ASSETS

		Current Statement Date			4
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	1,219,471,142		1,219,471,142	1,313,703,746
2.	Stocks:				
	2.1 Preferred stocks				
	2.2 Common stocks	35,488		35,488	
3.	Mortgage loans on real estate:				
	3.1 First liens	306,014,104		306,014,104	311,535,134
	3.2 Other than first liens				
4.	Real estate:				
	4.1 Properties occupied by the company (less $ .... encumbrances)				
	4.2 Properties held for the production of income (less $ .... encumbrances)				
	4.3 Properties held for sale (less $ .... encumbrances)				
5.	Cash ($ 3,946,111), cash equivalents ($ 896,313,072) and short-term investments ($ 83,270)	900,342,453		900,342,453	1,291,720,749
6.	Contract loans (including $ .... premium notes)				
7.	Derivatives	24,447,193		24,447,193	20,948,399
8.	Other invested assets	1,099,241		1,099,241	1,094,087
9.	Receivables for securities	9,174,576		9,174,576	2,341,088
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	2,460,584,197		2,460,584,197	2,941,343,203
13.	Title plants less $ .... charged off (for Title insurers only)				
14.	Investment income due and accrued	11,479,333		11,479,333	11,796,794
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	195,920	195,920		
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ .... earned but unbilled premiums)				
	15.3 Accrued retrospective premiums ($ ....) and contracts subject to redetermination ($ ....)				
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers				
	16.2 Funds held by or deposited with reinsured companies				
	16.3 Other amounts receivable under reinsurance contracts	426,760		426,760	3,353,918
17.	Amounts receivable relating to uninsured plans				
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset	10,861,683	4,751,891	6,109,792	15,557,434
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software	778	778		
21.	Furniture and equipment, including health care delivery assets ($ ....)	3,929	3,929		
22.	Net adjustment in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	5,000		5,000	
24.	Health care ($ ....) and other amounts receivable				
25.	Aggregate write-ins for other than invested assets	224,920	189,969	34,951	11,265
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	2,483,782,519	5,142,487	2,478,640,033	2,972,062,614
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	Total (Lines 26 and 27)	2,483,782,519	5,142,487	2,478,640,033	2,972,062,614
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501.	MISCELLANEOUS ASSETS	224,920	189,969	34,951	11,265
2502.					
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	224,920	189,969	34,951	11,265

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts $ 2,124,267,070 less $ .... included in Line 6.3 (including $ .... Modco Reserve)	2,124,267,070	2,625,193,159
2. Aggregate reserve for accident and health contracts (including $ .... Modco Reserve)		
3. Liability for deposit-type contracts (including $ .... Modco Reserve)	1,608,362	805,715
4. Contract claims:		
4.1 Life	9,382,308	9,763,268
4.2 Accident and health		
5. Policyholders' dividends/refunds to members $ .... and coupons $ .... due and unpaid		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including $ .... Modco)		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including $ .... Modco)		
6.3 Coupons and similar benefits (including $ .... Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less $ .... discount; including $ .... accident and health premiums		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of $ .... accident and health experience rating refunds of which $ .... is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including $ .... assumed and $ .... ceded		
9.4 Interest Maintenance Reserve	2,242,115	2,325,467
10. Commissions to agents due or accrued-life and annuity contracts $ 995,616 , accident and health $ .... and deposit-type contract funds $	995,616	1,630,280
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	576,309	854,909
13. Transfers to Separate Accounts due or accrued (net) (including $ .... accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	(233,800)	20,362
15.1 Current federal and foreign income taxes, including $ .... on realized capital gains (losses)	19,347,189	18,992,251
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by reporting entity as agent or trustee	(203,356)	132,915
18. Amounts held for agents' account, including $ .... agents' credit balances		
19. Remittances and items not allocated	10,385,945	52,784,508
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money $ .... and interest thereon $		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	8,733,551	7,263,030
24.02 Reinsurance in unauthorized and certified ($ ....) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified ($ ....) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	716,740	420,087
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities	4,214,700	3,746,166
24.10 Payable for securities lending		
24.11 Capital notes $ .... and interest thereon $		
25. Aggregate write-ins for liabilities	7,770,536	985,652
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	2,189,803,286	2,724,917,770
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	2,189,803,286	2,724,917,770
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus	209,328,527	208,762,882
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	77,008,220	35,881,962
36. Less treasury stock, at cost:		
36.1 .... shares common (value included in Line 29 $ ....)		
36.2 .... shares preferred (value included in Line 30 $ ....)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including $ .... in Separate Accounts Statement)	286,336,747	244,644,844
38. Totals of Lines 29, 30 and 37	288,836,747	247,144,844
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	2,478,640,033	2,972,062,614
DETAILS OF WRITE-INS		
2501. AMOUNT DUE REINSURERS	7,770,536	985,652
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	7,770,536	985,652
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts	(552,705,270)	161,175,209	1,218,351,797
2.	Considerations for supplementary contracts with life contingencies	51,139		
3.	Net investment income	114,664,658	35,667,082	75,491,920
4.	Amortization of Interest Maintenance Reserve (IMR)	(200,322)	50,538	(360,376)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses			
6.	Commissions and expense allowances on reinsurance ceded	49,046,380	3,401,572	5,834,435
7.	Reserve adjustments on reinsurance ceded			
8.	Miscellaneous Income:			
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
	8.2 Charges and fees for deposit-type contracts			
	8.3 Aggregate write-ins for miscellaneous income			
9.	Totals (Lines 1 to 8.3)	(389,143,416)	200,294,401	1,299,317,777
10.	Death benefits			
11.	Matured endowments (excluding guaranteed annual pure endowments)			
12.	Annuity benefits	9,998,472	4,816,216	13,403,929
13.	Disability benefits and benefits under accident and health contracts			
14.	Coupons, guaranteed annual pure endowments and similar benefits			
15.	Surrender benefits and withdrawals for life contracts	15,289,884	17,609,990	42,429,267
16.	Group conversions			
17.	Interest and adjustments on contract or deposit-type contract funds	43,923	6,822	24,062
18.	Payments on supplementary contracts with life contingencies	26,521	25,867	53,719
19.	Increase in aggregate reserves for life and accident and health contracts	(500,926,089)	160,881,638	1,174,292,968
20.	Totals (Lines 10 to 19)	(475,567,290)	183,340,533	1,230,203,945
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	42,601,018	10,694,545	37,933,543
22.	Commissions and expense allowances on reinsurance assumed	32,501	37,318	67,298
23.	General insurance expenses and fraternal expenses	6,036,130	3,736,559	7,507,924
24.	Insurance taxes, licenses and fees, excluding federal income taxes	1,813,669	1,155,843	1,900,420
25.	Increase in loading on deferred and uncollected premiums			
26.	Net transfers to or (from) Separate Accounts net of reinsurance			
27.	Aggregate write-ins for deductions			
28.	Totals (Lines 20 to 27)	(425,083,972)	198,964,798	1,277,613,129
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	35,940,557	1,329,603	21,704,648
30.	Dividends to policyholders and refunds to members			
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	35,940,557	1,329,603	21,704,648
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	477,627	1,182,274	19,466,264
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	35,462,930	147,329	2,238,384
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $ (80,488) (excluding taxes of $ (42,200) transferred to the IMR)	(177,869)	(182,139)	(2,201,662)
35.	Net income (Line 33 plus Line 34)	35,285,061	(34,810)	36,722
	CAPITAL AND SURPLUS ACCOUNT			
36.	Capital and surplus, December 31, prior year	247,144,844	207,931,798	207,931,798
37.	Net income (Line 35)	35,285,061	(34,810)	36,722
38.	Change in net unrealized capital gains (losses) less capital gains tax of $ 110,393	415,289	(45,144)	(754,450)
39.	Change in net unrealized foreign exchange capital gain (loss)			
40.	Change in net deferred income tax	(10,543,746)	786,496	14,815,384
41.	Change in nonadmitted assets	1,541,426	274,690	(4,563,282)
42.	Change in liability for reinsurance in unauthorized and certified companies			
43.	Change in reserve on account of change in valuation basis, (increase) or decrease			
44.	Change in asset valuation reserve	(1,470,521)	(1,425,736)	(419,201)
45.	Change in treasury stock			
46.	Surplus (contributed to) withdrawn from Separate Accounts during period			
47.	Other changes in surplus in Separate Accounts Statement			
48.	Change in surplus notes			
49.	Cumulative effect of changes in accounting principles			
50.	Capital changes:			
	50.1 Paid in			
	50.2 Transferred from surplus (Stock Dividend)			
	50.3 Transferred to surplus			
51.	Surplus adjustment:			
	51.1 Paid in			30,000,000
	51.2 Transferred to capital (Stock Dividend)			
	51.3 Transferred from capital			
	51.4 Change in surplus as a result of reinsurance			
52.	Dividends to stockholders			
53.	Aggregate write-ins for gains and losses in surplus	16,464,395	19,426	97,872
54.	Net change in capital and surplus for the year (Lines 37 through 53)	41,691,903	(425,078)	39,213,046
55.	Capital and surplus, as of statement date (Lines 36 + 54)	288,836,747	207,506,720	247,144,844
	DETAILS OF WRITE-INS			
08.301.				
08.302.				
08.303.				
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page			
08.399.	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)			
2701.				
2702.				
2703.				
2798.	Summary of remaining write-ins for Line 27 from overflow page			
2799.	Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)			
5301.	REINSURANCE ON IN-FORCE BUSINESS	15,898,750		
5302.	STOCK BASED COMPENSATION	565,645	19,426	97,872
5303.				
5398.	Summary of remaining write-ins for Line 53 from overflow page			
5399.	Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	16,464,395	19,426	97,872

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	309,296,021	161,175,209	1,218,351,797
2.	Net investment income	138,220,015	57,074,758	120,605,993
3.	Miscellaneous income			
4.	Total (Lines 1 to 3)	447,516,036	218,249,967	1,338,957,790
5.	Benefit and loss related payments	25,695,837	20,962,161	53,821,426
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	9,249,992	12,024,043	39,739,730
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of $ .... tax on capital gains (losses)			7,381,452
10.	Total (Lines 5 through 9)	34,945,828	32,986,203	100,942,608
11.	Net cash from operations (Line 4 minus Line 10)	412,570,207	185,263,764	1,238,015,183
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	119,751,781	75,976,554	301,086,065
	12.2 Stocks			
	12.3 Mortgage loans	10,193,843	2,128,849	8,198,841
	12.4 Real estate			
	12.5 Other invested assets			
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
	12.7 Miscellaneous proceeds			
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	129,945,624	78,105,403	309,284,906
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	26,293,501	81,042,285	146,940,365
	13.2 Stocks			
	13.3 Mortgage loans	4,380,075	50,550,000	166,125,283
	13.4 Real estate			
	13.5 Other invested assets	15,671		15,038
	13.6 Miscellaneous applications	26,428,089	29,327,557	47,013,390
	13.7 Total investments acquired (Lines 13.1 to 13.6)	57,117,336	160,919,842	360,094,076
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	72,828,288	(82,814,439)	(50,809,170)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			30,000,000
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	758,724	94,814	398,664
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	(877,535,516)	1,947,670	48,117,738
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(876,776,791)	2,042,484	78,516,402
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(391,378,296)	104,491,808	1,265,722,415
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	1,291,720,749	25,998,334	25,998,335
	19.2 End of period (Line 18 plus Line 19.1)	900,342,453	130,490,142	1,291,720,749

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001.	STOCK BASED COMPENSATION	565,645	19,426	97,872
20.0002.	Investment from Schedule D Part 1 moved to Schedule D Part 2 Section 2	14,974		

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Industrial life			
2.	Ordinary life insurance			
3.	Ordinary individual annuities	1,527,314,957	184,110,530	1,252,767,517
4.	Credit life (group and individual)			
5.	Group life insurance			
6.	Group annuities			
7.	A & H - group			
8.	A & H - credit (group and individual)			
9.	A & H - other			
10.	Aggregate of all other lines of business			
11.	Subtotal (Lines 1 through 10)	1,527,314,957	184,110,530	1,252,767,517
12.	Fraternal (Fraternal Benefit Societies Only)			
13.	Subtotal (Lines 11 through 12)	1,527,314,957	184,110,530	1,252,767,517
14.	Deposit-type contracts			
15.	Total (Lines 13 and 14)	1,527,314,957	184,110,530	1,252,767,517
	DETAILS OF WRITE-INS			
1001.				
1002.				
1003.				
1098.	Summary of remaining write-ins for Line 10 from overflow page			
1099.	Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Iowa Department of Commerce, Insurance Division (the "Insurance Division").

The Insurance Division recognizes only statutory accounting practices prescribed or permitted by the State of Iowa for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under Iowa Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the Insurance Division. The Company differs from NAIC SAP via application of Iowa Administrative Code Section 191-97 ("IAC 191-97"), *Accounting for Derivative Instruments Used to Hedge the Growth in Interest Credited for Indexed Insurance Products and Accounting for the Indexed Insurance Products Reserve*, a prescribed practice issued by the Insurance Division. Under this prescribed practice, call option derivative instruments that hedge the growth in interest credited on index products are accounted for at amortized cost with the corresponding amortization recorded as a decrease to net investment income and indexed annuity reserves are calculated based on Standard Valuation Law and Guideline 35 assuming the market value of the call options associated with the current index term is zero regardless of the observable market value for such options.

The Company also has a prescribed practice under Iowa Administrative Code 191 - Chapter 43 *"Annuity Mortality Tables For Use In Determining Reserve Liabilities for Annuities"* ("IAC 191-43") which allows the use of the Annuity 2000 Mortality Table for determining the minimum standard of valuation for annuities issued during 2015. The Company began using the 2012 IAR Mortality Table for annuities issued on or after January 1, 2016. NAIC SAP requires the use of the 2012 IAR Mortality Table for determining the minimum standard of valuation for annuities issued on or after January 1, 2015.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Insurance Division is shown below:

NET INCOME	**SSAP #**	**F/S Page**	**F/S Line #**	**June 30, 2021**	**December 31, 2020**
Net Income, Iowa basis				$ 35,285,061	$ 36,722
(1) State Prescribed Practices that increase/(decrease) NAIC SAP:					
IAC 191-97					
(a) Net investment income - derivatives	86	4	3	6,161,848	(6,679,038)
(b) Change in reserves	51	4	19	(32,577,251)	29,978,438
IAC 191-43					
(c) Change in reserves	51	4	19	(25,416)	23,396
(2) Net Income, NAIC SAP (1-a-b-c=2)				$ 61,725,880	$ (23,286,074)
SURPLUS					
Statutory surplus, Iowa basis				$ 288,836,747	$ 247,144,844
(3) State Prescribed Practices that increase/(decrease) NAIC SAP:					
IAC 191-97					
(a) Derivative instruments	86	2, 4	7, 3	(55,878,823)	(88,254,679)
(b) Reserves for indexed annuities	51	3, 4	1, 19	40,360,716	72,937,967
(c) Tax impact	101	2	18.2	3,258,803	3,216,509
IAC 191-43					
(d) Change in Reserves	51	3, 4	1, 19	709,723	735,139
(e) Tax impact	101	2	18.2	(149,042)	(154,379)
(4) Statutory surplus, NAIC SAP (3-a-b-c-d-e=4)				$ 300,535,370	$ 258,664,287

B. Use of Estimates in the Preparation of the Financial Statements

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

C. Accounting Policy

1. No significant changes have occurred in disclosure from December 31, 2020.
2. Investments in bonds rated NAIC 1-5 (including loan-backed securities) are reported at cost adjusted for amortization of premiums or discounts. Bonds with NAIC 6 ratings are reported at the lower of amortized cost or fair value. Amortization is computed using methods which result in a level yield over the life of the security. The Company reviews its prepayment assumptions on mortgage and other asset-backed securities at regular intervals and adjusts amortization rates retrospectively when such assumptions are changed due to experience and/or expected future patterns.

   Realized capital gains and losses are recorded on the trade date and determined on the basis of specific identification and are recorded net of related federal income taxes and amounts transferred to the Interest Maintenance Reserve ("IMR"). Under a formula prescribed by the NAIC, the Company defers, in the IMR, the portion of realized gains and losses on sales of fixed income investments, principally bonds, attributable to changes in the general level of interest rates and amortizes those deferrals over the remaining period to maturity of the security. The Asset Valuation Reserve ("AVR") is established by the Company to provide for anticipated losses in the event of default by issuers of certain invested assets. The AVR is determined using a formula that is intended to establish a reserve to offset potential credit-related investment losses.

   The carrying values of all investments are reviewed on an ongoing basis for credit deterioration. An other than temporary impairment shall be considered to have occurred if it is probable that the reporting entity will be unable to collect all amounts due according to the contractual terms of a debt security in effect at the date of acquisition. If this review indicates a decline in fair value that is other than temporary, the carrying amount of the investment, other than loan-backed and structured securities, is reduced to its fair value and a specific other than temporary impairment is taken. A decline in fair value which is other than temporary includes situations where the Company has made a decision to sell a security prior to its maturity at an amount below its carrying value. Such reductions in carrying values are recognized as realized losses on investments.

3. Common stocks are stated at fair value based on the latest quoted market prices.

4. No significant changes have occurred in disclosure from December 31, 2020.

5. No significant changes have occurred in disclosure from December 31, 2020.

6. If the fair value of a loan-backed or structured security is less than its amortized cost at the reporting date, the Company assesses whether the impairment is other than temporary. If the Company intends to sell the loan-backed or structured security, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

   If the Company does not intend to sell the loan-backed or structured security, the Company assesses whether it has the intent and ability to retain the investment in the security for a period of time sufficient to recover the amortized cost. If the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

   If the Company does not expect to recover the amortized cost basis of the security, it is unable to assert that it will recover its amortized cost even if it does not intend to sell the security and the entity has the intent and ability hold such security. Therefore, in those situations, an other than temporary impairment is considered to have occurred and a loss is recognized in an amount equal to the excess of amortized cost over the present value of cash flows expected to be collected, discounted at the loan-backed or structured security's effective interest rate.

   Interest income is recognized on an accrual basis. Dividend income is recognized when declared. The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain.

7. No significant changes have occurred in disclosure from December 31, 2020.

8. No significant changes have occurred in disclosure from December 31, 2020.

9. No significant changes have occurred in disclosure from December 31, 2020.

10. No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

11. No significant changes have occurred in disclosure from December 31, 2020.

12. No significant changes have occurred in disclosure from December 31, 2020.

13. No significant changes have occurred in disclosure from December 31, 2020.

D. Going Concern

The Company's management has evaluated its ability to continue as a going concern and does not have substantial doubt the entity will continue as a going concern.

2 - ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS:

No significant changes have occurred in disclosure from December 31, 2020.

3 - BUSINESS COMBINATIONS AND GOODWILL:

No significant changes have occurred in disclosure from December 31, 2020.

4 - DISCONTINUED OPERATIONS:

No significant changes have occurred in disclosure from December 31, 2020.

5 - INVESTMENTS:

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant changes have occurred in disclosure from December 31, 2020.

B. Debt Restructuring

No significant changes have occurred in disclosure from December 31, 2020.

C. Reverse Mortgages

No significant changes have occurred in disclosure from December 31, 2020.

D. Loan-Backed Securities

1. Prepayment assumptions for loan-backed securities were obtained from third party rating agencies and/or third party loan servicers.

2. For the six months ended June 30, 2021, the Company did not recognize other than temporary impairments ("OTTI") on its loan-backed securities on the basis of the intent to sell and on the basis of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.

3. The Company did not have any loan-backed securities for which the present value of cash flows expected to be collected was less than amortized cost for the six months ended June 30, 2021.

4. For loan-backed and structured securities with unrealized losses as of June 30, 2021, the gross unrealized losses and fair value, aggregated by length of time that individual securities have been in a continuous unrealized loss position are summarized as follows:

a. Aggregate amount of unrealized loss:		
Less than 12 months	$	125,286
12 months or longer	$	2,215,820
b. Aggregate related fair value of securities with unrealized losses:		
Less than 12 months	$	23,940,488
12 months or longer	$	92,165,232

NOTES TO FINANCIAL STATEMENTS

5. The process for evaluating loan-backed securities is based on a number of factors. The primary consideration in the evaluation process is the issuer's ability to meet current and future interest and principal payments as contractually stated at the time of purchase. The review of these securities includes an analysis of the cash flow modeling under various default scenarios considering independent third party benchmarks, the seniority of the specific tranche within the structure of the security, the composition of the collateral and the actual default, loss severity and prepayment experience exhibited. With the input of third party assumptions for default projections, loss severity and prepayment expectations, the Company evaluates the cash flow projections to determine whether the security is performing in accordance with its contractual obligations.

   At June 30, 2021, the Company had no exposure to subprime loan-backed securities. Substantially all of the owned securities are in the highest rated tranche of the pool in which they are structured and are not subordinated to any other tranche.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

1. No significant changes have occurred in disclosure from December 31, 2020.

2. No significant changes have occurred in disclosure from December 31, 2020.

3. The Company has not pledged as collateral any assets as part of a repurchase agreement or securities lending transaction during the six months ended June 30, 2021.

4. No significant changes have occurred in disclosure from December 31, 2020.

5. No significant changes have occurred in disclosure from December 31, 2020.

6. No significant changes have occurred in disclosure from December 31, 2020.

7. No significant changes have occurred in disclosure from December 31, 2020.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any repurchase agreements transactions accounted for as secured borrowing as of June 30, 2021.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing as of June 30, 2021.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any repurchase agreements transactions accounted for as a sale as of June 30, 2021.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any reverse repurchase agreements transactions accounted for as a sale as of June 30, 2021.

J. Real Estate

No significant changes have occurred in disclosure from December 31, 2020.

K. Low Income Housing Credits

No significant changes have occurred in disclosure from December 31, 2020.

L. Restricted Assets

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

M. Working Capital Finance Investments

The Company did not have any working capital finance investments as of June 30, 2021.

N. Offsetting and Netting of Assets and Liabilities

The Company did not have any offsetting and netting of assets and liabilities as of June 30, 2021.

O. 5GI Securities

No significant changes have occurred in disclosure from December 31, 2020.

P. Short Sales

The Company did not have unsettled and settled short sale transactions outstanding as of June 30, 2021.

Q. Prepayment Penalty and Acceleration Fees:

	General Account	Separate Accounts
(1) Number of CUSIPS	61	—
(2) Aggregate Amount of Investment Income	$ 459,864	$ —

R. Reporting Entity's Share of cash Pool by Asset type

Asset Type	Percent Share
(1) Cash	0.4 %
(2) Cash Equivalents	99.6 %
(3) Short-Term Investments	— %
(4) Total	100.0 %

6 - JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES:

No significant changes have occurred in disclosure from December 31, 2020.

7 - INVESTMENT INCOME:

No significant changes have occurred in disclosure from December 31, 2020.

8 - DERIVATIVE INSTRUMENTS:

In accordance with IAC 191-97, call option derivative instruments that hedge the growth in interest credited on index products are accounted for at amortized cost with the corresponding amortization recorded as a decrease to net investment income.

