2018	11/29/2019	5,181	14,284,227	2756.86	335,485			41,936		289,901			(251,614)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	12/03/2018	12/03/2019	3,723	10,325,539	2773.47	214,176			44,620		130,236			(160,632)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	12/04/2018	12/04/2019	5,825	16,000,488	2747.05	351,337			73,195		336,213			(263,503)					
SPXDSUN Index Option PTPT			Equity/Index	JPM 7H6GLXDRUGGFU57RNE97	12/04/2018	12/05/2019	5,268	14,470,131	2747.05	317,123			66,067		300,532			(237,842)					

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SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/06/2018	12/06/2019	6,950	19,066,272	2743.22	423,008			88,127		460,103			(317,256)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/10/2018	12/10/2019	4,999	13,634,980	2727.6	271,484			56,559		350,541			(203,613)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/11/2018	12/11/2019	5,792	15,801,121	2728.27	345,280			71,933		440,592			(258,960)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/12/2018	12/12/2019	1,896	3,162,630	1667.96	65,432			13,632		89,572			(49,074)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/14/2018	12/13/2019	15,181	41,301,249	2720.57	909,745			189,530		1,270,929			(682,309)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/14/2018	12/16/2019	6,362	17,308,153	2720.57	357,004			74,376		494,094			(267,753)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/21/2018	12/20/2019	22,307	58,117,206	1632.952	1,265,168			263,577		2,670,494			(948,876)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/21/2018	12/23/2019	8,072	21,598,164	2675.55	464,093			96,686		994,541			(348,070)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/24/2018	12/24/2019	1,666	4,421,303	2654.32	78,880			16,433		209,967			(59,160)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/28/2018	12/27/2019	16,437	44,264,854	2693.05	971,137			202,320		1,851,208			(728,353)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/28/2018	12/30/2019	10,141	27,310,712	2693.05	589,757			122,866		1,125,096			(442,317)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	12/31/2018	12/31/2019	7,036	18,982,637	2697.85	396,411			82,586		733,684			(297,309)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/04/2019	01/03/2020	17,440	44,689,213	1645.614		967,069		282,062		1,798,980			(685,007)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/04/2019	01/06/2020	4,558	12,306,540	2700.04		247,541		72,199		462,928			(175,342)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/09/2019	01/09/2020	3,658	9,915,092	2710.27		182,989		53,372		321,721			(129,617)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/11/2019	01/10/2020	29,117	77,111,518	2713.53		1,386,291		404,335		2,869,553			(981,956)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/11/2019	01/13/2020	5,721	15,523,965	2713.53		298,584		87,087		503,717			(211,497)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/14/2019	01/14/2020	4,968	13,469,056	2710.98		262,353		76,520		459,373			(185,833)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/16/2019	01/16/2020	12,348	29,837,679	1652.455		454,811		132,653		664,803			(322,158)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/18/2019	01/17/2020	17,976	47,754,502	2731.78		976,863		284,918		1,443,001			(691,945)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/18/2019	01/21/2020	3,488	9,529,126	2731.78		175,142		51,083		255,675			(124,059)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/22/2019	01/22/2020	4,442	12,094,778	2723.1		232,582		67,836		372,573			(164,746)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/23/2019	01/23/2020	4,892	13,323,034	2723.39		283,490		82,685		451,287			(200,805)				

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/25/2019	01/24/2020	13,799	36,276,966	1656.837		761,839		222,203		1,223,227			(539,636)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/25/2019	01/27/2020	4,808	13,095,460	2723.77		258,323		75,344		414,586			(182,979)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/28/2019	01/28/2020	5,660	13,877,650	1655.404		249,020		72,631		414,390			(176,389)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	01/30/2019	01/30/2020	1,128	1,873,824	1660.497		37,874		11,047		61,511			(26,827)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/01/2019	01/31/2020	17,024	45,809,078	1665.863		932,847		326,368		1,314,447			(606,479)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/01/2019	02/03/2020	6,117	16,763,588	2740.43		322,623		120,984		450,323			(201,639)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/04/2019	02/04/2020	1,445	2,411,504	1668.817		49,167		18,438		70,058			(30,729)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/08/2019	02/07/2020	18,226	48,818,665	1669.035		974,281		365,355		1,301,380			(608,925)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/08/2019	02/10/2020	4,593	12,619,735	2747.55		232,196		87,073		307,994			(145,122)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/11/2019	02/11/2020	3,781	10,401,488	2750.99		182,146		68,305		230,773			(113,841)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/13/2019	02/13/2020	1,353	2,272,862	1680.051		44,784		16,794		54,905			(27,990)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/14/2019	02/14/2020	824	1,381,774	1676.822		25,514		9,568		33,503			(15,946)					
S&P 500 Indx Opt MOPTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/19/2019	02/19/2020	13,062	36,309,351	2779.76		554,616		207,981		963,689			(346,635)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/20/2019	02/20/2020	1,325	2,234,468	1685.986		45,094		16,910		50,313			(28,184)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/22/2019	02/21/2020	13,117	34,701,319	1689.015		687,959		257,984		659,621			(429,974)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/22/2019	02/24/2020	4,564	12,706,324	2783.97		237,003		88,876		222,860			(148,127)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/25/2019	02/25/2020	5,836	15,092,485	1688.598		285,464		107,049		271,048			(178,415)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/26/2019	02/26/2020	2,372	4,000,164	1686.742		77,968		29,238		86,693			(48,730)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/27/2019	02/27/2020	1,018	1,717,772	1687.762		35,780		13,418		38,379			(22,363)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	02/28/2019	02/28/2020	923	1,557,029	1686.773		35,189		13,196		37,593			(21,993)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/05/2019	03/05/2020	6,759	16,488,991	1683.988		319,869		146,607		340,951			(173,262)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/08/2019	03/06/2020	16,117	40,673,110	1674.751		837,105		383,673		1,026,208			(453,432)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/08/2019	03/09/2020	5,121	14,154,336	2763.78		283,572		129,971		350,033			(153,602)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/08/2019	03/10/2020	8,013	22,147,448	2763.78		451,496		206,936		556,425			(244,560)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/11/2019	03/11/2020	5,024	13,952,010	2776.98		277,749		127,302		294,205			(150,448)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/12/2019	03/12/2020	2,674	4,501,225	1683.152		94,505		43,315		110,265			(51,190)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/15/2019	03/13/2020	15,416	38,432,537	1687.837		802,382		367,758		809,264			(434,624)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/15/2019	03/16/2020	5,082	14,161,589	2786.74		298,203		136,676		293,469			(161,527)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/15/2019	03/17/2020	6,470	18,029,671	2786.74		379,791		174,071		373,188			(205,720)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/19/2019	03/19/2020	2,150	3,633,776	1689.922		72,719		33,330		77,371			(39,390)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/22/2019	03/20/2020	14,843	37,292,004	1681.723		770,819		353,292		840,683			(417,527)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/22/2019	03/23/2020	4,402	12,228,529	2778.25		248,812		114,039		279,776			(134,773)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	03/22/2019	03/24/2020	6,267	17,410,106	2778.25		361,071		165,491		405,919			(195,580)					

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/25/2019	03/25/2020	4,827	13,420,405	2780		260,607		119,445		289,925			(141,162)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/26/2019	03/26/2020	8,091	19,831,902	1688.292		379,157		173,780		386,163			(205,377)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/29/2019	03/27/2020	11,740	27,018,874	1697.301		572,877		262,569		532,526			(310,309)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/29/2019	03/30/2020	4,099	11,499,585	2805.55		221,891		101,700		191,793			(120,191)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/29/2019	03/31/2020	6,171	17,312,480	2805.55		348,648		159,797		303,998			(188,851)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/02/2019	04/02/2020	1,923	3,269,179	1699.64		68,543		37,128		62,298			(31,416)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/05/2019	04/03/2020	24,397	65,014,560	1705.326		1,229,651		666,061		563,570			(563,590)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/05/2019	04/06/2020	5,194	14,647,699	2820.31		293,192		158,812		219,498			(134,380)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/05/2019	04/07/2020	4,565	12,875,864	2820.31		257,229		139,332		192,111			(117,897)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/08/2019	04/08/2020	13,836	38,675,599	1705.511		676,910		366,660		729,619			(310,251)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/12/2019	04/09/2020	15,627	37,732,088	1705.241		748,526		409,246		625,700			(348,328)					
S&P 500 Indx Opt MOPTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/11/2019	04/11/2020	13,289	38,384,072	2888.32		644,249		348,968		526,461			(295,281)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/12/2019	04/13/2020	7,092	20,011,174	2821.61		421,254		228,179		324,839			(193,075)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/12/2019	04/14/2020	5,542	15,636,431	2821.61		314,592		170,404		239,278			(144,188)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/15/2019	04/15/2020	1,928	3,286,544	1704.836		65,833		35,660		57,106			(30,174)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/16/2019	04/16/2020	16,236	43,338,142	1706.599		750,207		406,362		382,300			(343,845)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/18/2019	04/17/2020	11,408	29,636,615	1704.791		597,839		326,431		480,730			(277,612)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/18/2019	04/20/2020	5,871	15,921,015	1704.791		340,438		184,404		270,332			(156,034)					

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/18/2019	.04/21/2020	6,656	18,784,303	2822.21		370,224		200,538		286,358			(169,686)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/22/2019	.04/22/2020	6,727	15,583,611	1701.541		311,390		168,670		264,759			(142,720)				
S&P 500 Indx Opt MOPTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/23/2019	.04/23/2020	11,790	34,587,751	2933.68		582,359		315,445		43,275			(266,915)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/26/2019	.04/24/2020	11,176	24,251,088	1703.98	7,497	498,705		272,162		425,686			(231,385)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/26/2019	.04/27/2020	6,410	18,092,825	2822.63		372,714		201,887		300,497			(170,827)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/26/2019	.04/28/2020	7,446	21,017,908	2822.63		430,317		233,088		345,731			(197,229)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/29/2019	.04/29/2020	2,233	3,805,165	1703.993		81,549		44,172		71,565			(37,377)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.04/30/2019	.04/30/2020	17,680	47,345,074	1707.909	23,458	792,671		435,717		236,966			(372,105)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/03/2019	.05/01/2020	18,661	45,194,296	1706.501	34,234	888,895		566,257		791,497			(346,173)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/03/2019	.05/04/2020	6,060	17,139,505	2828.42		364,090		227,556		285,186			(136,534)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/03/2019	.05/05/2020	6,898	19,511,041	2828.42		399,044		249,402		308,972			(149,641)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/06/2019	.05/06/2020	1,417	2,412,708	1702.323		50,912		31,820		46,548			(19,092)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/07/2019	.05/07/2020	7,251	17,028,549	1690.731		329,133		205,708		336,910			(123,425)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/10/2019	.05/08/2020	4,319	7,299,677	1693.047	15,022	137,253		90,478		166,094			(57,103)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/10/2019	.05/11/2020	1,144	1,928,756	1693.047	22,749	22,733		21,317		47,393			(17,056)				
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/13/2019	.05/13/2020	6,786	18,891,233	2784.03		365,543		228,464		461,921			(137,079)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.05/17/2019	.05/15/2020	13,904	31,469,293	1685.622	27,312	597,563		382,012		724,475			(234,328)				

E06.39

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/17/2019	.05/18/2020	228	383,946	1685.622		8,620		5,387		10,522			(3,232)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/20/2019	.05/20/2020	4,663	13,030,594	2794.43		239,256		149,535		280,803			(89,721)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/21/2019	.05/21/2020	3,174	5,363,933	1690.087		109,766		68,604		124,811			(41,162)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/24/2019	.05/22/2020	9,400	24,420,252	1683.425		488,265		305,166		590,178			(183,099)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/24/2019	.05/26/2020	4,506	12,594,714	2794.8		244,915		153,072		296,174			(91,843)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/24/2019	.05/27/2020	7,590	21,213,758	2794.8		412,636		257,897		497,817			(154,738)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/29/2019	.05/29/2020	18,087	41,025,031	1671.639		43,404		491,107		1,211,137			(302,803)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/31/2019	.06/01/2020	7,935	21,579,286	1665.091		411,647		257,279		668,446			(154,367)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.05/31/2019	.06/02/2020	6,145	16,994,679	2765.79		310,119		193,824		506,384			(116,294)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/04/2019	.06/04/2020	3,618	6,093,674	1684.125		124,798		88,398		155,501			(36,399)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/07/2019	.06/05/2020	5,121	13,147,170	1701.853		218,578		154,826		218,684			(63,752)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/07/2019	.06/08/2020	7,882	21,186,688	1701.853		14,690		399,913		415,918			(122,150)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/07/2019	.06/09/2020	6,327	17,897,066	2828.72		308,028		218,186		310,309			(89,841)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/10/2019	.06/10/2020	4,217	11,935,571	2830.09		199,751		141,490		196,807			(58,261)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/11/2019	.06/11/2020	5,127	14,162,948	2829.43		16,059		164,529		232,761			(71,427)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/14/2019	.06/12/2020	18,307	43,232,075	1704.256		32,931		573,864		796,591			(243,844)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	.06/14/2019	.06/15/2020	5,582	15,071,566	1704.256		265,708		188,210		258,342			(77,498)					

E06.40

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/14/2019	.06/16/2020	4,945	14,014,646	2834.39		241,997		171,414		234,506			(70,582)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/17/2019	.06/17/2020	19,902	55,186,411	1700.806		870,752		616,783		845,023			(253,969)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/18/2019	.06/18/2020	3,406	5,805,858	1704.393		115,231		81,622		110,034			(33,609)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/21/2019	.06/19/2020	16,167	40,966,654	1710.732		735,798		527,694		686,120			(221,494)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/21/2019	.06/22/2020	6,575	18,362,926	1710.732		329,328		233,274		291,296			(96,054)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/21/2019	.06/23/2020	6,344	18,061,370	2846.96		303,776		215,174		267,132			(88,601)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/25/2019	.06/25/2020	15,417	41,912,812	1706.534		637,244		451,381		432,137			(185,863)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/28/2019	.06/26/2020	17,349	40,438,000	1711.272		738,601		528,911		704,417			(221,498)					
S&P 500 Indx Opt MOPTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/27/2019	.06/27/2020	10,811	31,620,802	2924.92		468,397		331,782		318,390			(136,616)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/28/2019	.06/29/2020	5,452	15,533,538	2849.28		278,247		197,092		244,913			(81,155)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.06/28/2019	.06/30/2020	6,481	18,465,838	2849.28		317,599		224,966		278,100			(92,633)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.07/01/2019	.07/01/2020	5,653	14,513,873	1715.916		246,037		194,779		197,869			(51,258)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.07/05/2019	.07/02/2020	18,518	50,582,285	1722.034		60,032		689,738		856,258			(197,769)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.07/05/2019	.07/06/2020	8,268	23,402,708	1722.034		439,233		347,726		318,267			(91,507)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.07/05/2019	.07/07/2020	7,470	21,429,802	2868.67		384,076		304,060		275,587			(80,016)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.07/09/2019	.07/09/2020	7,601	18,391,277	1715.156		339,671		268,906		275,788			(70,765)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.07/12/2019	.07/10/2020	28,820	76,353,717	1723.476		1,345,957		1,065,550		1,117,915			(280,408)					

E06.41

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/12/2019	.07/13/2020	6,938	19,928,031	2872.22		381,152		301,745		277,054			(79,407)						
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/12/2019	.07/14/2020	5,796	16,646,179	2872.22		286,046		226,453		204,651			(59,583)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/15/2019	.07/15/2020	5,451	14,089,975	1723.444		251,202		198,868		179,268			(52,334)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/19/2019	.07/17/2020	11,862	26,350,174	1714.179	15,326	515,304		414,015		442,135			(113,102)						
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/19/2019	.07/20/2020	5,888	16,520,004	2858.18	14,742	281,564		228,740		248,431			(64,187)						
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/19/2019	.07/21/2020	7,852	22,441,359	2858.18		415,486		328,927		349,924			(86,560)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/22/2019	.07/22/2020	7,921	19,712,426	1712.377		346,569		274,367		296,065			(72,202)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/23/2019	.07/23/2020	3,001	5,168,210	1722.026		105,184		83,271		79,851			(21,913)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/26/2019	.07/24/2020	6,338	10,915,959	1726.07	30,108	204,356		173,700		170,076			(53,865)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/26/2019	.07/27/2020	719	1,234,528	1726.07	14,819	17,931		20,061		22,906			(9,283)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/29/2019	.07/29/2020	18,624	54,110,844	1725.122		915,187		724,523		688,836			(190,664)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.07/30/2019	.07/30/2020	7,624	17,938,741	1726.584		339,723		268,947		231,998			(70,776)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.08/02/2019	.07/31/2020	2,413	4,106,749	1700.669	25,487	65,616		67,960		84,645			(17,760)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.08/02/2019	.08/03/2020	573	974,683	1700.669		22,051		19,295		22,709			(2,756)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGQFU57RNE97	.08/06/2018	.08/06/2020	303	518,746	1710.536	16,704			7,308		10,828			(6,264)						

E06.42

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/09/2019	08/07/2020	23,158	57,109,513	1698.922		1,013,374		886,702		1,168,014			(126,672)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/09/2019	08/10/2020	6,709	18,696,713	1698.922		336,001		294,001		378,322			(42,000)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/09/2019	08/11/2020	6,021	17,082,167	2837.13		291,118		254,728		330,134			(36,390)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/13/2019	08/13/2020	4,188	11,888,684	2838.43		201,112		175,973		231,053			(25,139)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/16/2019	08/14/2020	14,512	36,109,830	1694.351		58,663		523,079		812,372			(93,058)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/16/2019	08/17/2020	6,437	17,225,947	1694.351		30,982		252,626		369,621			(45,771)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/16/2019	08/18/2020	6,293	17,816,933	2831.05		281,587		246,388		367,030			(35,198)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/19/2019	08/19/2020	4,464	12,675,402	2839.25		190,835		166,981		233,910			(23,854)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/21/2019	08/21/2020	14,413	35,431,725	1699.923		19,658		533,363		761,452			(82,338)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/23/2019	08/24/2020	6,593	17,731,293	1688.826		301,531		263,840		407,335			(37,691)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/23/2019	08/25/2020	6,854	19,349,094	2822.9		317,554		277,860		435,163			(39,694)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/27/2019	08/27/2020	7,909	19,224,156	1692.112		327,816		286,839		423,253			(40,977)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/30/2019	08/28/2020	13,486	34,296,697	1701.116		17,702		509,685		682,851			(78,344)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/30/2019	08/31/2020	5,683	15,845,589	2844.59		16,826		226,671		309,837			(37,640)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/30/2019	09/01/2020	7,099	20,195,021	2844.59		322,280		281,995		388,696			(40,285)					
SPXDSUN Index Option PTPPT			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/30/2019	09/02/2020	5,493	15,624,943	2844.59		258,818		226,466		306,508			(32,352)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/03/2019	09/03/2020	5,357	12,624,651	1698.697		199,981		191,649		240,908			(8,333)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/06/2019	09/04/2020	15,674	41,814,208	1711.644		20,638		670,606		713,942			(36,466)					

E06.43

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/06/2019	.09/08/2020	5,090	14,576,368	2863.57		230,427		220,826		237,969			(9,601)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/09/2019	.09/09/2020	8,505	24,357,519	2863.96		401,015		384,306		408,880			(16,709)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/10/2019	.09/10/2020	3,648	10,461,508	2867.36		154,939		148,483		153,215			(6,456)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/13/2019	.09/11/2020	14,408	35,842,745	1718.629	41,578	595,605		590,711		546,753			(40,409)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/13/2019	.09/14/2020	6,034	17,081,547	1718.629		312,347		299,332		277,486			(13,014)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/13/2019	.09/15/2020	6,283	18,070,537	2876.32		292,410		280,227		261,809			(12,184)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/16/2019	.09/16/2020	7,502	20,117,681	1713.864		320,391		307,041		304,916			(13,350)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/17/2019	.09/17/2020	5,052	14,514,388	2873.15		229,143		219,595		212,024			(9,548)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/20/2019	.09/18/2020	25,472	64,450,410	1713.787	23,856	1,115,229		1,080,192		1,052,285			(55,414)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/20/2019	.09/21/2020	8,093	22,886,908	1713.787		396,442		379,924		382,783			(16,518)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/20/2019	.09/22/2020	7,105	20,386,301	2869.17		339,103		324,974		328,305			(14,129)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/23/2019	.09/23/2020	6,754	17,773,587	1713.966		287,125		275,162		276,901			(11,964)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/24/2019	.09/24/2020	8,232	19,834,260	1710.495	22,378	329,934		326,910		343,661			(22,139)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/27/2019	.09/25/2020	16,752	41,791,088	1714.255	36,761	691,803		680,592		689,096			(42,610)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/27/2019	.09/28/2020	8,041	22,575,366	1714.255		394,013		377,596		383,454			(16,417)					
SPXDSUN Index Option PTPT			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/27/2019	.09/29/2020	7,911	22,716,528	2871.55		390,634		374,358		381,044			(16,276)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	.09/30/2019	.09/30/2020	8,064	20,943,808	1717.112		347,498		333,019		322,634			(14,479)					

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	10/01/2018	10/01/2020	245	425,090	1734.665	13,858			7,218		6,680			(5,197)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	10/08/2018	10/08/2020	689	1,190,386	1726.741	39,045			20,336		21,365			(14,642)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	10/11/2018	10/09/2020	771	1,295,363	1660.423	41,582			21,657		44,535			(15,593)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	10/23/2018	10/23/2020	238	395,692	1665.818	12,642			6,585		15,920			(4,741)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	10/26/2018	10/26/2020	495	818,278	1652.391	26,185			13,638		38,119			(9,819)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	10/31/2018	10/30/2020	314	523,728	1665.836	16,759			8,729		21,153			(6,285)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	11/02/2018	11/02/2020	236	394,260	1672.25	12,616			7,097		14,842			(4,731)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	11/06/2018	11/06/2020	351	588,947	1679.287	18,846			10,601		20,494			(7,067)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	11/13/2018	11/13/2020	552	927,765	1680.074	29,503			16,595		32,147			(11,064)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	11/16/2018	11/16/2020	510	860,731	1686.572	27,371			15,396		27,676			(10,264)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	11/19/2018	11/19/2020	1,387	2,331,675	1681.142	74,847			42,101		80,132			(28,068)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	12/12/2018	12/11/2020	1,401	2,336,325	1667.96	75,230			45,451		94,417			(28,211)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	12/20/2018	12/18/2020	472	773,292	1637.501	24,745			14,950		42,609			(9,280)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	12/21/2018	12/21/2020	484	789,702	1632.952	25,507			15,411		45,432			(9,565)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	01/04/2019	01/04/2021	495	813,909	1645.614		26,126		16,873		41,770			(9,253)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	01/17/2019	01/15/2021	1,887	3,120,184	1655.975		97,730		63,117		149,354			(34,613)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	01/25/2019	01/25/2021	821	1,359,919	1656.837		43,109		27,842		63,074			(15,268)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	01/30/2019	01/29/2021	536	890,070	1660.497		27,948		18,050		39,870			(9,898)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/01/2019	02/01/2021	643	1,070,934	1665.863		33,734		23,192		45,489			(10,542)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/04/2019	02/04/2021	809	1,349,531	1668.817		42,375		29,133		55,686			(13,242)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/08/2019	02/08/2021	827	1,380,919	1669.035		43,361		29,811		56,972			(13,550)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/14/2019	02/12/2021	1,149	1,928,908	1676.822		60,761		41,773		72,164			(18,988)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/20/2019	02/19/2021	946	1,594,312	1685.986		49,743		34,198		55,248			(15,545)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/22/2019	02/22/2021	574	970,018	1689.015		30,168		20,740		32,574			(9,427)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/25/2019	02/25/2021	589	995,026	1688.598		30,945		21,275		33,637			(9,670)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	02/28/2019	02/26/2021	3,479	5,868,896	1686.773		184,415		126,785		202,097			(57,630)					