For the six months ended June 30, 2021, proceeds received upon expiration of options and amortization of options (see Note 1 Derivative Instruments) included as components of net investment income were as follows:

	6/30/2021
Proceeds received upon expiration	$ 108,852,168
Amortization	(22,929,288)
	85,922,880

9 - INCOME TAXES:

A. No significant changes have occurred in disclosure from December 31, 2020.

B. No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

C. Current income taxes incurred consist of the following major components:

1. Current Income Tax

		6/30/2021	12/31/2020	Change
(a)	Federal	$ 477,627	19,466,264	$ (18,988,637)
(b)	Foreign	—	—	—
(c)	Subtotal	477,627	19,466,264	(18,988,637)
(d)	Federal income tax expense (benefit) on net capital gains/losses	(122,689)	(472,304)	349,615
(e)	Utilization of capital loss carry-forwards	—	—	—
(f)	Other - Stock based compensation	—	—	—
(g)	Federal & Foreign income tax incurred	$ 354,938	18,993,960	$ (18,639,022)

2. No significant changes have occurred in disclosure from December 31, 2020.

3. No significant changes have occurred in disclosure from December 31, 2020.

4. No significant changes have occurred in disclosure from December 31, 2020.

D. Analysis of Actual Income Tax Expense

As of June 30, 2021, income tax expense differs from the amount obtained by applying the federal statutory rate of 21% to pretax net income for the following reasons:

	Amounts	Effective Tax Rate (%)
Provisions computed at statutory rate	$ 7,424,828	21.00 %
Nondeductible expenses	22,717	0.06 %
IMR	42,068	0.12 %
Nonadmitted Assets	70,335	0.20 %
Change in surplus as a result of reinsurance	3,338,738	9.44 %
Other	(2)	— %
Total	$ 10,898,684	30.82 %
Federal income tax incurred	$ 354,938	
Change in net deferred income taxes	10,543,746	
Total statutory income tax	$ 10,898,684	30.82 %

E. Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits

No significant changes have occurred in disclosure from December 31, 2020.

F. Consolidated Federal Income Tax Return

No significant changes have occurred in disclosure from December 31, 2020.

G. Federal or Foreign Federal Income Tax Loss Contingencies

No significant changes have occurred in disclosure from December 31, 2020.

H. Repatriation Transition Tax (RTT)

No significant changes have occurred in disclosure from December 31, 2020.

I. Alternative Minimum Tax (AMT) Credit

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

10 - INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES:

On January 5, 2021 American Equity Investment Life Holding Company established a new, wholly owned LLC for the purpose of providing investment advice and making investment decisions.

11 - DEBT:

A. No significant changes have occurred in disclosure from December 31, 2020.

B. The Company does not have any FHLB agreements for the six months ended June 30, 2021.

12 - RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYEMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS:

A. The Company does not participate in a defined benefit plan.

B-I. No significant changes have occurred in disclosure from December 31, 2020.

13 - CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS:

The change in unassigned funds (surplus) due to unrealized losses net of tax was $415,289 for the six months ended June 30, 2021.

14 - LIABILITIES, CONTINGENCIES AND ASSESSMENTS:

No significant changes have occurred in disclosure from December 31, 2020.

15 - LEASES:

No significant changes have occurred in disclosure from December 31, 2020.

16 - INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK:

1. The table below summarizes the face amount of the Company's financial instruments with off-balance sheet risk as of the period indicated:

	Assets		Liabilities	
	6/30/2021	12/31/2020	6/30/2021	12/31/2020
Options	24,447,193	$ 20,948,399	—	—
Total	$ 24,447,193	20,948,399	$ —	$ —

2.-4. No significant changes have occurred in disclosure from December 31, 2020.

17 - SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES:

A. No significant changes have occurred in disclosure from December 31, 2020.

B. The company did not have any transactions surrounding the transfers and servicing of financial assets during the six months ended June 30, 2021.

C. In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date. During the six months ended June 30, 2021, the Company did not have any transactions qualifying as wash sales.

18 - GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS:

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

19 - DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS:

No significant changes have occurred in disclosure from December 31, 2020.

20 - FAIR VALUE MEASUREMENTS:

A.-C.

The Company's financial assets measured at fair value on the reporting date of June 30, 2021 are as follows:

Description for each class of asset or liability	Level 1	Level 2	Level 3	Total
Common Stock - unaffiliated	$ —	$ 35,488	$ —	$ 35,488

There were no financial liabilities measured at fair value at the reporting date of June 30, 2021.

Transfers within levels are recognized on the actual date the event or change in circumstances caused the transfer. There were no significant transfers between Level 1 and Level 2 during the six months ended June 30, 2021.

The following table represents the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries and joint ventures). The fair values are also categorized into the three level fair value hierarchy.

Type of Financial Instrument	Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)	NAV
Assets:							
Bonds	$ 1,319,255,965	$ 1,219,471,142	$ 3,663,227	$ 1,315,592,738	$ —	$ —	$ —
Common stock - unaffiliated	35,488	35,488	—	35,488	—	—	—
Mortgage loans on real-estate	314,932,626	306,014,104	—	—	314,932,626	—	—
Other invested assets	1,308,565	1,099,241	—	1,287,407	21,158	—	—
Cash and cash equivalents	900,259,183	900,259,183	900,259,183	—	—	—	—
Short-term investments	83,270	83,270	—	83,270	—	—	—
Derivatives	80,326,017	24,447,193	—	80,326,017	—	—	—
Liabilities:							
Policy benefit	$ 2,100,347,517	$ 2,125,329,210	$ —	$ —	$ 2,100,347,517	$ —	$ —

D. The Company does not have any assets where is it not practicable to estimate fair value as of June 30, 2021.

E. The Company does not have any assets measured using the NAV practical expedient as of June 30, 2021.

21 - OTHER ITEMS:

No significant changes have occurred in disclosure from December 31, 2020.

22 - EVENTS SUBSEQUENT:

Subsequent events have been considered through August 16, 2021 for the statutory statement issued on August 16, 2021. The Company did not have any subsequent events to report.

23 - REINSURANCE:

Effective January 1, 2020, the Company entered into a coinsurance agreement with its Parent in which the Company is ceding 100% of the risk associated with certain fixed index annuities. On January 1, 2021, this agreement was amended to include 100% of certain of the Company's single premium deferred annuity (SPDA) business for policies issued on or after January 1, 2021 and all policies in-force on December 31, 2020. This coinsurance agreement as of and for the period ended June 30, 2021 is summarized as follows:

NOTES TO FINANCIAL STATEMENTS

Income Statement		June 30, 2021
Annuity Considerations	$	2,074,419,899
Commission and Expense Allowance		39,391,447
Benefits and Surrenders Ceded		4,108,690

Balance Sheet		
Reserve Credit	$	2,060,240,187
Surplus		15,898,750

A ceding commission of $23,000,000 was paid to Eagle Life for the reinsurance of the in-force block of SPDA business. The ceding commission, net of tax, was reported directly to surplus and will be amortized into income over the life the business.

24 - RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION:

A-D. No significant changes have occurred in disclosure from December 31, 2020.

E. The Company did not have any risk-sharing provisions of the Affordable Care Act for the six months ended June 30, 2021.

25 - CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES:

The Company did not have any changes in incurred losses attributable to insured events of prior years as of June 30, 2021.

26 - INTERCOMPANY POOLING ARRANGEMENTS:

No significant changes have occurred in disclosure from December 31, 2020.

27 - STRUCTURED SETTLEMENTS:

No significant changes have occurred in disclosure from December 31, 2020.

28 - HEALTH CARE RECEIVABLES:

No significant changes have occurred in disclosure from December 31, 2020.

29 - PARTICIPATING POLICIES:

No significant changes have occurred in disclosure from December 31, 2020.

30 - PREMIUM DEFICIENCY RESERVES:

No significant changes have occurred in disclosure from December 31, 2020.

31 - RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS:

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

32 - ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS:

A. INDIVIDUAL ANNUITIES:

1. Subject to discretionary withdrawal	General Account	Separate Account with Guarantees	Separate Account Non-guaranteed	Amount	% of Total
a. With fair value adjustment	$ 4,730,899,176	$ —	$ —	$ 4,730,899,176	69.5 %
b. At book value less current surrender charge of 5% or more	1,017,209,130	—	—	1,017,209,130	15.0 %
c. At fair value	—	—	—	—	— %
d. Total with adjustment or at market value (Total of 1 through 3)	5,748,108,306	—	—	5,748,108,306	84.5 %
e. At book value without adjustment (minimal or no charge or adjustment)	1,053,609,253	—	—	1,053,609,253	15.5 %
2. Not subject to discretionary withdrawal	1,193,857	—	—	1,193,857	— %
3. Total (gross)	6,802,911,416	—	—	6,802,911,416	100.0 %
4. Reinsurance ceded	4,678,644,347	—	—	4,678,644,347	
5. Total (net)*(C-D)	$ 2,124,267,069	$ —	$ —	$ 2,124,267,069	
6. Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date	$ 411,721,396	$ —	$ —	$ 411,721,396	

B. GROUP ANNUITIES:

The Company did not have any Group Annuities as of June 30, 2021.

C. DEPOSIT-TYPE CONTRACTS (NO LIFE CONTINGENCIES):

1. Subject to discretionary withdrawal	General Account	Separate Account with Guarantees	Separate Account Non-guaranteed	Amount	% of Total
a. With fair value adjustment	$ —	$ —	$ —	$ —	— %
b. At book value less current surrender charge of 5% or more	—	—	—	—	— %
c. At fair value	—	—	—	—	— %
d. Total with adjustment or at market value (Total of 1 through 3)	—	—	—	—	— %
e. At book value without adjustment (minimal or no charge or adjustment)	—	—	—	—	— %
2. Not subject to discretionary withdrawal	2,496,912	—	—	2,496,912	100.0 %
3. Total (gross)	2,496,912	—	—	2,496,912	100.0 %
4. Reinsurance ceded	888,550	—	—	888,550	
5. Total (net)*(C-D)	$ 1,608,362	$ —	$ —	$ 1,608,362	
6. Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date	$ —	$ —	$ —	$ —	

NOTES TO FINANCIAL STATEMENTS

D.

Life, Accident and Health Annual Statement:		Amount
(1) Exhibit 5 - Annuities Section, Total (net)	$	2,123,720,849
(2) Exhibit 5 - Supplementary Contracts with Life Contingencies Section, Total (net)		546,220
(3) Exhibit 7 - Deposit-Type Contracts, Line 14, Column 1		1,608,362
(4) Subtotal		2,125,875,431
Separate Accounts Annual Statement:		
(5) Exhibit 3, Line 299999, Column 2		—
(6) Exhibit 3, Line 399999, Column 2		—
(7) Policyholder dividend and coupon accumulations		—
(8) Policyholder premiums		—
(9) Guaranteed interest contracts		—
(10) Other contract deposit funds		—
(11) Subtotal		—
(12) Combined Total	$	2,125,875,431

33 - ANALYSIS OF LIFE ACTUARIAL RESERVES BY WITHDRAWAL CHARACTERISTICS:

No significant changes have occurred in disclosure from December 31, 2020.

34 - PREMIUMS AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED:

No significant changes have occurred in disclosure from December 31, 2020.

35 - SEPARATE ACCOUNTS:

No significant changes have occurred in disclosure from December 31, 2020.

36 - LOSS/CLAIM ADJUSTMENT EXPENSES:

No significant changes have occurred in disclosure from December 31, 2020.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001039828

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/25/2020

6.4 By what department or departments?
DEPARTMENT OF COMMERCE, DIVISION OF INSURANCE, STATE OF IOWA

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 5,000

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $

13. Amount of real estate and mortgages held in short-term investments: $

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$	$
14.22	Preferred Stock	$	$
14.23	Common Stock	$	$
14.24	Short-Term Investments	$	$
14.25	Mortgage Loans on Real Estate	$	$
14.26	All Other	$	$
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$	$
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$	$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [X] No [ ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [X] No [ ] N/A [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. $

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 $

16.3 Total payable for securities lending reported on the liability page. $

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
STATE STREET BANK & TRUST	225 LIBERTY STREET, 2 WORLD FINANCIAL CENTER, NEW YORK, NY 10281

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
AMERICAN EQUITY INVESTMENT LIFE HOLDING CO	A
ARES CAPITAL MANAGEMENT III LLC	U
BARINGS LLC	U
METLIFE INVESTMENT MANAGEMENT, LLC	U
PRETIUM MORTGAGE CREDIT MANAGEMENT, LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
	AMERICAN EQUITY INVESTMENT LIFE HOLDING CO	549300RK5RZQ740FPL83	SEC	DS
168076	ARES CAPITAL MANAGEMENT III LLC	549300N5FJT73X2E7715	SEC #801-78341	NO
106006	BARINGS LLC	ANDKRHQKPRRG4Q2KLR05	SEC #801-241	NO
142463	METLIFE INVESTMENT MANAGEMENT, LLC	EAU072Q8FCR1S0XGYJ21	SEC #801-67314	NO
171026	PRETIUM MORTGAGE CREDIT MANAGEMENT, LLC	549300E8PSWPQKEDX975	SEC #801-79924	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
   a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
   b. Issuer or obligor is current on all contracted interest and principal payments.
   c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

   Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
   a. The security was purchased prior to January 1, 2018.
   b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
   c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
   d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

   Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
   a. The shares were purchased prior to January 1, 2019.
   b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
   c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
   d. The fund only or predominantly holds bonds in its portfolio.
   e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
   f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

   Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

		1 Amount
1.	Report the statement value of mortgage loans at the end of this reporting period for the following categories:	
	1.1 Long-Term Mortgages In Good Standing	
	1.11 Farm Mortgages	$ 29,598,583
	1.12 Residential Mortgages	$ 78,398,264
	1.13 Commercial Mortgages	$ 197,445,414
	1.14 Total Mortgages in Good Standing	$ 305,442,261
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms	
	1.21 Total Mortgages in Good Standing with Restructured Terms	$
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months	
	1.31 Farm Mortgages	$
	1.32 Residential Mortgages	$ 571,843
	1.33 Commercial Mortgages	$
	1.34 Total Mortgages with Interest Overdue more than Three Months	$ 571,843
	1.4 Long-Term Mortgage Loans in Process of Foreclosure	
	1.41 Farm Mortgages	$
	1.42 Residential Mortgages	$
	1.43 Commercial Mortgages	$
	1.44 Total Mortgages in Process of Foreclosure	$
1.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$ 306,014,104
1.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
	1.61 Farm Mortgages	$
	1.62 Residential Mortgages	$
	1.63 Commercial Mortgages	$
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	$
2.	Operating Percentages:	
	2.1 A&H loss percent	%
	2.2 A&H cost containment percent	%
	2.3 A&H expense percent excluding cost containment expenses	%
3.1	Do you act as a custodian for health savings accounts?	Yes [ ] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	$
3.3	Do you act as an administrator for health savings accounts?	Yes [ ] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date	$
4.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes [X] No [ ]
4.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes [ ] No [ ]

Fraternal Benefit Societies Only:

5.1	In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?	Yes [ ] No [ ] N/A [ ]
5.2	If no, explain:	
6.1	Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?	Yes [ ] No [ ]
6.2	If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?	

Date	Outstanding Lien Amount

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

		Direct Business Only					
	1	Life Contracts		4	5	6	7
		2	3				
States, Etc.	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts
1. Alabama AL	L		78,258,093			78,258,093	
2. Alaska AK	L		1,892,050			1,892,050	
3. Arizona AZ	L		28,403,753			28,403,753	
4. Arkansas AR	L		9,065,092			9,065,092	
5. California CA	L		15,406,851			15,406,851	
6. Colorado CO	L		17,925,963			17,925,963	
7. Connecticut CT	L		35,033,383			35,033,383	
8. Delaware DE	L		825,866			825,866	
9. District of Columbia DC	L		275,000			275,000	
10. Florida FL	L		174,109,469			174,109,469	
11. Georgia GA	L		31,797,722			31,797,722	
12. Hawaii HI	L		16,346,304			16,346,304	
13. Idaho ID	N		453,154			453,154	
14. Illinois IL	L		79,782,022			79,782,022	
15. Indiana IN	L		61,810,723			61,810,723	
16. Iowa IA	L		17,711,176			17,711,176	
17. Kansas KS	L		3,370,378			3,370,378	
18. Kentucky KY	L		20,695,429			20,695,429	
19. Louisiana LA	L		14,357,904			14,357,904	
20. Maine ME	L		18,914,833			18,914,833	
21. Maryland MD	L		11,924,289			11,924,289	
22. Massachusetts MA	L		10,262,331			10,262,331	
23. Michigan MI	L		81,628,897			81,628,897	
24. Minnesota MN	L		24,493,469			24,493,469	
25. Mississippi MS	L		16,641,318			16,641,318	
26. Missouri MO	L		14,713,700			14,713,700	
27. Montana MT	L		565,741			565,741	
28. Nebraska NE	L		1,873,049			1,873,049	
29. Nevada NV	L		822,560			822,560	
30. New Hampshire NH	L		6,177,791			6,177,791	
31. New Jersey NJ	L		63,421,993			63,421,993	
32. New Mexico NM	L		3,560,682			3,560,682	
33. New York NY	N						
34. North Carolina NC	L		58,261,968			58,261,968	
35. North Dakota ND	L		2,206,971			2,206,971	
36. Ohio OH	L		130,303,523			130,303,523	
37. Oklahoma OK	L		6,437,956			6,437,956	
38. Oregon OR	L		33,542,525			33,542,525	
39. Pennsylvania PA	L		77,650,426			77,650,426	
40. Rhode Island RI	L		4,976,655			4,976,655	
41. South Carolina SC	L		10,774,929			10,774,929	
42. South Dakota SD	L		967,421			967,421	
43. Tennessee TN	L		59,975,490			59,975,490	
44. Texas TX	L		168,077,411			168,077,411	
45. Utah UT	L		11,474,444			11,474,444	
46. Vermont VT	L		2,904,626			2,904,626	
47. Virginia VA	L		25,881,632			25,881,632	
48. Washington WA	L		41,426,283			41,426,283	
49. West Virginia WV	L		9,934,415			9,934,415	
50. Wisconsin WI	L		19,455,698			19,455,698	
51. Wyoming WY	L		539,799			539,799	
52. American Samoa AS	N						
53. Guam GU	N						
54. Puerto Rico PR	N						
55. U.S. Virgin Islands VI	N		1,800			1,800	
56. Northern Mariana Islands MP	N						
57. Canada CAN	N						
58. Aggregate Other Aliens OT	XXX						
59. Subtotal	XXX		1,527,314,957			1,527,314,957	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX						
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX		1,527,314,957			1,527,314,957	
96. Plus Reinsurance Assumed	XXX		67,863			67,863	
97 Totals (All Business)	XXX		1,527,382,820			1,527,382,820	
98. Less Reinsurance Ceded	XXX		2,080,088,090			2,080,088,090	
99. Totals (All Business) less Reinsurance Ceded	XXX		(552,705,270)			(552,705,270)	
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 49

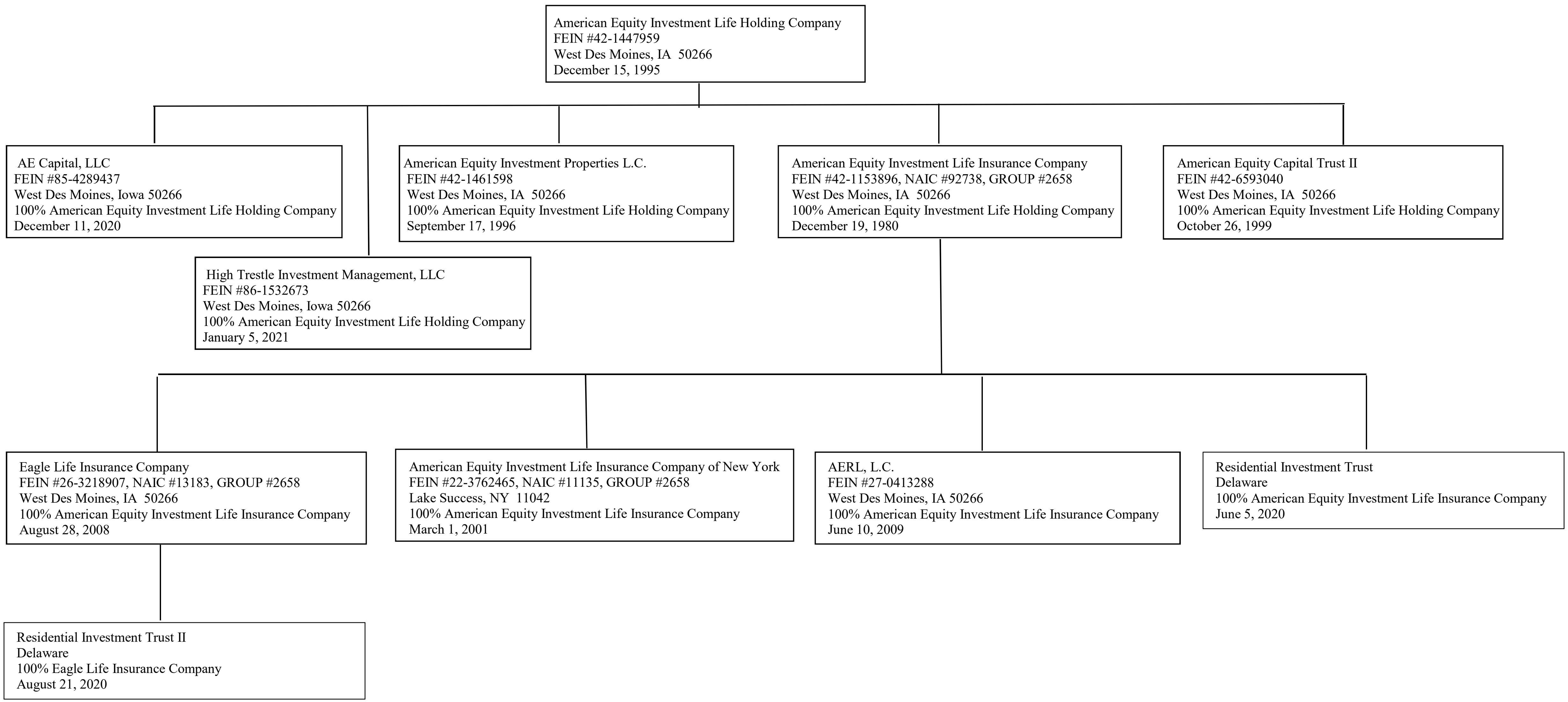
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state

N - None of the above - Not allowed to write business in the state 8

R - Registered - Non-domiciled RRGs

Q - Qualified - Qualified or accredited reinsurer

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			42-1447959	3981379	0001039828	NEW YORK STOCK EXCHANGE	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	IA	UIP	SHAREHOLDERS	Ownership	100.000	SHAREHOLDERS	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	92738	42-1153896				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	IA	UDP	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	11135	22-3762465				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK	NY	IA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	13183	26-3218907				EAGLE LIFE INSURANCE COMPANY	IA	RE	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
							RESIDENTIAL INVESTMENT TRUST	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
							RESIDENTIAL INVESTMENT TRUST II	DE	NIA	EAGLE LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			27-0413288				AERL, LC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Y	
			42-1461598				AMERICAN EQUITY INVESTMENT PROPERTIES, LC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			42-6593040				AMERICAN EQUITY CAPITAL TRUST II	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			85-4289437				AE CAPITAL, LLC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			86-1532673				HIGH TRESTLE INVESTMENT MANAGEMENT, LLC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8.	Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	NO

Explanation:

1. Not required to be filed by the Company

2. Not required to be filed by the Company

3. Not required to be filed by the Company

5. Not required to be filed by the Company

6. Not required to be filed by the Company

7. Not required to be filed by the Company

8. Not required to be filed by the Company

Bar Code:

1.	Trusteed Surplus Statement [Document Identifier 490]	1 3 1 8 3 2 0 2 1 4 9 0 0 0 0 0 2
2.	Medicare Part D Coverage Supplement [Document Identifier 365]	1 3 1 8 3 2 0 2 1 3 6 5 0 0 0 0 2
3.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	1 3 1 8 3 2 0 2 1 4 4 5 0 0 0 0 2
5.	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	1 3 1 8 3 2 0 2 1 4 4 7 0 0 0 0 2
6.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	1 3 1 8 3 2 0 2 1 4 4 8 0 0 0 0 2
7.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	1 3 1 8 3 2 0 2 1 4 4 9 0 0 0 0 2
8.	Life PBR Statement of Exemption (2nd Quarter Only) [Document Identifier 700]	1 3 1 8 3 2 0 2 1 7 0 0 0 0 0 0 2

OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year	311,535,134	154,575,912
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition	4,375,000	166,125,283
	2.2 Additional investment made after acquisition	5,075	
3.	Capitalized deferred interest and other	1,964	
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)	514,717	(955,000)
6.	Total gain (loss) on disposals	(158,128)	
7.	Deduct amounts received on disposals	10,195,804	8,198,841
8.	Deduct amortization of premium and mortgage interest points and commitment fees	63,854	12,220
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	306,014,104	311,535,134
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)	306,014,104	311,535,134
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)	306,014,104	311,535,134

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,094,087	1,080,923
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		4,313
	2.2 Additional investment made after acquisition	15,671	10,725
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)	(9,550)	
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation	968	1,874
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,099,240	1,094,087
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	1,099,240	1,094,087

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	1,313,703,741	1,470,052,623
2.	Cost of bonds and stocks acquired	26,308,469	146,940,354
3.	Accrual of discount	506,619	1,140,495
4.	Unrealized valuation increase (decrease)	20,514	
5.	Total gain (loss) on disposals	(213,178)	(500,487)
6.	Deduct consideration for bonds and stocks disposed of	119,766,747	301,086,065
7.	Deduct amortization of premium	1,299,726	1,878,612
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized	212,925	1,748,578
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees	459,864	784,011
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	1,219,506,631	1,313,703,741
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	1,219,506,631	1,313,703,741

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	574,807,690	3,236,604	45,541,217	5,627,878	574,807,690	538,130,955		1,701,951,608
2. NAIC 2 (a)	670,274,184	1,450,205	26,782,246	(4,942,653)	670,274,184	639,999,490		683,544,105
3. NAIC 3 (a)	44,800,780		6,168,252	(1,025,875)	44,800,780	37,606,652		47,255,905
4. NAIC 4 (a)	5,330,115		2,883,955	9,026	5,330,115	2,455,187		6,561,035
5. NAIC 5 (a)								
6. NAIC 6 (a)	1,377,099		14,974		1,377,099	1,362,125		1,377,099
7. Total Bonds	1,296,589,868	4,686,809	81,390,644	(331,625)	1,296,589,868	1,219,554,408		2,440,689,752
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	1,296,589,868	4,686,809	81,390,644	(331,625)	1,296,589,868	1,219,554,408		2,440,689,752

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ 55,730 ; NAIC 2 $ 27,540 ; NAIC 3 $ NAIC 4 $; NAIC 5 $; NAIC 6 $

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	83,270	XXX	83,270	90,094	

SCHEDULE DA - VERIFICATION

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	2,000,000	2,000,000
2.	Cost of short-term investments acquired	2,419,811	1,783,019
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	4,336,541	1,783,019
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	83,270	2,000,000
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	83,270	2,000,000

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	20,948,392
2.	Cost Paid/(Consideration Received) on additions	26,428,089
3.	Unrealized Valuation increase/(decrease)	
4.	SSAP No. 108 adjustments	
5.	Total gain (loss) on termination recognized	108,852,167
6.	Considerations received/(paid) on terminations	108,852,167
7.	Amortization	(22,929,288)
8.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
9.	Total foreign exchange change in Book/Adjusted Carrying Value	
10.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	24,447,193
11.	Deduct nonadmitted assets	
12.	Statement value at end of current period (Line 10 minus Line 11)	24,447,193

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)			
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)			
3.1	Add:			
	Change in variation margin on open contracts - Highly Effective Hedges			
	3.11 Section 1, Column 15, current year to date minus			
	3.12 Section 1, Column 15, prior year			
	Change in variation margin on open contracts - All Other			
	3.13 Section 1, Column 18, current year to date minus			
	3.14 Section 1, Column 18, prior year			
3.2	Add:			
	Change in adjustment to basis of hedged item			
	3.21 Section 1, Column 17, current year to date minus			
	3.22 Section 1, Column 17, prior year			
	Change in amount recognized			
	3.23 Section 1, Column 19, current year to date minus			
	3.24 Section 1, Column 19, prior year plus			
	3.25 SSAP No. 108 adjustments			
3.3	Subtotal (Line 3.1 minus Line 3.2)			
4.1	Cumulative variation margin on terminated contracts during the year			
4.2	Less:			
	4.21 Amount used to adjust basis of hedged item			
	4.22 Amount recognized			
	4.23 SSAP No. 108 adjustments			
4.3	Subtotal (Line 4.1 minus Line 4.2)			
5.	Dispositions gains (losses) on contracts terminated in prior year:			
	5.1 Total gain (loss) recognized for terminations in prior year			
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year			
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)			
7.	Deduct total nonadmitted amounts			
8.	Statement value at end of current period (Line 6 minus Line 7)			

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check	
1.	Part A, Section 1, Column 14	24,447,193	
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance		
3.	Total (Line 1 plus Line 2)		24,447,193
4.	Part D, Section 1, Column 6	24,447,193	
5.	Part D, Section 1, Column 7		
6.	Total (Line 3 minus Line 4 minus Line 5)		
		Fair Value Check	
7.	Part A, Section 1, Column 16	80,326,017	
8.	Part B, Section 1, Column 13		
9.	Total (Line 7 plus Line 8)		80,326,017
10.	Part D, Section 1, Column 9	80,326,017	
11.	Part D, Section 1, Column 10		
12	Total (Line 9 minus Line 10 minus Line 11)		
		Potential Exposure Check	
13.	Part A, Section 1, Column 21		
14.	Part B, Section 1, Column 20		
15.	Part D, Section 1, Column 12		
16.	Total (Line 13 plus Line 14 minus Line 15)		

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,269,892,509	21,851,170
2.	Cost of cash equivalents acquired	3,725,628,672	3,308,604,095
3.	Accrual of discount	13,993	4,097
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	4,099,222,102	2,060,566,853
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	896,313,072	1,269,892,509
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	896,313,072	1,269,892,509

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings
P2010880061	Dawsonville	GA		04/14/2021	5.875		52	379,000
0399999. Mortgages in good standing - Residential mortgages-all other							52	379,000
0899999. Total Mortgages in good standing							52	379,000
1699999. Total - Restructured Mortgages								
2499999. Total - Mortgages with overdue interest over 90 days								
3299999. Total - Mortgages in the process of foreclosure								
3399999 - Totals							52	379,000

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
P2008018576	Scottsdale	AZ		09/30/2020	05/14/2021	597,035		(27)			(27)			585,523		(11,485)	(11,485)
P2010880066	Rancho Cordova	CA		11/12/2020	05/14/2021	378,302		(17)			(17)			359,477		(18,808)	(18,808)
P2008018573	Palm Beach Gardens	FL		09/18/2020	04/14/2021	481,449		(5)			(5)			470,980		(10,464)	(10,464)
P2010880059	Red Bluff	CA		11/12/2020	05/14/2021	388,737		(42)			(42)			369,499		(19,197)	(19,197)
P2010880040	San Bernardino	CA		11/12/2020	04/14/2021	553,397		(11)			(11)			526,028		(27,357)	(27,357)
P2009020010	San Antonio	TX		10/21/2020	06/14/2021	219,162		(23)			(23)			213,865		(5,274)	(5,274)
P2009020016	Jensen Beach	FL		10/30/2020	04/14/2021	180,682		(2)			(2)			175,464		(5,215)	(5,215)
0901850	RIVERVIEW	FL		12/04/2013	04/08/2021	149,454							145,087	145,087			
0901997	WILMINGTON	CA		07/24/2015	04/28/2021	532,687							517,517	517,517			
0199999. Mortgages closed by repayment						3,480,905		(127)			(127)		662,604	3,363,440		(97,800)	(97,800)
P2008018576	Scottsdale	AZ		09/30/2020	05/14/2021	1,174		(23)			(23)		1,151	1,151			
P2010880051	Thousand Oaks	CA		11/12/2020	06/14/2021	681		(34)			(34)		648	648			
P2010802584	Coventry	CT		11/12/2020	05/14/2021	1,469		(36)			(36)		1,433	1,433			
P2009020184	Orlando	FL		03/12/2021	04/01/2021	1,403		(71)			(71)		1,332	1,332			
P2010880056	Colorado Springs	CO		12/24/2020	05/01/2021	10,423		(555)			(555)		9,868	9,868			
P2009020026	Spring Grove	PA		11/12/2020	06/14/2021	10,803		(313)			(313)		10,490	10,490			
P2010880063	Brooksville	FL		11/12/2020	06/14/2021	912		(45)			(45)		867	867			
P2009020051	Vista	CA		11/25/2020	05/14/2021	1,962		(41)			(41)		1,921	1,921			
P2010880070	Santa Cruz	CA		11/12/2020	06/14/2021	615		(30)			(30)		584	584			
P2009020155	Naples	FL		11/25/2020	05/14/2021	2,402		(75)			(75)		2,327	2,327			
P2010880059	Red Bluff	CA		11/12/2020	04/14/2021	320		(16)			(16)		304	304			
P2002017883	Lynn	MA		10/21/2020	06/14/2021	1,317		(16)			(16)		1,301	1,301			
P2009020019	Denison	TX		10/30/2020	06/14/2021	4,738		(137)			(137)		4,602	4,602			

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
Loan Number	2 City	3 State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
P2009018601	Garland	TX		10/30/2020	06/14/2021	30,203		(914)			(914)		29,288	29,288			
P2008802574	Los Angeles	CA		09/18/2020	06/14/2021	665		(10)			(10)		655	655			
P2009020149	The Woodlands	TX		03/12/2021	04/01/2021	2,681		(117)			(117)		2,564	2,564			
P2009018597	Big Bear Lake	CA		10/21/2020	06/14/2021	7,100		(172)			(172)		6,928	6,928			
P2010880060	Flowery Branch	GA		04/14/2021	05/14/2021	1,089		(54)			(54)		1,035	1,035			
P2010802585	Clear Spring	MD		11/25/2020	05/14/2021	497		(14)			(14)		482	482			
P2008018582	Jackson	NJ		10/30/2020	06/14/2021	814		(24)			(24)		790	790			
P2010880045	Fairfield	CA		11/12/2020	06/14/2021	1,606		(79)			(79)		1,527	1,527			
P2010880041	Manzanita	OR		11/12/2020	06/14/2021	1,062		(52)			(52)		1,009	1,009			
P2009018583	Conroe	TX		09/30/2020	06/14/2021	1,307		(29)			(29)		1,278	1,278			
P2010880068	Hallandale Beach	FL		11/12/2020	06/14/2021	594		(29)			(29)		564	564			
P2009020003	Los Angeles	CA		11/12/2020	06/14/2021	138,025		(2,030)			(2,030)		135,995	135,995			
P2009020034	Hollywood	FL		11/25/2020	05/14/2021	10,192		(295)			(295)		9,898	9,898			
P2010880062	Buford	GA		11/12/2020	06/14/2021	885		(44)			(44)		841	841			
P2009020148	Champions Gate	FL		03/12/2021	04/01/2021	715		(30)			(30)		685	685			
P2009020182	Los Angeles	CA		11/25/2020	06/14/2021	1,738		(56)			(56)		1,683	1,683			
P2008018580	Coventry	CT		09/30/2020	06/14/2021	652		(14)			(14)		638	638			
P2009020014	Rochester Hills	MI		10/30/2020	06/14/2021	3,844		(111)			(111)		3,733	3,733			
P2010880043	Naples	FL		11/12/2020	06/14/2021	668		(33)			(33)		635	635			
P2009020041	Parkland	FL		11/12/2020	06/14/2021	1,457		(39)			(39)		1,418	1,418			
P2009020022	Commerce City	CO		10/30/2020	06/14/2021	993		(29)			(29)		964	964			
P2008802568	Winnetka	CA		08/31/2020	06/14/2021	4,036		(59)			(59)		3,977	3,977			
P2010880053	Kennewick	WA		11/12/2020	06/14/2021	542		(27)			(27)		515	515			
P2009020151	Hapeville	GA		11/25/2020	06/14/2021	1,105		(27)			(27)		1,079	1,079			
P2007018564	Jupiter	FL		09/18/2020	06/14/2021	1,925		(42)			(42)		1,883	1,883			
P2010880069	White Plains	MD		11/12/2020	06/14/2021	846		(42)			(42)		804	804			
P2010880064	Stamford	CT		11/12/2020	06/14/2021	463		(23)			(23)		440	440			
P2008018575	Tomball	TX		09/18/2020	06/14/2021	1,007		(22)			(22)		985	985			
P2009020017	Chino	CA		10/30/2020	06/14/2021	1,411		(41)			(41)		1,371	1,371			
P2009020023	Bryant	AR		10/30/2020	05/14/2021	774		(22)			(22)		751	751			
P2009018598	Dana Point	CA		10/21/2020	06/14/2021	3,543		(86)			(86)		3,458	3,458			
P2010880048	Foster City	CA		11/12/2020	06/14/2021	3,359		(166)			(166)		3,193	3,193			
P2007018556	Friendswood	TX		03/12/2021	04/01/2021	9,530		(360)			(360)		9,170	9,170			
P2010880057	Santa Clarita	CA		11/12/2020	06/14/2021	2,948		(146)			(146)		2,802	2,802			
P2010880061	Dawsonville	GA		04/14/2021	06/14/2021	706		(35)			(35)		672	672			
P2009020036	Los Alamitos	CA		11/25/2020	06/14/2021	3,122		(72)			(72)		3,050	3,050			
P2009020015	Corpus Christi	TX		10/30/2020	05/14/2021	1,128		(33)			(33)		1,096	1,096			
P2009020040	Marina Del Rey	CA		11/25/2020	06/14/2021	5,360		(130)			(130)		5,231	5,231			
P2010802588	Baltimore	MD		11/25/2020	06/14/2021	1,274		(37)			(37)		1,237	1,237			
P2009018606	Oakland	CA		10/21/2020	06/14/2021	6,648		(81)			(81)		6,566	6,566			
P2009018595	Chalfont	PA		10/21/2020	06/14/2021	4,363		(105)			(105)		4,257	4,257			
P2010880039	West Hills	CA		11/12/2020	06/14/2021	13,427		(671)			(671)		12,755	12,755			
P2009020010	San Antonio	TX		10/21/2020	05/14/2021	731		(18)			(18)		714	714			
P2010880065	Henderson	NV		11/12/2020	06/14/2021	3,791		(188)			(188)		3,603	3,603			
P2010880046	Studio City	CA		11/12/2020	06/14/2021	958		(47)			(47)		911	911			
P2009020050	Crosslake	MN		11/25/2020	06/14/2021	3,204		(78)			(78)		3,127	3,127			
P2010880042	Richmond	TX		11/12/2020	05/14/2021	1,176		(58)			(58)		1,118	1,118			
P2009020153	Severance	CO		11/25/2020	06/14/2021	672		(21)			(21)		651	651			
P2009020016	Jensen Beach	FL		10/30/2020	04/14/2021	164		(5)			(5)		159	159			
P2009020052	Port Orange	FL		11/25/2020	06/14/2021	2,159		(62)			(62)		2,096	2,096			
P2010880055	Fremont	CA		11/12/2020	06/14/2021	8,311		(417)			(417)		7,894	7,894			
P2009020145	Laguna Niguel	CA		03/12/2021	04/01/2021	2,970		(113)			(113)		2,857	2,857			
P2009020146	Peoria	AZ		03/12/2021	04/01/2021	1,463		(60)			(60)		1,403	1,403			
P2007018568	Hanford	CA		08/31/2020	06/14/2021	631		(14)			(14)		617	617			
P2010880044	El Dorado Hills	CA		11/12/2020	06/14/2021	3,643		(180)			(180)		3,463	3,463			
P2009020032	Edgewater	NJ		11/25/2020	06/14/2021	5,405		(143)			(143)		5,261	5,261			
P2009020024	Bloomfield Hills	MI		10/30/2020	06/14/2021	1,231		(36)			(36)		1,196	1,196			
P2007018559	Miami	FL		03/12/2021	04/01/2021	(1)							(1)	(1)			
P2010880047	Whittier	CA		11/12/2020	06/14/2021	5,773		(285)			(285)		5,488	5,488			
P2010880052	Plant City	FL		11/12/2020	06/14/2021	1,257		(62)			(62)		1,195	1,195			

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
P2009020007	Laguna Hills	CA		10/30/2020	06/14/2021	861		(6)			(6)		855	855			
P2009020046	Kissimmee	FL		11/25/2020	06/14/2021	2,038		(49)			(49)		1,988	1,988			
P2007018565	Kingwood	TX		09/18/2020	06/14/2021	596		(13)			(13)		583	583			
P2009020029	Glendora	CA		11/25/2020	06/14/2021	15							15	15			
P2009020013	Crestline	CA		10/30/2020	06/14/2021	531		(15)			(15)		516	516			
P2009020027	Chesapeake	VA		10/30/2020	06/14/2021	786		(23)			(23)		763	763			
P2010880074	Richmond	CA		11/12/2020	06/14/2021	1,408		(70)			(70)		1,338	1,338			
P2009018590	Cave Creek	AZ		10/21/2020	06/14/2021	2,665		(64)			(64)		2,600	2,600			
P2010880073	Richmond	CA		11/12/2020	06/14/2021	1,534		(76)			(76)		1,458	1,458			
P2009020181	Los Angeles	CA		03/12/2021	04/01/2021	3,827		(150)			(150)		3,677	3,677			
P2009020043	Pasadena	CA		11/25/2020	06/14/2021	3							3	3			
P2010880071	San Pablo	CA		11/12/2020	06/14/2021	1,000		(49)			(49)		951	951			
P2008802575	Orange	CA		09/18/2020	06/14/2021	5,837		(22)			(22)		5,815	5,815			
P2010880050	San Bernardino	CA		11/12/2020	05/14/2021	798		(39)			(39)		759	759			
P2010880058	Sacramento	CA		11/12/2020	04/14/2021	400		(20)			(20)		380	380			
P2010880072	Richmond	CA		11/12/2020	06/14/2021	1,080		(53)			(53)		1,027	1,027			
P2009020030	Mesa	AZ		10/30/2020	06/14/2021	1,198		(35)			(35)		1,164	1,164			
P2008018581	Matthews	NC		09/30/2020	06/14/2021	1,602		(24)			(24)		1,578	1,578			
P2010802586	Milford	PA		11/12/2020	06/14/2021	461		(13)			(13)		448	448			
P2010880049	Rancho Santa Fe	CA		11/12/2020	06/14/2021	5,548		(274)			(274)		5,274	5,274			
P2009020158	Aiken	SC		11/25/2020	06/14/2021	642		(22)			(22)		620	620			
P2010880054	Fresno	CA		11/12/2020	05/14/2021	1,475		(73)			(73)		1,402	1,402			
P2009020047	Eaton Rapids	MI		11/12/2020	06/14/2021	558		(14)			(14)		545	545			
P2010802583	Sarasota	FL		11/25/2020	05/14/2021	437		(13)			(13)		425	425			
P2008802569	Los Angeles	CA		09/18/2020	06/14/2021	3,627		(27)			(27)		3,600	3,600			
P2009020028	Montgomery	TX		10/30/2020	06/14/2021	1,437		(41)			(41)		1,395	1,395			
P2009020011	Sebring	FL		10/30/2020	06/14/2021	517		(15)			(15)		503	503			
P2009020025	The Woodlands	TX		10/30/2020	06/14/2021	781		(23)			(23)		759	759			
P2010880038	Las Vegas	NV		11/12/2020	06/14/2021	2,814		(139)			(139)		2,675	2,675			
P2009020031	Sherman Oaks	CA		11/12/2020	06/14/2021	5,643		(136)			(136)		5,506	5,506			
P2009020186	Heath	TX		03/12/2021	04/01/2021	3,294		(144)			(144)		3,150	3,150			
P2009020008	Oakland	CA		11/25/2020	06/14/2021	192							192	192			
0901814	TUSCON	AZ		09/26/2013		31,512								9,152			
0901849	SHERWOOD	OR		12/18/2013		317,147								7,945			
0901882	EXTON	PA		01/23/2014		79,994								1,977			
0901887	FORT WAYNE	IN		03/17/2014		225,673								5,574			
0901916	CRANBURY	NJ		09/09/2014		327,850								19,927			
0901933	CRANBURY	NJ		12/02/2014		512,563								11,619			
0901942	READINGTON	NJ		12/23/2014		507,575								11,712			
0901943	LOPATCONG TWNSHIP/PHILLIPSBURG	NJ		12/23/2014		507,575								11,712			
0901959	TUCSON	AZ		12/23/2014		119,524								2,691			
0901969	MUKILTEO	WA		01/30/2015		342,564								7,689			
0901997	WILMINGTON	CA		07/24/2015		532,687								3,811			
0902002	WEBSTER	TX		04/28/2015		521,633								11,580			
0902004	LAS VEGAS	NV		05/14/2015		526,569								11,434			
0902016	AUSTIN	TX		06/19/2015		531,643								11,363			
0902022	WARREN	MI		06/17/2015		531,010								11,474			
0902030	AUBURN	WA		06/25/2015		198,041								4,233			
0902031	TACOMA	WA		06/25/2015		205,660								4,396			
0902042	SOUTH BEND	IN		08/12/2015		399,562								19,001			
0902066	SERLING HEIGHTS	MI		01/14/2016		496,510								14,890			
0902117	TUCSON	AZ		05/19/2016		383,157								7,227			
0902120	KENNESAW	GA		10/07/2016		1,804,763								13,095			
0902134	EAGAN	MN		07/01/2016		385,199								7,215			
0902136	NEWINGTON	VA		11/01/2016		1,810,007								12,793			
0902140	AUSTIN	TX		08/19/2016		1,793,329								13,341			
0902141	MENTOR	OH		08/22/2016		1,793,329								13,341			
0902142	ARLINGTON	VA		09/01/2016		1,781,898								13,721			
0902143	LAS VEGAS	NV		09/01/2016		1,796,085								13,191			
0902147	BLACKLICK (COLUMBUS)	OH		10/03/2016		1,800,775								13,323			