E06.46



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/07/2019	03/05/2021	3,137	5,275,285	1676.313		164,895		120,236		193,145			(44,659)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/08/2019	03/08/2021	690	1,155,263	1674.751		36,391		26,535		45,602			(9,856)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/14/2019	03/12/2021	3,138	5,287,510	1686.019		166,389		121,325		188,242			(45,064)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/15/2019	03/15/2021	436	735,303	1687.837		23,236		16,943		25,366			(6,293)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/21/2019	03/19/2021	3,890	6,572,006	1692.72		206,845		150,824		223,500			(56,020)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/22/2019	03/22/2021	1,147	1,929,346	1681.723		60,389		44,033		71,370			(16,355)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/28/2019	03/26/2021	2,209	3,732,259	1692.842		118,074		86,096		127,595			(31,978)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	03/29/2019	03/29/2021	1,506	2,556,513	1697.301		80,530		58,720		80,249			(21,810)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/04/2019	04/01/2021	3,516	5,977,199	1702.227		188,761		145,503		182,054			(43,258)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/05/2019	04/05/2021	1,403	2,393,161	1705.326		75,385		58,109		68,996			(17,276)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/08/2019	04/08/2021	867	1,479,112	1705.511		46,296		35,687		42,654			(10,610)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/11/2019	04/09/2021	3,019	5,129,673	1701.865		160,900		124,027		158,676			(36,873)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/12/2019	04/12/2021	745	1,270,597	1705.241		39,643		30,558		36,868			(9,085)					

E06.47

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**SCHEDULE DB - PART A - SECTION 1**

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/15/2019	04/15/2021	965	1,644,581	1704.836		51,640		39,806		48,050			(11,834)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/18/2019	04/16/2021	2,552	4,354,077	1704.791		136,476		105,200		125,518			(31,276)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/22/2019	04/22/2021	2,216	3,770,562	1701.541		119,150		91,845		114,899			(27,305)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/25/2019	04/23/2021	1,325	2,257,096	1700.187		71,324		54,979		67,166			(16,345)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/26/2019	04/26/2021	686	1,168,256	1703.98		37,034		28,547		34,751			(8,487)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	04/29/2019	04/29/2021	904	1,540,969	1703.993		48,695		37,535		45,933			(11,159)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	05/02/2019	04/30/2021	3,398	5,793,984	1700.349		183,245		143,434		170,321			(39,811)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	05/03/2019	05/03/2021	944	1,610,939	1706.501		51,067		41,492		46,836			(9,575)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	05/06/2019	05/06/2021	1,121	1,908,006	1702.323		60,293		48,988		58,231			(11,305)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	05/08/2019	05/07/2021	3,058	5,170,475	1690.478		163,904		133,172		179,123			(30,732)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	05/10/2019	05/10/2021	613	1,037,723	1693.047		33,000		26,812		35,101			(6,187)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	05/16/2019	05/14/2021	993	1,674,580	1688.994		53,798		43,711		60,690			(10,087)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	05/17/2019	05/17/2021	869	1,465,047	1685.622		46,662		37,913		53,776			(8,749)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	05/21/2019	05/21/2021	2,024	3,420,013	1690.087		108,072		87,809		120,157			(20,264)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	05/24/2019	05/24/2021	874	1,471,047	1683.425		46,191		37,530		55,441			(8,661)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	05/29/2019	05/28/2021	2,779	4,641,819	1671.639		145,566		118,272		199,496			(27,294)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/04/2019	06/04/2021	2,484	4,182,978	1684.125		131,764		112,548		157,497			(19,216)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/07/2019	06/07/2021	1,137	1,934,369	1701.853		60,546		51,716		60,685			(8,830)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/13/2019	06/11/2021	4,145	7,066,594	1705.661		221,891		189,532		215,463			(32,359)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/14/2019	06/14/2021	1,088	1,854,160	1704.256		57,850		49,413		56,963			(8,436)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/17/2019	06/17/2021	1,460	2,483,314	1700.806		77,976		66,605		79,312			(11,372)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/19/2019	06/18/2021	2,976	5,075,284	1706.494		159,134		135,927		154,546			(23,207)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/21/2019	06/21/2021	526	899,671	1710.732		28,250		24,130		25,898			(4,120)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/27/2019	06/25/2021	4,684	7,984,486	1705.553		253,467		216,503		246,644			(36,964)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	06/28/2019	06/28/2021	502	858,414	1711.272		27,040		23,097		24,693			(3,943)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/01/2019	07/01/2021	668	1,146,232	1715.916		36,565		32,756		31,408			(3,809)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/05/2019	07/02/2021	492	847,402	1722.034		26,947		24,140		21,710			(2,807)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/11/2019	07/09/2021	3,236	5,552,057	1717.945		177,484		158,996		153,199			(18,488)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/12/2019	07/12/2021	933	1,608,544	1723.476		51,313		45,967		40,884			(5,345)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/15/2019	07/15/2021	1,001	1,725,700	1723.444		54,705		49,006		43,985			(5,698)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/18/2019	07/16/2021	1,475	2,532,011	1717.851		80,837		72,417		69,538			(8,421)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/19/2019	07/19/2021	933	1,598,916	1714.179		50,846		45,549		45,261			(5,296)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/22/2019	07/22/2021	865	1,480,667	1712.377		47,381		42,446		42,828			(4,936)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/23/2019	07/23/2021	6,098	10,502,027	1722.026		338,461		303,205		272,784			(35,256)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/26/2019	07/26/2021	644	1,111,740	1726.07		36,132		32,368		27,767			(3,764)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/29/2019	07/29/2021	892	1,539,635	1725.122		49,884		44,688		38,958			(5,196)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	07/30/2019	07/30/2021	2,428	4,192,427	1726.584		136,673		122,436		104,457			(14,237)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	08/02/2019	08/02/2021	2,309	3,926,996	1700.669		128,805		120,755		129,373			(8,050)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	08/08/2019	08/06/2021	2,805	4,753,258	1702.187		155,907		146,163		166,891			(9,744)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/09/2019	08/09/2021	1,211	2,057,594	1698.922		66,460		62,307		69,302								(4,154)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/14/2019	08/13/2021	906	1,525,447	1683.762		49,424		46,335		59,819									(3,089)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/16/2019	08/16/2021	1,157	1,960,773	1694.351		63,137		59,191		69,429									(3,946)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/21/2019	08/20/2021	639	1,086,942	1699.923		35,217		33,016		36,482									(2,201)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/23/2019	08/23/2021	1,236	2,088,101	1688.826		67,237		63,035		78,370									(4,202)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/29/2019	08/27/2021	1,922	3,257,639	1700.145		105,750		99,141		115,217									(6,609)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	08/30/2019	08/30/2021	1,428	2,429,622	1701.116		78,720		73,800		81,058									(4,920)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/06/2019	09/03/2021	2,158	3,677,097	1711.644		119,443		116,954		119,914									(2,488)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/12/2019	09/10/2021	357	613,212	1719.532		19,500		19,094		17,037									(406)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/13/2019	09/13/2021	1,296	2,227,411	1718.629		70,609		69,138		62,613									(1,471)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/16/2019	09/16/2021	636	1,090,800	1713.864		34,687		33,965		32,295									(723)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/19/2019	09/17/2021	1,523	2,614,474	1715.875		82,879		81,152		75,554									(1,727)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGGFU57RNE97	09/20/2019	09/20/2021	618	1,059,308	1713.787		33,686		32,984		31,472									(702)

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**SCHEDULE DB - PART A - SECTION 1**

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	09/23/2019	09/23/2021	757	1,296,677	1713.966		41,234		40,375		38,530			(859)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	09/25/2019	09/24/2021	2,025	3,467,175	1713.802		110,653		108,348		105,310			(2,305)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	09/27/2019	09/27/2021	794	1,360,290	1714.255		43,257		42,356		40,408			(901)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM ..... 7H6GLXDRUGOFU57RNE97	09/30/2019	09/30/2021	779	1,338,129	1717.112		42,954		42,059		38,672			(895)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	10/04/2018	10/04/2019	14,442	41,905,426	2901.61	806,466			33,603					(604,849)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	10/08/2018	10/08/2019	13,915	40,137,178	2884.43	741,869			30,911					(556,402)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	10/10/2018	10/10/2019	12,944	36,058,749	2785.68	649,486			27,062		771			(487,114)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	10/11/2018	10/11/2019	13,219	36,066,742	2728.37	640,489			26,687		22,614			(480,367)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	10/16/2018	10/16/2019	13,188	37,056,546	2809.92	661,178			27,549		123			(495,884)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	10/22/2018	10/22/2019	13,287	36,616,716	2755.88	636,826			26,534					(477,619)					
S&P Digital			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	10/23/2018	10/23/2019	13,438	36,829,991	2740.69	623,656			25,986		23,042			(467,742)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	11/06/2018	11/06/2019	15,091	41,583,381	2755.45	657,361			82,170					(493,021)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	11/13/2018	11/13/2019	14,608	39,765,299	2722.18	638,096			79,762		25,693			(478,572)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	11/19/2018	11/19/2019	11,861	31,914,611	2690.73	481,430			60,179		97,769			(361,073)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	11/26/2018	11/26/2019	7,588	20,286,478	2673.45	289,331			36,166					(216,998)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	12/04/2018	12/04/2019	15,399	41,577,729	2700.06	601,589			125,331		2,983			(451,192)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	12/04/2018	12/05/2019	11,427	30,853,249	2700.06	465,053			96,886		2			(348,790)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	12/06/2018	12/06/2019	17,389	46,879,607	2695.95	692,284			144,226		3,576			(519,213)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	12/11/2018	12/11/2019	14,447	38,093,739	2636.78	490,168			102,118		665,723			(367,626)					
S&P Digital			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	12/24/2018	12/24/2019	316	743,810	2351.1	9,967			2,076		16,028			(7,475)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	12/26/2018	12/26/2019	1,710	3,022,398	1636.939	55,259			11,512		114,797			(41,444)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	12/31/2018	12/31/2019	1,324	2,177,326	1644.905	46,091			9,602		89,276			(34,569)					
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHN3JPFGFNF3BB653	01/02/2019	01/02/2020	9,871	24,776,199	2510.03		308,601		90,009		289,554			(218,593)					

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S&P Digital			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	01/03/2019	01/03/2020	15,987	39,133,751	2447.89	534,745		155,967		419,928			(378,777)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	01/14/2019	01/14/2020	15,398	39,766,138	2582.61	527,920		153,977		251,282			(373,943)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	01/23/2019	01/23/2020	12,610	33,274,194	2638.7	472,462		137,801		117,080			(334,661)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	01/28/2019	01/28/2020	12,831	33,923,065	2643.85	478,735		139,631		198,187			(339,104)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	01/30/2019	01/30/2020	11,119	29,810,788	2681.05	452,996		132,124		350,981			(320,872)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	02/01/2019	02/01/2020	13,041	35,295,732	2706.53	528,253		198,095		327,499			(330,158)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	02/01/2019	02/02/2020	12,133	32,838,012	2706.53	515,024		193,134		330,294			(321,890)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	02/01/2019	02/03/2020	12,088	32,716,225	2706.53	498,981		187,118		60,468			(311,863)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	02/05/2019	02/05/2020	13,143	35,982,211	2737.7	538,797		202,049		143,998			(336,748)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	02/07/2019	02/07/2020	12,937	35,009,349	2706.05	545,425		204,535		679,809			(340,891)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	02/11/2019	02/11/2020	13,212	35,800,671	2709.8	521,375		195,516		790,011			(325,860)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	03/11/2019	03/11/2020	14,574	40,564,227	2783.3	645,346		295,783		712,303			(349,562)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	03/12/2019	03/12/2020	12,759	35,617,428	2791.52	575,558		263,798		245,469			(311,761)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	03/14/2019	03/14/2020	14,489	40,691,529	2808.48	663,014		303,881		128,341			(359,132)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	03/18/2019	03/18/2020	13,864	39,276,702	2832.94	623,376		285,714		494,878			(337,662)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	03/26/2019	03/26/2020	13,257	37,363,327	2818.46	605,353		277,454		130,386			(327,900)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	03/28/2019	03/28/2020	13,069	36,796,200	2815.44	583,638		267,501		99,315			(316,137)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	04/02/2019	04/02/2020	12,647	36,261,983	2867.24	575,874		311,932		111,666			(263,942)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	04/10/2019	04/10/2020	12,557	36,266,247	2888.21	575,653		311,812		577,133			(263,841)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	04/22/2019	04/22/2020	10,348	30,092,675	2907.97	520,018		281,676		467,723			(238,341)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	04/24/2019	04/24/2020	13,718	40,154,628	2927.25	675,251		365,761		61,476			(309,490)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	05/13/2019	05/13/2020	13,071	36,754,641	2811.87	590,563		369,102		747,601			(221,461)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	05/22/2019	05/22/2020	14,315	40,888,219	2856.27	649,406		405,879		1,010,908			(243,527)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	06/07/2019	06/07/2020	11,770	33,818,779	2873.34	520,763		368,874		532,613			(151,889)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	06/07/2019	06/08/2020	13,537	38,896,671	2873.34	634,226		449,243		1,026,864			(184,982)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	06/07/2019	06/09/2020	12,704	36,504,155	2873.34	565,081		400,266		801,559			(164,815)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	07/01/2019	07/01/2020	11,868	35,180,331	2964.33	556,752		440,762		687,130			(115,990)				
S&P 500 Indx Opt			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	07/05/2019	07/05/2020	7,535	22,533,613	2990.41	397,439		314,639		178,703			(82,800)				
MOPTPT			Equity/Index	MS	4PQUHNSJPFGFNF3BB653	07/05/2019	07/06/2020	14,782	44,204,337	2990.41	730,607		578,397		502,673			(152,210)				

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.07/05/2019	.07/07/2020	13,358	39,946,579	2990.41		697,848		552,463		476,047			(145,385)						
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.07/11/2019	.07/11/2020	9,359	28,075,826	2999.91		452,735		358,415		339,396			(94,320)						
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.07/22/2019	.07/22/2020	13,502	40,304,554	2985.03		637,118		504,385		610,784			(132,733)						
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.07/24/2019	.07/24/2020	13,347	39,922,287	3019.56	16,163	638,035		511,509		232,858			(138,985)						
S&P 500 Index Option PTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.08/23/2019	.08/21/2020	13,810	39,317,360	2847.11		1,175,402		1,028,476		1,456,077			(146,925)						
S&P 500 Index Option PTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.08/23/2019	.08/24/2020	12,985	36,970,697	2847.11		1,023,198		895,298		1,250,542			(127,900)						
S&P 500 Index Option PTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.08/23/2019	.08/25/2020	12,057	34,327,817	2847.11		861,336		753,669		1,028,954			(107,667)						
S&P 500 Index Option PTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.09/11/2019	.09/11/2020	12,073	36,231,324	3000.93		1,009,010		966,968		922,773			(42,042)						
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.09/12/2019	.09/12/2020	9,357	28,159,070	3009.57		381,682		365,778		475,571			(15,903)						
S&P 500 Indx Opt MOPTPT			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.09/19/2019	.09/19/2020	11,289	33,943,323	3006.79		442,621		424,178		580,489			(18,443)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.12/26/2018	.12/24/2020	466	763,003	1636.939	24,721			14,936		42,347			(9,270)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	MS ..... 4PQUHNSJPFGFNF3BB653	.12/31/2018	.12/31/2020	614	1,010,574	1644.905	32,743			19,782		52,140			(12,278)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.10/11/2018	.10/11/2019	1,061		250.53	151,260			6,303		241,517			(113,445)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.10/22/2018	.10/22/2019	438		253.17	64,634			2,693		86,772			(48,475)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.10/29/2018	.10/29/2019	486		244.43	67,966			2,832		118,311			(50,974)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.10/31/2018	.10/31/2019	669		251.16	92,512			3,855		134,873			(69,384)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.11/05/2018	.11/05/2019	377		254.62	55,434			6,929		71,407			(41,576)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.11/06/2018	.11/06/2019	615		256.35	89,056			11,132		92,471			(66,792)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.11/07/2018	.11/07/2019	842		270.96	117,418			14,677		91,383			(88,064)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.11/20/2018	.11/20/2019	836		253.22	114,999			14,375		196,226			(86,249)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.12/27/2018	.12/27/2019	969		231.39	119,710			24,940		219,600			(89,782)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.01/02/2019	.01/02/2020	606		241.63		75,404		21,993		138,332			(53,411)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.01/10/2019	.01/10/2020	735		240.02		96,794		28,232		165,253			(68,562)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.01/31/2019	.01/31/2020	567		250		79,049		23,056		121,823			(55,993)						
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI16SLYGKNHA04	.02/05/2019	.02/05/2020	538		254.12		72,638		27,239		110,220			(45,399)						



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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.02/11/2019	.02/11/2020	440	250.53			61,116		22,919		93,598			(38,198)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.02/25/2019	.02/25/2020	259	260.92			38,138		14,302		45,469			(23,836)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.02/28/2019	.02/28/2020	782	265.64			106,538		39,952		132,229			(66,586)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.03/04/2019	.03/04/2020	599	258.2			85,841		39,344		110,359			(46,497)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.03/05/2019	.03/05/2020	556	258.07			81,656		37,426		104,011			(44,230)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.03/11/2019	.03/11/2020	585	256.51			83,702		38,363		111,262			(45,338)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.03/18/2019	.03/18/2020	402	259.14			57,277		26,252		72,795			(31,025)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.03/25/2019	.03/25/2020	619	265.38			87,596		40,148		119,149			(47,448)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.04/02/2019	.04/02/2020	408	261.79			59,293		32,117		66,163			(27,176)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.04/04/2019	.04/03/2020	336	263.85			51,462		27,875		55,709			(23,587)				
Dow Index Option MAV			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.04/04/2019	.04/04/2020	202	263.85			27,410		14,847		27,390			(12,563)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.04/09/2019	.04/09/2020	738	270.66			103,181		55,890		118,195			(47,291)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.04/11/2019	.04/11/2020	482	261.43			71,152		38,541		83,676			(32,611)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.04/30/2019	.04/30/2020	661	265.93			95,033		51,476		95,403			(43,557)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.05/14/2019	.05/14/2020	575	255.32			80,924		50,577		109,060			(30,346)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.05/29/2019	.05/29/2020	524	260.05			69,866		43,666		97,892			(26,200)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.06/04/2019	.06/04/2020	468	253.32			65,346		46,287		89,443			(19,059)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.06/11/2019	.06/11/2020	640	269.61			88,060		62,376		106,577			(25,684)				
Dow Index Option MAV			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.06/18/2019	.06/18/2020	516	271.28			77,854		55,147		82,528			(22,708)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.06/19/2019	.06/19/2020	924	274.32			128,500		91,021		135,242			(37,479)				
Dow Index Option MAV			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.07/02/2019	.07/02/2020	490	275.24			72,675		57,534		70,671			(15,141)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.07/09/2019	.07/09/2020	745	267.83			111,961		88,636		110,208			(23,325)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.07/11/2019	.07/11/2020	522	270.88			75,629		59,873		66,220			(15,756)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.07/18/2019	.07/18/2020	408	272.23			62,187		49,231		54,259			(12,956)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.07/22/2019	.07/22/2020	502	271.72			74,173		58,720		67,341			(15,453)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.07/24/2019	.07/24/2020	853	282.24			115,370		91,334		93,147			(24,035)				
Dow Index Option MAV			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.07/30/2019	.07/30/2020	718	278.78			95,056		75,252		78,056			(19,803)				
Dow Index Option PTPT			Equity/Index	OCC ..... 549300CI I6SLYGKNHA04	.08/01/2019	.08/01/2020	340	265.83			51,409		44,983		53,056			(6,426)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
Dow Index Option PTPT			Equity/Index	OCG ..... 549300CI 16SLYGKNHA04	08/15/2019	08/15/2020	678		255.79		97.887		85,651		122,906			(12,236)						
Dow Index Option PTPT			Equity/Index	OCG ..... 549300CI 16SLYGKNHA04	08/22/2019	08/22/2020	500		262.52		75,779		66,307		82,704			(9,472)						
Dow Index Option PTPT			Equity/Index	OCG ..... 549300CI 16SLYGKNHA04	08/26/2019	08/26/2020	685		258.99		99,977		87,480		115,549			(12,497)						
Dow Index Option PTPT			Equity/Index	OCG ..... 549300CI 16SLYGKNHA04	08/29/2019	08/29/2020	483		263.62		72,177		63,155		76,760			(9,022)						
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/01/2018	10/01/2019	9,602	28,081,484	2924.59	680,086			28,337		475,402			(510,065)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/02/2018	10/02/2019	889	1,542,535	1735.756	32,521			1,355		4			(24,391)						
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/04/2018	10/04/2019	11,958	34,698,494	2901.61	937,486			39,062		821,784			(703,114)						
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/08/2018	10/08/2019	190	5,045,555	26486.78	95,483			3,978		37,742			(71,612)						
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/09/2018	10/09/2019	10,825	31,181,081	2880.34	888,376			37,016		844,285			(666,282)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/12/2018	10/11/2019	1,404	3,884,547	2767.13	57,025			2,376		85,895			(42,769)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/12/2018	10/14/2019	528	1,460,142	2767.13	21,435			893		31,926			(16,076)						
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/15/2018	10/15/2019	10,678	29,372,816	2750.79	838,178			34,924		1,197,768			(628,634)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/16/2018	10/16/2019	821	1,374,921	1675.384	31,774			1,324		34,300			(23,831)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/18/2018	10/18/2019	425	1,178,089	2768.78	17,353			723		25,355			(13,015)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/22/2018	10/22/2019	10,808	29,487,671	2755.88	766,280			31,928		1,082,122			(574,710)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/24/2018	10/24/2019	297	787,944	2656.1	11,409			475		17,351			(8,557)						
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/25/2018	10/25/2019	17,119	45,784,633	2705.57	1,260,396			52,517		1,938,819			(945,297)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	10/29/2018	10/29/2019	1,253	2,403,977	1654.033	47,676			1,987		77,336			(35,757)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/01/2018	11/01/2019	14,341	39,299,286	2740.37	1,164,874			145,609		1,647,714			(873,655)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/05/2018	11/05/2019	12,202	33,411,727	2738.31	826,186			103,273		1,198,293			(619,639)						
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/07/2018	11/07/2019	10,372	29,184,948	2813.89	918,754			114,844		1,072,538			(689,066)						
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/14/2018	11/14/2019	10,822	29,236,879	2701.58	879,629			109,954		1,305,162			(659,722)						
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/15/2018	11/15/2019	13,180	38,652,384	25289.27	1,146,502			143,313		1,586,335			(859,876)						
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/20/2018	11/20/2019	716	1,892,748	2641.89	26,726			3,341		40,089			(20,044)						
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/21/2018	11/21/2019	789	7,423,803	24464.69	122,531			15,316		209,081			(91,898)						
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/21/2018	11/22/2019	2,069	13,835,852	24464.69	242,814			30,352		389,793			(182,110)						
Dow Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/23/2018	11/23/2019	74	1,800,403	24285.95	39,234			4,904		74,066			(29,426)						
Dow Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/23/2018	11/24/2019	73	1,780,449	24285.95	31,140			3,893		56,508			(23,355)						