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
Loan Number	2 City	3 State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
0902148	HARRISBURG	PA		09/30/2016		1,842,004								10,268			
0902149	YORBA LINDA	CA		10/31/2016		1,795,381								13,629			
0902150	JACKSONVILLE	FL		10/25/2016		1,638,625								24,216			
0902151	CHESAPEAKE	VA		11/07/2016		1,800,003								13,583			
0902152	BROWNSVILLE	TX		10/19/2016		1,635,445								24,554			
0902153	RACINE	WI		09/15/2016		1,630,079								24,515			
0902154	CHARLOTTE	NC		10/05/2016		1,795,863								13,782			
0902174	CYPRESS	CA		01/31/2017		1,868,874								9,271			
0902354	KENNESAW	GA		01/30/2019		1,346,116								7,682			
0902358	CEDAR PARK	TX		02/28/2019		1,349,160								7,644			
0902363	DULUTH	GA		03/12/2019		1,349,306								7,953			
0902367	INDIANAPOLIS	IN		04/22/2019		1,641,748								9,618			
0902372	KNOXVILLE	TN		05/24/2019		1,645,652								9,444			
0902376	OCEANSIDE	CA		07/01/2019		1,690,109								6,069			
0902378	PHILADELPHIA	PA		07/15/2019		1,664,200								6,933			
0902381	CHICAGO	IL		08/14/2019		1,666,907								6,804			
0902382	HOUSTON	TX		07/25/2019		1,659,333								7,890			
0902385	TUKWILA	WA		07/24/2019		970,362								5,759			
0902387	PEACHTREE CORNERS	GA		08/29/2019		1,653,811								9,543			
0902389	BENSALEM	PA		09/04/2019		1,654,373								10,080			
0902391	BENSALEM	PA		09/18/2019		1,655,059								9,934			
0902393	ALBUQUERQUE	NM		09/27/2019		3,340,157								15,030			
0902394	Creve Coeur	MO		09/05/2019		1,654,028								10,153			
0902395	MIDDLETON	WI		10/01/2019		2,938,927								13,435			
0902398	COVINGTON	WA		09/12/2019		3,234,201								14,482			
0902399	ARDEN HILLS	MN		09/26/2019		2,934,886								9,651			
0902400	STERLING HEIGHTS	MI		10/08/2019		2,990,981								13,764			
0902401	SACRAMENTO	CA		10/15/2019		3,235,047								15,308			
0902403	WALDORF	MD		09/25/2019		2,910,709								18,752			
0902409	SAN DIEGO	CA		11/14/2019		3,000,000								12,476			
0902411	CHARLESTON	SC		11/05/2019		1,198,240								5,107			
0902412	SAN ANTONIO	TX		11/14/2019		2,852,995								37,927			
0902413	DOWNINGTON	PA		09/30/2019		2,912,610								19,237			
0902414	COLORADO SPRINGS	CO		02/20/2020		1,965,639								11,740			
0902415	LANSDALE	PA		09/30/2019		970,870								6,412			
0902416	DENVER	CO		10/31/2019		2,940,404								14,043			
0902417	INDIO	CA		11/21/2019		2,941,572								14,906			
0902419	HOMEWOOD	AL		11/07/2019		2,938,720								15,836			
0902420	LEAGUE CITY	TX		12/06/2019		1,956,793								12,187			
0902422	ONTARIO	CA		12/12/2019		1,179,028								5,890			
0902423	PLEASANTON	CA		12/12/2019		2,458,856								11,419			
0902425	COLUMBUS	OH		12/10/2019		1,376,528								6,513			
0902426	SPOKANE	WA		12/11/2019		1,474,618								7,042			
0902429	STILLWATER	NY		12/24/2019		2,953,773								12,838			
0902430	CHICAGO	IL		12/19/2019		1,466,247								9,398			
0902431	LAKEWOOD	NJ		12/19/2019		2,951,540								13,452			
0902432	LAS VEGAS	NV		12/18/2019		977,829								6,176			
0902436	ASHBURN	VA		12/30/2019		1,968,295								8,803			
0902437	CHULA VISTA	CA		01/09/2020		985,551								4,387			
0902442	SAN JUAN CAPISTRANO	CA		12/23/2019		2,949,236								14,084			
0902443	HUNTINGTON BEACH	CA		12/23/2019		2,949,236								14,084			
0902444	RANCHO DOMINGUEZ	CA		12/23/2019		2,359,389								11,267			
0902452	TAMPA	FL		01/29/2020		2,954,176								9,388			
0902453	LUTHERVILLE-TIMONIUM	MD		01/31/2020		2,558,434								12,620			
0902456	LAKEWOOD	CO		02/05/2020		1,974,149								8,808			
0902457	AURORA	CO		02/14/2020		2,799,971								17,080			
0902458	SACRAMENTO	CA		01/27/2020		2,462,855								11,279			
0902461	AUBURN	WA		02/04/2020		1,767,130								11,206			
0902462	KING OF PRUSSIA	PA		03/12/2020		1,546,776								20,421			
0902463	ROWLETT	TX		02/13/2020		1,326,627								7,984			

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
0902465	West Des Moines	IA		02/26/2020		1,973,295								9,092			
0902469	DARIEN	CT		03/19/2020		984,182								6,045			
0902472	GRIMES	IA		04/09/2020		2,993,642								18,784			
0902473	ROCKLIN	CA		04/09/2020		2,969,918								13,149			
0902476	LAKE OSWEGO	OR		02/27/2020		1,962,471								12,851			
0902477	AUBURN	WA		02/26/2020		1,520,915								9,960			
0902479	CENTENNIAL	CO		04/01/2020		1,968,460								12,054			
0902482	ST PETERSBURG	FL		05/12/2020		2,971,954								14,341			
0902485	STERLING HEIGHTS	MI		04/30/2020		2,942,836								25,072			
0AG1027	PIPESTONE	MN		10/14/2020		843,493								9,975			
0AG1035	WAPATO	WA		12/17/2020		3,000,000								35,924			
0AG1036	BUHL	ID		02/03/2021										10,120			
V029781	TUCSON	AZ		10/17/2018		1,700,000								299,507			
VC29790	TAMPA	FL		02/28/2019		1,608,456								13,898			
0299999. Mortgages with partial repayments						172,422,555		(11,223)			(11,223)		398,446	1,902,401			
0599999 - Totals						175,903,460		(11,350)			(11,350)		1,061,050	5,265,841		(97,800)	(97,800)

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
LX1847-66-9	ARM FUNDING 2019-1 LLC ARM FUNDING 2019-1 LLC 2/24 2.782% 02/29/24		06/29/2021	DIRECT		1,680,001	1,680,001		1.F Z
24702#-ZZ-3	DELL FINANCIAL SERVICES DELL FINANCIAL SERVICES 1.742% 08/22/22		06/21/2021	DIRECT		671,903	671,903		2.B Z
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						2,351,904	2,351,904		XXX
8399997. Total - Bonds - Part 3						2,351,904	2,351,904		XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						2,351,904	2,351,904		XXX
8999997. Total - Preferred Stocks - Part 3							XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX		XXX
G9460G-10-1	Valaris Ltd VALARIS LTD		05/05/2021	Tax Free Exchange	1,290.000	14,974			
9199999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other						14,974	XXX		XXX
9799997. Total - Common Stocks - Part 3						14,974	XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						14,974	XXX		XXX
9899999. Total - Preferred and Common Stocks						14,974	XXX		XXX
9999999 - Totals						2,366,878	XXX		XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
60416S-YP-9	MINNESOTA ST HSG FIN AGY SINGLE FAMILY HSG 4.200% 07/01/33		06/01/2021	Redemption 100.0000		45,000	45,000	45,000	45,000						45,000				1,004	07/01/2033	1.B FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						45,000	45,000	45,000	45,000						45,000				1,004	XXX	XXX
3138L4-V5-9	FANNIE MAE FN AM4235 4.440% 08/01/38		06/01/2021	Paydown		6,547	6,547	6,708	6,638		(91)		(91)		6,547				122	08/01/2038	1.A
3138LA-KZ-1	FNMA POOL FN AM9311 3.390% 07/01/35		06/01/2021	Paydown		6,811	6,811	6,770	6,770		41		41		6,811				97	07/01/2035	1.A
3138LF-A4-0	FNMA DUS FN FN AN2726 2.920% 09/01/36		06/01/2021	Paydown		19,932	19,932	20,443	20,316		(384)		(384)		19,932				245	09/01/2036	1.A
3138LF-BX-5	FNMA DUS FN FN AN2753 2.920% 09/01/36		06/01/2021	Paydown		10,319	10,319	10,587	10,521		(201)		(201)		10,319				127	09/01/2036	1.A
31398S-UD-3	FANNIE MAE FNR 2010-138 Z 4.000% 12/25/40		06/01/2021	Paydown		122,170	122,170	108,286	116,966		5,204		5,204		122,170				1,971	12/25/2040	1.A
3199999. Subtotal - Bonds - U.S. Special Revenues						165,779	165,779	152,794	161,211		4,569		4,569		165,779				2,562	XXX	XXX
02379#-AA-3	AMERICAN AIRLINES AMERICAN AIRLINES 2019-1 PASS 3.930% 06/15/24		06/15/2021	Redemption 100.0000		112,500	112,500	112,500	112,500						112,500				2,211	06/15/2024	2.A PL
03065T-AG-7	AMERICREDIT AUTO RECEIVABLES AMCAR 2016-4 D 2.740% 11/08/22		06/08/2021	Call 100.0000		841,678	841,678	841,512	841,628		12		12		841,641		38	38	11,531	11/08/2022	1.A FE
03065T-AG-7	AMERICREDIT AUTO RECEIVABLES AMCAR 2016-4 D 2.740% 11/08/22		05/08/2021	Paydown		158,322	158,322	158,291	158,312		9		9		158,322				1,658	11/08/2022	1.A FE
04365J-AF-6	ASCENTIUM EQUIPMENT REC ACER 2017-1A D 3.800% 01/10/24		06/10/2021	Call 100.0000		1,000,000	1,000,000	999,745	999,970		30		30		1,000,000				19,000	01/10/2024	2.A FE
11043H-AA-6	BRITISH AIR 18-1 A PTT IAGLN 4 1/8 09/20/31 4.125% 09/20/31		06/20/2021	Redemption 100.0000		35,772	35,772	35,772	35,772						35,772				738	09/20/2031	2.B FE
12326Q-AA-2	Business Jet Securities, LLC SERIES 20191 CLASS A 4.212% 07/15/34		06/15/2021	Paydown		478,141	478,141	478,804	478,773		(633)		(633)		478,141				9,701	07/15/2034	1.G FE
12327F-AA-5	Business Jet Securities LLC SERIES 20201A CLASS A 2.981% 11/15/35		06/14/2021	Paydown		400,824	400,824	400,818	400,812		12		12		400,824				5,128	11/15/2035	1.G FE
12542R-J*-2	CHS INC 4.390% 01/25/23		05/20/2021	STONE CASTLE		1,030,020	1,000,000	1,000,000	1,000,000						1,000,000		30,020	30,020	37,437	01/25/2023	2.C
12556M-AB-0	CIM Trust SERIES 2019J1 CLASS 1A2 3.500% 08/25/49		06/01/2021	Paydown		199,228	199,228	201,905	201,825		(2,597)		(2,597)		199,228				2,879	08/25/2049	1.D FM
12558T-AA-5	CIM Trust SERIES 2019J2 CLASS A1 3.500% 10/25/49		06/01/2021	Paydown		780,627	780,627	800,142	799,626		(18,999)		(18,999)		780,627				10,717	10/25/2049	1.D FM
12652C-AR-0	CREDIT SUISSE MORTGAGE TRUST SERIES 2017HL2 CLASS A12 3.500% 10/25/47		06/01/2021	Paydown		684,267	684,267	699,529	697,773		(13,506)		(13,506)		684,267				9,268	10/25/2047	1.D FM
224044-BW-6	COX COMMUNICATIONS INC COXENT 3 1/4 12/15/22 3.250% 12/15/22		06/01/2021	Call 104.4585		783,438	750,000	761,535	752,571		(535)		(535)		752,036		(2,036)	(2,036)	44,543	12/15/2022	2.B FE
247367-BH-7	DELTA AIR LINES DAL 6.821 08/10/22 6.821% 08/10/22		06/09/2021	Call 106.5868		179,578	168,481	170,166	168,837		(91)		(91)		168,746		(265)	(265)	20,642	08/10/2022	3.A FE
26208B-AN-0	DRIVE AUTO REC TRUST DRIVE 2016-CA D 4.180% 03/15/24		04/15/2021	Call 100.0000		195,722	195,722	195,684	195,706		2		2		195,707		14	14	2,727	03/15/2024	1.B FE
26208C-AN-8	DRIVE AUTO RECEIVABLES TRUST DRIVE 2017-AA D 4.160% 05/15/24		06/15/2021	Call 100.0000		939,934	939,934	939,913	939,923		3		3		939,926		8	8	19,551	05/15/2024	1.A FE
26208C-AN-8	DRIVE AUTO RECEIVABLES TRUST DRIVE 2017-AA D 4.160% 05/15/24		05/15/2021	Paydown		176,961	176,961	176,957	176,959		2		2		176,961				2,741	05/15/2024	1.A FE
26208E-AG-9	DRIVE AUTO RECEIVABLES TRUST DRIVE AUTO RECEIVABLES TRUST 3.840% 03/15/23		06/15/2021	Paydown		199,052	199,052	199,045	199,051		1		1		199,052				3,119	03/15/2023	1.A FE
26208J-AG-8	DRIVE AUTO RECEIVABLES TRUST SERIES 20182 CLASS D 4.140% 08/15/24		06/23/2021	Paydown		291,282	291,282	291,248	291,262		21		21		291,282				4,954	08/15/2024	1.B FE
26208K-AG-5	DRIVE AUTO RECEIVABLES TRUST SERIES 20183 CLASS D 4.300% 09/16/24		06/15/2021	Paydown		269,351	269,351	269,341	269,343		7		7		269,351				5,012	09/16/2024	1.C FE
31738K-AA-7	Finance of America Structured SERIES 2020JR4 CLASS A1 2.000% 10/25/50		06/01/2021	Redemption 100.0000		55,905	55,905	54,987	54,995		910		910		55,905				2,676	06/01/2031	1.A PL
31738K-AB-5	Finance of America Structured SERIES 2020JR4 CLASS A2 3.000% 10/25/50		06/01/2021	Redemption 100.0000		10,756	10,756	10,558	10,560		195		195		10,756				614	06/01/2031	1.D PL
32057H-AJ-6	FIRST INVESTORS AUTO OWNERS TR FIAOT 2016-2A D 3.350% 11/15/22		05/18/2021	Call 100.0000		750,340	750,340	750,326	750,340						750,340				10,474	11/15/2022	1.D FE
32057H-AJ-6	FIRST INVESTORS AUTO OWNERS TR FIAOT 2016-2A D 3.350% 11/15/22		04/17/2021	Paydown		136,831	136,831	136,828	136,831						136,831				1,528	11/15/2022	1.D FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
										Change In Book/Adjusted Carrying Value											
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
33843Q-AG-9	FLAGSHIP CREDIT AUTO TRUST FCAT 2017-1 D 4.230% 05/15/23		06/15/2021	Paydown		735,743	735,743	735,699	735,726		16		16		735,743				12,711	05/15/2023	1.A FE
33844F-AE-7	FLAGSHIP CREDIT AUTO TRUST FCAT 2016-4 D 3.890% 11/15/22		06/15/2021	Paydown		264,202	264,202	264,158	264,188		14		14		264,202				4,198	11/15/2022	1.B FE
343498-AA-9	FLOWERS FOODS INC FLO 4 3/8 04/01/22 4.375% 04/01/22		04/08/2021	Call 103.8570		1,038,570	1,000,000	1,047,200	1,008,640		(2,281)		(2,281)		1,006,360		(6,360)	(6,360)	61,296	04/01/2022	2.B FE
36242D-5W-9	GSR MORTGAGE LOAN TRUST GSR 2005-5F 2A2 5.500% 06/25/35		06/01/2021	Paydown		8,568	8,568	8,595	8,577		(9)		(9)		8,568				198	06/25/2035	1.D FM
36258F-AA-7	GS Mortgage-Backed Securities SERIES 2020PJ1 CLASS A1 3.500% 05/25/50		06/01/2021	Paydown		517,248	517,248	529,614	529,286		(12,038)		(12,038)		517,248				7,331	05/25/2050	1.D FM
36262D-AA-6	GS Mortgage-Backed Securities SERIES 2020PJ2 CLASS A1 3.500% 07/25/50		06/01/2021	Paydown		608,490	608,490	622,561	622,205		(13,716)		(13,716)		608,490				8,572	07/25/2050	1.D FM
40439H-AC-3	HIN Timeshare Trust 2020-A SERIES 2020A CLASS C 3.420% 10/09/39		06/17/2021	Paydown		118,152	118,152	118,137	118,137		15		15		118,152				1,659	10/09/2039	2.B FE
42770W-AA-7	HERO FUNDING TRUST HERO 2016-2A A 3.750% 09/20/41		06/20/2021	Paydown		17,674	17,674	17,669	17,852		(178)		(178)		17,674				350	09/20/2041	1.A FE
428041-BA-4	HERTZ FLEET LEASE FUNDING LLC HFLF 2017-1 D 3.690% 04/10/31		04/12/2021	Call 100.0000		1,000,000	1,000,000	999,905	1,000,000						1,000,000				12,300	04/10/2031	2.A FE
428041-BG-1	HERTZ FLEET LEASE FUNDING LLC SERIES 20181 CLASS D 4.170% 05/10/32		04/12/2021	Call 100.0000		500,000	500,000	499,894	499,918		2		2		499,920		80	80	6,950	05/10/2032	2.A FE
46591F-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20195 CLASS A3 4.000% 11/25/49		06/01/2021	Paydown		352,048	352,048	359,969	359,799		(7,751)		(7,751)		352,048				5,608	11/25/2049	1.D FM
46591K-AC-7	JP MORGAN MORTGAGE TRUST SERIES 20198 CLASS A3 3.500% 03/25/50		06/01/2021	Paydown		570,843	570,843	578,246	578,130		(7,287)		(7,287)		570,843				8,192	03/25/2050	1.D FM
46591T-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20202 CLASS A3 3.500% 07/25/50		06/01/2021	Paydown		681,319	681,319	697,394	697,020		(15,701)		(15,701)		681,319				9,554	07/25/2050	1.D FM
46648H-AG-8	JP MORGAN MTGE TRUST JP MORGAN MTGE TRUST 3.500% 05/25/47		06/01/2021	Paydown		19,139	19,139	18,964	18,979		160		160		19,139				334	05/25/2047	1.D FM
46649K-AA-3	JP MORGAN MORTGAGE TRUST SERIES 20185 CLASS A1 3.500% 10/25/48		06/01/2021	Paydown		308,618	308,618	311,552	311,470		(2,852)		(2,852)		308,618				4,256	10/25/2048	1.D FM
46650H-AC-2	JP MORGAN MORTGAGE TRUST SERIES 20191 CLASS A3 4.000% 05/25/49		06/01/2021	Paydown		123,590	123,590	126,892	126,785		(3,195)		(3,195)		123,590				1,905	05/25/2049	1.D FM
46650J-AG-9	JP MORGAN MORTGAGE TRUST JPMMT SERIES 20186 CLASS 1A7 3.500% 12/25/48		06/01/2021	Paydown		561,057	561,057	570,727	569,627		(8,569)		(8,569)		561,057				7,890	12/25/2048	1.D FM
46651A-AT-9	JP MORGAN MORTGAGE TRUST SERIES 2019LTV2 CLASS A18 4.000% 12/25/49		06/01/2021	Paydown		571,069	571,069	583,918	583,606		(12,538)		(12,538)		571,069				8,629	12/25/2049	1.D FM
46651B-AC-4	JP MORGAN MORTGAGE TRUST SERIES 20196 CLASS A3 3.500% 12/25/49		06/01/2021	Paydown		427,095	427,095	433,769	433,612		(6,516)		(6,516)		427,095				5,899	12/25/2049	1.D FM
46651X-AQ-5	JP MORGAN MORTGAGE TRUST SERIES 20201 CLASS A7 3.500% 06/25/50		06/01/2021	Paydown		393,979	393,979	412,125	411,671		(17,692)		(17,692)		393,979				6,428	06/25/2050	1.D FM
46651Y-AC-4	JP MORGAN MORTGAGE TRUST SERIES 20199 CLASS A3 3.500% 05/25/50		06/01/2021	Paydown		713,041	713,041	730,198	729,737		(16,696)		(16,696)		713,041				10,057	05/25/2050	1.D FM
48125X-RL-2	JPMORGAN CHASE & CO JPM 5.15 05/26/26 5.150% 05/26/26		05/26/2021	Redemption 100.0000		1,000,000	1,000,000	1,000,000	1,000,331		(331)		(331)		1,000,000				25,750	05/26/2026	1.F FE
50540R-AL-6	LABORATORY CORPORATION OF AMER LH 3 3/4 08/23/22 3.750% 08/23/22		06/11/2021	Call 104.0680		780,510	750,000	764,760	752,457		(761)		(761)		751,695		(1,695)	(1,695)	53,010	08/23/2022	2.B FE
553894-AB-2	MVW OWNER TRUST MVWOT 2016-1A B 2.640% 12/20/33		06/20/2021	Paydown		20,022	20,022	20,016	20,017		4		4		20,022				216	12/20/2033	2.B FE
61691B-AJ-0	MORGAN STNLY CAP BARCLAYS BNK MSCBB 2016-MART C 2.817% 09/13/31		06/15/2021	Call 100.0000		1,500,000	1,500,000	1,499,999	1,498,801		(136)		(136)		1,498,665		1,335	1,335	21,127	09/13/2031	1.D FM
681919-AZ-9	OMNICOM GROUP INC OMC 3 5/8 05/01/22 3.625% 05/01/22		05/28/2021	Call 103.0220		1,030,220	1,000,000	1,025,740	1,005,930		(1,789)		(1,789)		1,004,141		(4,141)	(4,141)	51,064	05/01/2022	2.A FE
68504U-AC-5	Orange Lake Timeshare Trust SERIES 2019A CLASS C 3.610% 04/09/38		06/09/2021	Paydown		153,449	153,449	153,445	153,445		3		3		153,449				2,368	04/09/2038	2.B FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
69372X-AM-4	Psmc 2018-1 Trust SERIES 20191 CLASS A12 4.000% 07/25/49		06/01/2021	Paydown		544,787	544,787	573,505	570,574		(25,787)		(25,787)		544,787				8,626	07/25/2049	1.D FM
69375B-AM-9	Psmc 2018-1 Trust SERIES 20193 CLASS A12 3.500% 11/25/49		06/01/2021	Paydown		479,327	479,327	490,117	489,315		(9,988)		(9,988)		479,327				7,504	11/25/2049	1.D FM
694669-AA-0	PACIFIC NORTHWEST COMMUN PACINW 5.912 06/15/50 5.912% 06/15/50		06/15/2021	Redemption 100.0000		5,000	5,000	5,838	5,809		(809)		(809)		5,000				148	06/15/2050	1.D FE
754730-AE-9	RAYMOND JAMES FINANCIAL RJF 3 5/8 09/15/26 3.625% 09/15/26		04/02/2021	Call 111.8512		1,118,512	1,000,000	998,410	999,083		37		37		999,120		880	880	136,637	09/15/2026	2.A FE
81746F-AG-0	SEQUOIA MORTGAGE TRUST SERIES 20176 CLASS A7 3.500% 09/25/47		06/01/2021	Paydown		260,838	260,838	266,880	266,151		(5,313)		(5,313)		260,838				3,943	09/25/2047	1.D FM
81747A-AA-3	SEQUOIA MORTGAGE TRUST SERIES 20191 CLASS A1 4.000% 02/25/49		06/01/2021	Paydown		52,033	52,033	53,222	53,186		(1,153)		(1,153)		52,033				860	02/25/2049	1.D FM
81748A-AA-2	SEQUOIA MORTGAGE TRUST SERIES 20203 CLASS A1 3.000% 04/25/50		06/01/2021	Paydown		528,978	528,978	539,888	539,612		(10,634)		(10,634)		528,978				6,341	04/25/2050	1.D FM
81748K-AA-0	SEQUOIA MORTGAGE TRUST SERIES 20202 CLASS A1 3.500% 03/25/50		06/01/2021	Paydown		633,008	633,008	648,635	648,250		(15,242)		(15,242)		633,008				8,712	03/25/2050	1.D FM
82281E-AA-5	Shellpoint Co-Originator Trust SERIES 20161 CLASS 1A1 3.500% 11/25/46		06/01/2021	Paydown		80,599	80,599	78,560	78,699		1,900		1,900		80,599				1,153	11/25/2046	1.D FM
82652N-AC-2	SIERRA RECEIVABLES FUNDING CO SERIES 20193A CLASS C 3.000% 07/15/38		06/23/2021	Paydown		119,735	119,735	119,723	119,724		11		11		119,735				1,473	07/15/2038	2.B FE
82653G-AC-6	SIERRA RECEIVABLES FUNDING CO SERIES 20183A CLASS C 4.170% 03/20/26		06/20/2021	Paydown		16,454	16,454	16,454	16,454						16,454				283	03/20/2026	2.B FE
82669G-AS-3	SIGNATURE BANK SIGNATURE BANK 5.300% 04/22/26		04/19/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				26,500	04/22/2026	2.B FE
84858D-AA-6	SPIRIT AIR 2015-1 PTT A SAVE 4.1 04/01/28 4.100% 10/01/29		04/01/2021	Redemption 100.0000		72,428	72,428	72,859	72,784		(356)		(356)		72,428				1,485	10/01/2029	2.A FE
86668@-AA-8	SUN COUNTRY AIRLINES SUN COUNTRY EETC 4.13 6/15/29 4.130% 06/15/29		06/15/2021	Redemption 100.0000		57,896	57,896	57,896	57,896						57,896				1,212	12/15/2027	1.G PL
90932D-AA-3	UNITED AIR 2016-2 A PTT UAL 3.1 10/07/28 3.100% 10/07/28		04/07/2021	Redemption 100.0000		26,431	26,431	26,431	26,431						26,431				410	10/07/2028	2.A FE
918290-AB-3	VSE VOI MORTGAGE LLC VSTNA 2016-A B 2.740% 07/20/33		06/20/2021	Paydown		22,160	22,160	22,156	22,172		(12)		(12)		22,160				255	07/20/2033	2.B FE
92211M-AE-3	VANTAGE DATA CENTERS ISSUER, L SERIES 20182A CLASS A2 4.196% 11/16/43		06/15/2021	Paydown		3,750	3,750	3,750	3,750						3,750				66	11/16/2043	1.G FE
92212K-AA-4	Vantage Data Centers LLC SERIES 20191A CLASS A2 3.160% 07/15/44		06/15/2021	Paydown		2,500	2,500	2,500	2,500						2,500				33	07/15/2044	1.G FE
949831-AA-9	Wells Fargo Mortgage Backed Se SERIES 20193 CLASS A1 3.500% 07/25/49		06/01/2021	Paydown		92,849	92,849	95,054	95,003		(2,154)		(2,154)		92,849				1,345	07/25/2049	1.D FM
95001T-AA-3	Wells Fargo Mortgage Backed Se SERIES 20191 CLASS A1 4.000% 11/25/48		06/01/2021	Paydown		99,875	99,875	102,282	102,209		(2,335)		(2,335)		99,875				1,660	11/25/2048	1.D FM
97651L-AC-5	Winwater Mortgage Loan Trust SERIES 20154 CLASS A3 3.500% 06/20/45		06/01/2021	Paydown		181,444	181,444	176,851	177,197		4,247		4,247		181,444				2,583	06/20/2045	1.D FM
001199-AC-2	AGL Core 4 CLO Ltd AGL 2020-4A B 3.154% 04/20/28	D	04/20/2021	Call 100.0000		5,000,000	5,000,000	4,950,000	4,945,157		3,823		3,823		4,948,980		51,020	51,020	80,665	04/20/2028	1.A FE
03767J-AD-7	APIDOS CLO APID_17-27A APID 2017-27A B 2.540% 07/17/30	D	06/15/2021	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				34,034	07/17/2030	1.F FE
03767V-AE-8	APIDOS CLO APID_17-27A APID 2019-31A C 2.734% 04/15/31	D	05/14/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				16,302	04/15/2031	1.F FE
03767V-AF-5	APIDOS CLO APID_17-27A APID 2019-31A D 3.834% 04/15/31	D	05/14/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				22,750	04/15/2031	2.C FE
04942V-AL-8	ATLAS SENIOR LOAN FUND LTD ATC ATCLO 2019-13A BN 2.234% 04/22/31	D	04/22/2021	Call 100.0000		1,500,000	1,500,000	1,500,000	1,500,000						1,500,000				17,207	04/22/2031	1.C FE
04942V-AQ-7	ATLAS SENIOR LOAN FUND LTD ATC ATCLO 2019-13A C 3.084% 04/22/31	D	04/22/2021	Call 100.0000		1,500,000	1,500,000	1,500,000	1,500,000						1,500,000				23,653	04/22/2031	1.F FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
05580H-AS-8	BNPP 2014 BNPIP 2014-2A DR 3.686% 10/30/25	D	04/01/2021	BARCLAYS CAPITAL GROUP															39,172	10/30/2025	3.A FE
06761K-AG-6	BABSON CLO LTD BABSN 2019-3A C 2.888% 04/20/31	D	05/20/2021	Call 100.0000		750,000	750,000	750,000	750,000						750,000				12,880	04/20/2031	1.F FE
06761K-AJ-0	BABSON CLO LTD BABSN 2019-3A D 3.988% 04/20/31	D	05/20/2021	Call 100.0000		750,000	750,000	750,000	750,000						750,000				17,738	04/20/2031	2.C FE
12551J-AJ-5	CIFC FUNDING LTD CIFC 2017-4A C 3.426% 10/24/30	D	06/17/2021	Call 100.0000		1,500,000	1,500,000	1,500,000	1,500,000						1,500,000				33,708	10/24/2030	2.C FE
22615M-AU-6	Crestline Denali CLO XIV, LTD DEN14 2016-1A DR 3.523% 10/23/31	D	04/29/2021	BARCLAYS CAPITAL GROUP		960,300	1,000,000	1,000,000	1,000,000						1,000,000		(39,700)	(39,700)	18,993	10/23/2031	3.A FE
24824T-AW-0	Denali Capital CLO XII LTD DEN12 2016-1A DR 2.934% 04/15/31	D	06/17/2021	MORGAN STANLEY		942,600	1,000,000	1,000,000	1,000,000						1,000,000		(57,400)	(57,400)	20,571	04/15/2031	3.A FE
26244Q-AC-7	DRYDEN SR LOAN FUND DRSLF 2017-49A C 2.540% 07/18/30	D	04/19/2021	Call 100.0000		500,000	500,000	500,000	500,000						500,000				6,501	07/18/2030	1.F FE
26244Q-AD-5	DRYDEN SR LOAN FUND DRSLF 2017-49A D 3.840% 07/18/30	D	04/19/2021	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				39,147	07/18/2030	2.C FE
26252N-AG-4	DRYDEN SENIOR LOAN FUND DRSLF 2019-72A C 2.806% 05/15/32	D	05/17/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				14,446	05/15/2032	1.F FE
27830X-AG-1	Eaton Vance CDO Ltd EATON 2019-1A C 2.834% 04/15/31	D	05/17/2021	Call 100.0000		2,500,000	2,500,000	2,500,000	2,500,000						2,500,000				42,811	04/15/2031	1.F FE
27830X-AJ-5	Eaton Vance CDO Ltd EATON 2019-1A D 3.934% 04/15/31	D	05/17/2021	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				47,327	04/15/2031	2.C FE
45082#-AA-0	IBERIA AIRLINES IBERIA AIRLINES 3.87 5/20/33 3.870% 05/20/33	D	06/20/2021	Redemption 100.0000		8,259	8,259	8,259	8,259						8,259				160	05/20/2033	1.F Z
50189P-AJ-1	LCM LIMITED PARTNERSHIP LCM 25A D 3.638% 07/20/30	D	04/29/2021	BANK OF AMERICA		970,550	1,000,000	1,000,000	1,000,000						1,000,000		(29,450)	(29,450)	19,872	07/20/2030	3.A FE
50200Y-AJ-7	LCM LTD PARTNERSHIP LCM 30A C 2.788% 04/20/31	D	06/09/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				18,134	04/20/2031	1.F FE
50200Y-AL-2	LCM LTD PARTNERSHIP LCM 30A D 3.938% 04/20/31	D	06/09/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				25,545	04/20/2031	2.C FE
55954K-AG-0	MAGNETITE CLO LTD MAGNE 2017-19A D 3.490% 07/17/30	D	04/19/2021	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				35,597	07/17/2030	2.C FE
56576Q-AU-2	MARATHON CLO LTD MCLO_17-9A MCLO 2013-5A CR 2.899% 11/21/27	D	06/17/2021	Brean Capital LLC		1,434,165	1,500,000	1,500,000	1,500,000						1,500,000		(65,835)	(65,835)	25,730	11/21/2027	4.A FE
56578J-AJ-1	MARATHON CLO LTD MCLO 2017-10A C 3.856% 11/15/29	D	05/06/2021	BARCLAYS CAPITAL GROUP		1,878,400	2,000,000	2,000,000	2,000,000						2,000,000		(121,600)	(121,600)	37,995	11/15/2029	3.B FE
64130T-AW-5	NEUBERGER BERMAN CLO LTD NEUB 2015-20A DR 2.584% 01/15/28	D	06/10/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				17,361	01/15/2028	2.A FE
64132J-AJ-4	NEUBERGER BERMAN CLO LTD NEUB 2019-31A C 2.888% 04/20/31	D	04/20/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				14,768	04/20/2031	1.F FE
65120F-AC-8	NEWCREST FINANCE PTY LTD NCMAU 4.2 10/01/22 4.200% 10/01/22	D	04/28/2021	Call 105.2420		1,052,420	1,000,000	1,009,720	1,004,034		(727)		(727)		1,003,306		(3,306)	(3,306)	76,570	10/01/2022	2.B FE
77578J-AB-4	ROLLS-ROYCE PLC ROLLS 3 5/8 10/14/25 3.625% 10/14/25	D	04/14/2021	STIFEL NICOLAUS		1,005,000	1,000,000	999,000	999,477		29		29		999,506		5,494	5,494	18,326	10/14/2025	3.C FE
83614B-AJ-8	SOUND POINT CLO LTD SNDPT 2019-2A C 3.134% 04/15/32	D	06/04/2021	Call 100.0000		1,500,000	1,500,000	1,500,000	1,500,000						1,500,000				24,184	04/15/2032	1.F FE
83614B-AL-3	SOUND POINT CLO LTD SNDPT 2019-2A D 4.044% 04/15/32	D	06/04/2021	Call 100.0000		500,000	500,000	495,000	495,247		3,011		3,011		498,258		1,742	1,742	10,361	04/15/2032	2.C FE
87154G-AE-5	SYMPHONY CLO LTD SYMP 2016-18A C 2.673% 01/23/28	D	06/29/2021	Call 100.0000		500,000	500,000	500,000	500,000						500,000				9,309	01/23/2028	1.F FE
88434G-AG-0	WIND RIVER CLO LTD WINDR 2017-3A D 3.334% 10/15/30	D	04/29/2021	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				36,860	10/15/2030	2.C FE
91889D-AC-0	VALARIS PLC VAL 8 01/31/24 8.000% 01/31/24	D	05/05/2021	Tax Free Exchange		14,974	363,000	14,974	14,974						14,974					01/31/2024	6. FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
92338B-AG-0	Verde CLO Ltd VERDE 2019-1A C 2.834% 04/15/32	D	04/15/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				14,606	04/15/2032	1.F FE
92915Q-AL-7	VOYA CLO LTD VOYA 2017-3A C 3.738% 07/20/30	D	04/01/2021	Call 100.0000		1,500,000	1,500,000	1,500,000	1,500,000						1,500,000				25,608	07/20/2030	2.C FE
92915T-AE-7	VOYA CLO LTD VOYA 2016-4A B2 3.740% 04/20/29	D	06/21/2021	Call 99.9896		1,999,792	2,000,000	2,000,000	2,000,000						2,000,000				49,036	04/20/2029	1.B FE
G6363#-AQ-0	NAC AVIATION 29 DAC NAC AVIATION 29 DAC 6.950% 02/22/26	D	05/19/2021	SEAPORT		726,064	1,000,000	688,190	797,500		14,380	116,070	(101,690)		695,810		30,253	30,253	56,530	02/22/2026	4.B PL
G6363#-AT-4	NAC AVIATION 29 DAC NAC AVIATION 29 DAC 6.830% 03/14/25	D	05/19/2021	SEAPORT		365,439	500,000	344,635	401,250			56,615	(56,615)		344,635		20,804	20,804	25,610	03/14/2025	4.B PL
G6363#-AX-5	NAC AVIATION 29 DAC NAC AVIATION 29 DAC 4.12 2/27 5.370% 02/27/28	D	05/19/2021	SEAPORT		362,808	500,000	343,510	383,750			40,240	(40,240)		343,510		19,298	19,298	21,519	02/27/2028	4.B PL
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						76,844,550	77,702,671	77,021,051	77,125,762		(212,051)	212,925	(424,976)		76,700,792		(170,802)	(170,802)	1,829,516	XXX	XXX
000000-00-0	ARM FUNDING 2019-1 LLC ARM FUNDING 2019-1 LLC 2/24 2.782% 02/29/24		06/11/2021	Redemption 100.0000		1,307,331	1,307,331	1,307,331	48,934		245,856		245,856		1,307,331				10,522	02/29/2024	1.F Z
000000-00-0	NF FUNDING I LLC CLASS A 3/19 2.842% 03/29/26		05/17/2021	Redemption 100.0000		283,103	283,103	283,103	283,103						283,103				5,271	03/29/2026	1.F Z
000000-00-0	Avant Warehouse Trust III Class A Loan Upsize 9/20 2.732% 09/16/26		05/25/2021	Redemption 100.0000		453,772	453,772	453,772	291,825						453,772				6,450	09/16/2026	1.D Z
24702#-ZZ-3	DELL FINANCIAL SERVICES DELL FINANCIAL SERVICES 1.742% 08/22/22		04/22/2021	Redemption 100.0000		29,505	29,505	29,505	19,863						29,505				127	08/22/2022	2.B Z
55282G-AA-5	ME 2019-1 A2 MASSAGE ENVY 2019-1 A2 6.448% 07/30/49		04/30/2021	Redemption 100.0000		7,500	7,500	7,500	7,500						7,500				242	07/30/2049	2.B FE
74166Y-AA-8	Primrose Schools SERIES 20191A CLASS A2 4.475% 07/30/49		04/30/2021	Redemption 100.0000		5,000	5,000	5,000	5,000						5,000				112	07/30/2049	2.B FE
83218#-XX-3	SOFI FUNDING PL XII LLC CLASS A TRANCHE 2.354% 10/18/21		05/10/2021	Redemption 100.0000		57,957	57,957	57,957	57,957						57,957				7,279	10/18/2021	1.F Z
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						2,144,168	2,144,168	2,144,168	714,182		245,856		245,856		2,144,168				30,003	XXX	XXX
8399997. Total - Bonds - Part 4						79,199,497	80,057,618	79,363,013	78,046,155		38,374	212,925	(174,551)		79,055,739		(170,802)	(170,802)	1,863,085	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						79,199,497	80,057,618	79,363,013	78,046,155		38,374	212,925	(174,551)		79,055,739		(170,802)	(170,802)	1,863,085	XXX	XXX
8999997. Total - Preferred Stocks - Part 4							XXX													XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX													XXX	XXX
9799997. Total - Common Stocks - Part 4							XXX													XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX													XXX	XXX
9899999. Total - Preferred and Common Stocks							XXX													XXX	XXX
9999999 - Totals						79,199,497	XXX	79,363,013	78,046,155		38,374	212,925	(174,551)		79,055,739		(170,802)	(170,802)	1,863,085	XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/01/2020	07/01/2021	501	835,891	1668.725	18,808			784		70,005			(9,404)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/01/2020	07/01/2021	29	81,635	2841.85	1,478			62		6,150			(739)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/01/2020	07/01/2021	558	1,738,927	3115.86	80,180			3,341		264,974			(40,090)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/02/2020	07/02/2021	117	195,478	1670.568	4,418			184		16,137			(2,209)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/02/2020	07/02/2021	302	857,975	2845.05	14,671			611		57,114			(7,336)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/02/2020	07/02/2021	2,172	6,797,262	3130.01	386,417			16,101		1,437,217			(193,209)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/06/2020	07/06/2021	230	384,463	1672.873	8,574			357		31,165			(4,287)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/06/2020	07/06/2021	79	224,168	2849.1	3,878			162		15,822			(1,939)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/06/2020	07/06/2021	492	1,564,861	3179.72	76,254			3,177		253,508			(38,127)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/07/2020	07/07/2021	245	410,130	1670.632	9,351			390		33,841			(4,675)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/07/2020	07/07/2021	42	118,375	2845.51	2,439			102		8,459			(1,219)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/07/2020	07/07/2021	320	1,005,615	3145.32	51,299			2,137		177,054			(25,650)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/08/2021	50	83,878	1670.623	1,921			80		6,921			(960)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/08/2021	17	49,553	2845.58	768			32		3,416			(384)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/08/2021	445	1,410,306	3169.94	60,415			2,517		183,645			(30,208)				
UST .625% 05/28/21 Total Return Option		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/09/2021	484	47,400	98	522			22		1,480			(261)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/09/2020	07/09/2021	91	151,448	1667.183	3,408			142		12,835			(1,704)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/09/2021	241	402,274	1671.036	9,252			386		33,087			(4,626)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/09/2020	07/09/2021	68	193,064	2839.72	3,050			127		12,777			(1,525)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/09/2021	97	276,114	2846.36	5,231			218		19,172			(2,616)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/09/2020	07/09/2021	476	1,501,849	3152.05	90,696			3,779		333,205			(45,348)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/09/2021	1,095	3,486,067	3185.04	180,352			7,515		596,154			(90,176)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/12/2021	20	32,872	1671.036	756			32		2,704			(378)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/12/2021	566	1,801,357	3185.04	92,405			3,850		304,644			(46,203)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/13/2020	07/13/2021	60	99,513	1671.205	2,408			100		8,174			(1,204)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/13/2020	07/13/2021	422	1,332,481	3155.22	72,827			3,034		255,454			(36,413)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/14/2020	07/14/2021	46	76,245	1675.708	1,746			73		6,041			(873)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/14/2020	07/14/2021	7	20,133	2854.37	324			14		1,321			(162)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/14/2020	07/14/2021	386	1,234,015	3197.52	46,783			1,949		138,471			(23,391)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/15/2021	206	346,802	1679.639	7,976			332		26,602			(3,988)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/15/2021	116	332,122	2861.13	5,779			241		20,110			(2,889)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/15/2021	392	1,263,634	3226.56	68,535			2,856		223,846			(34,268)				
UST 0.625% 05/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/16/2021	159	15,600	98	165			7		487			(82)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/16/2020	07/16/2021	235	394,436	1679.833	8,835			368		30,207			(4,418)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/16/2021	333	558,754	1680.448	12,348			515		42,571			(6,174)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/16/2021	188	539,248	2862.57	9,255			386		35,206			(4,628)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/16/2020	07/16/2021	554	1,781,926	3215.57	87,366			3,640		270,344			(43,683)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/16/2021	1,294	4,173,922	3224.73	237,499			9,896		805,476			(118,749)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/18/2021	3	8,730	3224.73	189			8		1,101			(94)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/19/2021	56	93,677	1680.448	2,080			87		7,137			(1,040)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/19/2021	53	152,883	2862.57	2,782			116		10,322			(1,391)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/19/2021	697	2,246,977	3224.73	122,470			5,103		408,400			(61,235)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/20/2020	07/20/2021	162	271,630	1677.845	6,492			270		21,149			(3,246)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/20/2020	07/20/2021	12	34,421	2858.24	599			25		2,380			(299)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/20/2020	07/20/2021	370	1,201,592	3251.84	54,703			2,279		170,060			(27,352)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/21/2020	07/21/2021	126	211,336	1680.67	4,776			199		16,071			(2,388)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/21/2020	07/21/2021	22	63,385	2863.18	1,040			43		4,076			(520)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/21/2020	07/21/2021	314	1,024,116	3257.3	38,476			1,603		103,881			(19,238)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/22/2020	07/22/2021	80	134,576	1682.712	3,001			125		10,058			(1,501)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/22/2020	07/22/2021	20	57,361	2866.7	1,222			51		3,641			(611)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/22/2020	07/22/2021	503	1,648,114	3276.02	66,315			2,763		182,625			(33,157)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/23/2021	513	862,655	1682.052	19,841			827		64,839			(9,921)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/23/2020	07/23/2021	249	419,331	1682.967	9,351			390		31,273			(4,676)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/23/2021	133	381,059	2865.71	6,249			260		24,027			(3,124)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/23/2020	07/23/2021	21	60,596	2867.11	1,019			42		3,840			(510)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/23/2021	1,397	4,493,019	3215.63	230,455			9,602		754,397			(115,228)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/23/2020	07/23/2021	814	2,634,901	3235.66	135,311			5,638		439,795			(67,656)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/26/2021	140	235,234	1682.052	5,410			225		17,681			(2,705)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/26/2021	25	72,229	2865.71	1,206			50		4,612			(603)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/26/2021	692	2,226,596	3215.63	122,042			5,085		411,675			(61,021)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/27/2020	07/27/2021	227	381,489	1683.204	8,545			356		28,393			(4,273)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/27/2020	07/27/2021	24	69,532	2867.74	1,279			53		4,561			(640)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/27/2020	07/27/2021	387	1,254,658	3239.41	73,315			3,055		252,313			(36,657)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/28/2020	07/28/2021	239	401,801	1681.718	9,241			385		30,286			(4,621)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/28/2020	07/28/2021	5	15,658	2865.08	254			11		964			(127)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/28/2020	07/28/2021	472	1,518,191	3218.44	52,873			2,203		136,849			(26,436)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/29/2020	07/29/2021	241	406,834	1685.33	9,357			390		29,728			(4,679)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/29/2020	07/29/2021	45	129,787	2871.28	2,118			88		7,855			(1,059)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/29/2020	07/29/2021	465	1,515,783	3258.44	68,988			2,874		206,824			(34,494)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	07/30/2021	821	1,379,985	1681.218	31,740			1,322		104,459			(15,870)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/30/2020	07/30/2021	259	434,954	1681.982	9,873			411		32,712			(4,937)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	07/30/2021	61	174,087	2864.46	3,137			131		11,445			(1,568)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/30/2020	07/30/2021	475	1,540,476	3246.22	89,954			3,748		299,173			(44,977)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	07/30/2021	2,089	6,834,689	3271.12	372,027			15,501		1,152,577			(186,014)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	08/02/2021	47	133,303	2864.46	2,186			91		8,244			(1,093)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	08/02/2021	724	2,368,053	3271.12	145,476			6,061		469,526			(72,738)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/03/2020	08/03/2021	176	295,681	1681.61	6,919			865		22,308			(3,459)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/03/2020	08/03/2021	18	52,481	2865.24	945			118		3,493			(472)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/03/2020	08/03/2021	406	1,337,130	3294.61	60,809			7,601		176,805			(30,405)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/04/2020	08/04/2021	25	42,825	1682.532	964			120		3,206			(482)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/04/2020	08/04/2021	38	109,050	2866.84	1,764			220		6,694			(882)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/04/2020	08/04/2021	387	1,279,283	3306.51	49,395			6,174		128,761			(24,697)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/05/2020	08/05/2021	64	107,652	1684.826	2,487			311		7,901			(1,243)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/05/2020	08/05/2021	129	371,067	2870.78	4,935			617		19,294			(2,468)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/05/2020	08/05/2021	590	1,964,857	3327.77	93,963			11,745		283,378			(46,982)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/06/2020	08/06/2021	386	650,273	1684.17	15,021			1,878		47,997			(7,511)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/06/2021	433	731,254	1687.656	17,038			2,130		52,353			(8,519)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/06/2020	08/06/2021	194	555,573	2869.73	8,427			1,053		31,971			(4,213)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/06/2021	84	242,423	2875.68	3,897			487		13,934			(1,949)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/06/2020	08/06/2021	726	2,432,870	3349.16	152,097			19,012		491,407			(76,048)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/06/2021	1,052	3,525,281	3351.28	193,705			24,213		607,602			(96,852)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/09/2021	68	114,965	1687.656	2,690			336		8,231			(1,345)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/09/2021	502	1,681,200	3351.28	94,499			11,812		297,373			(47,250)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/10/2020	08/10/2021	25	41,518	1691.193	947			118		2,879			(473)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/10/2020	08/10/2021	453	1,520,858	3360.47	57,532			7,191		151,108			(28,766)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/11/2020	08/11/2021	90	153,079	1691.587	3,613			452		10,579			(1,806)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/11/2020	08/11/2021	27	77,329	2882.39	1,376			172		4,459			(688)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/11/2020	08/11/2021	340	1,133,708	3333.69	37,059			4,632		90,249			(18,530)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/12/2021	239	405,153	1693.75	9,562			1,195		27,447			(4,781)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/12/2021	24	69,072	2886.12	1,264			158		3,545			(632)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/12/2021	388	1,311,726	3380.35	40,582			5,073		95,202			(20,291)				
UST .625% 05/28/21 Total Return Option		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/13/2021	502	49,200	98	480			60		1,406			(240)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/13/2020	08/13/2021	149	251,464	1691.938	5,809			726		17,322			(2,904)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/13/2021	262	442,738	1692.215	10,272			1,284		30,420			(5,136)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/13/2020	08/13/2021	4	10,992	2882.99	204			26		659			(102)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/13/2021	41	118,788	2883.39	2,006			251		6,514			(1,003)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/13/2021	1,188	4,007,324	3372.85	168,620			21,078		448,031			(84,310)				