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/23/2018	11/25/2019	528	5,532,382	24285.95	100,790			12,599		161,487			(75,593)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/26/2018	11/26/2019	1,203	2,015,024	1674.535	41,339			5,167		50,556			(31,004)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/27/2018	11/27/2019	9,631	25,831,661	2682.17	766,173			95,772		1,193,929			(574,630)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	11/29/2018	11/29/2019	858	1,446,795	1685.604	33,334			4,167		30,132			(25,001)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/03/2018	12/03/2019	14,061	37,287,299	1695.24	1,008,271			210,057		1,260,937			(756,203)				
UST 3.125% 11/29/2019 Total Return Options			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/06/2018	12/06/2019	291,614	28,911,000	98	402,000			83,750		521,377			(301,500)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/11/2018	12/11/2019	13,135	39,205,292	24370.24	1,175,909			244,981		1,966,226			(881,932)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/12/2018	12/12/2019	16,218	48,823,756	24527.27	703,533			146,569		386,651			(527,650)				
UST 3.125% 11/29/2019 Total Return Options			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/12/2018	12/13/2019	227,378	64,717,995	98	1,476,336			307,570		2,319,833			(1,107,252)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/17/2018	12/17/2019	1,414	2,334,436	1650.784	50,210			10,460		88,189			(37,657)				
SPXDSUN Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/18/2018	12/18/2019	7,531	20,254,803	2702.31	417,032			86,882		706,834			(312,774)				
UST 3.125% 11/29/2019 Total Return Options			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/19/2018	12/20/2019	260,581	25,785,000	98	328,000			68,333		386,650			(246,000)				
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	12/24/2018	12/24/2019	1,856	4,364,238	2351.1	80,013			16,669		212,382			(60,010)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/07/2019	01/07/2020	31,958	80,732,655	1647.295		1,539,992		449,164		2,862,296			(1,090,828)				
S&P 500 Indx Opt MOPTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/08/2019	01/08/2020	15,254	39,270,272	2574.41		485,456		141,591		1,399,342			(343,865)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/09/2019	01/09/2020	10,678	28,731,020	23879.12		877,632		255,976		1,660,988			(621,656)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/11/2019	01/10/2020	920	1,521,006	1652.788		33,508		9,773		60,698			(23,735)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/16/2019	01/16/2020	9,114	23,843,077	2616.1		921,377		268,735		1,779,507			(652,642)				
UST 3.125% 11/29/2019 Total Return Options			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/16/2019	01/17/2020	181,140	21,747,665	98		288,819		84,239		374,421			(204,580)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/22/2019	01/22/2020	13,360	35,174,482	2632.9		1,225,644		357,479		2,180,281			(868,164)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	01/23/2019	01/23/2020	7,742	20,428,303	2638.7		706,595		206,090		1,245,564			(500,505)				

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.01/28/2019	.01/28/2020	83	2,037,733	24528.22		41,397		12,074		66,554			(29,323)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.01/29/2019	.01/29/2020	548	5,853,318	24579.96		102,160		29,797		157,365			(72,363)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.01/31/2019	.01/31/2020	8,939	24,171,404	2704.1		735,806		214,610		1,161,942			(521,196)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/04/2019	.02/04/2020	121	3,055,698	25239.37		58,621		21,983		80,527			(36,638)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/06/2019	.02/06/2020	9,649	30,197,864	25390.3		899,443		337,291		1,382,312			(562,152)				
UST 3.125% 11/29/2019			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/06/2019	.02/07/2020	179,864	21,002,052	98		317,671		119,127		444,802			(198,544)				
Total Return Options			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/11/2019	.02/11/2020	10,028	27,172,861	2709.8		758,344		284,379		1,197,112			(473,965)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/12/2019	.02/12/2020	3,486	9,568,484	2744.73		177,347		66,505		324,691			(110,842)				
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/20/2019	.02/20/2020	2,547	10,518,376	25854.44		201,359		75,510		302,624			(125,849)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/22/2019	.02/21/2020	192	5,009,262	26031.81		102,603		38,476		119,436			(64,127)				
Dow Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/22/2019	.02/22/2020	66	1,729,436	26031.81		29,696		11,136		37,319			(18,560)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/22/2019	.02/23/2020	46	1,191,874	26031.81		20,374		7,640		24,675			(12,734)				
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/22/2019	.02/24/2020	167	4,351,989	26031.81		80,540		30,203		93,903			(50,338)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/26/2019	.02/26/2020	3,426	9,572,763	2793.9		176,376		66,141		287,830			(110,235)				
UST 2.625% 02/15/29			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/27/2019	.02/27/2020	12,441	34,738,737	2792.38		1,080,799		405,300		1,490,709			(675,499)				
Total Return Options			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.02/27/2019	.02/28/2020	155,679	15,434,000	98		212,000		79,500		280,392			(132,500)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/04/2019	.03/04/2020	10,926	30,514,513	2792.81		850,590		389,854		1,149,324			(460,736)				
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/06/2019	.03/06/2020	2,591	7,181,687	2771.45		136,540		62,581		231,157			(73,959)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/11/2019	.03/11/2020	918	1,545,229	1682.361		31,170		14,286		37,618			(16,884)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/15/2019	.03/13/2020	16,042	45,278,075	2822.48		1,372,026		628,845		1,784,923			(743,181)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/15/2019	.03/16/2020	11,984	33,825,891	2822.48		963,023		441,386		1,246,629			(521,637)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/15/2019	.03/17/2020	10,487	29,598,478	2822.48		724,914		332,252		923,588			(392,662)				
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/18/2019	.03/18/2020	3,279	9,289,572	2832.94		171,013		78,381		256,054			(92,632)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/19/2019	.03/19/2020	250	6,478,751	25887.38		114,523		52,490		146,689			(62,033)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/20/2019	.03/20/2020	265	6,827,792	25745.67		125,231		57,398		165,711			(67,834)				
S&P 500 Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/26/2019	.03/26/2020	12,794	36,059,652	2818.46		1,090,190		499,671		1,419,025			(590,520)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/29/2019	.03/27/2020	133	3,454,896	25928.68		76,881		35,237		91,272			(41,644)				
Dow Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	.03/29/2019	.03/29/2020	3,899	12,329,361	25928.68		253,378		116,131		368,443			(137,246)				

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	03/29/2019	03/30/2020	3,237	14,437,168	25928.68		275,663		126,345		380,019			(149,317)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	03/29/2019	03/31/2020	3,741	15,468,877	25928.68		309,512		141,859		420,848			(167,652)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/01/2019	04/01/2020	29,058	87,621,367	26258.42		1,892,101		1,024,888		1,585,257			(867,213)				
UST 2.625% 02/15/29			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/03/2019	04/03/2020	197,459	24,847,703	98		382,215		207,033		515,100			(175,182)				
Total Return Options			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/08/2019	04/08/2020	12,446	36,040,398	2895.77		1,024,703		555,047		1,138,665			(469,655)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/12/2019	04/12/2020	3,283	9,545,575	2907.41		171,696		93,002		193,900			(78,694)				
MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/12/2019	04/13/2020	4,470	12,997,477	2907.41		252,910		136,993		279,417			(115,917)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/12/2019	04/14/2020	3,839	11,161,453	2907.41		213,108		115,433		225,105			(97,674)				
MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/16/2019	04/16/2020	3,993	15,576,351	26452.66		285,802		154,810		305,769			(130,993)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/17/2019	04/17/2020	263	6,948,826	26449.54		121,638		65,887		121,124			(55,751)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/22/2019	04/22/2020	2,795	8,126,708	2907.97		141,901		76,863		160,865			(65,038)				
MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	04/29/2019	04/29/2020	3,558	10,472,673	2943.03		198,597		107,573		162,016			(91,023)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/01/2019	05/01/2020	13,705	40,069,169	2923.73		1,240,336		775,210		1,270,084			(465,126)				
PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/06/2019	05/06/2020	14,473	42,440,468	2932.47		1,192,815		745,510		1,196,887			(447,306)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/07/2019	05/07/2020	233	6,053,259	25965.09		98,295		61,434		118,517			(36,861)				
PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/08/2019	05/08/2020	199,569	19,808,000	98		280,000		175,000		394,726			(105,000)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/15/2019	05/15/2020	4,223	16,975,356	25648.02		314,284		196,428		417,910			(117,857)				
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/24/2019	05/22/2020	1,386	3,375,707	2826.06	25,106	37,645		31,374		66,792			(23,532)				
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/24/2019	05/26/2020	535	1,511,370	2826.06		22,021		13,763		24,597			(8,258)				
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/24/2019	05/27/2020	807	2,279,657	2826.06		33,215		20,759		37,082			(12,455)				
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/28/2019	05/28/2020	473	1,325,701	2802.39		19,196		11,998		21,904			(7,199)				
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/31/2019	05/29/2020	576	3,763,457	2752.06		62,322		38,951		79,452			(23,371)				
Dow Index Option MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/30/2019	05/30/2020	114	2,872,418	25169.88		45,771		28,607		72,923			(17,164)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/31/2019	05/31/2020	2,493	6,861,352	2752.06		121,818		76,136		200,313			(45,682)				
MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/31/2019	06/01/2020	5,342	14,702,769	2752.06		288,594		180,371		482,295			(108,223)				
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	05/31/2019	06/02/2020	5,323	14,647,932	2752.06		270,775		169,234		440,942			(101,540)				
S&P Digital			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	06/03/2019	06/03/2020	556	1,526,572	2744.45		21,494		15,225		26,060			(6,269)				
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	06/05/2019	06/05/2020	215	5,501,918	25539.57		100,706		71,334		132,036			(29,373)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	06/06/2019	06/06/2020	2,576	7,322,247	2843.49	2,960	140,986		100,914		206,710			(42,231)				
MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	06/07/2019	06/07/2020	3,599	10,341,490	2873.34		191,467		135,622		259,265			(55,844)				
S&P 500 Index Option			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	06/07/2019	06/08/2020	3,311	9,513,142	2873.34		172,089		121,897		232,621			(50,193)				
MAV			Equity/Index	RBC ..... ES71P3U3RHI GC71XBU11	06/07/2019	06/09/2020	3,610	10,372,330	2873.34		208,448		147,651		282,484			(60,797)				

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.06/10/2019	.06/10/2020	13,735	39,648,460	2886.73	1,137,730		805,892		1,344,758			(331,838)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.06/17/2019	.06/17/2020	14,690	42,449,657	2889.67	1,143,680		810,107		1,340,704			(333,573)					
UST 2.375% 05/15/29 Total Return Options Dow Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.06/19/2019	.06/19/2020	252,260	79,346,856	.98	1,726,725		1,223,097		1,961,009			(503,628)					
UST 2.375% 05/15/29 Total Return Options S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.06/26/2019	.06/26/2020	302	8,016,675	26536.82	144,066		102,046		150,331			(42,019)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/03/2019	.07/02/2020	248,210	53,021,302	.98	1,294,581		1,024,876		1,303,880			(269,704)					
Dow Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/05/2019	.07/06/2020	15,745	47,084,881	2990.41	1,286,111		1,018,171		1,211,677			(267,940)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/05/2019	.07/07/2020	12,857	38,446,241	2990.41	946,691		749,464		895,667			(197,227)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/08/2019	.07/08/2020	178	4,761,214	26806.14	90,383		71,553		87,439			(18,830)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/09/2019	.07/09/2020	16,644	49,594,124	2979.63	1,202,064		951,634		1,134,120			(250,430)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/22/2019	.07/22/2020	12,793	38,186,658	2985.03	949,357		751,574		906,770			(197,783)					
Dow Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/23/2019	.07/23/2020	13,335	44,282,577	27349.19	1,072,125		848,766		961,495			(223,359)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/25/2019	.07/24/2020	12,567	37,747,572	3003.67	1,012,673		801,700		916,250			(210,974)					
S&P 500 Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.07/25/2018	.07/25/2020	45	128,803	2846.07	3,314		1,312		137			(1,243)					
S&P Digital S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/01/2019	.07/31/2020	626	1,848,973	2953.56	26,995		23,621		26,263			(3,374)					
S&P 500 Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/05/2019	.08/05/2020	7,028	17,791,143	1679.671	316,407		276,856		453,690			(39,551)					
S&P 500 Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/07/2019	.08/07/2020	2,866	8,266,567	2883.98	173,665		151,957		219,955			(21,708)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/19/2019	.08/19/2020	16,914	47,809,958	1699.015	1,129,457		988,275		1,238,531			(141,182)					
Dow Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/20/2019	.08/20/2020	170	4,417,719	25962.44	81,047		70,916		94,854			(10,131)					
S&P 500 Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/22/2019	.08/22/2020	3,134	9,161,162	2922.95	178,478		156,168		206,634			(22,310)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/28/2019	.08/28/2020	12,019	34,709,612	2887.94	1,053,757		922,038		1,219,883			(131,720)					
S&P 500 Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.08/29/2019	.08/29/2020	3,170	9,271,316	2924.58	195,373		170,952		220,902			(24,422)					
S&P 500 Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.09/03/2019	.09/03/2020	9,459	27,489,618	2906.27	745,857		714,779		821,630			(31,077)					
Dow Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.09/06/2019	.09/04/2020	3,470	18,884,872	26797.46	358,164		343,240		377,207			(14,923)					
S&P 500 Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.09/05/2019	.09/05/2020	2,821	8,394,384	2976	165,142		158,261		159,743			(6,881)					
Dow Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.09/06/2019	.09/06/2020	139	3,721,279	26797.46	65,307		62,586		65,963			(2,721)					
Dow Index Option MAV			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.09/06/2019	.09/07/2020	34	913,497	26797.46	15,735		15,079		15,623			(656)					
Dow Index Option PTPT			Equity/Index	RBC	ES71P3U3RHI GC71XBUI1	.09/06/2019	.09/08/2020	155	4,145,617	26797.46	80,724		77,360		79,499			(3,363)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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S&P 500 Index Option MAV Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/10/2019	09/10/2020	3,648	10,869,343	2979.39		223,945		214,614		215,142			(9,331)					
S&P 500 Index Option MAV Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/12/2019	09/11/2020	86	2,344,403	27182.45		42,486		40,715		37,649			(1,770)					
S&P 500 Index Option MAV Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/12/2019	09/12/2020	2,749	10,030,729	27182.45		194,036		185,951		164,222			(8,085)					
S&P 500 Index Option MAV Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/16/2019	09/16/2020	3,653	10,952,187	2997.96		222,155		212,898		201,972			(9,256)					
S&P Digital			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/17/2019	09/17/2020	2,493	8,759,345	27110.8		167,732		160,743		149,231			(6,989)					
S&P Digital			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/20/2019	09/18/2020	561	1,678,334	2992.07		24,336		23,322		22,486			(1,014)					
S&P 500 Index Option MAV S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/19/2019	09/19/2020	2,994	9,003,805	3006.79		186,970		179,180		166,851			(7,790)					
S&P 500 Index Option MAV S&P Digital			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/20/2019	09/20/2020	5,850	17,502,734	2992.07		352,623		337,930		330,251			(14,693)					
S&P Digital S&P Digital			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/20/2019	09/21/2020	3,598	10,764,975	2992.07		217,880		208,802		205,373			(9,078)					
S&P Digital Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/20/2019	09/22/2020	4,174	12,488,481	2992.07		249,652		239,250		233,465			(10,402)					
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/24/2019	09/24/2020	15,950	50,709,453	26807.77		1,276,209		1,223,034		1,254,507			(53,175)					
Dow Index Option PTPT			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/25/2019	09/25/2020	169	4,561,773	26970.71		85,831		82,254		79,903			(3,576)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	10/02/2018	10/02/2020	391	678,888	1735.756		22,661		11,803		10,506			(8,498)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	10/16/2018	10/16/2020	275	459,949	1675.384		14,861		7,740		16,511			(5,573)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	10/22/2018	10/22/2020	286	476,423	1668.617		15,350		7,995		18,564			(5,756)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	10/29/2018	10/29/2020	918	1,519,142	1654.033		48,901		25,469		69,658			(18,338)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	11/15/2018	11/13/2020	547	921,185	1682.99		29,524		16,607		30,842			(11,071)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	11/29/2018	11/27/2020	319	537,455	1685.604		17,349		9,759		17,630			(6,506)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	12/03/2018	12/03/2020	1,078	1,827,068	1695.24		58,832		35,544		53,628			(22,062)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	12/13/2018	12/11/2020	824	1,375,076	1668.685		44,016		26,593		55,136			(16,506)					

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	12/17/2018	12/17/2020	802	1,324,478	1650.784	42,582			25,727		64,158			(15,968)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	01/07/2019	01/07/2021	411	677,625	1647.295		21,399		13,820		34,256			(7,579)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	01/09/2019	01/08/2021	206	340,564	1651.044		10,772		6,957		16,605			(3,815)					
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	02/20/2019	02/20/2021	42	118,189	2784.7		3,105		2,135		4,718			(970)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	03/11/2019	03/11/2021	594	998,494	1682.361		31,313		22,832		36,445			(8,481)					
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	05/15/2019	05/15/2021	107	304,686	2850.96		8,086		6,570		10,818			(1,516)					
S&P 500 Index Option MAV			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	06/19/2019	06/19/2021	132	385,920	2926.46		9,100		7,773		9,737			(1,327)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	08/05/2019	08/05/2021	898	1,508,584	1679.671		48,486		45,456		61,281			(3,030)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	08/19/2019	08/19/2021	1,332	2,263,657	1699.015		71,781		67,294		76,630			(4,486)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	RBC ..... ES71P3U3RH1GC71XBUI1	09/17/2019	09/17/2021	1,258	2,159,928	1716.425		68,664		67,234		62,310			(1,431)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	10/03/2018	10/03/2019	11,560	31,514,331	1729.586	760,320			31,680		42,227			(570,240)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	10/04/2018	10/04/2019	653	1,125,065	1724.181	22,033			918		767			(16,525)					
Dow Index Option PTPT			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	10/09/2018	10/09/2019	218	5,749,606	26430.57	116,168			4,840		56,843			(87,126)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	10/15/2018	10/15/2019	1,038	1,731,057	1667.391	32,173			1,341		48,999			(24,130)					
UST 2.875% 08/15/28 Total Return Options			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	10/17/2018	10/18/2019	170,218	67,826,076	98	1,603,215			66,801		2,213,239			(1,202,411)					
S&P 500 Index Option PTPT			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	10/23/2018	10/23/2019	12,960	35,519,432	2740.69	1,060,463			44,186		1,488,212			(795,347)					
S&P 500 Index Option PTPT			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	10/30/2018	10/30/2019	12,109	32,483,828	2682.63	1,068,181			44,508		1,633,430			(801,136)					