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S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/13/2020	08/13/2021	459	1,548,311	3373.43	82,263			10,283		241,784			(41,132)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/16/2021	66	112,469	1692.215	2,621			328		7,728			(1,310)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/16/2021	54	155,794	2883.39	2,797			350		8,931			(1,398)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/16/2021	441	1,489,104	3372.85	75,422			9,428		216,816			(37,711)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/17/2020	08/17/2021	10	17,672	1692.431	406			51		1,212			(203)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/17/2020	08/17/2021	94	270,517	2883.94	4,707			588		15,454			(2,353)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/17/2020	08/17/2021	319	1,079,942	3381.99	46,574			5,822		122,917			(23,287)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/18/2020	08/18/2021	117	197,095	1691.319	4,908			613		13,655			(2,454)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/18/2020	08/18/2021	41	118,020	2882.1	1,987			248		6,671			(993)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/18/2020	08/18/2021	488	1,655,436	3389.78	51,122			6,390		113,752			(25,561)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/19/2020	08/19/2021	226	382,187	1690.183	8,905			1,113		26,752			(4,452)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/19/2020	08/19/2021	283	953,778	3374.85	39,991			4,999		109,778			(19,995)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/20/2021	617	1,042,736	1688.885	24,296			3,037		73,847			(12,148)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/20/2020	08/20/2021	230	387,906	1689.004	9,232			1,154		27,442			(4,616)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/20/2021	158	453,779	2878.13	7,553			944		25,706			(3,776)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/20/2020	08/20/2021	41	118,655	2878.37	1,858			232		6,488			(929)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/20/2020	08/20/2021	488	1,653,464	3385.51	71,715			8,964		196,220			(35,858)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/20/2021	1,293	4,391,417	3397.16	200,464			25,058		533,988			(100,232)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/23/2021	130	218,751	1688.885	5,119			640		15,493			(2,559)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/23/2021	56	161,503	2878.13	2,406			301		8,382			(1,203)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/23/2021	581	1,974,060	3397.16	101,363			12,670		285,046			(50,682)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/24/2020	08/24/2021	75	127,453	1693.166	3,059			382		8,682			(1,529)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/24/2020	08/24/2021	466	1,597,282	3431.28	77,830			9,729		211,763			(38,915)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/25/2020	08/25/2021	99	166,719	1692.044	3,951			494		11,475			(1,976)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/25/2020	08/25/2021	26	75,879	2883.79	1,322			165		4,272			(661)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/25/2020	08/25/2021	390	1,344,453	3443.62	41,713			5,214		85,851			(20,857)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/26/2020	08/26/2021	228	385,766	1691.987	9,143			1,143		26,567			(4,571)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/26/2020	08/26/2021	36	104,433	2883.6	1,765			221		5,728			(882)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/26/2020	08/26/2021	495	1,720,607	3478.73	69,580			8,698		170,202			(34,790)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/27/2020	08/27/2021	103	173,817	1693.57	4,137			517		11,798			(2,068)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/27/2021	294	499,293	1696.045	11,983			1,498		33,114			(5,992)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/27/2020	08/27/2021	90	260,605	2886.3	4,665			583		14,681			(2,332)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/27/2021	59	169,612	2890.63	2,964			370		9,093			(1,482)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/27/2020	08/27/2021	383	1,335,652	3484.55	56,895			7,112		136,360			(28,447)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/27/2021	1,165	4,086,480	3508.01	181,606			22,701		419,306			(90,803)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/30/2021	175	296,828	1696.045	7,154			894		19,689			(3,577)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/30/2021	75	217,733	2890.63	3,092			386		9,809			(1,546)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/30/2021	572	2,006,625	3508.01	115,363			14,420		288,752			(57,681)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/31/2020	08/31/2021	112	190,019	1692.896	4,484			561		12,980			(2,242)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/31/2020	08/31/2021	475	1,662,563	3500.31	77,439			9,680		183,892			(38,720)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/01/2020	09/01/2021	143	241,925	1694.762	5,855			1,220		16,243			(2,927)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/01/2020	09/01/2021	15	42,317	2888.61	762			159		2,350			(381)				

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S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/01/2020	09/01/2021	377	1,329,470	3526.65	55,407			11,543		120,488			(27,703)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/02/2020	09/02/2021	32	53,670	1701.983	1,352			282		3,362			(676)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/02/2020	09/02/2021	20	59,108	2900.87	997			208		2,888			(499)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/02/2020	09/02/2021	177	633,783	3580.84	21,198			4,416		41,902			(10,599)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/03/2021	422	714,261	1693.288	16,928			3,527		48,619			(8,464)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/03/2020	09/03/2021	339	574,481	1693.71	13,385			2,789		38,952			(6,693)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/03/2021	48	139,409	2886.13	2,191			456		7,065			(1,096)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/03/2021	1,683	5,766,842	3426.96	298,624			62,213		707,426			(149,312)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/03/2020	09/03/2021	347	1,197,208	3455.06	43,048			8,968		90,714			(21,524)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/06/2021	4	14,364	3426.96	277			58		1,307			(139)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/07/2021	166	567,277	3426.96	34,123			7,109		84,745			(17,061)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/08/2020	09/08/2021	198	333,798	1686.4	8,378			1,745		24,175			(4,189)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/08/2020	09/08/2021	5	15,809	2874.54	269			56		922			(134)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/08/2020	09/08/2021	294	979,841	3331.84	62,805			13,084		177,366			(31,403)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/09/2020	09/09/2021	263	445,818	1692.12	10,789			2,248		30,683			(5,394)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/09/2020	09/09/2021	120	345,827	2884.3	6,232			1,298		19,630			(3,116)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/09/2020	09/09/2021	338	1,149,694	3398.96	38,776			8,078		86,074			(19,388)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/10/2020	09/10/2021	465	784,296	1686.882	18,353			3,823		56,568			(9,176)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/10/2021	642	1,084,149	1689.593	25,803			5,376		76,340			(12,901)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/10/2020	09/10/2021	13	38,008	2875.41	566			118		2,019			(283)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/10/2021	73	209,330	2880.07	3,724			776		12,132			(1,862)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/10/2020	09/10/2021	503	1,680,992	3339.19	69,501			14,479		176,945			(34,750)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/10/2021	1,001	3,343,285	3340.97	159,267			33,181		429,626			(79,634)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/13/2021	150	254,044	1689.593	6,046			1,260		17,891			(3,023)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/13/2021	586	1,956,154	3340.97	87,943			18,321		233,931			(43,971)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/14/2020	09/14/2021	158	268,028	1694.198	6,808			1,418		18,102			(3,404)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/14/2020	09/14/2021	10	29,679	2888.01	502			104		1,587			(251)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/14/2020	09/14/2021	345	1,168,660	3383.54	53,128			11,068		138,589			(26,564)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/15/2020	09/15/2021	124	209,367	1693.734	5,067			1,056		14,202			(2,533)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/15/2020	09/15/2021	397	1,349,017	3401.2	39,303			8,188		85,002			(19,652)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/16/2020	09/16/2021	202	341,963	1695.908	8,036			1,674		22,735			(4,018)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/16/2020	09/16/2021	22	62,791	2891.01	1,061			221		3,293			(531)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/16/2020	09/16/2021	457	1,545,512	3385.49	57,830			12,048		138,793			(28,915)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/17/2021	489	827,922	1692.706	20,119			4,191		56,702			(10,059)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/17/2020	09/17/2021	322	546,988	1696.22	12,964			2,701		36,263			(6,482)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/17/2021	24	69,950	2885.75	1,269			264		4,008			(634)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/17/2020	09/17/2021	24	68,064	2891.67	1,300			271		3,884			(650)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/17/2021	1,576	5,230,028	3319.47	269,934			56,236		746,607			(134,967)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/17/2020	09/17/2021	665	2,233,544	3357.01	95,093			19,811		243,619			(47,547)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/20/2021	195	329,880	1692.706	8,049			1,677		22,597			(4,025)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/20/2021	373	1,239,101	3319.47	69,769			14,535		198,283			(34,885)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/21/2020	09/21/2021	87	145,572	1682.587	3,552			740		10,900			(1,776)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/21/2020	09/21/2021	66	190,007	2868.59	3,295			686		11,450			(1,647)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/21/2020	09/21/2021	583	1,913,229	3281.06	85,585			17,830		230,809			(42,793)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/22/2020	09/22/2021	279	470,664	1684.774	11,484			2,393		34,593			(5,742)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/22/2020	09/22/2021	11	30,573	2872.37	575			120		1,887			(287)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/22/2020	09/22/2021	547	1,814,634	3315.57	77,535			16,153		201,833			(38,767)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/23/2020	09/23/2021	356	596,640	1676.433	14,379			2,996		47,022			(7,190)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/23/2020	09/23/2021	489	1,581,256	3236.92	72,237			15,049		201,331			(36,119)				
UST 0.625% 08/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/23/2020	09/24/2021	133	13,000	98	125			26		350			(62)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/24/2020	09/24/2021	175	293,841	1678.158	7,023			1,463		22,834			(3,511)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/24/2021	1,293	2,174,193	1681.762	50,659			10,554		163,964			(25,329)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/24/2020	09/24/2021	29	83,630	2861.13	2,024			422		5,717			(1,012)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/24/2021	149	426,771	2867.24	7,576			1,578		26,897			(3,788)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/24/2020	09/24/2021	659	2,137,917	3246.59	98,634			20,549		274,437			(49,317)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/24/2021	1,382	4,558,325	3298.46	228,148			47,531		633,639			(114,074)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/27/2021	371	624,110	1681.762	14,604			3,043		47,073			(7,302)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/27/2021	7	20,969	2867.24	401			83		1,386			(200)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/27/2021	542	1,786,951	3298.46	120,103			25,021		363,207			(60,051)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/28/2020	09/28/2021	715	1,206,971	1687.158	30,174			6,286		86,924			(15,087)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/28/2020	09/28/2021	140	401,582	2876.63	6,988			1,456		23,212			(3,494)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/28/2020	09/28/2021	409	1,371,454	3351.6	68,241			14,217		181,005			(34,120)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/29/2020	09/29/2021	645	1,085,670	1684.199	26,273			5,474		80,219			(13,137)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/29/2020	09/29/2021	28	79,714	2871.59	1,487			310		5,029			(743)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/29/2020	09/29/2021	610	2,034,496	3335.47	75,381			15,704		177,286			(37,690)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/30/2020	09/30/2021	686	1,157,322	1687.208	27,660			5,762		83,324			(13,830)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/30/2020	09/30/2021	41	118,618	2876.71	2,230			465		6,571			(1,115)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/30/2020	09/30/2021	461	1,549,684	3363	57,329			11,944		135,564			(28,665)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/01/2020	10/01/2021	499	841,696	1686.904	20,201			5,892		60,765			(10,100)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/01/2021	500	844,783	1689.525	20,275			5,913		59,599			(10,137)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/01/2020	10/01/2021	67	192,098	2876.16	3,660			1,068		12,009			(1,830)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/01/2021	181	521,393	2880.66	9,339			2,724		30,046			(4,669)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/01/2021	1,481	4,959,648	3348.44	261,090			76,151		709,950			(130,545)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/01/2020	10/01/2021	695	2,350,521	3380.8	103,970			30,325		258,377			(51,985)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/04/2021	44	74,178	1689.525	1,788			521		5,235			(894)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/04/2021	11	30,509	2880.66	598			174		1,869			(299)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/04/2021	322	1,077,211	3348.44	78,563			22,914		235,904			(39,282)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/05/2020	10/05/2021	300	508,056	1695.716	12,346			3,601		33,902			(6,173)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/05/2020	10/05/2021	26	74,845	2891.31	1,390			406		4,189			(695)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/05/2020	10/05/2021	562	1,914,772	3408.63	69,631			20,309		158,329			(34,816)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/06/2020	10/06/2021	128	215,804	1692.225	5,266			1,536		14,868			(2,633)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/06/2020	10/06/2021	20	56,495	2885.48	1,243			363		3,229			(621)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/06/2020	10/06/2021	375	1,258,851	3360.95	50,902			14,846		123,175			(25,451)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/07/2020	10/07/2021	86	146,921	1698.64	3,746			1,093		9,542			(1,873)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/07/2020	10/07/2021	41	119,301	2896.39	1,980			578		5,801			(990)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/07/2020	10/07/2021	315	1,075,712	3419.45	41,937			12,232		100,933			(20,969)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/08/2020	10/08/2021	155	264,744	1703.311	6,486			1,892		16,444			(3,243)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/08/2021	448	764,272	1704.713	18,801			5,484		46,822			(9,401)				

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SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/08/2020	10/08/2021	98	285,671	2904.38	5,285			1,541		14,442			(2,642)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/08/2021	44	128,564	2906.87	2,327			679		6,208			(1,163)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/08/2020	10/08/2021	542	1,866,684	3446.83	96,548			28,160		245,906			(48,274)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/08/2021	1,300	4,518,791	3477.13	218,158			63,629		541,111			(109,079)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/11/2021	128	218,798	1704.713	5,404			1,576		13,412			(2,702)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/11/2021	34	98,831	2906.87	1,879			548		4,945			(940)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/11/2021	326	1,133,631	3477.13	75,354			21,978		203,433			(37,677)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/12/2020	10/12/2021	44	75,737	1707.547	1,856			541		4,515			(928)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/12/2020	10/12/2021	101	294,329	2911.76	5,357			1,562		14,167			(2,678)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/12/2020	10/12/2021	627	2,217,073	3534.22	86,429			25,209		193,723			(43,215)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/13/2020	10/13/2021	280	477,508	1703.649	11,412			3,329		29,588			(5,706)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/13/2020	10/13/2021	65	188,978	2905.11	3,179			927		8,853			(1,590)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/13/2020	10/13/2021	312	1,096,922	3511.93	45,113			13,158		103,591			(22,556)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/14/2020	10/14/2021	285	485,529	1703.181	12,478			3,639		30,228			(6,239)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/14/2020	10/14/2021	617	2,151,023	3488.67	77,662			22,651		168,248			(38,831)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/15/2020	10/15/2021	332	565,624	1704.327	13,858			4,042		34,831			(6,929)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/15/2021	956	1,629,532	1704.668	39,598			11,549		100,011			(19,799)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/15/2020	10/15/2021	22	63,063	2906.32	1,167			340		3,156			(583)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/15/2021	39	112,085	2907.02	2,031			592		5,383			(1,015)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/15/2020	10/15/2021	551	1,917,840	3483.34	72,052			21,015		163,734			(36,026)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/15/2021	1,813	6,315,326	3483.81	319,833			93,285		811,592			(159,917)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/18/2021	105	178,971	1704.668	4,063			1,185		10,991			(2,031)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/18/2021	110	318,979	2907.02	6,434			1,876		16,564			(3,217)				

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S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/18/2021	399	1,389,004	3483.81	79,647			23,230		208,326			(39,823)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/19/2020	10/19/2021	48	82,298	1698.361	1,983			578		5,369			(992)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/19/2020	10/19/2021	44	127,938	2896.34	2,374			692		6,900			(1,187)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/19/2020	10/19/2021	426	1,461,445	3426.92	72,293			21,086		183,694			(36,147)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/20/2020	10/20/2021	166	282,328	1700.516	6,974			2,034		18,051			(3,487)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/20/2020	10/20/2021	22	64,403	2900.11	1,410			411		3,368			(705)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/20/2020	10/20/2021	539	1,855,985	3443.12	72,937			21,273		165,749			(36,469)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/21/2020	10/21/2021	251	426,892	1699.843	10,630			3,100		27,473			(5,315)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/21/2020	10/21/2021	24	69,604	2898.93	1,415			413		3,463			(707)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/21/2020	10/21/2021	340	1,167,122	3435.56	38,831			11,326		85,632			(19,416)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/22/2020	10/22/2021	98	166,472	1702.408	4,112			1,199		10,458			(2,056)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/22/2021	361	614,380	1704.072	15,421			4,498		37,981			(7,710)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/22/2020	10/22/2021	27	77,384	2903.38	1,849			539		4,143			(925)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/22/2021	284	824,488	2906.2	12,520			3,652		34,026			(6,260)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/22/2020	10/22/2021	509	1,759,394	3453.49	67,473			19,680		155,612			(33,736)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/22/2021	1,332	4,616,474	3465.39	191,607			55,885		448,335			(95,803)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/25/2021	69	116,913	1704.072	2,935			856		7,232			(1,467)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/25/2021	32	92,133	2906.2	1,880			548		4,850			(940)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/25/2021	423	1,465,689	3465.39	68,918			20,101		168,108			(34,459)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/26/2020	10/26/2021	364	616,400	1695.373	15,348			4,477		41,379			(7,674)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/26/2020	10/26/2021	22	63,824	2891.43	1,462			426		3,600			(731)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/26/2020	10/26/2021	685	2,328,038	3400.97	116,517			33,984		302,432			(58,258)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/27/2020	10/27/2021	128	216,626	1687.83	5,459			1,592		15,551			(2,729)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/27/2020	10/27/2021	20	57,457	2878.54	1,316			384		3,446			(658)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/27/2020	10/27/2021	666	2,257,583	3390.68	86,346			25,184		201,968			(43,173)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/28/2020	10/28/2021	244	409,475	1674.884	10,032			2,926		32,731			(5,016)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/28/2020	10/28/2021	18	51,234	2856.56	808			236		3,096			(404)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/28/2020	10/28/2021	624	2,040,029	3271.03	58,322			17,011		112,008			(29,161)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	10/29/2021	560	938,356	1676.769	23,459			6,842		73,888			(11,729)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/29/2020	10/29/2021	460	772,428	1678.272	19,156			5,587		60,087			(9,578)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	10/29/2021	143	409,136	2859.82	7,496			2,186		26,950			(3,748)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	10/29/2021	1,479	4,837,231	3269.96	227,141			66,249		615,027			(113,570)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/29/2020	10/29/2021	578	1,914,769	3310.11	70,301			20,505		172,016			(35,151)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	11/01/2021	237	397,353	1676.769	9,974			2,909		31,295			(4,987)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	11/01/2021	3	9,800	2859.82	123			36		494			(62)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	11/01/2021	715	2,338,677	3269.96	99,780			29,102		260,234			(49,890)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/02/2020	11/02/2021	134	226,735	1688.248	6,235			2,338		16,229			(3,118)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/02/2020	11/02/2021	5	14,806	2879.47	339			127		885			(170)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/02/2020	11/02/2021	473	1,564,499	3310.24	84,112			31,542		240,714			(42,056)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/03/2020	11/03/2021	9	14,684	1695.39	367			138		987			(183)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/03/2020	11/03/2021	73	211,044	2891.65	4,010			1,504		11,716			(2,005)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/03/2020	11/03/2021	983	3,312,445	3369.16	102,993			38,622		214,647			(51,496)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/04/2020	11/04/2021	146	247,305	1692.44	6,653			2,495		17,068			(3,326)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/04/2020	11/04/2021	15	42,426	2886.62	976			366		2,430			(488)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/04/2020	11/04/2021	298	1,027,701	3443.44	33,227			12,460		72,129			(16,613)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/05/2020	11/05/2021	45	77,040	1699.419	1,934			725		4,992			(967)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/05/2021	258	438,990	1699.681	10,887			4,083		28,375			(5,443)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/05/2021	127	367,822	2899.11	6,851			2,569		19,007			(3,426)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/05/2021	1,236	4,339,419	3509.44	194,214			72,830		465,910			(97,107)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/05/2020	11/05/2021	1,214	4,263,047	3510.45	121,537			45,576		234,048			(60,769)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/07/2021	3	10,810	3509.44	191			72		851			(96)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/08/2021	16	26,698	1699.681	665			249		1,727			(332)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/08/2021	306	1,073,523	3509.44	64,260			24,097		168,810			(32,130)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/09/2020	11/09/2021	91	155,763	1713.461	3,847			1,443		8,815			(1,924)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/09/2020	11/09/2021	39	114,292	2922.74	2,133			800		5,074			(1,067)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/09/2020	11/09/2021	347	1,231,162	3550.5	57,167			21,437		141,412			(28,583)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/10/2020	11/10/2021	376	647,145	1720.403	16,437			6,164		34,085			(8,219)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/10/2020	11/10/2021	44	127,804	2934.62	2,441			915		5,321			(1,221)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/10/2020	11/10/2021	726	2,572,743	3545.53	79,703			29,889		155,755			(39,851)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/11/2020	11/11/2021	188	322,531	1717.56	8,128			3,048		17,511			(4,064)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/11/2020	11/11/2021	619	2,212,863	3572.66	59,771			22,414		111,533			(29,885)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/12/2020	11/12/2021	136	233,297	1712.71	5,949			2,231		13,316			(2,975)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/12/2021	814	1,399,493	1719.113	34,428			12,910		74,786			(17,214)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/12/2020	11/12/2021	45	132,365	2921.44	3,023			1,134		6,160			(1,511)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/12/2021	71	208,724	2932.38	3,836			1,439		8,661			(1,918)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/12/2020	11/12/2021	291	1,027,767	3537.01	43,026			16,135		97,324			(21,513)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/12/2021	1,330	4,769,702	3585.15	191,027			71,635		418,651			(95,514)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/15/2021	143	246,658	1719.113	6,092			2,285		13,197			(3,046)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/15/2021	17	49,980	2932.38	1,000			375		2,231			(500)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/15/2021	442	1,585,046	3585.15	81,003			30,376		193,433			(40,502)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/16/2020	11/16/2021	155	267,224	1726.354	7,268			2,726		13,234			(3,634)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/16/2020	11/16/2021	13	38,776	2944.82	869			326		1,504			(434)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/16/2020	11/16/2021	455	1,649,743	3626.91	71,128			26,673		152,639			(35,564)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/17/2020	11/17/2021	158	272,507	1723.216	6,867			2,575		13,971			(3,434)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/17/2020	11/17/2021	39	114,046	2939.51	2,207			828		4,684			(1,104)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/17/2020	11/17/2021	583	2,105,829	3609.53	76,188			28,570		156,096			(38,094)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/18/2020	11/18/2021	148	254,192	1719.13	6,380			2,393		13,614			(3,190)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/18/2020	11/18/2021	22	64,632	2932.49	1,474			553		2,751			(737)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/18/2020	11/18/2021	365	1,302,755	3567.79	44,255			16,596		90,342			(22,127)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/19/2021	605	1,040,153	1718.199	26,108			9,790		56,275			(13,054)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/19/2020	11/19/2021	200	344,475	1720.061	8,629			3,236		18,278			(4,315)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/19/2021	57	166,211	2931.09	3,064			1,149		6,989			(1,532)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/19/2020	11/19/2021	6	17,543	2934.16	196			74		440			(98)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/19/2021	2,295	8,162,993	3557.54	301,560			113,085		645,798			(150,780)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/19/2020	11/19/2021	561	2,010,672	3581.87	72,193			27,073		149,345			(36,097)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/21/2021	8	27,605	3557.54	544			204		2,257			(272)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/22/2021	40	67,899	1718.199	1,711			642		3,678			(856)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/22/2021	19	55,598	2931.09	1,112			417		2,516			(556)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/22/2021	341	1,213,765	3557.54	49,843			18,691		112,551			(24,922)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/23/2020	11/23/2021	333	572,924	1722.096	14,442			5,416		29,808			(7,221)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/23/2020	11/23/2021	2	6,168	2937.87	142			53		254			(71)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/23/2020	11/23/2021	452	1,615,341	3577.59	64,028			24,010		138,865			(32,014)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/24/2021	179	308,154	1725.499	7,611			2,854		15,467			(3,806)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/24/2020	11/24/2021	152	262,505	1728.68	6,615			2,481		12,726			(3,308)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/24/2021	8	23,583	2943.53	483			181		837			(242)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/24/2020	11/24/2021	5	13,965	2949.12	321			120		528			(161)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/24/2021	562	2,038,195	3629.65	60,707			22,765		110,160			(30,353)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/24/2020	11/24/2021	1,165	4,236,064	3635.41	131,432			49,287		240,822			(65,716)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/25/2021	7	25,509	3629.65	469			176		1,931			(235)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/26/2021	80	138,086	1725.129	3,507			1,315		6,965			(1,754)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/26/2021	6	10,539	1725.499	262			98		530			(131)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/26/2021	48	140,948	2942.96	2,733			1,025		5,619			(1,367)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/26/2021	143	519,119	3629.65	26,613			9,980		60,546			(13,306)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/26/2021	734	2,669,057	3638.35	130,262			48,848		293,834			(65,131)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/29/2021	155	267,385	1725.129	6,818			2,557		13,508			(3,409)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/29/2021	334	1,214,887	3638.35	58,246			21,842		131,022			(29,123)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/30/2020	11/30/2021	425	732,130	1721.686	19,475			7,303		38,377			(9,737)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/30/2020	11/30/2021	61	178,075	2937.23	3,473			1,302		7,583			(1,736)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/30/2020	11/30/2021	709	2,568,774	3621.63	114,639			42,989		253,427			(57,319)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/01/2020	12/01/2021	163	281,212	1723.49	7,087			3,248		14,470			(3,543)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/01/2020	12/01/2021	31	90,642	2940.32	1,780			816		3,786			(890)				