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S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	11/06/2018	11/06/2019	13,772	37,947,270	2755.45	1,298,173			154,772		1,634,368			(928,629)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	11/12/2018	11/12/2019	6,371	16,641,203	1680.312	361,339			45,167		323,734			(271,004)					
Dow Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	11/14/2018	11/14/2019	7,287	24,132,759	25080.5	518,194			64,774		559,101			(388,645)					
Dow Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	11/26/2018	11/26/2019	54	1,323,672	24640.24	25,848			3,231		38,662			(19,386)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/04/2018	12/04/2019	14,037	37,900,730	2700.06	1,227,740			255,779		1,842,542			(920,805)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/04/2018	12/05/2019	9,736	26,288,564	2700.06	728,137			151,695		1,092,755			(546,103)					
Nasdaq 100 Indx Opt MAV			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/06/2018	12/06/2019	410	1,106,377	2695.95	26,553			5,532		8,177			(19,915)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/10/2018	12/10/2019	13,239	34,919,495	2637.72	925,536			192,820		1,531,784			(694,152)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/17/2018	12/17/2019	13,953	35,524,008	2545.94	957,214			199,420		1,793,743			(717,910)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/18/2018	12/18/2019	15,657	39,864,990	2546.16	1,376,495			286,770		2,688,772			(1,032,371)					
S&P 500 Indx Opt MOPTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/20/2018	12/20/2019	36,856	90,939,398	2467.42	1,847,344			384,863		4,237,886			(1,385,508)					
Dow Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/24/2018	12/24/2019	6,085	16,177,382	21792.2	293,909			61,231		590,609			(220,432)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/26/2018	12/26/2019	8,743	21,575,347	2467.7	779,071			162,306		1,797,191			(584,303)					
S&P 500 Index Option MAV			Equity/Index	SG 02RNE81BXP4R0TD8PU41	12/27/2018	12/27/2019	4,849	12,067,346	2488.83	228,533			47,611		479,605			(171,400)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/02/2019	01/02/2020	10,120	25,401,292	2510.03		843,056		245,891		1,812,249			(597,165)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/03/2019	01/03/2020	10,547	25,817,051	2447.89		864,446		252,130		2,015,888			(612,316)					
S&P 500 Indx Opt MOPTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/15/2019	01/15/2020	12,486	32,591,156	2610.3		460,884		134,424		344,796			(326,459)					
SPXDSUN Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/16/2019	01/16/2020	2,907	7,891,708	2714.34		161,316		47,051		274,623			(114,266)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/22/2019	01/22/2020	3,191	5,286,660	1656.859		104,856		30,583		180,306			(74,273)					
UST 3.125% 11/29/2019 Total Return Options			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/23/2019	01/24/2020	159,372	36,729,419	98		654,245		190,822		1,056,612			(463,424)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/29/2019	01/29/2020	13,661	36,065,315	2640		1,179,228		343,942		2,068,718			(835,287)					
S&P 500 Index Option MAV			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/30/2019	01/30/2020	3,103	8,319,995	2681.05		158,648		46,272		314,546			(112,376)					
S&P 500 Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	02/04/2019	02/04/2020	11,884	32,381,870	2724.87		882,512		330,942		1,332,154			(551,570)					
SPXDSUN Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	02/05/2019	02/05/2020	6,304	17,324,184	2748.26		335,346		125,755		432,138			(209,591)					
SPXDSUN Index Option PTPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	02/06/2019	02/06/2020	8,351	22,890,294	2747.61		454,093		170,285		692,568			(283,808)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	02RNE81BXP4R0TD8PU41	02/07/2019	02/07/2020	1,741	2,904,326	1668.638		54,687		20,508		80,564			(34,179)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	02RNE81BXP4R0TD8PU41	02/12/2019	02/12/2020	20,766	54,500,299	1678.074		1,532,452		574,669		2,198,028			(957,782)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	02/14/2019	02/14/2020	15,795	43,441,868	2761.83		711,199		266,700		426,748			(444,499)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	02RNE81BXP4R0TD8PU41	02/19/2019	02/19/2020	8,632	19,208,037	1685.151		373,143		139,928		395,531			(233,214)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	02/25/2019	02/25/2020	9,619	26,894,460	2796.11		757,479		284,055		1,031,852			(473,424)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	02/26/2019	02/26/2020	13,005	36,333,841	2793.9		1,097,788		411,670		1,499,424			(686,117)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	02/27/2019	02/27/2020	6,386	17,774,079	2783.15		349,567		131,088		337,586			(218,479)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	02RNE81BXP4R0TD8PU41	03/01/2019	02/28/2020	8,778	23,157,322	1687.333		477,331		218,777		478,262			(258,554)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/01/2019	03/02/2020	4,839	13,465,867	2782.97		261,170		119,703		254,125			(141,467)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/01/2019	03/03/2020	5,504	15,318,095	2782.97		309,251		141,740		304,651			(167,511)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/08/2019	03/06/2020	15,024	44,725,610	2743.07		1,377,551		631,377		2,026,684			(746,173)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/08/2019	03/09/2020	10,431	28,611,701	2743.07		788,471		361,383		1,131,437			(427,089)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/08/2019	03/10/2020	11,660	31,983,080	2743.07		821,816		376,666		1,163,391			(445,151)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/14/2019	03/13/2020	5,245	14,600,529	2783.55		301,203		138,051		304,533			(163,151)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/19/2019	03/19/2020	14,403	40,796,597	2832.57		1,209,457		554,334		1,541,896			(655,123)					
Dow Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/21/2019	03/20/2020	109	2,841,606	25962.51		52,416		24,024		61,107			(28,392)					
Dow Index Option MAV			Equity/Index	02RNE81BXP4R0TD8PU41	03/21/2019	03/21/2020	17	436,737	25962.51		9,739		4,464		13,092			(5,275)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	03/28/2019	03/27/2020	29,198	81,923,285	2797.9		2,166,310		992,892		2,596,485			(1,173,418)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	04/02/2019	04/02/2020	4,780	13,433,550	2810.23		255,951		138,640		208,494			(117,311)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	04/05/2019	04/03/2020	23,813	68,508,806	2892.74		2,043,691		1,106,999		2,192,100			(936,692)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	04/05/2019	04/06/2020	11,716	33,890,692	2892.74		994,911		538,910		1,112,094			(456,001)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	04/05/2019	04/07/2020	10,295	29,781,117	2892.74		755,686		409,330		849,499			(346,356)					
S&P 500 Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	04/09/2019	04/09/2020	15,633	44,994,988	2878.2		1,335,732		723,522		1,537,372			(612,211)					
SPXDSUN Index Option PTPT			Equity/Index	02RNE81BXP4R0TD8PU41	04/16/2019	04/16/2020	4,343	12,267,960	2824.79		233,806		126,645		170,071			(107,161)					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	04/17/2019	04/17/2020	16,567	48,050,738	2900.45		1,447,826		784,239		1,576,814			(663,587)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	04/25/2019	04/24/2020	20,036	58,080,881	2816.11		1,558,624		844,255		1,545,912			(714,369)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	04/29/2019	04/29/2020	5,978	16,877,739	2823.28		338,087		183,131		269,662			(154,957)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/02/2019	05/01/2020	19,099	55,174,765	2917.52		1,507,339		942,087		1,524,172			(565,252)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/08/2019	05/08/2020	34,611	97,800,082	2802.94		2,921,280		1,825,800		3,290,817			(1,095,480)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/14/2019	05/14/2020	14,884	42,188,581	2834.41		1,211,399		757,124		1,528,227			(454,275)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/17/2019	05/15/2020	31,583	90,169,173	2797.03		2,661,923		1,663,702		3,167,976			(998,221)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/17/2019	05/18/2020	18,556	52,690,572	2797.03		1,362,526		851,579		1,620,491			(510,947)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/17/2019	05/19/2020	19,802	56,235,604	2797.03		1,364,113		852,570		1,607,249			(511,542)					
Dow Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/20/2019	05/20/2020	12,959	41,157,379	25679.9		1,051,955		657,472		1,298,176			(394,483)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/21/2019	05/21/2020	13,963	39,994,888	2864.36		1,192,560		745,350		1,439,004			(447,210)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/23/2019	05/22/2020	19,187	54,040,169	2822.24		1,440,628		900,393		1,812,506			(540,236)					
Dow Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/28/2019	05/28/2020	11,807	37,026,372	25347.77		905,148		565,718		1,196,428			(339,431)					
UST 2.375% 05/29/20 Total Return Options S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	05/29/2019	05/29/2020	208,517	65,896,998	98		1,589,863		993,665		2,245,918			(596,199)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/05/2019	06/05/2020	2,308	3,904,671	1692.104		81,395		57,655		92,772			(23,740)					
Dow Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/10/2019	06/10/2020	1,720	2,928,018	1702.31		59,020		41,806		58,508			(17,214)					
Dow Index Option MAV			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/13/2019	06/12/2020	14,485	44,204,667	26106.77		1,261,841		893,804		1,502,461			(368,037)					
Dow Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/13/2019	06/13/2020	30	781,595	26106.77		10,395		7,363		12,340			(3,032)					
Dow Index Option MAV			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/20/2019	06/19/2020	14,547	46,081,141	26753.17		1,343,801		951,859		1,367,261			(391,942)					
Dow Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/20/2019	06/20/2020	77	2,055,290	26753.17		35,343		25,034		33,474			(10,308)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/24/2019	06/24/2020	168	4,500,801	26727.54		93,630		66,321		92,311			(27,309)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/25/2019	06/25/2020	14,653	42,747,396	2917.38		1,269,319		899,101		1,401,748			(370,218)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/28/2019	06/26/2020	29,148	85,320,343	2941.76		2,408,220		1,705,822		2,596,984			(702,397)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/28/2019	06/29/2020	13,482	39,659,445	2941.76		1,095,556		776,019		1,146,014			(319,537)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	06/28/2019	06/30/2020	12,800	37,655,730	2941.76		972,119		688,584		1,018,009			(283,535)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	07/03/2019	07/02/2020	22,008	58,709,897	1724.672		1,532,665		1,213,360		1,419,369			(319,305)					

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S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	07/10/2019	07/10/2020	12,603	37,722,486	2993.07		1,019,717		807,276		949,565			(212,441)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	07/16/2019	07/16/2020	8,089	19,149,317	1722.272	17,917	328,989		267,541		256,379			(75,258)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	07/25/2019	07/24/2020	9,609	27,616,228	2873.51		500,595		396,304		361,209			(104,291)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	07/30/2019	07/30/2020	12,680	38,207,102	3013.18		1,063,189		841,691		941,573			(221,498)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/02/2019	07/31/2020	17,537	50,971,324	2838.1		1,359,766		1,189,795		1,440,394			(169,971)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/02/2019	08/03/2020	19,613	57,009,658	2838.1		1,416,428		1,239,374		1,499,208			(177,053)					
SPXDSUN Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/02/2019	08/04/2020	19,341	56,123,682	2838.1		1,295,851		1,133,869		1,364,091			(161,981)					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/07/2019	08/07/2020	197,931	20,324,837	98	23,250	285,500		259,984		307,633			(44,406)					
S&P 500 Indx Opt MOPPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/08/2019	08/08/2020	9,624	28,275,068	2938.09		429,953		376,209		753,014			(53,744)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/10/2018	08/10/2020	315	537,048	1702.973	17,615			7,707		12,616			(6,606)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/15/2019	08/14/2020	24,345	69,238,466	2847.6		1,918,112		1,678,348		2,390,392			(239,764)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/21/2018	08/21/2020	336	580,294	1724.504	19,092			8,353		9,977			(7,159)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/26/2019	08/26/2020	2,316	3,919,952	1692.699		82,510		72,196		100,138			(10,314)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	08/29/2019	08/28/2020	19,135	52,087,605	2924.58		1,266,238		1,107,959		1,420,829			(158,280)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	09/04/2019	09/04/2020	11,499	33,781,963	2937.78		935,520		896,540		979,572			(38,980)					
S&P 500 Index Option PTPT			Equity/Index	SG 02RNE81BXP4R0TD8PU41	09/25/2019	09/25/2020	12,344	36,845,030	2984.87		1,071,279		1,026,642		1,003,572			(44,637)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	10/03/2018	10/02/2020	302	521,719	1729.586	17,321			9,021		8,885			(6,495)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	10/15/2018	10/15/2020	299	498,777	1667.391	16,460			8,573		19,623			(6,172)					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG 02RNE81BXP4R0TD8PU41	01/22/2019	01/22/2021	1,363	2,257,979	1656.859		73,159		47,248		104,565			(25,910)					

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	02/07/2019	02/05/2021	557	929,175	1668.638		30,105		20,697		38,432			(9,408)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	02/12/2019	02/12/2021	1,669	2,801,344	1678.074		89,853		61,774		105,313			(28,079)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	02/19/2019	02/19/2021	1,403	2,365,037	1685.151		76,509		52,600		82,710			(23,909)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	03/01/2019	03/01/2021	371	625,822	1687.333		20,151		14,694		21,510			(5,458)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	05/09/2019	05/07/2021	394	666,059	1689.285		21,847		17,750		23,397			(4,096)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	06/05/2019	06/04/2021	869	1,470,720	1692.104		47,651		40,702		51,034			(6,949)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	06/10/2019	06/10/2021	915	1,557,237	1702.31		50,143		42,831		48,715			(7,313)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	07/03/2019	07/02/2021	2,491	4,284,829	1724.672		139,685		125,135		112,352			(14,551)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	07/16/2019	07/16/2021	1,185	2,040,359	1722.272		67,128		60,135		52,731			(6,992)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	08/26/2019	08/26/2021	1,360	2,301,782	1692.699		75,959		71,211		83,313			(4,747)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	SG ..... 02RNE81BXP4R0TD8PU41	08/28/2019	08/27/2021	1,119	1,897,725	1695.3		62,815		58,889		66,984			(3,926)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q1SCSJ683	10/01/2018	10/01/2019	134	3,570,214	26651.21		70,865		2,953		16,886			(53,149)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q1SCSJ683	10/02/2018	10/02/2019	10,781	35,159,981	26773.94		983,906		40,996		568,230			(737,929)				
S&P 500 Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q1SCSJ683	10/03/2018	10/03/2019	12,344	36,113,938	2925.51		1,079,843		44,993		609,905			(809,882)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q1SCSJ683	10/05/2018	10/04/2019	257	6,794,466	26447.05		150,233		6,260		114,920			(112,674)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q1SCSJ683	10/05/2018	10/05/2019	162	4,282,225	26447.05		91,116		3,796					(68,337)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q1SCSJ683	10/05/2018	10/06/2019	47	1,242,388	26447.05		30,190		1,258					(22,643)				

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**SCHEDULE DB - PART A - SECTION 1**

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/05/2018	10/07/2019	242	6,390,176	26447.05	146,019			6,084		60,725			(109,514)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/10/2018	10/10/2019	245	6,267,893	25598.74	135,300			5,638		136,443			(101,475)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/12/2018	10/11/2019	203	5,135,761	25339.99	103,967			4,332		165,063			(77,975)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/12/2018	10/12/2019	85	2,163,831	25339.99	43,038			1,793		46,111			(32,279)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/12/2018	10/13/2019	59	1,493,382	25339.99	29,462			1,228		32,551			(22,097)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/12/2018	10/14/2019	237	5,999,178	25339.99	122,574			5,107		145,608			(91,930)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/19/2018	10/18/2019	269	6,852,156	25444.34	138,503			5,771		203,698			(103,877)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/19/2018	10/19/2019	70	1,783,744	25444.34	34,554			1,440		32,796			(25,916)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/19/2018	10/20/2019	143	3,641,110	25444.34	69,328			2,889		52,015			(51,996)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/19/2018	10/21/2019	216	5,505,289	25444.34	110,009			4,584		126,679			(82,507)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/23/2018	10/23/2019	200	5,040,090	25191.43	94,482			3,937		122,267			(70,861)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/24/2018	10/24/2019	261	6,428,228	24583.42	126,548			5,273		204,326			(94,911)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/25/2018	10/25/2019	243	6,060,217	24984.55	126,763			5,282		185,064			(95,072)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/30/2018	10/30/2019	193	4,809,345	24874.64	87,929			3,664		148,478			(65,946)					
S&P 500 Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	10/31/2018	10/31/2019	12,760	34,602,047	2711.74	1,104,277			46,012		1,620,395			(828,208)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/02/2018	11/01/2019	231	5,827,189	25270.83	116,721			14,590		173,670			(87,541)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/02/2018	11/02/2019	162	4,081,562	25270.83	79,436			9,929		97,563			(59,577)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/02/2018	11/03/2019	96	2,433,336	25270.83	47,421			5,928		57,562			(35,566)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/02/2018	11/04/2019	189	4,777,032	25270.83	101,646			12,706		142,453			(76,235)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/08/2018	11/08/2019	209	5,481,072	26191.22	108,091			13,511		69,624			(81,068)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/12/2018	11/12/2019	213	5,415,084	25387.18	106,206			13,276		136,206			(79,655)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/13/2018	11/13/2019	142	3,597,848	25286.49	86,819			10,852		117,278			(65,114)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/16/2018	11/15/2019	247	6,266,159	25413.22	127,573			15,947		176,883			(95,679)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/16/2018	11/16/2019	107	2,731,399	25413.22	52,930			6,616		64,256			(39,698)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/16/2018	11/17/2019	107	2,709,754	25413.22	55,283			6,910		62,051			(41,462)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/16/2018	11/18/2019	214	5,443,820	25413.22	107,062			13,383		144,652			(80,296)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/19/2018	11/19/2019	181	4,537,853	25017.44	91,027			11,378		144,204			(68,270)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/27/2018	11/27/2019	112	2,767,012	24748.73	59,579			7,447		99,051			(44,684)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/30/2018	11/29/2019	501	12,767,336	25538.46	249,624			31,203		335,930			(187,218)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/30/2018	11/30/2019	182	4,643,155	25538.46	86,506			10,813		91,410			(64,879)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/30/2018	12/01/2019	68	1,745,304	25538.46	34,383			4,298		33,818			(25,787)					

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	11/30/2018	12/02/2019	231	5,893,674	25538.46	112,292			14,036		136,480			(84,219)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/03/2018	12/03/2019	205	5,290,250	25826.43	108,342			22,571		111,508			(81,257)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/04/2018	12/04/2019	218	5,465,550	25027.07	104,336			21,737		159,594			(78,252)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/04/2018	12/05/2019	104	2,610,703	25027.07	52,807			11,001		78,501			(39,605)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/06/2018	12/06/2019	377	9,415,063	24947.67	183,896			38,312		294,691			(137,922)					
S&P 500 Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/14/2018	12/13/2019	34,000	88,398,945	2599.95	2,498,388			520,498		4,400,731			(1,873,791)					
S&P 500 Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/14/2018	12/16/2019	13,755	35,762,621	2599.95	838,929			174,777		1,453,945			(629,197)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/18/2018	12/18/2019	321	7,596,878	23675.64	131,128			27,318		228,309			(98,346)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/20/2018	12/20/2019	252	5,763,548	22859.6	88,778			18,495		151,698			(66,583)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/26/2018	12/26/2019	141	3,234,444	22878.45	56,251			11,719		100,764			(42,188)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	12/31/2018	12/31/2019	16,777	48,090,764	23327.46	1,297,763			270,367		2,599,145			(973,322)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/03/2019	01/03/2020	112	2,545,424	22686.22		52,336		15,265		92,582			(37,071)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/07/2019	01/07/2020	135	3,181,853	23531.35		67,491		19,685		120,223			(47,806)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/08/2019	01/08/2020	223	5,311,815	23787.45		87,605		25,551		152,878			(62,053)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/11/2019	01/10/2020	229	5,484,086	23995.95		104,427		30,458		161,579			(73,969)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/11/2019	01/11/2020	4,130	13,282,389	23995.95		249,467		72,761		498,988			(176,706)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/11/2019	01/12/2020	4,427	12,726,454	23995.95		254,058		74,100		529,692			(179,958)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/11/2019	01/13/2020	3,570	14,651,473	23995.95		284,682		83,032		553,759			(201,649)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/15/2019	01/15/2020	173	4,157,801	24065.59		78,253		22,824		131,464			(55,429)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/16/2019	01/16/2020	158	3,828,598	24207.16		76,618		22,347		130,308			(54,271)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/18/2019	01/17/2020	376	9,284,082	24706.35		186,994		54,540		274,541			(132,454)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/18/2019	01/18/2020	92	2,261,509	24706.35		48,695		14,203		94,062			(34,492)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/18/2019	01/19/2020	76	1,878,085	24706.35		34,571		10,083		64,331			(24,488)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/18/2019	01/20/2020	110	2,722,235	24706.35		42,695		12,453		77,110			(30,242)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/18/2019	01/21/2020	87	2,151,990	24706.35		36,832		10,743		57,244			(26,089)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/23/2019	01/23/2020	228	5,598,944	24575.62		99,736		29,090		165,049			(70,646)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/25/2019	01/24/2020	159	3,923,876	24737.2		79,375		23,151		113,074			(56,224)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/25/2019	01/25/2020	85	2,109,725	24737.2		42,839		12,495		79,541			(30,344)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/25/2019	01/26/2020	72	1,773,509	24737.2		29,241		8,529		52,374			(20,713)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	01/25/2019	01/27/2020	143	3,527,762	24737.2		63,475		18,513		97,978			(44,961)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/01/2019	01/31/2020	25,736	69,654,637	2706.53		2,125,626		797,110		3,352,612			(1,328,516)					
S&P 500 Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/01/2019	02/03/2020	9,726	26,324,390	2706.53		644,729		241,773		983,134			(402,955)					
S&P 500 Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/04/2019	02/04/2020	3,511	9,567,898	2724.87		186,028		69,761		360,990			(116,268)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/08/2019	02/07/2020	198	4,975,012	25106.33		100,583		37,718		139,030			(62,864)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/08/2019	02/08/2020	141	3,545,114	25106.33		60,197		22,574		103,987			(37,623)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/08/2019	02/09/2020	75	1,891,783	25106.33		35,150		13,181		60,939			(21,969)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/08/2019	02/10/2020	105	2,625,491	25106.33		49,163		18,436		73,350			(30,727)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/12/2019	02/12/2020	171	4,360,338	25425.76		87,046		32,642		125,373			(54,404)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/13/2019	02/13/2020	3,885	16,428,171	25543.27		302,866		113,575		504,454			(189,291)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/15/2019	02/14/2020	232	6,004,583	25883.25		120,922		45,346		146,691			(75,577)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/15/2019	02/15/2020	98	2,531,994	25883.25		45,970		17,239		60,472			(28,731)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/15/2019	02/16/2020	50	1,300,326	25883.25		17,944		6,729		24,912			(11,215)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/15/2019	02/17/2020	51	1,310,817	25883.25		23,850		8,944		31,997			(14,907)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/15/2019	02/18/2020	83	2,150,942	25883.25		38,490		14,434		47,887			(24,057)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/19/2019	02/19/2020	119	3,075,411	25891.32		57,306		21,490		73,034			(35,816)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/21/2019	02/21/2020	106	2,731,597	25850.63		44,227		16,585		56,536			(27,642)					
S&P 500 Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/22/2019	02/22/2020	3,332	9,305,511	2792.67		184,332		69,125		309,932			(115,208)					
S&P 500 Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/22/2019	02/23/2020	3,743	10,452,645	2792.67		193,684		72,632		315,361			(121,053)					
S&P 500 Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/22/2019	02/24/2020	3,269	9,130,211	2792.67		176,339		66,127		287,083			(110,212)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	02/26/2019	02/26/2020	180	4,690,561	26057.98		90,124		33,797		103,138			(56,328)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/01/2019	02/28/2020	145	3,766,240	26026.32		75,950		34,810		87,500			(41,139)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/01/2019	03/01/2020	99	2,581,975	26026.32		45,165		20,701		47,751			(24,464)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/01/2019	03/02/2020	152	3,949,532	26026.32		75,721		34,705		87,356			(41,016)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/01/2019	03/03/2020	196	5,108,675	26026.32		104,907		48,082		120,636			(56,825)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/08/2019	03/06/2020	262	6,677,069	25450.24		128,136		58,729		159,655			(69,407)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/07/2019	03/07/2020	59	1,490,769	25473.23		21,235		9,733		31,903			(11,502)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/08/2019	03/08/2020	85	2,151,010	25450.24		43,907		20,124		68,438			(23,783)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/08/2019	03/09/2020	190	4,846,609	25450.24		94,699		43,404		136,685			(51,295)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/08/2019	03/10/2020	200	5,083,217	25450.24		103,373		47,379		135,280			(55,994)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/12/2019	03/12/2020	261	6,657,977	25554.66		119,625		54,828		161,412			(64,797)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	03/15/2019	03/13/2020	14,088	50,600,015	25848.87		1,373,295		629,427		1,806,686			(743,868)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	03/14/2019	42	1,068,695	25709.94		24,259		11,119		35,226			(13,140)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	03/15/2019	101	2,610,842	25848.87		47,053		21,566		64,744			(25,487)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	03/15/2019	189	4,892,683	25848.87		99,032		45,390		124,775			(53,642)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	03/15/2019	249	6,439,759	25848.87		127,112		58,260		160,571			(68,852)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	03/22/2019	158	4,040,802	25502.32		83,324		38,190		107,810			(45,134)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	03/22/2019	154	3,938,421	25502.32		69,802		31,993		107,831			(37,809)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	03/22/2019	181	4,614,825	25502.32		82,306		37,723		112,970			(44,582)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	03/22/2019	234	5,963,538	25502.32		123,518		56,613		170,253			(66,906)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	03/26/2019	215	5,527,029	25657.73		95,754		43,887		128,159			(51,867)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	03/28/2019	408	10,456,239	25717.46		195,206		89,469		248,652			(105,737)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	03/28/2019	73	1,889,555	25717.46		33,696		15,444		48,027			(18,252)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	04/05/2019	183	4,828,064	26424.99		97,422		52,770		103,052			(44,652)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/05/2019	113	2,981,454	26424.99		52,806		28,603		49,036			(24,203)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/05/2019	181	4,791,683	26424.99		101,314		54,878		102,926			(46,436)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/05/2019	97	2,569,802	26424.99		49,534		26,831		50,888			(22,703)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/08/2019	199	5,230,513	26341.02		98,733		53,480		106,157			(45,252)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	04/12/2019	10,592	36,692,431	26412.3		1,025,344		555,395		1,144,476			(469,949)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	04/10/2019	111	2,909,267	26157.16		54,562		29,554		61,560			(25,007)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	04/12/2019	88	2,319,346	26412.3		41,105		22,265		42,013			(18,840)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/12/2019	234	6,188,079	26412.3		121,440		65,780		125,781			(55,660)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/12/2019	149	3,939,435	26412.3		79,216		42,909		81,579			(36,307)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/15/2019	255	6,727,229	26384.77		133,148		72,122		140,487			(61,026)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/22/2019	116	3,066,772	26511.05		57,298		31,037		58,542			(26,262)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	04/25/2019	14,393	51,724,085	26462.08		1,521,911		824,369		1,540,263			(697,543)					
S&P 500 Index Option			Equity/Index	SUN	549300V8M85Q SCSJ683	04/25/2019	79	2,089,051	26462.08		38,019		20,594		36,814			(17,425)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	04/29/2019	14,574	42,892,072	2943.03		1,197,515		648,654		1,184,288			(548,861)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	05/03/2019	15,612	56,396,764	26504.95		1,530,445		956,528		1,507,766			(573,917)					
Dow Index Option MAV			Equity/Index	SUN	549300V8M85Q SCSJ683	05/02/2019	85	2,245,258	26307.79		37,060		23,163		39,641			(13,898)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	05/03/2019	97	2,360,006	26504.95		41,188		25,743		38,630			(15,446)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	05/03/2019	12,740	43,240,453	26504.95		1,217,077		760,673		1,197,835			(456,404)					
Dow Index Option PTPT			Equity/Index	SUN	549300V8M85Q SCSJ683	05/03/2019	12,897	41,257,516	26504.95		1,019,329		637,081		1,014,064			(382,248)					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/06/2019	.05/06/2020	216	5,702,916	26438.48		112,511		70,319		116,940			(42,192)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/17/2019	.05/15/2020	249	6,428,929	25764		124,097		77,561		142,366			(46,537)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/16/2019	.05/16/2020	75	1,935,464	25862.68		34,882		21,801		47,885			(13,081)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/17/2019	.05/17/2020	90	2,327,842	25764		43,497		27,185		60,085			(16,311)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/17/2019	.05/18/2020	180	4,641,024	25764		87,188		54,493		105,604			(32,696)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/17/2019	.05/19/2020	215	5,543,158	25764		106,300		66,437		131,279			(39,862)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/23/2019	.05/22/2020	448	11,507,503	25490.47		214,964		134,353		262,190			(80,612)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/23/2019	.05/23/2020	93	2,371,641	25490.47		38,228		23,893		54,606			(14,336)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/31/2019	.05/29/2020	124	3,073,664	24815.04		60,654		37,909		80,626			(22,745)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/31/2019	.05/31/2020	43	1,069,223	24815.04		18,249		11,406		28,892			(6,844)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/31/2019	.06/01/2020	245	6,088,680	24815.04		122,413		76,508		172,573			(45,905)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.05/31/2019	.06/02/2020	266	6,589,540	24815.04		125,807		78,629		176,992			(47,177)				
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/04/2019	.06/04/2020	467	1,309,103	2803.27		18,589		13,167		21,547			(5,422)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/07/2019	.06/05/2020	14,177	45,902,952	25983.94		1,256,911		890,312		1,522,661			(366,599)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/06/2019	.06/06/2020	63	1,618,434	25720.66		29,391		20,819		41,151			(8,572)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/07/2019	.06/07/2020	85	2,198,196	25983.94		43,056		30,498		55,995			(12,558)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/07/2019	.06/08/2020	14,385	45,029,540	25983.94		1,222,149		865,689		1,478,518			(356,460)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/07/2019	.06/09/2020	12,671	40,475,386	25983.94		956,825		677,751		1,144,109			(279,074)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/14/2019	.06/12/2020	461	4,281,811	26089.61		76,704		54,332		85,361			(22,372)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/14/2019	.06/14/2020	108	2,824,833	26089.61		57,485		40,718		74,240			(16,766)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/14/2019	.06/15/2020	637	7,515,156	26089.61		135,445		95,940		155,611			(39,505)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/14/2019	.06/16/2020	803	7,379,619	26089.61		129,756		91,911		149,908			(37,846)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/17/2019	.06/17/2020	911	7,326,562	26112.53		125,439		88,853		142,713			(36,586)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/21/2019	.06/19/2020	726	5,513,966	26719.13		96,172		68,122		96,915			(28,050)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/21/2019	.06/21/2020	119	3,184,681	26719.13		53,429		37,845		53,425			(15,583)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/21/2019	.06/22/2020	153	4,099,253	26719.13		75,552		53,516		75,619			(22,036)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/21/2019	.06/23/2020	161	4,291,380	26719.13		84,058		59,541		84,283			(24,517)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/25/2019	.06/25/2020	213	5,660,277	26548.22		98,133		69,511		102,672			(28,622)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/28/2019	.06/26/2020	247	6,554,804	26599.96		124,254		88,013		128,545			(36,241)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/27/2019	.06/27/2020	42	1,104,629	26526.58		17,733		12,561		19,234			(5,172)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	.06/28/2019	.06/28/2020	141	3,742,044	26599.96		72,388		51,275		76,874			(21,113)				

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	06/28/2019	06/29/2020	177	4,707,019	26599.96		88,833		62,924		92,523			(25,910)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	06/28/2019	06/30/2020	202	5,376,143	26599.96		97,245		68,882		102,475			(28,363)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/01/2019	07/01/2020	480	1,424,006	2964.33		20,506		16,234		20,064			(4,272)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/05/2019	07/02/2020	851	7,197,523	26922.12		132,130		104,603		124,008			(27,527)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/03/2019	07/03/2020	119	3,214,021	26966		60,301		47,738		47,640			(12,563)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/05/2019	07/05/2020	60	1,617,140	26922.12		33,195		26,279		28,156			(6,916)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/05/2019	07/06/2020	1,282	9,442,247	26922.12		160,147		126,783		148,615			(33,364)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/05/2019	07/07/2020	1,055	8,136,734	26922.12		149,659		118,480		139,927			(31,179)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/09/2019	07/09/2020	594	1,770,772	2979.63		25,676		20,327		24,366			(5,349)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/16/2019	07/16/2020	153	4,189,713	27335.63		69,983		55,404		55,317			(14,580)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/17/2019	07/17/2020	729	2,174,932	2984.42		31,754		25,139		29,677			(6,615)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/26/2019	07/24/2020	1,188	5,765,101	3025.86		90,845		71,919		81,546			(18,926)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/25/2019	07/25/2020	48	1,295,689	27140.98		24,154		19,122		18,578			(5,032)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/26/2019	07/27/2020	479	1,449,788	3025.86		21,167		16,757		18,575			(4,410)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	07/26/2019	07/28/2020	650	1,966,805	3025.86		28,715		22,733		25,198			(5,982)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/02/2019	07/31/2020	30,017	96,650,471	26485.01		2,783,378		2,317,507		2,735,279			(465,871)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/02/2019	08/02/2020	79	2,096,723	26485.01		40,179		35,157		45,558			(5,022)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/02/2019	08/03/2020	248	6,564,866	26485.01		127,508		111,570		136,078			(15,939)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/02/2019	08/04/2020	195	5,161,859	26485.01		94,054		82,297		99,508			(11,757)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/05/2019	08/05/2020	225	5,782,497	25717.74		113,672		99,463		137,506			(14,209)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/09/2019	08/07/2020	596	1,739,052	2918.65		24,868		21,760		25,615			(3,109)					
S&P 500 Index Option			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/09/2019	08/09/2020	3,271	9,547,044	2918.65		196,063		171,555		225,481			(24,508)					
MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/09/2019	08/10/2020	4,292	12,525,567	2918.65		250,464		219,156		286,339			(31,308)					
S&P Digital			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/09/2019	08/11/2020	5,923	17,287,997	2918.65		349,325		305,659		403,278			(43,666)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/16/2019	08/14/2020	360	9,206,590	25886.01		179,638		157,183		220,746			(22,455)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/16/2019	08/16/2020	95	2,458,925	25886.01		46,940		41,072		60,271			(5,867)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/16/2019	08/17/2020	166	4,291,763	25886.01		75,516		66,076		88,244			(9,439)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/16/2019	08/18/2020	188	4,874,544	25886.01		97,827		85,599		119,289			(12,228)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/21/2019	08/21/2020	251	6,569,520	26202.73		124,608		109,032		142,141			(15,576)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/27/2019	08/27/2020	218	5,625,607	25777.9		105,751		92,532		127,319			(13,219)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/30/2019	08/28/2020	13,752	48,799,628	26403.28		1,342,803		1,174,953		1,450,538			(167,850)					
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/30/2019	08/30/2020	3,618	13,827,985	26403.28		295,089		258,203		330,788			(36,886)					
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/30/2019	08/31/2020	16,743	52,476,905	26403.28		1,357,568		1,187,872		1,465,189			(169,696)					

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**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/30/2019	09/01/2020	14,046	43,827,438	26403.28		1,061,976		929,229		1,156,991			(132,747)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	08/30/2019	09/02/2020	11,475	37,243,460	26403.28		839,128		734,237		921,109			(104,891)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/03/2019	09/03/2020	158	4,130,248	26118.02		77,614		74,380		87,821			(3,234)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/13/2019	09/11/2020	93	2,529,382	27219.52		51,137		49,007		45,385			(2,131)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/13/2019	09/13/2020	72	1,972,183	27219.52		44,074		42,238		37,127			(1,836)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/13/2019	09/14/2020	220	5,977,168	27219.52		112,410		107,727		97,641			(4,684)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/13/2019	09/15/2020	154	4,197,144	27219.52		83,211		79,744		72,829			(3,467)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/20/2019	09/18/2020	398	10,767,910	26935.07		216,903		207,865		196,720			(9,038)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/20/2019	09/20/2020	146	3,919,825	26935.07		81,853		78,443		79,227			(3,411)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/20/2019	09/21/2020	125	3,360,396	26935.07		68,335		65,488		65,312			(2,847)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/20/2019	09/22/2020	155	4,185,031	26935.07		85,254		81,702		81,136			(3,552)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/23/2019	09/23/2020	166	4,476,944	26949.99		86,821		83,203		80,537			(3,618)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/27/2019	09/25/2020	249	6,678,642	26820.25		138,307		132,545		132,615			(5,763)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/26/2019	09/26/2020	79	2,122,993	26891.12		44,595		42,737		43,173			(1,858)				
Dow Index Option MAV			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/27/2019	09/27/2020	172	4,613,231	26820.25		90,013		86,263		89,575			(3,751)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/27/2019	09/28/2020	236	6,322,417	26820.25		126,814		121,530		123,516			(5,284)				
Dow Index Option PTPT			Equity/Index	SUN ..... 549300V8M85Q SCSJ683	09/27/2019	09/29/2020	189	5,065,308	26820.25		97,602		93,535		94,035			(4,067)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	10/02/2018	10/02/2019	4,060	11,869,334	2923.43		235,364		9,807					(176,523)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	10/12/2018	10/12/2019	5,025	13,905,162	2767.13		310,741		12,948		347,372			(233,056)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	10/12/2018	10/13/2019	4,698	12,999,657	2767.13		282,019		11,751		306,161			(211,514)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	10/12/2018	10/14/2019	4,238	11,727,885	2767.13		257,009		10,709		246,833			(192,757)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/02/2018	11/01/2019	33,066	90,085,940	2723.06		2,503,470		312,934		3,491,904			(1,877,603)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/02/2018	11/04/2019	11,068	30,139,607	2723.06		754,544		94,318		1,117,623			(565,908)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/06/2018	11/06/2019	5,619	15,405,407	2741.72		351,967		43,996		323,840			(263,975)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/09/2018	11/08/2019	27,280	75,865,509	2781.01		2,151,039		268,880		2,842,835			(1,613,279)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/09/2018	11/11/2019	11,803	32,823,484	2781.01		781,627		97,703		1,064,934			(586,220)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/13/2018	11/13/2019	13,444	36,595,827	2722.18		1,114,415		139,302		1,609,106			(835,812)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/16/2018	11/16/2019	3,815	10,438,541	2736.27		218,967		27,371		353,125			(164,225)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCJFXT09	11/16/2018	11/17/2019	5,598	15,317,448	2736.27		316,684		39,586		520,938			(237,513)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/16/2018	11/18/2019	4,434	12,131,668	2736.27	261,350			32,669		418,066			(196,012)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/19/2018	11/19/2019	11,995	32,276,042	2690.73	945,223			118,153		1,442,183			(708,917)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/20/2018	11/20/2019	15,524	41,013,006	2641.89	1,414,909			176,864		2,320,437			(1,061,182)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/23/2018	11/22/2019	23,862	62,818,358	2632.56	1,687,057			210,882		2,798,883			(1,265,293)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/23/2018	11/23/2019	4,471	11,770,037	2632.56	251,058			31,382		462,872			(188,293)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/23/2018	11/24/2019	3,877	10,205,503	2632.56	217,796			27,224		409,025			(163,347)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/23/2018	11/25/2019	14,201	37,384,027	2632.56	830,960			103,870		1,389,971			(623,220)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/27/2018	11/27/2019	2,716	7,284,954	2682.17	148,652			18,581		263,815			(111,489)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/28/2018	11/28/2019	2,555	7,009,795	2743.79	143,829			17,979		221,776			(107,872)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/30/2018	11/29/2019	35,285	97,392,722	2760.17	2,786,779			348,347		3,789,349			(2,090,084)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	11/30/2018	12/02/2019	11,965	33,024,871	2760.17	765,775			95,722		1,063,734			(574,332)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/12/2018	12/12/2019	6,011	16,415,214	2730.87	345,596			71,999		419,193			(259,197)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/14/2018	12/14/2019	6,011	15,628,483	2599.95	300,079			62,516		589,017			(225,059)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/14/2018	12/15/2019	6,405	16,651,689	2599.95	337,557			70,324		669,785			(253,168)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/14/2018	12/16/2019	5,523	14,360,002	2599.95	265,443			55,301		518,985			(199,082)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/17/2018	12/17/2019	4,679	12,650,690	2703.89	255,057			53,137		420,158			(191,293)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/18/2018	12/18/2019	4,879	12,423,067	2546.16	225,215			46,920		497,260			(168,911)				
Dow Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/19/2018	12/19/2019	4,906	17,178,309	23323.66	364,747			75,989		668,797			(273,560)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/26/2018	12/26/2019	1,982	5,319,595	2683.43	105,661			22,013		219,338			(79,246)				
Dow Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/28/2018	12/27/2019	16,014	46,820,091	23062.4	1,449,429			301,964		3,000,068			(1,087,072)				
Dow Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/28/2018	12/28/2019	7,599	22,273,370	23062.4	425,370			88,619		894,820			(319,028)				
Dow Index Option MAV			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/28/2018	12/29/2019	5,762	15,813,467	23062.4	317,482			66,142		683,884			(238,111)				
Dow Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	12/28/2018	12/30/2019	6,006	21,058,941	23062.4	405,333			84,444		837,959			(303,998)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	01/08/2019	01/08/2020	17,231	45,062,964	2709.2		1,216,713		354,875		2,282,151			(861,838)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	01/14/2019	01/14/2020	11,159	28,820,001	2582.61		789,180		230,177		1,453,137			(559,002)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	01/15/2019	01/15/2020	4,482	12,165,147	2714.15		238,062		69,435		408,113			(168,627)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	01/25/2019	01/24/2020	28,458	74,034,655	2664.76		2,150,744		627,300		3,523,135			(1,523,444)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	01/25/2019	01/27/2020	9,679	25,792,627	2664.76		670,638		195,603		1,077,269			(475,035)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCMCFXT09	01/29/2019	01/29/2020	5,246	14,306,097	2726.86		274,351		80,019		434,464			(194,332)				

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.01/30/2019	.01/30/2020	4,430	12,098,391	2731.09		258,434		75,376		397,048			(183,057)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.02/13/2019	.02/13/2020	13,212	36,430,581	2766.86		1,026,112		384,792		1,432,947			(641,320)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.02/15/2019	.02/14/2020	30,799	85,486,790	2775.6		2,544,324		954,121		3,541,884			(1,590,202)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.02/15/2019	.02/18/2020	6,605	18,332,586	2775.6		436,633		163,738		592,239			(272,896)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.02/20/2019	.02/20/2020	4,986	13,854,276	2778.59		253,093		94,910		247,966			(158,183)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.02/21/2019	.02/21/2020	5,464	15,175,976	2777.64		296,562		111,211		300,008			(185,352)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.02/28/2019	.02/28/2020	4,331	12,049,003	2781.75		237,290		88,984		234,141			(148,306)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.03/05/2019	.03/05/2020	11,210	31,272,696	2789.65		895,596		410,481		1,227,065			(485,114)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.03/06/2019	.03/06/2020	13,533	37,504,681	2771.45		1,182,102		541,797		1,691,139			(640,305)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.03/15/2019	.03/15/2020	4,015	11,333,482	2822.48		225,276		103,252		350,871			(122,025)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.03/15/2019	.03/16/2020	3,272	9,235,388	2822.48		175,530		80,451		271,467			(95,079)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.03/15/2019	.03/17/2020	3,194	9,014,993	2822.48		174,339		79,905		266,110			(94,434)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.03/25/2019	.03/25/2020	1,413	2,377,922	1682.432		48,608		22,279		59,138			(26,329)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.03/26/2019	.03/26/2020	3,551	10,009,151	2818.46		198,068		90,781		299,901			(107,287)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/01/2019	.04/01/2020	6,019	14,833,021	1702.637		284,565		154,139		332,000			(130,425)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/03/2019	.04/03/2020	15,853	45,550,863	2873.4		1,311,073		710,165		1,539,948			(600,909)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/10/2019	.04/09/2020	13,013	34,527,812	1699.773		670,692		363,291		569,978			(307,400)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/10/2019	.04/10/2020	3,040	8,781,570	2888.21		161,970		87,734		193,945			(74,236)				
S&P 500 Index Option MAV			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/15/2019	.04/15/2020	4,298	12,487,775	2905.58		237,346		128,562		257,239			(108,783)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/17/2019	.04/17/2020	35,338	98,998,571	1703.539		2,745,619		1,487,210		2,837,226			(1,258,409)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/18/2019	.04/20/2020	13,878	40,316,926	2905.03		1,164,097		630,552		1,261,665			(533,544)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/18/2019	.04/21/2020	12,417	36,070,605	2905.03		910,977		493,446		989,811			(417,531)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/22/2019	.04/22/2020	12,518	36,403,099	2907.97		1,112,936		602,840		1,191,424			(510,096)				
Dow Index Option PTPT			Equity/Index	WAC ..... KB1H1DSPRFMYMCMJCFXT09	.04/26/2019	.04/24/2020	108	2,865,770	26543.33		54,986		29,784		57,307			(25,202)				

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23		
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)		
Dow Index Option MAV			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	04/26/2019	3,878	14,087,131	26543.33		257,769		139,625		226,297			(118,144)						
Dow Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	04/26/2019	3,904	19,333,248	26543.33		371,688		201,331		345,177			(170,357)						
Dow Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	04/26/2019	3,180	14,150,779	26543.33		269,881		146,185		247,401			(123,695)						
Dow Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	04/29/2019	201	5,338,441	26554.39		100,602		54,493		101,219			(46,109)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	04/30/2019	4,715	13,344,905	2830.01		258,549		140,047		190,333			(118,502)						
S&P 500 Index Option MAV			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/01/2019	3,752	10,969,944	2923.73		212,981		133,113		198,402			(79,868)						
S&P 500 Index Option MAV			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/03/2019	3,651	10,753,290	2945.64		206,182		128,864		161,881			(77,318)						
S&P 500 Index Option MAV			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/03/2019	3,365	9,910,606	2945.64		190,921		119,326		164,726			(71,596)						
S&P 500 Index Option MAV			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/03/2019	4,023	11,849,271	2945.64		230,603		144,127		191,040			(86,476)						
S&P 500 Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/07/2019	13,409	38,671,693	2884.05		1,125,300		703,312		1,234,419			(421,987)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/10/2019	10,105	28,341,694	2807.81		594,653		371,658		606,553			(222,995)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/10/2019	5,778	16,224,362	2807.81		309,693		193,558		305,049			(116,135)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/10/2019	6,913	19,410,260	2807.81		397,581		248,488		390,743			(149,093)						
Dow Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/13/2019	1,761	9,936,704	25324.99		197,287		123,304		261,465			(73,983)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/14/2019	4,520	12,617,347	2791.44		228,560		142,850		270,471			(85,710)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/16/2019	21,133	59,979,346	2802.38		1,695,253		1,059,533		2,041,172			(635,720)						
Dow Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/21/2019	5,308	19,356,675	25877.33		369,856		231,160		411,776			(138,696)						
S&P 500 Indx Opt			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/23/2019	13,423	37,883,675	2822.24		581,451		363,407		443,948			(218,044)						
MOPTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/28/2019	3,727	10,444,402	2802.39		196,767		122,979		316,473			(73,787)						
S&P 500 Indx Opt			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	05/30/2019	12,429	34,663,335	2788.86		530,568		331,605		854,595			(198,963)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	06/04/2019	23,481	65,801,447	2798.49		1,555,610		1,101,890		2,172,412			(453,720)						
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	06/06/2019	40,589	112,739,152	1696.216		2,921,735		2,069,563		3,750,798			(852,173)						
Dow Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	06/10/2019	202	5,258,711	26062.68		98,548		69,805		115,262			(28,743)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	06/13/2019	5,429	22,287,866	2836.41		410,572		290,822		424,679			(119,750)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	06/18/2019	20,382	59,101,377	2835.33		1,446,061		1,024,293		1,565,383			(421,768)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	06/24/2019	21,689	63,344,408	2848.34		1,473,079		1,043,431		1,492,632			(429,648)						
SPXDSUN Index Option PTPT			Equity/Index	WAC	KB1H1DSPRFMYMCJFXT09	06/26/2019	5,755	16,302,779	2832.7		284,482		201,508		291,982			(82,974)						