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1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/01/2020	12/01/2021	881	3,224,913	3662.45	113,376			51,964		218,867			(56,688)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/02/2020	12/02/2021	175	301,490	1722.223	7,510			3,442		15,729			(3,755)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/02/2020	12/02/2021	36	104,370	2938.16	2,505			1,148		4,314			(1,252)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/02/2020	12/02/2021	673	2,469,007	3669.01	69,472			31,842		120,810			(34,736)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/03/2020	12/03/2021	120	207,579	1722.679	5,273			2,417		10,783			(2,636)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/03/2021	319	550,954	1728.884	14,821			6,793		26,783			(7,410)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/03/2020	12/03/2021	7	21,459	2938.98	515			236		883			(258)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/03/2021	29	84,128	2949.63	1,529			701		3,024			(764)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/03/2020	12/03/2021	574	2,104,856	3666.72	83,093			38,084		167,165			(41,546)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/03/2021	1,013	3,746,715	3699.12	149,796			68,657		293,381			(74,898)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/06/2021	108	186,379	1728.884	5,051			2,315		9,075			(2,525)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/06/2021	34	99,840	2949.63	1,695			777		3,336			(848)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/06/2021	561	2,073,721	3699.12	111,396			51,056		236,784			(55,698)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/07/2020	12/07/2021	339	584,046	1724.827	16,353			7,495		29,719			(8,177)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/07/2020	12/07/2021	77	226,262	2942.78	4,488			2,057		9,351			(2,244)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/07/2020	12/07/2021	759	2,800,819	3691.96	92,737			42,505		167,962			(46,369)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/08/2020	12/08/2021	182	315,144	1727.127	8,005			3,669		15,656			(4,002)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/08/2020	12/08/2021	24	71,384	2946.71	1,379			632		2,842			(689)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/08/2020	12/08/2021	581	2,152,135	3702.25	73,672			33,766		135,126			(36,836)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/09/2020	12/09/2021	138	238,490	1727.728	6,105			2,798		11,778			(3,053)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/09/2020	12/09/2021	699	2,567,810	3672.82	72,592			33,271		123,951			(36,296)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/10/2021	558	962,991	1724.798	26,001			11,917		49,090			(13,000)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/10/2020	12/10/2021	304	523,832	1725.428	12,991			5,954		26,526			(6,496)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/10/2021	71	208,617	2942.89	4,009			1,838		8,443			(2,005)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/10/2020	12/10/2021	21	62,612	2943.93	1,196			548		2,500			(598)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/10/2021	1,189	4,354,473	3663.46	183,494			84,101		368,611			(91,747)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/10/2020	12/10/2021	623	2,285,677	3668.1	77,857			35,684		144,159			(38,928)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/13/2021	346	596,637	1724.798	16,169			7,411		30,460			(8,084)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/13/2021	45	131,054	2942.89	2,532			1,161		5,340			(1,266)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/13/2021	301	1,103,214	3663.46	65,338			29,947		144,626			(32,669)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/14/2020	12/14/2021	364	625,827	1720.285	15,771			7,228		33,493			(7,885)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/14/2020	12/14/2021	40	116,612	2935.3	2,033			932		4,657			(1,017)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/14/2020	12/14/2021	545	1,986,550	3647.49	75,704			34,698		146,182			(37,852)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/15/2020	12/15/2021	51	88,554	1726.287	2,488			1,141		4,455			(1,244)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/15/2020	12/15/2021	23	67,887	2945.54	1,548			709		2,692			(774)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/15/2020	12/15/2021	749	2,768,969	3694.62	83,113			38,093		140,001			(41,556)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/16/2020	12/16/2021	389	670,501	1724.708	16,628			7,621		34,315			(8,314)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/16/2020	12/16/2021	3	8,197	2942.81	189			86		332			(94)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/16/2020	12/16/2021	345	1,275,508	3701.17	40,484			18,555		71,550			(20,242)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/17/2021	711	1,227,966	1725.891	31,804			14,577		62,102			(15,902)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/17/2020	12/17/2021	227	391,606	1727.261	9,908			4,541		19,520			(4,954)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/17/2021	82	242,199	2944.82	4,655			2,134		9,622			(2,328)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/17/2020	12/17/2021	48	141,163	2947.14	2,682			1,229		5,543			(1,341)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/17/2021	1,212	4,497,504	3709.41	204,635			93,791		398,867			(102,318)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/17/2020	12/17/2021	563	2,095,668	3722.48	75,828			34,754		138,463			(37,914)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/20/2021	239	412,004	1725.891	10,671			4,891		20,869			(5,335)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/20/2021	562	2,086,143	3709.41	87,984			40,326		165,668			(43,992)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/21/2020	12/21/2021	124	214,451	1723.079	5,576			2,556		11,189			(2,788)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/21/2020	12/21/2021	29	85,151	2940.13	1,710			788		3,700			(860)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/21/2020	12/21/2021	1,054	3,895,044	3694.92	169,455			77,667		325,782			(84,727)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/22/2020	12/22/2021	75	128,942	1720.345	3,275			1,501		6,921			(1,638)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/22/2020	12/22/2021	53	154,184	2935.44	2,850			1,306		6,374			(1,425)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/22/2020	12/22/2021	1,314	4,844,899	3687.26	159,039			72,893		279,436			(79,519)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/23/2020	12/23/2021	266	458,714	1721.803	11,835			5,424		24,272			(5,917)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/23/2021	467	804,776	1723.286	21,005			9,627		41,942			(10,502)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/23/2020	12/23/2021	11	32,012	2938.01	613			281		1,348			(307)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/23/2021	3	8,348	2940.48	173			79		362			(86)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/23/2020	12/23/2021	704	2,599,448	3690.01	74,710			34,242		128,754			(37,355)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/23/2021	564	2,088,093	3703.06	118,985			54,535		252,165			(59,493)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/27/2021	199	343,265	1723.286	8,994			4,122		17,924			(4,497)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/27/2021	204	600,207	2940.48	11,458			5,252		24,081			(5,729)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/27/2021	425	1,573,065	3703.06	92,754			42,512		196,922			(46,377)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/28/2020	12/28/2021	73	125,989	1725.08	3,228			1,479		6,462			(1,614)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/28/2020	12/28/2021	57	168,749	2943.75	3,085			1,414		6,556			(1,543)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/28/2020	12/28/2021	1,032	3,856,082	3735.36	152,108			69,716		278,035			(76,054)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/29/2020	12/29/2021	57	98,452	1722.352	2,609			1,196		5,195			(1,304)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/29/2020	12/29/2021	79	232,203	2939.13	4,820			2,209		9,145			(2,410)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/29/2020	12/29/2021	518	1,929,676	3727.04	72,988			33,453		129,001			(36,494)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/30/2021	362	623,972	1725.166	15,724			7,207		32,007			(7,862)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/30/2021	17	51,223	2943.87	918			421		1,950			(459)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/30/2021	750	2,799,411	3732.04	90,163			41,325		151,537			(45,082)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/31/2021	69	6,800	98	73			33		136			(36)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/31/2021	51	5,138	100	79			36		15			(39)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	12/31/2021	478	825,579	1728.78	22,043			10,103		40,809			(11,021)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	12/31/2021	78	230,047	2950.19	5,239			2,401		9,001			(2,620)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	12/31/2021	1,317	4,947,396	3756.07	197,857			90,685		352,157			(98,929)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	01/03/2022	111	191,582	1728.78	5,115			2,344		9,486			(2,558)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	01/03/2022	12	34,123	2950.19	836			383		1,399			(418)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	01/03/2022	495	1,859,236	3756.07	110,339			50,572		217,107			(55,169)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/04/2021	01/04/2022	16	26,826	1721.169		689		373		1,437			(316)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/04/2021	01/04/2022	105	308,783	2937.32		5,398		2,924		12,159			(2,474)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/04/2021	01/04/2022	614	2,273,288	3700.65		102,611		55,581		193,441			(47,030)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/05/2021	01/05/2022	69	118,979	1724.578		3,010		1,631		6,159			(1,380)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/05/2021	01/05/2022	15	43,303	2943.18		957		518		1,779			(439)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/05/2021	01/05/2022	552	2,057,702	3726.86		78,725		42,643		139,702			(36,082)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/06/2021	01/06/2022	86	149,772	1736.378		4,089		2,215		6,851			(1,874)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/06/2021	01/06/2022	38	113,214	2963.35		2,559		1,386		4,029			(1,173)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/06/2021	01/06/2022	492	1,844,710	3748.14		54,638		29,596		87,402			(25,043)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/07/2022	305	530,984	1738.099		13,381		7,248		23,851			(6,133)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/07/2021	01/07/2022	208	361,025	1738.12		9,098		4,928		16,213			(4,170)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/07/2021	01/07/2022	51	151,887	2966.38		2,886		1,563		5,291			(1,323)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/07/2022	132	390,302	2966.44		7,253		3,929		13,370			(3,324)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/07/2021	01/07/2022	504	1,918,729	3803.79		66,598		36,074		108,576			(30,524)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/07/2022	1,384	5,294,470	3824.68		215,216		116,575		354,552			(98,641)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/10/2022	116	201,091	1738.099		5,088		2,756		9,051			(2,332)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/10/2022	22	65,837	2966.44		1,251		678		2,300			(573)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/10/2022	298	1,141,565	3824.68		54,344		29,436		91,940			(24,907)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/11/2021	01/11/2022	135	234,750	1737.22		5,892		3,192		10,675			(2,701)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/11/2021	01/11/2022	34	100,123	2965.04		1,730		937		3,206			(793)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/11/2021	01/11/2022	716	2,719,571	3799.61		106,493		57,684		177,977			(48,809)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/12/2021	01/12/2022	249	434,208	1741.312		12,028		6,515		18,891			(5,513)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/12/2021	01/12/2022	16	47,040	2972.06		687		372		1,122			(315)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/12/2021	01/12/2022	656	2,491,780	3801.19		83,687		45,331		135,911			(38,357)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/13/2022	162	280,955	1739.01		7,136		3,865		12,547			(3,271)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/13/2022	22	66,291	2968.09		1,111		602		1,995			(509)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/13/2022	679	2,588,605	3809.84		79,342		42,977		124,299			(36,365)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/14/2022	55	5,400	98		53		28		82			(24)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/14/2022	880	1,527,293	1735.766		41,390		22,419		70,692			(18,970)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/14/2021	01/14/2022	115	200,738	1738.899		5,099		2,762		8,982			(2,337)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/14/2022	145	430,584	2962.68		8,054		4,363		15,150			(3,691)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/14/2022	1,980	7,461,440	3768.25		349,801		189,476		629,285			(160,326)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/14/2021	01/14/2022	1,096	4,161,483	3795.54		155,450		84,202		259,806			(71,248)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/17/2022	1	3,811	3768.25		74		40		237			(34)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/18/2022	10	29,655	2962.68		605		328		1,129			(277)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/18/2022	351	1,322,160	3768.25		71,844		38,916		132,788			(32,929)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/19/2021	01/19/2022	176	305,761	1737.031		7,827		4,240		14,007			(3,588)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/19/2021	01/19/2022	7	20,041	2964.99		457		248		715			(209)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/19/2021	01/19/2022	576	2,187,928	3798.91		71,252		38,595		112,783			(32,657)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/20/2021	01/20/2022	215	374,354	1738.724		9,172		4,968		16,851			(4,204)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/20/2021	01/20/2022	47	139,533	2967.91		2,777		1,504		4,391			(1,273)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/20/2021	01/20/2022	724	2,788,387	3851.85		78,329		42,428		113,888			(35,901)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/21/2022	850	1,473,149	1733.354		37,565		20,348		70,261			(17,217)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/21/2021	01/21/2022	200	347,740	1734.96		8,867		4,803		16,307			(4,064)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/21/2022	153	452,901	2958.85		8,714		4,720		17,040			(3,994)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/21/2021	01/21/2022	9	27,094	2961.52		623		338		993			(286)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/21/2022	1,408	5,408,138	3841.47		255,481		138,386		417,749			(117,095)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/21/2021	01/21/2022	442	1,703,515	3853.07		54,505		29,524		82,377			(24,982)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/23/2022	3	11,741	3841.47		251		136		656			(115)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/24/2022	110	190,475	1733.354		4,876		2,641		9,101			(2,235)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/24/2022	14	42,238	2958.85		853		462		1,661			(391)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/24/2022	532	2,045,003	3841.47		121,543		65,836		205,406			(55,707)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/25/2021	01/25/2022	201	348,612	1734.53		8,750		4,740		16,463			(4,010)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/25/2021	01/25/2022	136	402,598	2960.97		7,921		4,290		14,993			(3,630)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/25/2021	01/25/2022	749	2,886,499	3855.36		123,259		66,765		192,345			(56,494)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/26/2021	01/26/2022	190	330,050	1734.437		8,383		4,541		15,612			(3,842)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/26/2021	01/26/2022	1	3,785	2960.85		73		40		140			(33)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/26/2021	01/26/2022	872	3,358,442	3849.62		111,351		60,315		168,471			(51,036)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/27/2022	563	971,481	1725.107		24,848		13,459		50,576			(11,388)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/27/2022	15	45,193	2944.92		868		470		1,870			(398)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/27/2022	865	3,245,973	3750.77		106,545		57,712		167,780			(48,833)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/28/2022	216	21,200	98		231		125		382			(106)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/28/2022	349	600,069	1717.956		15,962		8,646		33,514			(7,316)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/28/2021	01/28/2022	455	786,969	1729.382		20,225		10,955		39,266			(9,270)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/28/2022	119	349,844	2932.87		6,726		3,643		15,692			(3,083)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/28/2022	1,754	6,514,220	3714.24		336,304		182,164		590,725			(154,139)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/28/2021	01/28/2022	726	2,748,905	3787.38		116,429		63,065		187,687			(53,363)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/30/2022	16	58,547	3714.24		1,030		558		4,000			(472)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/31/2022	347	596,887	1717.956		15,997		8,665		33,380			(7,332)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/31/2022	577	2,142,308	3714.24		130,701		70,796		235,682			(59,905)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/01/2021	02/01/2022	183	314,317	1721.431		8,612		5,383		17,007			(3,230)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/01/2021	02/01/2022	54	158,636	2938.9		3,102		1,939		6,939			(1,163)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/01/2021	02/01/2022	951	3,589,072	3773.86		178,833		111,771		301,133			(67,062)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/02/2021	02/02/2022	74	128,232	1726.112		3,001		1,875		6,630			(1,125)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/02/2021	02/02/2022	27	79,198	2946.97		1,806		1,129		3,248			(677)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/02/2021	02/02/2022	773	2,957,573	3826.31		96,921		60,576		146,884			(36,346)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/03/2022	675	1,165,679	1727.005		29,725		18,578		59,765			(11,147)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/03/2022	49	143,853	2948.54		2,805		1,753		5,843			(1,052)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/03/2022	522	2,000,203	3830.17		71,954		44,971		112,045			(26,983)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/04/2022	190	18,600	98		202		126		279			(76)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/04/2022	28	2,800	100		44		28		19			(17)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/04/2021	02/04/2022	456	790,040	1730.797		19,988		12,493		39,009			(7,496)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/04/2022	434	753,874	1735.135		20,505		12,816		35,599			(7,690)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/04/2022	60	178,318	2962.47		3,924		2,452		6,457			(1,471)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/04/2021	02/04/2022	774	2,997,595	3871.74		102,294		63,934		152,763			(38,360)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/04/2022	1,871	7,273,626	3886.83		318,167		198,855		481,069			(119,313)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/07/2022	59	102,402	1735.135		2,806		1,754		4,845			(1,052)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/07/2022	460	1,789,789	3886.83		88,006		55,004		134,146			(33,002)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/08/2021	02/08/2022	272	473,366	1739.6		13,349		8,343		21,385			(5,006)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/08/2021	02/08/2022	19	56,307	2970.18		1,065		666		1,933			(399)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/08/2021	02/08/2022	978	3,827,662	3915.59		195,774		122,359		287,963			(73,415)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/09/2021	02/09/2022	205	356,365	1738.835		9,016		5,635		16,241			(3,381)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/09/2021	02/09/2022	14	41,764	2968.86		829		518		1,513			(311)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/09/2021	02/09/2022	886	3,465,443	3911.23		107,603		67,252		152,421			(40,351)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/10/2022	183	318,922	1740.633		7,909		4,943		14,270			(2,966)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/10/2022	38	113,447	2971.91		2,292		1,432		3,559			(859)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/10/2022	444	1,736,203	3909.88		57,560		35,975		82,004			(21,585)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/11/2022	37	3,600	98		40		25		53			(15)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/11/2021	02/11/2022	817	1,420,315	1739.153		35,508		22,192		64,600			(13,315)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/11/2022	513	892,627	1740.977		24,190		15,119		39,823			(9,071)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/11/2021	02/11/2022	1	2,365	2969.42		38		24		72			(14)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/11/2022	71	210,352	2972.59		4,445		2,778		7,002			(1,667)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/11/2021	02/11/2022	966	3,781,298	3916.38		145,150		90,719		205,882			(54,431)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/11/2022	1,589	6,254,079	3934.83		296,099		185,062		417,773			(111,037)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/12/2022	2	8,631	3934.83		129		80		265			(48)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/13/2022	9	35,023	3934.83		480		300		1,196			(180)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/14/2022	57	99,960	1740.977		2,719		1,699		4,469			(1,020)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/14/2022	682	2,683,831	3934.83		132,163		82,602		186,829			(49,561)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/15/2022	209	364,687	1740.977		9,919		6,200		16,315			(3,720)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/15/2022	457	1,796,486	3934.83		112,818		70,511		160,265			(42,307)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/16/2021	02/16/2022	319	555,151	1738.606		14,156		8,848		25,480			(5,309)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/16/2021	02/16/2022	61	180,058	2968.68		3,530		2,206		6,512			(1,324)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/16/2021	02/16/2022	605	2,378,109	3932.59		78,336		48,960		108,511			(29,376)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/17/2021	02/17/2022	1,350	2,347,248	1739.192		58,681		36,676		107,146			(22,005)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/17/2021	02/17/2022	35	105,239	2969.74		1,821		1,138		3,385			(683)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/17/2021	02/17/2022	494	1,943,528	3931.33		59,709		37,318		82,969			(22,391)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/18/2021	02/18/2022	100	173,873	1737.996		4,416		2,760		8,042			(1,656)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/18/2022	1,336	2,322,516	1738.214		63,213		39,508		107,173			(23,705)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/18/2022	134	398,832	2968.16		8,320		5,200		13,618			(3,120)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/18/2022	2,156	8,421,785	3906.71		429,259		268,287		629,117			(160,972)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/18/2021	02/18/2022	416	1,626,677	3913.97		65,139		40,712		93,343			(24,427)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/19/2022	4	15,697	3906.71		253		158		559			(95)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/22/2021	02/22/2022	83	144,457	1741.204		3,684		2,302		6,479			(1,381)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/22/2021	02/22/2022	96	285,661	2973.38		5,049		3,156		9,084			(1,893)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/22/2021	02/22/2022	766	2,970,111	3876.5		127,325		79,578		192,785			(47,747)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/23/2021	02/23/2022	78	135,876	1742.401		3,506		2,191		6,022			(1,315)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/23/2021	02/23/2022	101	299,399	2975.45		5,411		3,382		9,680			(2,029)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/23/2021	02/23/2022	815	3,162,734	3881.37		108,277		67,673		157,675			(40,604)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/24/2021	02/24/2022	104	181,531	1748.224		4,919		3,075		7,565			(1,845)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/24/2021	02/24/2022	998	3,919,190	3925.43		119,556		74,722		166,728			(44,833)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/24/2021	02/25/2022	241	23,600	98		279		174		219			(105)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/25/2022	541	936,156	1731.505		23,966		14,978		46,447			(8,987)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/25/2021	02/25/2022	906	1,576,118	1739.659		40,349		25,218		71,980			(15,131)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/25/2022	156	461,360	2956.8		8,544		5,340		17,339			(3,204)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/25/2021	02/25/2022	15	43,480	2970.71		661		413		1,134			(248)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/25/2022	1,823	6,947,435	3811.15		316,587		197,867		517,260			(118,720)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/25/2021	02/25/2022	1,267	4,852,022	3829.34		197,404		123,378		309,531			(74,027)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/26/2022	1	4,489	3811.15		61		38		197			(23)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/27/2022	1	4,069	3811.15		61		38		195			(23)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/28/2022	82	142,825	1731.505		3,671		2,294		7,098			(1,376)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/28/2022	745	2,837,514	3811.15		166,347		103,967		282,069			(62,380)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/01/2021	03/01/2022	340	593,210	1743.419		15,127		10,715		26,120			(4,412)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/01/2021	03/01/2022	18	54,000	2977.24		1,062		752		1,876			(310)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/01/2021	03/01/2022	1,193	4,655,109	3901.82		205,225		145,367		307,640			(59,857)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/02/2021	03/02/2022	469	817,153	1742.76		20,919		14,818		36,256			(6,101)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/02/2021	03/02/2022	898	3,474,022	3870.29		123,035		87,150		185,446			(35,885)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/03/2022	366	638,056	1741.125		16,526		11,706		28,816			(4,820)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/03/2022	19	56,007	2973.41		1,283		908		1,971			(374)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/03/2022	810	3,095,174	3819.72		111,871		79,242		177,642			(32,629)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/04/2022	51	5,000	98		58		41		37			(17)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/04/2021	03/04/2022	739	1,280,541	1732.794		33,166		23,493		62,975			(9,673)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/04/2022	1,043	1,823,316	1748.625		46,495		32,934		76,132			(13,561)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/04/2021	03/04/2022	34	101,338	2959.13		1,490		1,055		3,081			(434)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/04/2022	109	325,568	2986.33		6,371		4,513		10,547			(1,858)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/04/2021	03/04/2022	1,243	4,685,787	3768.47		200,299		141,879		337,933			(58,421)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/04/2022	1,661	6,382,109	3841.94		283,968		201,144		454,480			(82,824)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/05/2022	6	23,423	3841.94		391		277		1,188			(114)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/07/2022	56	98,082	1748.625		2,501		1,772		4,105			(729)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/07/2022	31	91,895	2986.33		1,870		1,324		3,067			(545)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/07/2022	556	2,135,289	3841.94		105,339		74,615		169,895			(30,724)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/08/2021	03/08/2022	1,211	2,126,767	1755.804		54,020		38,264		82,390			(15,756)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/08/2021	03/08/2022	90	268,593	2998.59		4,535		3,212		6,900			(1,323)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/08/2021	03/08/2022	1,244	4,753,801	3821.35		187,766		133,001		307,762			(54,765)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/09/2021	03/09/2022	680	1,193,318	1753.627		30,430		21,554		47,389			(8,875)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/09/2021	03/09/2022	16	46,823	2994.92		810		574		1,280			(236)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/09/2021	03/09/2022	677	2,623,857	3875.44		94,681		67,066		145,917			(27,615)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/10/2022	345	607,547	1761.349		15,371		10,888		22,160			(4,483)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/10/2022	30	91,585	3008.17		1,546		1,095		2,222			(451)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/10/2022	831	3,238,673	3898.81		97,253		68,888		140,986			(28,366)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/11/2022	202	20,200	100		331		234		352			(96)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/11/2021	03/11/2022	241	423,626	1760.886		10,591		7,502		15,548			(3,089)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/11/2022	993	1,752,877	1765.434		50,658		35,883		61,081			(14,775)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/11/2021	03/11/2022	6	17,318	3007.35		395		280		481			(115)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/11/2022	129	389,625	3015.15		8,231		5,830		9,712			(2,401)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/11/2021	03/11/2022	832	3,278,603	3939.34		137,963		97,724		201,791			(40,239)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/11/2022	2,002	7,893,204	3943.34		338,091		239,481		489,463			(98,610)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/14/2022	50	88,675	1765.434		2,563		1,815		3,099			(747)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/14/2022	2	4,828	3015.15		117		83		134			(34)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/14/2022	559	2,206,218	3943.34		101,866		72,155		148,021			(29,711)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/15/2021	03/15/2022	249	440,032	1769.094		11,309		8,010		14,758			(3,298)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/15/2021	03/15/2022	56	170,081	3021.44		3,132		2,219		3,988			(914)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/15/2021	03/15/2022	963	3,823,497	3968.94		158,661		112,385		222,505			(46,276)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/16/2021	03/16/2022	427	753,961	1764.857		19,452		13,779		26,582			(5,674)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/16/2021	03/16/2022	11	34,472	3014.29		676		479		915			(197)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/16/2021	03/16/2022	810	3,209,747	3962.71		119,869		84,907		168,826			(34,962)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/17/2021	03/17/2022	408	719,719	1765.906		19,432		13,765		25,097			(5,668)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/17/2021	03/17/2022	698	2,774,594	3974.12		88,215		62,486		121,944			(25,730)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/17/2021	03/18/2022	280	27,400	98		336		238		118			(98)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/18/2022	387	681,504	1761.322		19,150		13,565		25,056			(5,585)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/18/2021	03/18/2022	566	997,758	1763.666		25,742		18,234		35,727			(7,508)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/18/2022	168	505,316	3008.3		9,898		7,011		14,046			(2,887)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/18/2021	03/18/2022	116	349,884	3012.25		7,026		4,977		9,542			(2,049)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/18/2022	2,327	9,104,881	3913.1		478,961		339,264		756,855			(139,697)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/18/2021	03/18/2022	745	2,918,223	3915.46		123,183		87,255		188,076			(35,928)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/21/2022	436	768,496	1761.32		21,595		15,296		28,334			(6,298)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/21/2022	34	103,119	3008.3		2,052		1,454		2,912			(599)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/21/2022	560	2,192,055	3913.1		122,591		86,835		194,836			(35,756)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/22/2021	03/22/2022	169	298,342	1762.833		7,638		5,410		10,826			(2,228)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/22/2021	03/22/2022	78	235,646	3010.89		4,693		3,324		6,541			(1,369)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/22/2021	03/22/2022	1,198	4,721,517	3940.59		212,494		150,517		322,121			(61,977)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/23/2021	03/23/2022	547	961,142	1758.449		24,990		17,701		36,647			(7,289)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/23/2021	03/23/2022	1,449	5,665,595	3910.52		207,819		147,205		316,740			(60,614)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/24/2022	730	1,285,202	1760.594		32,773		23,214		47,902			(9,559)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/24/2022	136	407,910	3007.1		8,778		6,218		10,979			(2,560)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/24/2022	1,121	4,360,171	3889.14		147,733		104,644		227,229			(43,089)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/25/2022	259	25,400	98		292		207		130			(85)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/25/2021	03/25/2022	853	1,507,337	1767.614		37,985		26,906		51,970			(11,079)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/25/2022	927	1,649,314	1778.712		42,552		30,141		49,940			(12,411)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/25/2021	03/25/2022	10	29,639	3019.16		622		441		811			(182)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/25/2022	122	372,110	3038.16		7,400		5,242		8,390			(2,158)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/25/2021	03/25/2022	991	3,874,532	3909.52		197,736		140,063		322,242			(57,673)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/25/2022	1,664	6,613,651	3974.54		310,152		219,691		465,436			(90,461)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/28/2022	89	159,128	1778.712		4,121		2,919		4,836			(1,202)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/28/2022	70	211,635	3038.16		4,325		3,063		4,911			(1,261)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/28/2022	803	3,192,678	3974.54		152,538		108,048		227,110			(44,490)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/29/2021	03/29/2022	497	883,275	1778.411		24,555		17,393		26,972			(7,162)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/29/2021	03/29/2022	35	105,900	3037.7		2,101		1,488		2,408			(613)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/29/2021	03/29/2022	1,455	5,777,437	3971.09		254,410		180,207		387,648			(74,203)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/30/2021	03/30/2022	744	1,322,461	1776.576		33,458		23,700		41,319			(9,759)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/30/2021	03/30/2022	8	23,570	3034.57		556		394		546			(162)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/30/2021	03/30/2022	968	3,833,001	3958.55		138,787		98,308		208,493			(40,480)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/31/2021	03/31/2022	462	819,900	1773.96		23,285		16,494		26,444			(6,792)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/31/2021	03/31/2022	17	52,911	3030.15		1,048		742		1,272			(306)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/31/2021	03/31/2022	898	3,567,910	3972.89		108,964		77,183		154,215			(31,781)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2021	04/01/2022	1,149	2,042,672	1777.153		51,680		40,913		63,537			(10,767)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2021	04/01/2022	104	314,526	3035.69		6,146		4,866		7,118			(1,280)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2021	04/01/2022	3,156	12,687,088	4019.87		537,862		425,808		771,930			(112,055)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2021	04/03/2022	12	49,551	4019.87		1,041		824		1,863			(217)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2021	04/04/2022	118	210,040	1777.153		5,314		4,207		6,556			(1,107)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2021	04/04/2022	5	15,542	3035.69		309		245		360			(64)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2021	04/04/2022	812	3,266,029	4019.87		156,903		124,215		228,692			(32,688)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/05/2021	04/05/2022	415	739,117	1782.875		18,995		15,038		21,581			(3,957)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/05/2021	04/05/2022	224	682,732	3045.55		14,195		11,237		15,351			(2,957)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/05/2021	04/05/2022	1,396	5,694,631	4077.91		218,735		173,165		288,647			(45,570)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/06/2021	04/06/2022	365	650,244	1783.202		16,841		13,333		18,936			(3,509)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/06/2021	04/06/2022	8	25,837	3046.14		452		358		482			(94)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/06/2021	04/06/2022	685	2,789,984	4073.94		94,263		74,625		122,995			(19,638)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/07/2021	04/07/2022	858	1,526,340	1779.807		39,685		31,417		46,340			(8,268)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/07/2021	04/07/2022	38	114,090	3040.39		1,971		1,561		2,183			(411)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/07/2021	04/07/2022	972	3,964,287	4079.95		119,042		94,241		152,479			(24,800)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/07/2021	04/08/2022	218	21,400	98		249		197		118			(52)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/08/2021	04/08/2022	153	271,899	1778.783		7,640		6,049		8,365			(1,592)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/08/2022	652	1,161,959	1782.531		32,651		25,849		34,196			(6,802)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/08/2021	04/08/2022	1	4,516	3038.7		95		75		94			(20)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/08/2022	133	404,387	3045.17		8,286		6,560		9,073			(1,726)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/08/2021	04/08/2022	849	3,476,639	4097.17		154,657		122,437		200,160			(32,220)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/08/2022	2,031	8,387,371	4128.8		317,245		251,153		386,342			(66,093)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/09/2022	1	2,579	4128.8		43		34		72			(9)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/10/2022	5	18,973	4128.8		438		347		728			(91)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/11/2022	281	501,028	1782.531		14,079		11,146		14,800			(2,933)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/11/2022	10	28,951	3045.17		605		479		667			(126)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2021	04/11/2022	583	2,408,938	4128.8		107,465		85,077		131,041			(22,389)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/12/2021	04/12/2022	311	555,742	1785.372		15,116		11,967		15,888			(3,149)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/12/2021	04/12/2022	118	360,515	3050.11		7,535		5,965		8,004			(1,570)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/12/2021	04/12/2022	799	3,297,062	4127.99		145,927		115,526		176,983			(30,401)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/13/2021	04/13/2022	426	758,904	1782.379		19,732		15,621		22,512			(4,111)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/13/2021	04/13/2022	31	94,665	3045.04		2,046		1,620		1,950			(426)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/13/2021	04/13/2022	861	3,565,301	4141.59		120,752		95,596		144,509			(25,157)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/14/2021	04/14/2022	224	22,000	98		239		189		125			(50)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/14/2021	04/14/2022	554	987,930	1783.241		25,785		20,413		29,044			(5,372)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/15/2021	04/14/2022	449	803,236	1787.831		21,045		16,660		22,350			(4,384)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2021	04/14/2022	2,016	3,613,143	1792.589		92,858		73,512		94,858			(19,345)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/15/2021	04/14/2022	132	403,627	3054.42		7,139		5,652		7,136			(1,487)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2021	04/14/2022	117	357,927	3062.52		6,887		5,452		6,503			(1,435)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/14/2021	04/14/2022	614	2,531,433	4124.66		69,398		54,940		84,364			(14,458)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/15/2021	04/14/2022	1,059	4,416,955	4170.42		178,780		141,534		203,079			(37,246)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2021	04/14/2022	1,706	7,142,203	4185.47		316,359		250,451		348,518			(65,908)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2021	04/18/2022	547	980,459	1792.589		25,296		20,026		25,885			(5,270)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2021	04/18/2022	2	5,536	3062.52		118		94		113			(25)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2021	04/18/2022	606	2,534,703	4185.47		118,936		94,158		131,007			(24,778)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/19/2021	04/19/2022	227	406,169	1792.077		11,535		9,132		10,805			(2,403)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/19/2021	04/19/2022	6	17,698	3061.74		361		286		349			(75)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/19/2021	04/19/2022	814	3,389,380	4163.26		122,510		96,987		139,825			(25,523)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/20/2021	04/20/2022	210	375,549	1791.967		9,914		7,849		10,018			(2,066)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/20/2021	04/20/2022	12	37,566	3061.59		793		628		764			(165)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/20/2021	04/20/2022	945	3,905,794	4134.94		132,522		104,913		155,601			(27,609)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/21/2021	04/21/2022	243	436,569	1800.153		11,656		9,228		10,537			(2,428)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/21/2021	04/21/2022	12	37,894	3075.62		883		699		656			(184)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/21/2021	04/21/2022	984	4,104,898	4173.42		120,772		95,611		136,683			(25,161)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/22/2021	04/22/2022	241	433,466	1795.345		11,444		9,059		11,125			(2,384)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2021	04/22/2022	1,231	2,214,933	1799.826		57,699		45,678		53,763			(12,021)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/22/2021	04/22/2022	9	27,272	3067.51		477		378		421			(99)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2021	04/22/2022	82	252,016	3075.16		4,753		3,763		4,020			(990)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/22/2021	04/22/2022	614	2,539,637	4134.98		117,530		93,045		140,061			(24,485)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2021	04/22/2022	1,580	6,604,511	4180.17		315,499		249,770		346,917			(65,729)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2021	04/25/2022	127	228,384	1799.826		5,917		4,685		5,569			(1,233)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2021	04/25/2022	35	107,078	3075.16		2,046		1,619		1,730			(426)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2021	04/25/2022	652	2,725,741	4180.17		140,601		111,309		154,451			(29,292)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/26/2021	04/26/2022	464	833,217	1797.069		24,247		19,195		21,054			(5,051)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/26/2021	04/26/2022	8	24,573	3070.49		499		395		449			(104)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/26/2021	04/26/2022	739	3,095,641	4187.62		144,423		114,335		157,752			(30,088)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/27/2021	04/27/2022	557	1,001,419	1797.494		26,041		20,616		25,209			(5,425)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/27/2021	04/27/2022	1	2,948	3071.22		51		41		45			(11)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/27/2021	04/27/2022	1,069	4,474,620	4186.72		141,794		112,254		157,061			(29,540)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/28/2021	04/28/2022	470	845,107	1796.685		22,057		17,462		21,518			(4,595)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/28/2021	04/28/2022	9	28,327	3069.91		599		474		474			(125)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/28/2021	04/28/2022	773	3,234,543	4183.18		97,527		77,209		109,610			(20,318)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2021	04/29/2022	257	462,409	1800.736		12,115		9,591		11,214			(2,524)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/29/2021	04/29/2022	457	824,001	1804.332		23,566		18,657		19,102			(4,910)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2021	04/29/2022	93	287,243	3076.89		6,559		5,193		4,782			(1,367)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/29/2021	04/29/2022	27	84,294	3082.94		1,849		1,464		1,309			(385)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2021	04/29/2022	1,638	6,848,758	4181.17		327,312		259,122		359,418			(68,190)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/29/2021	04/29/2022	618	2,603,484	4211.47		104,536		82,758		110,709			(21,778)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2021	05/01/2022	1	4,150	4181.17		95		76		140			(20)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2021	05/02/2022	96	172,253	1800.736		4,547		3,600		4,196			(947)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2021	05/02/2022	12	36,947	3076.89		931		737		671			(194)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2021	05/02/2022	501	2,096,237	4181.17		95,145		75,323		104,737			(19,822)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/03/2021	05/03/2022	254	459,240	1808.487		12,078		10,568		10,163			(1,510)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/03/2021	05/03/2022	1,122	4,703,526	4192.66		174,968		153,097		191,279			(21,871)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/04/2021	05/04/2022	412	746,516	1812.882		19,857		17,375		15,638			(2,482)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/04/2021	05/04/2022	15	46,797	3097.74		1,012		886		730			(127)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/04/2021	05/04/2022	721	3,004,552	4164.66		109,175		95,528		123,635			(13,647)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/05/2021	05/05/2022	472	856,971	1815.107		22,795		19,946		17,470			(2,849)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/05/2021	05/05/2022	43	133,741	3101.57		2,982		2,609		1,808			(373)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/05/2021	05/05/2022	767	3,198,414	4167.59		92,353		80,809		105,517			(11,544)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/06/2021	05/06/2022	114	208,059	1819.129		6,075		5,316		4,030			(759)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2021	05/06/2022	1,176	2,144,511	1823.258		55,586		48,638		39,315			(6,948)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2021	05/06/2022	72	224,926	3115.64		5,068		4,434		2,726			(633)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/06/2021	05/06/2022	640	2,688,272	4201.62		89,090		77,954		96,169			(11,136)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2021	05/06/2022	1,533	6,489,328	4232.6		272,281		238,246		279,507			(34,035)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2021	05/07/2022	2	10,463	4232.6		239		209		283			(30)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2021	05/09/2022	152	276,267	1823.258		7,092		6,205		5,094			(886)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2021	05/09/2022	4	13,187	3115.64		314		275		169			(39)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2021	05/09/2022	505	2,135,831	4232.6		95,850		83,869		98,149			(11,981)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/10/2021	05/10/2022	597	1,091,005	1827.243		28,912		25,298		19,103			(3,614)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/10/2021	05/10/2022	8	24,323	3122.54		552		483		287			(69)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/10/2021	05/10/2022	1,210	5,065,934	4188.43		195,106		170,717		218,805			(24,388)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/11/2021	05/11/2022	299	542,907	1817.683		14,441		12,636		10,816			(1,805)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/11/2021	05/11/2022	5	15,290	3106.16		297		260		176			(37)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/11/2021	05/11/2022	945	3,924,195	4152.1		121,009		105,883		139,501			(15,126)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/12/2021	05/12/2022	430	775,553	1802.846		20,630		18,051		18,680			(2,579)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/12/2021	05/12/2022	30	93,017	3080.89		1,764		1,543		1,473			(220)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/12/2021	05/12/2022	746	3,032,958	4063.04		95,564		83,618		119,341			(11,945)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/12/2021	05/13/2022	457	44,800	98		515		451		256			(64)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/13/2021	05/13/2022	221	402,056	1816.024		10,654		9,323		8,213			(1,332)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2021	05/13/2022	817	1,486,900	1820.97		39,403		34,477		28,474			(4,925)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/13/2021	05/13/2022	1	4,090	3103.47		82		72		59			(10)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2021	05/13/2022	52	160,519	3111.93		3,824		3,346		2,188			(478)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/13/2021	05/13/2022	485	1,996,422	4112.5		92,701		81,114		113,491			(11,588)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2021	05/13/2022	1,252	5,224,492	4173.85		248,483		217,423		280,823			(31,060)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2021	05/16/2022	305	555,681	1820.97		14,781		12,933		10,700			(1,848)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2021	05/16/2022	20	61,112	3111.93		1,548		1,354		885			(193)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2021	05/16/2022	438	1,828,302	4173.85		96,458		84,401		109,138			(12,057)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/17/2021	05/17/2022	1,035	1,882,170	1819.387		49,878		43,643		37,065			(6,235)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/17/2021	05/17/2022	776	3,231,991	4163.29		139,213		121,811		158,379			(17,402)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/18/2021	05/18/2022	603	1,093,387	1814.122		28,975		25,353		23,082			(3,622)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/18/2021	05/18/2022	42	129,751	3100.36		2,906		2,543		1,873			(363)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/18/2021	05/18/2022	839	3,463,954	4127.83		130,151		113,882		157,183			(16,269)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/19/2021	05/19/2022	660	1,195,176	1810.728		31,075		27,190		26,380			(3,884)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/19/2021	05/19/2022	9	29,334	3094.54		531		465		408			(66)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/19/2021	05/19/2022	1,025	4,217,313	4115.68		122,517		107,203		143,824			(15,315)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/20/2021	05/20/2022	242	438,763	1815.273		12,856		11,249		9,158			(1,607)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2021	05/20/2022	564	1,023,930	1816.034		29,899		26,161		21,165			(3,737)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/20/2021	05/20/2022	2	6,050	3102.38		123		107		89			(15)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2021	05/20/2022	175	542,928	3103.69		11,221		9,819		7,218			(1,403)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2021	05/20/2022	1,482	6,159,271	4155.86		297,297		260,135		342,647			(37,162)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/20/2021	05/20/2022	687	2,856,824	4159.12		107,868		94,385		123,494			(13,484)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2021	05/23/2022	180	326,270	1816.034		9,527		8,336		6,778			(1,191)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2021	05/23/2022	9	26,775	3103.69		664		581		419			(83)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2021	05/23/2022	701	2,914,546	4155.86		142,123		124,358		163,739			(17,765)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/24/2021	05/24/2022	576	1,047,882	1817.67		27,559		24,114		21,356			(3,445)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/24/2021	05/24/2022	18	54,863	3106.57		1,340		1,172		828			(167)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/24/2021	05/24/2022	1,188	4,986,012	4197.05		199,447		174,516		219,357			(24,931)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/25/2021	05/25/2022	567	1,029,580	1815.59		27,284		23,873		21,582			(3,410)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/25/2021	05/25/2022	7	21,105	3103.08		492		430		313			(61)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/25/2021	05/25/2022	909	3,808,818	4188.13		116,477		101,917		129,225			(14,560)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/26/2021	05/26/2022	807	1,464,934	1815.809		38,821		33,968		30,673			(4,853)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/26/2021	05/26/2022	70	217,673	3103.49		4,112		3,598		3,033			(514)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/26/2021	05/26/2022	1,029	4,316,213	4195.99		125,164		109,519		137,806			(15,646)				
UST 1.625% 05/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/26/2021	05/27/2022	116	11,400	98		150		132		98			(19)				