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**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXDSUN Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/02/2019	.07/02/2020	13,614	40,079,868	2859.89		979,084		775,108		870,622			(203,976)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/08/2019	.07/08/2020	11,749	34,965,913	2975.95		906,795		717,880		886,556			(188,916)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/12/2019	.07/10/2020	28,953	86,208,644	3013.77		2,263,506		1,791,943		2,015,240			(471,564)				
MAV S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/11/2019	.07/11/2020	3,025	9,073,811	2999.91		159,637		126,379		127,332			(33,258)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/12/2019	.07/13/2020	13,231	39,876,086	3013.77		1,063,155		841,664		944,983			(221,491)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/12/2019	.07/14/2020	11,157	33,625,329	3013.77		861,005		681,629		773,847			(179,376)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/15/2019	.07/15/2020	13,124	39,559,604	3014.3		1,014,140		802,861		902,240			(211,279)				
SPXDSUN Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/18/2019	.07/17/2020	5,612	16,072,460	2863.95		285,787		226,248		224,709			(59,539)				
SPXDSUN Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/26/2019	.07/24/2020	4,040	11,633,041	2879.43		206,340		163,352		140,647			(42,987)				
SPXDSUN Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/26/2019	.07/27/2020	5,303	15,268,947	2879.43		294,540		233,177		205,206			(61,362)				
SPXDSUN Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/26/2019	.07/28/2020	5,661	16,301,024	2879.43		295,867		234,228		200,747			(61,639)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.07/31/2019	.07/31/2020	10,836	28,168,544	1716.025		531,968		421,141		448,375			(110,827)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/05/2019	.08/05/2020	14,800	42,102,635	2844.74		1,150,207		1,006,431		1,425,575			(143,776)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/06/2019	.08/06/2020	7,495	17,127,285	1687.824		310,807		271,956		406,138			(38,851)				
Dow Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/08/2019	.08/07/2020	42,388	131,007,710	26378.19		3,573,595		3,126,895		4,034,451			(446,699)				
Dow Index Option MAV S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/08/2019	.08/08/2020	3,035	10,525,073	26378.19		206,031		180,277		226,585			(25,754)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/09/2019	.08/10/2020	14,021	40,921,478	2918.65		1,057,928		925,687		1,164,653			(132,241)				
S&P 500 Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/09/2019	.08/11/2020	14,199	41,442,140	2918.65		944,726		826,635		1,029,931			(118,091)				
Dow Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/12/2019	.08/12/2020	6,750	20,659,332	25907.37		362,191		316,917		448,215			(45,274)				
Dow Index Option PTPT			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/13/2019	.08/13/2020	3,112	14,161,930	26279.91		275,242		240,837		307,580			(34,405)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/15/2019	.08/14/2020	7,587	19,937,753	1687.07		394,453		345,146		544,933			(49,307)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/20/2019	.08/20/2020	9,856	22,301,853	1695.351		360,894		315,782		446,989			(45,112)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSPRFMYMCMJCFXT09	.08/22/2019	.08/21/2020	18,901	52,125,890	1700.468		1,300,696		1,138,109		1,426,148			(162,587)				

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Indx Opt MOPTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.08/22/2019	.08/22/2020	10,710	31,305,814	2922.95		419,737		367,270		733,990			(52,467)				
SPXDSUN Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.08/26/2019	.08/26/2020	16,429	47,061,957	2829.78		1,074,189		939,915		1,274,020			(134,274)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/04/2019	.09/04/2020	7,891	18,923,192	1703.596		301,664		289,095		343,513			(12,569)				
S&P 500 Indx Opt MOPTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/05/2019	.09/05/2020	8,643	25,721,382	2976		331,721		317,899		525,549			(13,822)				
S&P 500 Indx Opt MOPTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/10/2019	.09/10/2020	9,829	29,284,848	2979.39		365,973		350,724		568,502			(15,249)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/11/2019	.09/11/2020	8,386	20,903,926	1717.842		348,082		333,578		315,547			(14,503)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/16/2019	.09/16/2020	12,062	36,162,426	2997.96		909,904		871,991		845,108			(37,913)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/17/2019	.09/17/2020	12,227	36,749,779	3005.7		968,545		928,189		871,653			(40,356)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/19/2019	.09/18/2020	10,089	30,336,417	3006.79		920,910		882,539		826,467			(38,371)				
S&P 500 Index Option PTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/26/2019	.09/25/2020	12,221	36,390,514	2977.62		1,146,403		1,098,636		1,087,864			(47,767)				
S&P 500 Indx Opt MOPTPT			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.09/26/2019	.09/26/2020	9,614	28,626,608	2977.62		368,234		352,891		564,562			(15,343)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.03/25/2019	.03/25/2021	846	1,423,979	1682.432		45,710		33,330		52,376			(12,380)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.04/01/2019	.04/01/2021	562	856,551	1702.637		30,897		23,816		28,344			(7,080)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.04/10/2019	.04/09/2021	460	782,644	1699.773		25,201		19,426		24,092			(5,775)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.04/17/2019	.04/16/2021	1,269	2,161,577	1703.539		70,143		54,069		64,132			(16,074)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.05/13/2019	.05/13/2021	753	1,264,346	1678.306		41,091		33,387		49,877			(7,705)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.06/06/2019	.06/04/2021	556	942,388	1696.216		30,533		26,081		31,325			(4,453)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC ..... KB1H1DSRPFMYMCJFXT09	.07/31/2019	.07/30/2021	2,978	5,110,332	1716.025		168,641		151,074		142,958			(17,567)				

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Index Option MAV			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	07/31/2019	07/31/2021	38	113,747	290.38		2,821		2,527		2,587			(294)							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	08/06/2019	08/06/2021	2,056	3,470,908	1687.824		113,499		106,405		130,364			(7,094)							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	08/12/2019	08/12/2021	1,514	2,561,317	1692.02		84,523		79,241		92,608			(5,283)							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	08/15/2019	08/13/2021	519	874,924	1687.07		28,610		26,822		33,228			(1,788)							
S&P 500 Index Option MAV			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	08/14/2019	08/14/2021	51	144,235	2840.6		4,515		4,232		6,964			(282)							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	08/20/2019	08/20/2021	2,245	3,808,720	1695.351		123,864		116,123		132,414			(7,742)							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	09/04/2019	09/03/2021	1,826	3,110,264	1703.596		101,706		99,587		101,446			(2,119)							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	WAC KB1H1DSRPFMYMCJFXT09	09/11/2019	09/10/2021	792	1,361,031	1717.842		44,234		43,312		38,495			(922)							
0349999999. Subtotal - Purchased Options - Other - Other										224,646,592	619,409,939		418,408,015	XXX	964,411,992			(396,679,492)			XXX	XXX			
0359999999. Subtotal - Purchased Options - Other										224,646,592	619,409,939		418,408,015	XXX	964,411,992			(396,679,492)			XXX	XXX			
0369999999. Total Purchased Options - Call Options and Warrants														XXX							XXX	XXX			
0379999999. Total Purchased Options - Put Options														XXX								XXX	XXX		
0389999999. Total Purchased Options - Caps														XXX									XXX	XXX	
0399999999. Total Purchased Options - Floors														XXX									XXX	XXX	
0409999999. Total Purchased Options - Collars														XXX										XXX	XXX
0419999999. Total Purchased Options - Other										224,646,592	619,409,939		418,408,015	XXX	964,411,992			(396,679,492)			XXX	XXX			
0429999999. Total Purchased Options										224,646,592	619,409,939		418,408,015	XXX	964,411,992			(396,679,492)			XXX	XXX			
0499999999. Subtotal - Written Options - Hedging Effective														XXX									XXX	XXX	
0569999999. Subtotal - Written Options - Hedging Other														XXX										XXX	XXX
0639999999. Subtotal - Written Options - Replications														XXX										XXX	XXX
0709999999. Subtotal - Written Options - Income Generation														XXX										XXX	XXX
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	10/11/2019	632	1,725,000	2728.37		(13,973)		(2,329)					11,644							
MOPTPT			Equity/Index	CS	07/11/2019	10/12/2019	419	1,160,000	2767.13		(10,208)		(1,701)					8,507							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	10/18/2019	672	1,860,000	2768.78		(5,952)		(992)					4,960							
MOPTPT			Equity/Index	CS	07/11/2019	10/26/2019	598	1,590,000	2658.69		(5,088)		(1,908)					3,180							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	10/27/2019	376	1,000,000	2658.69		(4,300)		(1,613)					2,688							
MOPTPT			Equity/Index	CS	07/11/2019	11/09/2019	1,595	4,435,000	2781.01		(41,855)		(15,696)		(10,580)			26,159							

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**SCHEDULE DB - PART A - SECTION 1**

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/10/2019	1,264	3,515,000	2781.01		(24,626)		(9,235)		(1,808)			15,391					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/12/2019	348	950,000	2726.22		(17,005)		(6,377)		(189)			10,628					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/13/2019	544	1,480,000	2722.18		(21,312)		(7,992)		(1,503)			13,320					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/14/2019	570	1,540,000	2701.58		(14,168)		(5,313)					8,855					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/16/2019	1,082	2,960,000	2736.27		(49,920)		(18,720)		(4,096)			31,200					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/17/2019	367	1,005,000	2736.27		(17,487)		(6,558)		(1,647)			10,929					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/18/2019	548	1,500,000	2736.27		(11,100)		(4,163)					6,938					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/19/2019	284	765,000	2690.73		(5,891)		(2,209)		(630)			3,682					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/20/2019	530	1,400,000	2641.89		(7,840)		(2,940)					4,900					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/21/2019	438	1,160,000	2649.93		(2,436)		(914)					1,523					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	11/26/2019	271	725,000	2673.45		(2,610)		(1,305)					1,305					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/04/2019	430	1,160,000	2700.06		(4,988)		(2,494)					2,494					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/05/2019	548	1,480,000	2700.06		(9,916)		(4,958)					4,958					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/10/2019	451	1,190,000	2637.72		(49,623)		(24,812)		(39,845)			24,812					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/12/2019	509	1,350,000	2651.07		(33,210)		(16,605)		(4,960)			16,605					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/16/2019	554	1,440,000	2599.95		(58,176)		(29,088)		(29,303)			29,088					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/18/2019	1,074	2,735,000	2546.16		(143,945)		(71,973)		(91,473)			71,973					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/28/2019	443	1,100,000	2485.74		(26,070)		(15,208)		(7,786)			10,863					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	12/31/2019	389	1,000,000	2506.85		(26,900)		(15,692)		(24,351)			11,208					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/03/2020	551	1,350,000	2447.89		(14,445)		(8,426)		(1,564)			6,019					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/04/2020	434	1,100,000	2531.94		(35,310)		(20,598)		(25,269)			14,713					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/05/2020	419	1,060,000	2531.94		(24,910)		(14,531)		(4,354)			10,379					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/10/2020	712	1,850,000	2596.64		(72,150)		(42,088)		(57,530)			30,063					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/12/2020	636	1,650,000	2596.26		(56,265)		(32,821)		(20,698)			23,444					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/18/2020	487	1,300,000	2670.71		(47,970)		(27,983)		(26,319)			19,988					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/19/2020	318	850,000	2670.71		(44,285)		(25,833)		(39,722)			18,452					
MOPTPT																							
S&P 500 Indx Opt			Equity/Index	CS	07/11/2019	01/26/2020	441	1,175,000	2664.76		(38,305)		(24,625)		(14,740)			13,680					
MOPTPT																							

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/01/2018	10/01/2019	448	1,287,171	1734.665	(57,706)			(2,404)		(21,900)			43,280				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/02/2018	10/02/2019	1,044	2,975,499	1735.756	(140,811)			(5,867)		(47,388)			105,609				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/03/2018	10/03/2019	731	2,121,397	1729.586	(99,561)			(4,148)		(36,555)			74,671				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/05/2018	10/04/2019	1,361	3,805,147	1722.705	(192,710)			(8,030)		(103,284)			144,533				
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	10/05/2018	10/05/2019	6	18,313	2885.57	(571)			(24)					429				
SPXDSUN Index Option PTPT			Equity/Index	EGL	10/05/2018	10/07/2019	199	568,370	2806.71	(22,920)			(955)		(14,469)			17,190				
SPXDSUN Index Option PTPT			Equity/Index	EGL	10/08/2018	10/08/2019	255	736,034	2813.92	(35,911)			(1,496)		(23,861)			26,933				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/09/2018	10/09/2019	260	729,355	1715.88	(39,127)			(1,630)		(23,508)			29,346				
SPXDSUN Index Option PTPT			Equity/Index	EGL	10/10/2018	10/10/2019	893	2,482,455	2751.63	(144,795)			(6,033)		(150,761)			108,596				
UST 2.875% 08/15/28 Total Return Options			Equity/Index	EGL	10/10/2018	10/11/2019	1,469	3,821,663	98	(210,692)			(8,779)		(251,617)			158,019				
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	10/12/2018	10/12/2019	10	27,585	2767.13	(794)			(33)		(2)			596				
SPXDSUN Index Option PTPT			Equity/Index	EGL	10/12/2018	10/14/2019	244	673,224	2718.06	(24,724)			(1,030)		(35,653)			18,543				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/15/2018	10/15/2019	443	1,146,941	1667.391	(60,970)			(2,540)		(76,798)			45,727				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/16/2018	10/16/2019	873	2,435,056	1675.384	(141,946)			(5,914)		(136,400)			106,460				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/17/2018	10/17/2019	936	2,574,567	1674.91	(140,215)			(5,842)		(138,768)			105,161				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/19/2018	10/18/2019	1,548	4,237,743	1671.411	(242,329)			(10,097)		(277,422)			181,747				
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	10/19/2018	10/20/2019	3	9,621	2767.78	(286)			(12)					214				
S&P 500 Index Option PTPT			Equity/Index	EGL	10/19/2018	10/21/2019	207	572,383	2767.78	(27,134)			(1,131)		(33,655)			20,351				
SPXDSUN Index Option PTPT			Equity/Index	EGL	10/22/2018	10/22/2019	351	967,335	2721.68	(52,725)			(2,197)		(64,997)			39,544				

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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SPXDSUN Index Option PTPT			Equity/Index	EGL	10/23/2018	10/23/2019	556	1,524,212	2717.26	(93,004)			(3,875)		(116,174)			69,753					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/24/2018	10/24/2019	1,021	2,339,199	1654.751	(116,963)			(4,873)		(189,921)			87,722					
UST 2.875% 08/15/28 Total Return Options S&P 500 Indx Opt			Equity/Index	EGL	10/24/2018	10/25/2019	1,963	4,842,941	98	(290,694)			(12,112)		(449,008)			218,020					
MOPTPT SPXDSUN Index Option PTPT			Equity/Index	EGL	10/26/2018	10/26/2019	3	6,822	2658.69	(194)			(8)					145					
S&P 500 Index Option PTPT			Equity/Index	EGL	10/26/2018	10/28/2019	99	264,129	2695.86	(11,508)			(479)		(18,810)			8,631					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/29/2018	10/29/2019	409	1,029,204	2641.25	(58,998)			(2,458)		(99,938)			44,249					
SPXDSUN Index Option PTPT			Equity/Index	EGL	10/30/2018	10/30/2019	749	1,972,474	1666.037	(123,500)			(5,146)		(180,469)			92,625					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	10/31/2018	10/31/2019	747	2,026,849	2718.69	(118,281)			(4,928)		(163,430)			88,711					
SPXDSUN Index Option PTPT			Equity/Index	EGL	11/02/2018	11/01/2019	1,887	5,116,878	1672.25	(279,641)			(34,955)		(366,580)			209,731					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/02/2018	11/04/2019	110	299,771	2729.35	(16,554)			(2,069)		(21,005)			12,415					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/05/2018	11/05/2019	316	828,453	1675.843	(46,895)			(5,862)		(59,209)			35,172					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/06/2018	11/06/2019	845	2,178,793	1679.287	(129,010)			(16,126)		(152,903)			96,758					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/07/2018	11/07/2019	573	1,600,345	1687.186	(86,980)			(10,873)		(87,655)			65,235					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/09/2018	11/08/2019	1,529	3,926,535	1686.059	(221,692)			(27,712)		(240,638)			166,269					
S&P Digital			Equity/Index	EGL	11/09/2018	11/11/2019	223	620,452	2781.01	(27,544)			(3,443)		(34,473)			20,658					
S&P 500 Index Option PTPT			Equity/Index	EGL	11/12/2018	11/12/2019	239	651,241	2726.22	(46,564)			(5,820)		(62,559)			34,923					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/13/2018	11/13/2019	692	1,864,025	1680.074	(87,874)			(10,984)		(122,691)			65,906					
S&P 500 Index Option PTPT			Equity/Index	EGL	11/14/2018	11/14/2019	649	1,753,338	2701.58	(107,384)			(13,423)		(154,179)			80,538					
SPXDSUN Index Option PTPT			Equity/Index	EGL	11/15/2018	11/15/2019	1,648	4,458,073	2749.63	(259,555)			(32,444)		(334,316)			194,666					
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	11/16/2018	11/17/2019	11	31,440	2736.27	(858)			(107)		(486)			644					

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S&P 500 Index Option PTPT			Equity/Index	EGL	11/16/2018	11/18/2019	183	499,467	2736.27	(27,985)			(3,498)		(36,836)			20,989				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/19/2018	11/19/2019	355	941,506	1681.142	(57,411)			(7,176)		(85,866)			43,058				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/20/2018	11/20/2019	1,360	3,269,585	1670.568	(182,988)			(22,873)		(295,424)			137,241				
S&P 500 Index Option PTPT			Equity/Index	EGL	11/21/2018	11/21/2019	853	2,263,591	2649.93	(128,978)			(16,122)		(210,592)			96,734				
SPXDSUN Index Option PTPT			Equity/Index	EGL	11/21/2018	11/22/2019	1,074	2,835,398	2732.76	(163,720)			(20,465)		(276,062)			122,790				
S&P 500 Indx Opt MOPPT			Equity/Index	EGL	11/23/2018	11/24/2019	10	25,552	2632.56	(675)			(84)					506				
SPXDSUN Index Option PTPT			Equity/Index	EGL	11/23/2018	11/25/2019	309	815,362	2729.94	(33,163)			(4,145)		(55,718)			24,872				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/26/2018	11/26/2019	497	1,182,784	1674.535	(68,960)			(8,620)		(105,649)			51,720				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/28/2018	11/27/2019	1,145	3,062,627	1685.569	(197,149)			(24,644)		(268,440)			147,862				
S&P 500 Indx Opt MOPPT			Equity/Index	EGL	11/28/2018	11/28/2019	6	16,542	2743.79	(387)			(48)					290				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	11/30/2018	11/29/2019	2,217	5,879,928	1691.193	(316,088)			(39,511)		(390,429)			237,066				
S&P 500 Indx Opt MOPPT			Equity/Index	EGL	11/30/2018	12/01/2019	7	19,548	2760.17	(520)			(65)					390				
S&P 500 Index Option PTPT			Equity/Index	EGL	11/30/2018	12/02/2019	173	477,312	2760.17	(24,034)			(3,004)		(30,076)			18,025				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/03/2018	12/03/2019	491	1,349,926	1695.24	(78,748)			(16,406)		(89,075)			59,061				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/04/2018	12/04/2019	680	1,751,004	1678.993	(102,776)			(21,412)		(152,074)			77,082				
SPXDSUN Index Option PTPT			Equity/Index	EGL	12/04/2018	12/05/2019	461	1,245,409	2747.05	(67,309)			(14,023)		(100,060)			50,482				
SPXDSUN Index Option PTPT			Equity/Index	EGL	12/07/2018	12/06/2019	1,790	4,775,201	2726.74	(305,565)			(63,659)		(479,027)			229,174				
S&P 500 Indx Opt MOPPT			Equity/Index	EGL	12/07/2018	12/08/2019	4	10,389	2633.08	(272)			(57)		(475)			205				
S&P 500 Index Option PTPT			Equity/Index	EGL	12/07/2018	12/09/2019	220	579,679	2633.08	(30,646)			(6,385)		(52,473)			22,984				

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**SCHEDULE DB - PART A - SECTION 1**

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/10/2018	12/10/2019	449	972,877	1666.244	(50,064)			(10,430)		(81,714)			37,548				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/11/2018	12/11/2019	702	1,831,590	1666.512	(110,380)			(22,996)		(186,282)			82,785				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/12/2018	12/12/2019	1,022	2,245,881	1667.96	(119,642)			(24,925)		(191,059)			89,731				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/14/2018	12/13/2019	1,726	4,285,838	1661.393	(234,519)			(48,858)		(405,973)			175,889				
SPXDSUN Index Option PTPT			Equity/Index	EGL	12/14/2018	12/16/2019	185	482,087	2720.57	(23,971)			(4,994)		(43,997)			17,978				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/17/2018	12/17/2019	367	916,209	1650.784	(56,729)			(11,818)		(115,801)			42,546				
SPXDSUN Index Option PTPT			Equity/Index	EGL	12/18/2018	12/18/2019	772	1,977,334	2702.31	(111,663)			(23,263)		(224,074)			83,748				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/19/2018	12/19/2019	1,047	2,452,425	1643.4	(142,966)			(29,785)		(320,708)			107,225				
SPXDSUN Index Option PTPT			Equity/Index	EGL	12/21/2018	12/20/2019	2,311	5,573,489	2675.55	(360,197)			(75,041)		(931,589)			270,147				
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	12/21/2018	12/22/2019	12	28,931	2416.62	(660)			(137)		(1,974)			495				
SPXDSUN Index Option PTPT			Equity/Index	EGL	12/21/2018	12/23/2019	298	725,911	2675.55	(32,946)			(6,864)		(79,981)			24,710				
S&P 500 Index Option PTPT			Equity/Index	EGL	12/24/2018	12/24/2019	57	134,682	2351.1	(6,462)			(1,346)		(16,706)			4,847				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/26/2018	12/26/2019	808	1,909,139	1636.939	(132,750)			(27,656)		(331,786)			99,563				
UST 3.125% 11/29/2019 Total Return Options			Equity/Index	EGL	12/26/2018	12/27/2019	2,570	6,120,739	98	(373,832)			(77,882)		(872,171)			280,374				
S&P Digital			Equity/Index	EGL	12/28/2018	12/30/2019	360	895,503	2485.74	(44,742)			(9,321)		(101,107)			33,556				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	12/31/2018	12/31/2019	485	1,198,242	1644.905	(72,303)			(15,063)		(165,699)			54,225				
SPXDSUN Index Option PTPT			Equity/Index	EGL	01/02/2019	01/02/2020	774	1,955,669	2694.81	(115,775)			(33,768)		(267,490)			82,008				
SPXDSUN Index Option PTPT			Equity/Index	EGL	01/04/2019	01/03/2020	1,781	4,471,101	2700.04	(256,658)			(74,859)		(604,353)			181,800				
S&P 500 Index Option PTPT			Equity/Index	EGL	01/04/2019	01/06/2020	52	131,645	2531.94	(9,562)			(2,789)		(22,294)			6,773				

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S&P 500 Index Option PTPT			Equity/Index	EGE	01/07/2019	01/07/2020	239	610,474	2549.69		(39,169)		(11,424)		(86,488)			27,745				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/08/2019	01/08/2020	756	1,953,508	1650.597		(108,548)		(31,660)		(221,516)			76,889				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/09/2019	01/09/2020	760	1,853,464	1651.044		(96,714)		(28,208)		(195,234)			68,505				
S&P 500 Index Option PTPT			Equity/Index	EGE	01/10/2019	01/10/2020	1,343	3,494,559	2596.64		(217,595)		(63,465)		(439,639)			154,130				
SPXDSUN Index Option PTPT			Equity/Index	EGE	01/11/2019	01/13/2020	220	572,267	2713.53		(27,500)		(8,021)		(53,398)			19,479				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/14/2019	01/14/2020	598	1,532,659	1650.758		(82,907)		(24,181)		(172,305)			58,726				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/15/2019	01/15/2020	666	1,691,965	1652.505		(100,939)		(29,441)		(205,664)			71,499				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/16/2019	01/16/2020	924	2,410,442	1652.455		(150,015)		(43,754)		(308,199)			106,261				
UST 3.125% 11/29/2019 Total Return Options S&P 500 Indx Opt			Equity/Index	EGE	01/16/2019	01/17/2020	1,647	4,067,394	98		(227,001)		(66,209)		(410,727)			160,793				
MOPTPT S&P 500 Index Option PTPT			Equity/Index	EGE	01/18/2019	01/20/2020	4	10,456	2670.71		(276)		(81)		(543)			196				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/18/2019	01/21/2020	129	343,187	2670.71		(18,218)		(5,314)		(31,652)			12,904				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/22/2019	01/22/2020	1,002	2,340,762	1656.859		(117,518)		(34,276)		(217,342)			83,242				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/23/2019	01/23/2020	810	2,132,718	1656.959		(128,880)		(37,590)		(240,364)			91,290				
SPXDSUN Index Option PTPT			Equity/Index	EGE	01/25/2019	01/24/2020	2,300	6,121,098	2723.77		(339,484)		(99,016)		(615,268)			240,468				
S&P 500 Index Option PTPT			Equity/Index	EGE	01/25/2019	01/27/2020	214	569,870	2664.76		(27,905)		(8,139)		(48,393)			19,766				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/28/2019	01/28/2020	532	1,333,914	1655.404		(68,945)		(20,109)		(127,023)			48,836				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	01/29/2019	01/29/2020	1,170	3,013,938	1658.097		(172,789)		(50,397)		(325,329)			122,392				
SPXDSUN Index Option PTPT			Equity/Index	EGE	01/30/2019	01/30/2020	1,055	2,832,237	2731.09		(150,409)		(43,869)		(260,084)			106,540				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/01/2019	01/31/2020	2,528	5,900,231	1665.863		(327,996)		(113,644)		(548,388)								
S&P 500 Indx Opt			Equity/Index	EGL	02/01/2019	02/02/2020	14	36,595	2706.53		(1,006)		(377)		(1,104)								
S&P 500 Indx Opt			Equity/Index	EGL	02/01/2019	02/03/2020	328	887,003	2706.53		(44,414)		(16,655)		(73,272)								
SPXDSUN Index Option			Equity/Index	EGL	02/04/2019	02/04/2020	535	1,458,640	2746.01		(66,732)		(25,025)		(105,301)								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/05/2019	02/05/2020	1,316	3,189,611	1670.046		(155,006)		(58,127)		(243,439)								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/06/2019	02/06/2020	784	2,077,907	1669.528		(114,352)		(42,882)		(183,863)								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/08/2019	02/07/2020	2,319	6,124,075	1669.035		(330,055)		(123,771)		(555,956)								
S&P 500 Indx Opt			Equity/Index	EGL	02/08/2019	02/09/2020	5	12,744	2707.88		(342)		(128)		(668)								
S&P 500 Index Option			Equity/Index	EGL	02/08/2019	02/10/2020	183	495,091	2707.88		(24,031)		(9,011)		(40,001)								
S&P 500 Index Option			Equity/Index	EGL	02/11/2019	02/11/2020	575	1,557,180	2709.8		(89,814)		(33,680)		(154,735)								
SPXDSUN Index Option			Equity/Index	EGL	02/12/2019	02/12/2020	928	2,546,123	2763.41		(141,761)		(53,160)		(224,218)								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/13/2019	02/13/2020	790	2,105,635	1680.051		(111,116)		(41,668)		(169,172)								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/15/2019	02/14/2020	2,399	6,579,268	1684.191		(342,841)		(128,566)		(503,083)								
S&P 500 Indx Opt			Equity/Index	EGL	02/15/2019	02/16/2020	10	28,829	2775.6		(683)		(256)		(874)								
S&P 500 Index Option			Equity/Index	EGL	02/15/2019	02/18/2020	157	434,668	2775.6		(17,854)		(6,695)		(25,147)								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/19/2019	02/19/2020	912	2,501,682	1685.151		(129,920)		(48,720)		(184,522)								
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/20/2019	02/20/2020	1,389	3,732,941	1685.986		(194,577)		(72,966)		(276,584)								
UST 2.625% 02/15/29			Equity/Index	EGL	02/20/2019	02/21/2020	2,528	5,945,213	98		(285,471)		(107,052)		(402,028)								
Total Return Options			Equity/Index	EGL	02/22/2019	02/23/2020	11	30,845	2792.67		(750)		(281)		(323)								
S&P 500 Indx Opt			Equity/Index	EGL	02/22/2019	02/23/2020	11	30,845	2792.67		(750)		(281)		(323)								

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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SPXDSUN Index Option PTPT			Equity/Index	EGL	02/22/2019	02/24/2020	300	837,497	2783.97		(34,230)		(12,836)		(47,525)			21,394					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/25/2019	02/25/2020	705	1,909,233	1688.598		(100,952)		(37,857)		(143,608)			63,095					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	02/26/2019	02/26/2020	1,463	3,749,064	1686.742		(169,126)		(63,422)		(233,170)			105,704					
SPXDSUN Index Option PTPT			Equity/Index	EGL	02/27/2019	02/27/2020	778	2,170,589	2783.15		(112,701)		(42,263)		(160,242)			70,438					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/01/2019	02/28/2020	2,342	6,457,676	1687.333		(346,408)		(145,109)		(491,460)			201,299					
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	03/01/2019	03/01/2020	3	7,509	2803.69		(210)		(96)		(165)			114					
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	03/01/2019	03/02/2020	528	1,480,672	2803.69		(67,773)		(31,063)		(92,559)			36,710					
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/01/2019	03/03/2020	319	894,771	2782.97		(47,682)		(21,854)		(65,472)			25,828					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/04/2019	03/04/2020	1,172	2,849,876	1685.224		(132,187)		(60,586)		(185,347)			71,601					
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/05/2019	03/05/2020	592	1,651,034	2778.34		(84,421)		(38,693)		(120,004)			45,728					
UST 2.625% 02/15/29 Total Return Options			Equity/Index	EGL	03/06/2019	03/06/2020	3,409	8,285,620	100		(414,269)		(189,873)		(628,410)			224,396					
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/08/2019	03/09/2020	335	919,504	2763.78		(45,826)		(21,003)		(70,725)			24,822					
S&P Digital S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/08/2019	03/10/2020	295	808,222	2743.07		(35,793)		(16,405)		(54,423)			19,388					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/11/2019	03/11/2020	629	1,726,727	1682.361		(94,537)		(43,329)		(136,619)			51,207					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/12/2019	03/12/2020	840	2,280,000	1683.152		(109,992)		(50,413)		(156,066)			59,579					
UST 2.625% 02/15/29 Total Return Options			Equity/Index	EGL	03/13/2019	03/13/2020	3,122	7,776,353	98		(388,850)		(178,223)		(524,413)			210,627					
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/15/2019	03/16/2020	490	1,380,427	2786.74		(61,796)		(28,323)		(80,957)			33,473					
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/15/2019	03/17/2020	245	691,273	2786.74		(32,070)		(14,699)		(42,551)			17,371					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/18/2019	03/18/2020	532	1,473,894	1690.28		(72,372)		(33,171)		(92,287)			39,202					

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/19/2019	03/19/2020	1,349	3,794,649	1689.922		(212,993)		(97,622)		(275,880)			115,371				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/22/2019	03/20/2020	3,671	10,066,995	1681.723		(508,800)		(233,200)		(667,616)			275,600				
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/22/2019	03/23/2020	474	1,327,005	2778.25		(58,868)		(26,981)		(80,585)			31,887				
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/22/2019	03/24/2020	548	1,533,897	2778.25		(61,093)		(28,001)		(80,619)			33,092				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/25/2019	03/25/2020	981	2,425,112	1682.432		(132,459)		(60,710)		(183,197)			71,748				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	03/26/2019	03/26/2020	1,078	2,970,143	1688.292		(152,816)		(70,041)		(201,818)			82,776				
UST 2.625% 02/15/29 Total Return Options S&P 500 Indx Opt			Equity/Index	EGL	03/27/2019	03/27/2020	4,328	10,830,937	98		(554,142)		(253,982)		(727,492)			300,160				
MOPTPT			Equity/Index	EGL	03/29/2019	03/29/2020	12	34,276	2834.4		(833)		(382)		(418)			451				
S&P 500 Index Option PTPT			Equity/Index	EGL	03/29/2019	03/30/2020	350	990,864	2834.4		(45,825)		(21,003)		(58,909)			24,822				
SPXDSUN Index Option PTPT			Equity/Index	EGL	03/29/2019	03/31/2020	420	1,190,778	2805.55		(54,705)		(25,073)		(69,104)			29,632				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	04/01/2019	04/01/2020	839	2,316,875	1702.637		(115,961)		(62,812)		(135,214)			53,149				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	04/02/2019	04/02/2020	1,318	3,692,228	1699.64		(198,129)		(107,320)		(234,376)			90,809				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	04/05/2019	04/03/2020	4,218	11,851,228	1705.326		(585,939)		(317,383)		(653,372)			268,555				
SPXDSUN Index Option PTPT			Equity/Index	EGL	04/05/2019	04/06/2020	405	1,169,610	2820.31		(59,712)		(32,344)		(65,306)			27,368				
S&P 500 Index Option PTPT			Equity/Index	EGL	04/05/2019	04/07/2020	394	1,140,057	2892.74		(54,998)		(29,791)		(60,791)			25,207				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	04/08/2019	04/08/2020	822	2,365,059	1705.511		(117,201)		(63,484)		(127,634)			53,717				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	04/12/2019	04/09/2020	4,053	11,282,538	1705.241		(568,202)		(307,776)		(622,794)			260,426				
UST 2.625% 02/15/29 Total Return Options			Equity/Index	EGL	04/10/2019	04/10/2020	188	24,987	98		(312)		(169)		(184)			143				
SPXDSUN Index Option PTPT			Equity/Index	EGL	04/12/2019	04/13/2020	756	2,188,980	2821.61		(91,657)		(49,648)		(95,748)			42,010				

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**SCHEDULE DB - PART A - SECTION 1**

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S&P 500 Index Option PTPT			Equity/Index	EGE	04/12/2019	04/14/2020	155	451,831	2907.41		(17,825)		(9,655)		(19,168)			8,170					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/15/2019	04/15/2020	1,054	2,918,407	1704.836		(145,349)		(78,731)		(151,115)			66,618					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/16/2019	04/16/2020	959	2,649,938	1706.599		(141,493)		(76,642)		(147,950)			64,851					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/18/2019	04/17/2020	3,632	9,488,415	1704.791		(446,199)		(241,691)		(469,161)			204,508					
SPXDSUN Index Option PTPT			Equity/Index	EGE	04/18/2019	04/20/2020	647	1,875,910	2822.21		(89,424)		(48,438)		(94,808)			40,966					
SPXDSUN Index Option PTPT			Equity/Index	EGE	04/18/2019	04/21/2020	402	1,166,590	2822.21		(55,480)		(30,052)		(58,901)			25,429					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/22/2019	04/22/2020	867	2,355,694	1701.541		(114,212)		(61,865)		(119,671)			52,347					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/23/2019	04/23/2020	1,116	3,198,433	1705.641		(173,220)		(93,827)		(167,858)			79,392					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/26/2019	04/24/2020	4,659	11,994,576	1703.98		(557,513)		(301,986)		(538,601)			255,527					
S&P 500 Indx Opt MOPTPT			Equity/Index	EGE	04/25/2019	04/25/2020	5	15,954	2926.17		(514)		(278)		(175)			235					
SPXDSUN Index Option PTPT			Equity/Index	EGE	04/26/2019	04/27/2020	595	1,744,196	2822.63		(78,830)		(42,700)		(75,826)			36,131					
S&P 500 Index Option PTPT			Equity/Index	EGE	04/26/2019	04/28/2020	417	1,226,604	2939.88		(56,017)		(30,343)		(54,051)			25,675					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/29/2019	04/29/2020	920	2,526,628	1703.993		(117,569)		(63,683)		(110,733)			53,886					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	04/30/2019	04/30/2020	857	2,483,778	1707.909		(129,290)		(70,032)		(119,423)			59,258					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/03/2019	05/01/2020	3,355	9,691,420	1706.501		(479,166)		(299,479)		(475,830)			179,687					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/03/2019	05/04/2020	629	1,852,771	2828.42		(88,573)		(55,358)		(83,640)			33,215					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/03/2019	05/05/2020	301	881,917	2828.42		(38,178)		(23,861)		(35,887)			14,317					

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/06/2019	05/06/2020	427	1,169,040	1702.323		(56,941)		(35,588)		(55,101)			21,353					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/07/2019	05/07/2020	558	1,443,376	1690.731		(76,949)		(48,093)		(83,831)			28,856					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/10/2019	05/08/2020	3,805	10,391,675	1693.047		(533,345)		(333,341)		(606,779)			200,004					
S&P 500 Index Option PTPT			Equity/Index	EGE	05/10/2019	05/11/2020	449	1,293,947	2881.4		(62,304)		(38,940)		(70,300)			23,364					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/10/2019	05/12/2020	407	1,171,664	2807.81		(53,049)		(33,155)		(59,664)			19,893					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/13/2019	05/13/2020	619	1,655,127	1678.306		(94,331)		(58,957)		(131,305)			35,374					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/14/2019	05/14/2020	811	2,169,917	1682.611		(120,467)		(75,292)		(159,562)			45,175					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/17/2019	05/15/2020	3,332	9,048,337	1685.622		(473,187)		(295,742)		(584,700)			177,445					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/17/2019	05/18/2020	760	2,171,191	2797.03		(115,283)		(72,052)		(144,505)			43,231					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/17/2019	05/19/2020	316	903,832	2797.03		(40,491)		(25,307)		(50,092)			15,184					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/20/2019	05/20/2020	810	2,156,057	1683.695		(98,060)		(61,287)		(127,595)			36,772					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/21/2019	05/21/2020	740	2,116,331	2805.23		(102,706)		(64,191)		(126,771)			38,515					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/24/2019	05/22/2020	4,392	12,158,563	2794.8		(629,864)		(393,665)		(845,447)			236,199					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/24/2019	05/26/2020	427	1,205,499	2794.8		(53,397)		(33,373)		(71,634)			20,024					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/24/2019	05/27/2020	217	612,830	2794.8		(21,450)		(13,406)		(27,775)			8,044					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	05/28/2019	05/28/2020	581	1,523,877	1674.156		(77,759)		(48,599)		(114,296)			29,160					
UST 2.375% 05/29/20 Total Return Options			Equity/Index	EGE	05/29/2019	05/29/2020	4,290	11,337,193	98		(598,716)		(374,197)		(922,969)			224,518					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/31/2019	06/01/2020	1,018	2,803,710	2765.79		(136,884)		(85,553)		(218,856)			51,332					
SPXDSUN Index Option PTPT			Equity/Index	EGE	05/31/2019	06/02/2020	412	1,132,962	2765.79		(51,231)		(32,020)		(80,585)			19,212					

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**SCHEDULE DB - PART A - SECTION 1**

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/03/2019	06/03/2020	590	1,555,843	1672.443		(90,801)		(64,318)		(153,675)			26,484				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/04/2019	06/04/2020	749	2,047,054	1684.125		(97,504)		(69,065)		(143,285)			28,439				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/07/2019	06/05/2020	3,645	10,091,247	1701.853		(494,606)		(350,346)		(660,942)			144,260				
SPXDSUN Index Option PTPT			Equity/Index	EGL	06/07/2019	06/08/2020	563	1,616,302	2828.72		(77,151)		(54,649)		(95,968)			22,502				
SPXDSUN Index Option PTPT			Equity/Index	EGL	06/07/2019	06/09/2020	263	753,343	2828.72		(24,609)		(17,431)		(29,477)			7,177				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/10/2019	06/10/2020	1,359	3,677,817	1702.31		(184,897)		(130,969)		(223,545)			53,928				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/11/2019	06/11/2020	778	2,087,572	1701.732		(101,120)		(71,626)		(120,218)			29,493				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/14/2019	06/12/2020	3,048	8,638,132	1704.256		(460,648)		(326,292)		(557,518)			134,356				
S&P 500 Index Option PTPT			Equity/Index	EGL	06/14/2019	06/15/2020	450	1,299,814	2886.98		(66,245)		(46,924)		(80,211)			19,321				
S&P 500 Index Option PTPT			Equity/Index	EGL	06/14/2019	06/16/2020	347	1,002,420	2886.98		(46,620)		(33,023)		(56,144)			13,598				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/17/2019	06/17/2020	756	2,044,968	1700.806		(85,329)		(60,441)		(101,503)			24,888				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/18/2019	06/18/2020	1,455	3,910,161	1704.393		(178,172)		(126,205)		(197,357)			51,967				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	EGL	06/19/2019	06/19/2020	3,866	10,023,260	98		(487,762)		(345,498)		(503,813)			142,264				
SPXDSUN Index Option PTPT			Equity/Index	EGL	06/21/2019	06/22/2020	611	1,795,109	2846.96		(82,812)		(58,659)		(83,496)			24,154				
S&P 500 Indx Opt MOPTPT			Equity/Index	EGL	06/21/2019	06/23/2020	313	924,376	2950.46		(40,234)		(28,499)		(40,814)			11,735				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/24/2019	06/24/2020	714	2,082,026	1711.268		(101,565)		(71,942)		(104,894)			29,623				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	06/25/2019	06/25/2020	911	2,405,177	1706.534		(122,877)		(87,038)		(135,554)			35,839				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	EGL	06/26/2019	06/26/2020	3,865	9,637,111	100		(444,649)		(314,960)		(477,780)			129,689				

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SPXDSUN Index Option PTPT			Equity/Index	EGL	06/28/2019	06/29/2020	671	1,967,154	2849.28		(92,178)		(65,293)		(95,402)			26,885				
S&P Digital			Equity/Index	EGL	06/28/2019	06/30/2020	319	937,925	2941.76		(42,319)		(29,976)		(44,240)			12,343				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/01/2019	07/01/2020	806	2,104,245	1715.916		(85,669)		(67,821)		(83,211)			17,848				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	EGL	07/03/2019	07/02/2020	3,807	9,221,508	98		(437,283)		(346,182)		(407,558)			91,101				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/05/2019	07/06/2020	640	1,900,799	2868.67		(83,070)		(65,764)		(75,908)			17,306				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/05/2019	07/07/2020	403	1,202,818	2868.67		(54,174)		(42,887)		(50,321)			11,286				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/08/2019	07/08/2020	393	1,168,180	2862.31		(54,757)		(43,350)		(53,261)			11,408				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/09/2019	07/09/2020	748	2,159,504	1715.156		(112,294)		(88,900)		(106,079)			23,395				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/12/2019	07/10/2020	2,179	6,360,500	2872.22		(292,499)		(231,562)		(258,791)			60,937				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/12/2019	07/13/2020	554	1,667,034	2872.22		(78,648)		(62,263)		(67,227)			16,385				
S&P 500 Index Option PTPT			Equity/Index	EGL	07/12/2019	07/14/2020	285	859,439	3013.77		(36,626)		(28,996)		(31,720)			7,631				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/15/2019	07/15/2020	613	1,754,525	1723.444		(78,533)		(62,172)		(65,489)			16,361				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/16/2019	07/16/2020	768	2,094,376	1722.272		(94,648)		(74,930)		(82,313)			19,718				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	EGL	07/17/2019	07/17/2020	3,057	8,740,622	98		(427,466)		(338,411)		(393,352)			89,056				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/19/2019	07/20/2020	448	1,328,984	2858.18		(61,625)		(48,787)		(58,892)			12,839				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/19/2019	07/21/2020	382	1,135,343	2858.18		(42,184)		(33,396)		(40,787)			8,788				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/22/2019	07/22/2020	388	1,113,627	1712.377		(49,170)		(38,926)		(46,104)			10,244				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/23/2019	07/23/2020	935	2,772,205	1722.026		(143,163)		(113,337)		(125,098)			29,826				
UST 2.375% 05/15/29 Total Return Options			Equity/Index	EGL	07/24/2019	07/24/2020	3,537	10,003,000	100		(454,586)		(359,881)		(382,922)			94,705				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/26/2019	07/27/2020	584	1,761,142	2879.43		(86,975)		(68,855)		(71,401)			18,120				
SPXDSUN Index Option PTPT			Equity/Index	EGL	07/26/2019	07/28/2020	309	930,138	2879.43		(40,520)		(32,078)		(33,602)			8,442				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/29/2019	07/29/2020	617	1,757,856	1725.122		(75,226)		(59,554)		(63,520)			15,672					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	07/30/2019	07/30/2020	634	1,803,560	1726.584		(90,465)		(71,619)		(76,388)			18,847					
SPXDSUN Index Option PTPT			Equity/Index	EGL	08/02/2019	07/31/2020	4,466	12,292,598	2838.1		(613,709)		(519,995)		(617,107)			93,714					
SPXDSUN Index Option PTPT			Equity/Index	EGL	08/02/2019	08/03/2020	443	1,298,630	2838.1		(62,224)		(54,446)		(66,624)			7,778					
SPXDSUN Index Option PTPT			Equity/Index	EGL	08/02/2019	08/04/2020	399	1,169,864	2838.1		(54,435)		(47,631)		(58,150)			6,804					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	08/05/2019	08/05/2020	796	2,232,547	1679.671		(105,063)		(91,930)		(140,973)			13,133					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	08/06/2019	08/06/2020	1,170	3,320,866	1687.824		(179,630)		(157,177)		(221,472)			22,454					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	EGL	08/07/2019	08/07/2020	2,899	7,252,959	98		(377,178)		(330,030)		(441,452)			47,147					
SPXDSUN Index Option PTPT			Equity/Index	EGL	08/09/2019	08/10/2020	480	1,396,950	2837.13		(61,174)		(53,527)		(69,910)			7,647					
S&P 500 Index Option PTPT			Equity/Index	EGL	08/09/2019	08/11/2020	231	675,192	2918.65		(29,512)		(25,823)		(33,652)			3,689					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	08/12/2019	08/12/2020	322	762,053	1692.02		(33,565)		(29,370)		(41,061)			4,196					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	08/13/2019	08/13/2020	686	1,982,387	1699.281		(99,257)		(86,850)		(109,930)			12,407					
UST 2.375% 05/15/29 Total Return Options			Equity/Index	EGL	08/14/2019	08/14/2020	2,504	6,417,598	98		(319,948)		(279,954)		(408,481)			39,993					
SPXDSUN Index Option PTPT			Equity/Index	EGL	08/16/2019	08/17/2020	495	1,422,077	2831.05		(63,024)		(55,146)		(75,942)			7,878					
SPXDSUN Index Option PTPT			Equity/Index	EGL	08/16/2019	08/18/2020	314	905,142	2831.05		(38,935)		(34,068)		(46,171)			4,867					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	08/19/2019	08/19/2020	346	959,136	1699.015		(45,447)		(39,766)		(50,813)			5,681					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	08/20/2019	08/20/2020	700	1,806,033	1695.351		(81,853)		(71,621)		(96,467)			10,232					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	EGL	08/21/2019	08/21/2020	3,315	8,316,368	98		(382,044)		(334,289)		(457,374)			47,756					
SPXDSUN Index Option PTPT			Equity/Index	EGL	08/23/2019	08/24/2020	484	1,376,758	2822.9		(79,090)		(69,204)		(105,424)			9,886					

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S&P 500 Index Option PTPT			Equity/Index	EGE	08/23/2019	08/25/2020	234	666,105	2847.11		(26,719)		(23,379)		(33,765)			3,340					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	08/26/2019	08/26/2020	687	1,692,593	1692.699		(70,698)		(61,861)		(85,750)			8,837					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	08/27/2019	08/27/2020	435	1,231,756	1692.112		(65,784)		(57,561)		(81,376)			8,223					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	08/30/2019	08/28/2020	2,526	6,974,053	1701.116		(351,057)		(307,175)		(395,646)			43,882					
SPXDSUN Index Option PTPT			Equity/Index	EGE	08/30/2019	08/31/2020	501	1,466,049	2844.59		(70,461)		(61,653)		(76,731)			8,808					
SPXDSUN Index Option PTPT			Equity/Index	EGE	08/30/2019	09/01/2020	478	1,396,371	2844.59		(65,060)		(56,927)		(70,903)			8,132					
SPXDSUN Index Option PTPT			Equity/Index	EGE	08/30/2019	09/02/2020	97	282,296	2844.59		(12,125)		(10,609)		(13,204)			1,516					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	09/03/2019	09/03/2020	533	1,226,437	1698.697		(50,761)		(48,646)		(57,420)			2,115					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	09/06/2019	09/04/2020	2,150	6,325,441	1711.644		(333,984)		(320,068)		(326,844)			13,916					
SPXDSUN Index Option PTPT			Equity/Index	EGE	09/06/2019	09/08/2020	382	1,136,789	2863.57		(64,608)		(61,916)		(61,561)			2,692					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	09/09/2019	09/09/2020	300	869,104	1711.716		(33,065)		(31,687)		(31,903)			1,378					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	09/10/2019	09/10/2020	610	1,611,895	1713.663		(79,245)		(75,943)		(75,229)			3,302					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	EGE	09/11/2019	09/11/2020	2,342	6,176,844	98		(286,366)		(274,434)		(254,371)			11,932					
SPXDSUN Index Option PTPT			Equity/Index	EGE	09/13/2019	09/14/2020	391	1,167,865	2876.32		(57,343)		(54,954)		(50,510)			2,389					
S&P 500 Index Option PTPT			Equity/Index	EGE	09/13/2019	09/15/2020	206	619,207	3007.39		(26,768)		(25,653)		(23,919)			1,115					
SPXDSUN Index Option PTPT			Equity/Index	EGE	09/16/2019	09/16/2020	299	893,792	2868.72		(40,769)		(39,070)		(37,029)			1,699					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGE	09/17/2019	09/17/2020	637	1,826,789	1716.425		(91,306)		(87,502)		(80,004)			3,804					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	EGE	09/18/2019	09/18/2020	2,821	7,274,046	98		(382,246)		(366,319)		(333,609)			15,927					
SPXDSUN Index Option PTPT			Equity/Index	EGE	09/20/2019	09/21/2020	552	1,645,564	2869.17		(85,183)		(81,633)		(77,366)			3,549					

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S&P 500 Index Option PTPT			Equity/Index	EGL	09/20/2019	09/22/2020	409	1,223,553	2992.07		(64,485)		(61,798)		(58,558)			2,687					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	09/23/2019	09/23/2020	276	772,479	1713.966		(33,130)		(31,750)		(30,527)			1,380					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	09/24/2019	09/24/2020	741	2,111,141	1710.495		(113,811)		(109,069)		(110,316)			4,742					
UST 1.625% 08/15/29 Total Return Options			Equity/Index	EGL	09/25/2019	09/25/2020	4,017	9,878,340	98		(448,647)		(429,953)		(427,857)			18,694					
S&P 500 Index Option MOPTPT			Equity/Index	EGL	09/27/2019	09/27/2020	3	8,400	2961.79		(221)		(212)		(256)			9					
SPXDSUN Index Option PTPT			Equity/Index	EGL	09/27/2019	09/28/2020	576	1,694,611	2871.55		(81,063)		(77,686)		(79,825)			3,378					
SPXDSUN Index Option PTPT			Equity/Index	EGL	09/27/2019	09/29/2020	546	1,616,685	2871.55		(73,143)		(70,095)		(72,285)			3,048					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	09/30/2019	09/30/2020	726	1,668,785	1717.112		(56,906)		(54,535)		(53,484)			2,371					
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	EGL	09/20/2019	09/20/2021	137	235,627	1713.787		(7,493)		(7,337)		(4,976)			156					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	10/17/2019	1,044	2,850,000	2731.09		(57,653)		(7,207)		(61,366)			50,446					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	10/21/2019	763	2,080,000	2725.64		(45,620)		(5,702)		(49,715)			39,917					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	10/23/2019	302	820,000	2717.26		(22,102)		(2,763)		(24,767)			19,339					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	10/24/2019	363	980,000	2699.41		(40,272)		(5,034)		(46,305)			35,238					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	10/25/2019	274	740,000	2695.86		(21,932)		(2,742)		(24,849)			19,191					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	10/31/2019	228	620,000	2718.69		(12,406)		(1,551)		(12,987)			10,855					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	11/29/2019	704	1,946,847	2766.05		(28,729)		(8,619)		(28,841)			20,110					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/06/2019	1,304	3,560,000	2726.74		(81,735)		(34,056)		(87,556)			47,679					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/10/2019	220	600,000	2727.6		(15,519)		(6,466)		(16,860)			9,053					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/11/2019	150	410,000	2728.27		(9,197)		(3,832)		(9,819)			5,365					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/12/2019	696	1,900,000	2730.87		(42,071)		(17,530)		(44,905)			24,541					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/13/2019	1,053	2,870,000	2720.57		(70,395)		(29,331)		(75,810)			41,064					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/18/2019	315	850,000	2702.31		(25,116)		(10,465)		(27,465)			14,651					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/19/2019	492	1,325,000	2692.37		(51,733)		(21,555)		(57,456)			30,178					
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/20/2019	749	2,005,000	2675.55		(91,495)		(38,123)		(101,835)			53,372					

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23			
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)			
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/27/2019	186	500,000	2693.05		(18,728)		(7,803)		(20,677)			10,925							
SPXDSUN Index Option PTPT			Equity/Index	JPM	06/19/2019	12/31/2019	297	800,000	2697.85		(28,986)		(12,078)		(31,923)			16,909							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM	04/18/2019	02/05/2021	587	980,000	1670.046		(38,413)		(28,809)		(39,945)			9,603							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM	04/18/2019	02/08/2021	336	560,000	1669.035		(22,171)		(16,629)		(23,104)			5,543							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM	04/18/2019	02/12/2021	471	790,000	1678.074		(28,827)		(21,615)		(29,699)			7,199							
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index			Equity/Index	JPM	04/18/2019	03/05/2021	446	750,000	1680.529		(28,277)		(21,515)		(27,816)			6,762							
0769999999. Subtotal - Written Options - Other - Other										(7,420,820)	(30,510,464)		(19,570,190)	XXX	(48,186,061)			17,434,330			XXX	XXX			
0779999999. Subtotal - Written Options - Other										(7,420,820)	(30,510,464)		(19,570,190)	XXX	(48,186,061)			17,434,330			XXX	XXX			
0789999999. Total Written Options - Call Options and Warrants														XXX							XXX	XXX			
0799999999. Total Written Options - Put Options														XXX								XXX	XXX		
0809999999. Total Written Options - Caps														XXX								XXX	XXX		
0819999999. Total Written Options - Floors														XXX								XXX	XXX		
0829999999. Total Written Options - Collars														XXX								XXX	XXX		
0839999999. Total Written Options - Other										(7,420,820)	(30,510,464)		(19,570,190)	XXX	(48,186,061)			17,434,330			XXX	XXX			
0849999999. Total Written Options										(7,420,820)	(30,510,464)		(19,570,190)	XXX	(48,186,061)			17,434,330			XXX	XXX			
0909999999. Subtotal - Swaps - Hedging Effective														XXX								XXX	XXX		
0969999999. Subtotal - Swaps - Hedging Other														XXX									XXX	XXX	
1029999999. Subtotal - Swaps - Replication														XXX									XXX	XXX	
1089999999. Subtotal - Swaps - Income Generation														XXX									XXX	XXX	
1149999999. Subtotal - Swaps - Other														XXX									XXX	XXX	
1159999999. Total Swaps - Interest Rate														XXX									XXX	XXX	
1169999999. Total Swaps - Credit Default														XXX									XXX	XXX	
1179999999. Total Swaps - Foreign Exchange														XXX									XXX	XXX	
1189999999. Total Swaps - Total Return														XXX									XXX	XXX	
1199999999. Total Swaps - Other														XXX									XXX	XXX	
1209999999. Total Swaps														XXX									XXX	XXX	
1269999999. Subtotal - Forwards														XXX										XXX	XXX
1399999999. Subtotal - Hedging Effective														XXX										XXX	XXX
1409999999. Subtotal - Hedging Other														XXX										XXX	XXX
1419999999. Subtotal - Replication														XXX										XXX	XXX
1429999999. Subtotal - Income Generation														XXX										XXX	XXX
1439999999. Subtotal - Other										217,225,772	588,899,475		398,837,825	XXX	916,225,931			(379,245,162)			XXX	XXX			
1449999999 - Totals										217,225,772	588,899,475		398,837,825	XXX	916,225,931			(379,245,162)			XXX	XXX			

(a)	Code	Description of Hedged Risk(s)
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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

**SCHEDULE DB - PART B - SECTION 1**

Futures Contracts Open as of the Current Statement Date

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Item(s) Hedged, Used for Income Generation or Replicated	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk(s) (a)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date Price	13 Fair Value	14 Book/ Adjusted Carrying Value	Highly Effective Hedges			18 Cumulative Variation Margin for All Other Hedges	19 Change in Variation Margin Gain (Loss) Recognized in Current Year	20 Potential Exposure	21 Hedge Effectiveness at Inception and at Quarter-end (b)	22 Value of One (1) Point																					
														15 Cumulative Variation Margin	16 Deferred Variation Margin	17 Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item																										
<b>NONE</b>																																										
1449999999 - Totals																																									XXX	XXX

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
<b>NONE</b>			
Total Net Cash Deposits			

(a)

Code	Description of Hedged Risk(s)

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART D - SECTION 1**

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	Book/Adjusted Carrying Value			Fair Value			11 Potential Exposure	12 Off-Balance Sheet Exposure
				5 Contracts With Book/Adjusted Carrying Value >0	6 Contracts With Book/Adjusted Carrying Value <0	7 Exposure Net of Collateral	8 Contracts With Fair Value >0	9 Contracts With Fair Value <0	10 Exposure Net of Collateral		
<b>0199999999 - Aggregate Sum of Exchange Traded Derivatives</b>											
BANK OF AMERICA	Y	Y	38,940,000	1,844,936		1,844,936	4,635,214		4,635,214		
EAGLE LIFE INSURANCE	Y	N		20,817,973			46,644,724		7,704,724		
BARCLAYS	Y	Y	139,275,000		(18,799,074)			(46,933,996)			
CIBC	Y	Y	119,850,000	63,286,629			141,158,137		1,883,137		
CITI BANK	Y	Y	73,120,000	46,600,250			126,874,986		7,024,986		
CREDIT SUISSE	Y	Y	56,610,000	31,912,091			83,461,903		10,341,903		
SOCIETE GENERALE	Y	Y	105,170,000	42,088,040	(467,694)		78,344,396	(408,367)	21,326,029		
J.P. MORGAN	Y	Y	96,280,000	44,399,277			106,016,598		846,598		
MORGAN STANLEY	Y	Y	17,989,309	49,227,906	(303,425)		101,921,773	(843,698)	4,798,075		
ROYAL BANK OF CANADA	Y	Y	81,320,000	15,626,648			21,006,206		3,016,897		
SUNTRUST	Y	Y	56,940,000	31,217,334			82,947,358		1,627,358		
WACHOVIA/WELLS FARGO	Y	Y	109,260,000	24,526,660			58,989,936		2,049,936		
<b>0299999999 - Total NAIC 1 Designation</b>				894,754,309			964,411,992	(48,186,061)	63,770,404		
<b>0899999999 - Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)</b>					416,563,082	(19,570,193)					
<b>0999999999 - Gross Totals</b>				894,754,309	418,408,018	(19,570,193)	1,844,936	964,411,992	(48,186,061)	68,405,618	
1. Offset per SSAP No. 64					19,570,193	(19,570,193)					
2. Net after right of offset per SSAP No. 64					398,837,825						

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
<b>NONE</b>								
019999999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book/Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
BANK OF AMERICA	Cash	B4TYDEB66KMZ0031MB27	CASH	38,940,000	38,940,000	XXX		
BARCLAYS	Cash	G5GSEF7VJP5170UK5573	CASH	139,275,000	139,275,000	XXX		
CITI BANK	Cash	E570DZVZ7FF32TIEFA76	CASH	73,120,000	73,120,000	XXX		
CREDIT SUISSE	Cash	E58DKGMJYYJLN8C3868	CASH	56,610,000	56,610,000	XXX		
CIBC	Cash	21G119DL770XHC3ZE78	CASH	119,850,000	119,850,000	XXX		
JP MORGAN	Cash	4PQUHNGJPFQFN3BB653	CASH	96,280,000	96,280,000	XXX		
MORGAN STANLEY	Cash	4PQUHNGJPFQFN3BB653	CASH	17,989,309	17,989,309	XXX		
ROYAL BANK OF CANADA	Cash	ES71P3U3RH1GC71XBU11	CASH	81,320,000	81,320,000	XXX		
SOCIETE GENERALE	Cash	02PNE8IBXP4R0TD8PL41	CASH	105,170,000	105,170,000	XXX		
SUNTRUST BANK	Cash	549300VM85Q1SCSJ683	CASH	56,940,000	56,940,000	XXX		
WACHOVIA/WELLS FARGO	Cash	KB1H1DSPRFMYMCLFXT09	CASH	109,260,000	109,260,000	XXX		
029999999 - Total				894,754,309	894,754,309	XXX	XXX	XXX

**SCHEDULE DL - PART 1  
SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation and Administrative Symbol/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
0599999	Total - U.S. Government Bonds					XXX
1099999	Total - All Other Government Bonds					XXX
1799999	Total - U.S. States, Territories and Possessions Bonds					XXX
2499999	Total - U.S. Political Subdivisions Bonds					XXX
3199999	Total - U.S. Special Revenues Bonds					XXX
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					XXX
4899999	Total - Hybrid Securities					XXX
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					XXX
6099999	Subtotal - SVO Identified Funds					XXX
6399999	Subtotal - Bank Loans					XXX
6499999	Total - Issuer Obligations					XXX
6599999	Total - Residential Mortgage-Backed Securities					XXX
6699999	Total - Commercial Mortgage-Backed Securities					XXX
6799999	Total - Other Loan-Backed and Structured Securities					XXX
6899999	Total - SVO Identified Funds					XXX
6999999	Total - Bank Loans					XXX
7099999	Total Bonds					XXX
7399999	Total - Preferred Stocks					XXX
7799999	Total - Common Stocks					XXX
7899999	Total - Preferred and Common Stocks					XXX
000000-00-0	Citibank NA	0		25,578,700	25,578,700	
9099999	Total - Cash (Schedule E Part 1 type)			25,578,700	25,578,700	XXX
38141W-36-4	Goldman Sachs Financial Square Prime Obligations Fund	0		175,000,000	175,000,000	
09248U-61-9	Blackrock Liquidity Funds TempFund	0		65,017,987	65,017,987	
4812A0-36-7	JPMorgan Prime Money Market Fund	0		99,995,502	99,995,502	
9199999	Total - Cash Equivalents (Schedule E Part 2 type)			340,013,489	340,013,489	XXX
9999999	- Totals			365,592,189	365,592,189	XXX

General Interrogatories:

- Total activity for the year Fair Value \$ 365,592,189 Book/Adjusted Carrying Value \$ 365,592,189
- Average balance for the year Fair Value \$ 331,613,102 Book/Adjusted Carrying Value \$ 331,613,102
- Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:  
 NAIC 1 \$ 365,592,189 NAIC 2 \$ NAIC 3 \$ NAIC 4 \$ NAIC 5 \$ NAIC 6 \$



**SCHEDULE DL - PART 2  
SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol/ Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date
NONE						
9999999 - Totals						XXX

General Interrogatories:

1. Total activity for the year
2. Average balance for the year

Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....

Fair Value \$ ..... Book/Adjusted Carrying Value \$ .....

## SCHEDULE E - PART 1 - CASH

### Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
JP MORGAN ..... SAN ANTONIO, TEXAS .....					290,714	210,144	168,388	.XXX.
METRO BANK ..... PELL CITY, ALABAMA .....					598,238	610,794	623,471	.XXX.
STATE STREET ..... NEW YORK, NEW YORK .....					10,788,316	16,857,537	23,328,612	.XXX.
STATE STREET ..... NEW YORK, NEW YORK .....	0				2,271,170		809,219	.XXX.
WELLS FARGO BANK ..... BIRMINGHAM, ALABAMA .....					778,119	780,347	782,340	.XXX.
WEST BANK ..... WEST DES MOINES, IOWA .....		0.860	22,157		(33,102,115)	(42,977,618)	(43,396,033)	.XXX.
0199998. Deposits in ... 1 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	2		73,651	74,053	74,233	XXX
0199999. Totals - Open Depositories	XXX	XXX	22,159		(18,301,907)	(24,444,743)	(17,609,770)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX	22,159		(18,301,907)	(24,444,743)	(17,609,770)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	600	600	600	XXX
.....								
.....								
.....								
.....								
.....								
.....								
0599999. Total - Cash	XXX	XXX	22,159		(18,301,307)	(24,444,143)	(17,609,170)	XXX

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0599999	Total - U.S. Government Bonds							
1099999	Total - All Other Government Bonds							
1799999	Total - U.S. States, Territories and Possessions Bonds							
2499999	Total - U.S. Political Subdivisions Bonds							
3199999	Total - U.S. Special Revenues Bonds							
	ANHEIM INC INDUSTRIAL		09/20/2019	2.100	10/04/2019	3,999,300		
	ARIZONA PUBLIC SERVICE UTILITY - ELECTRIC		09/30/2019	2.090	10/01/2019	2,900,000		
	ATMOS ENERGY CORP UTILITY - GAS		09/25/2019	2.000	10/02/2019	20,558,857		
	BERKSHIRE HATHAWAY ENERGY UTILITY - ELECTRIC		09/20/2019	2.080	10/04/2019	9,998,266		
	BERKSHIRE HATHAWAY ENERGY UTILITY - ELECTRIC		09/23/2019	2.150	10/07/2019	3,998,566		
	DTE ELECTRIC CO UTILITY - ELECTRIC		09/30/2019	2.050	10/03/2019	7,999,089		
	FLORIDA POWER & LIGHT CO UTILITY - ELECTRIC		09/25/2019	2.090	10/08/2019	14,993,902		
	SNAP-ON INC INDUSTRIAL		09/20/2019	2.090	10/04/2019	5,699,007		
	VF CORPORATION INDUSTRIAL		09/26/2019	2.080	10/10/2019	24,986,996		
	BNP PARIBAS SPECIAL PURPOSE		09/26/2019	2.000	10/03/2019	50,994,332		
	BEMIS Company INC BMS 6.8 08/01/19		06/13/2019	6.800	08/01/2019			13,600
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					146,128,315		13,600
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					146,128,315		13,600
	STANLEY BLACK & DECKER INDUSTRIAL		09/26/2019	2.080	10/04/2019	23,995,839		
4299999	Subtotal - Bonds - Hybrid Securities - Issuer Obligations					23,995,839		
4899999	Total - Hybrid Securities					23,995,839		
5599999	Total - Parent, Subsidiaries and Affiliates Bonds							
6099999	Subtotal - SVO Identified Funds							
6599999	Subtotal - Bank Loans							
7799999	Total - Issuer Obligations					170,124,154		13,600
7899999	Total - Residential Mortgage-Backed Securities							
7999999	Total - Commercial Mortgage-Backed Securities							
8099999	Total - Other Loan-Backed and Structured Securities							
8199999	Total - SVO Identified Funds							
8299999	Total - Bank Loans							
8399999	Total Bonds					170,124,154		13,600
60934N-50-0	FEDERATED TREASURY OBLIGATION IS FUND #68		12/31/2017			15,003		
94975H-29-6	WELLS FARGO ADV TREASURY PL MM		12/31/2017			25,033		412
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					40,036		
85749P-10-1	STATE STREET INST LIQ RES FD	C	09/24/2019			415,041,500	959,337	6,872,815
31846V-41-9	FIRST AMERICAN TREAS OBLIG		12/31/2017			440,000		
857492-70-6	STATE STREET INST US GOVT FUND	C	09/27/2019			7,718,415	44,499	731,480
857492-70-6	STATE STREET INST US GOVT FUND	O	09/30/2019			63,404,173	68,804	817,881
857492-70-6	STATE STREET INST US GOVT FUND		09/27/2019			69,814,880	138,299	1,665,049
8699999	Subtotal - All Other Money Market Mutual Funds					556,418,968	1,210,939	10,087,225
8899999	Total Cash Equivalents					726,583,158	1,210,939	10,101,237

Medicare Part D Coverage Supplement

**N O N E**

Trusted Surplus - Cover

**N O N E**

Trusted Surplus Statement - Assets

**N O N E**

Trusted Surplus Statement - Liabilities and Trusted Surplus

**N O N E**

**OVERFLOW PAGE FOR WRITE-INS**

**NONE**