STATEMENT AS OF JUNE 30, 2021 OF THE EAGLE LIFE INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/27/2021	05/27/2022	286	519,372	1817.991		13,815		12,088		10,595			(1,727)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2021	05/27/2022	1,033	1,878,337	1819.178		49,964		43,718		37,741			(6,245)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/27/2021	05/27/2022	40	125,307	3107.26		2,190		1,916		1,379			(274)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2021	05/27/2022	311	966,642	3109.31		20,929		18,313		12,739			(2,616)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/27/2021	05/27/2022	341	1,433,453	4200.88		41,097		35,960		45,339			(5,137)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2021	05/27/2022	1,914	8,045,168	4204.11		364,661		319,079		411,181			(45,583)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2021	05/30/2022	6	25,263	4204.11		584		511		760			(73)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2021	05/31/2022	154	279,717	1819.178		7,468		6,535		5,659			(934)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2021	05/31/2022	24	76,038	3109.31		1,972		1,726		1,191			(247)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2021	05/31/2022	663	2,789,238	4204.11		140,648		123,067		159,523			(17,581)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/01/2021	06/01/2022	747	1,360,503	1820.546		36,325		34,812		27,094			(1,514)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/01/2021	06/01/2022	59	182,735	3111.73		3,775		3,618		2,677			(157)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/01/2021	06/01/2022	1,050	4,410,592	4202.04		147,241		141,106		163,969			(6,135)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/02/2021	06/02/2022	336	612,262	1821.855		16,286		15,608		12,011			(679)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/02/2021	06/02/2022	47	145,878	3114.01		2,624		2,515		1,595			(109)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/02/2021	06/02/2022	831	3,496,210	4208.12		99,777		95,619		108,766			(4,157)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/03/2021	06/03/2022	245	446,815	1820.546		11,519		11,039		8,929			(480)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2021	06/03/2022	367	669,615	1825.404		17,812		17,070		12,572			(742)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2021	06/03/2022	106	329,660	3120.09		6,176		5,919		4,025			(257)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/03/2021	06/03/2022	597	2,507,377	4202.04		98,167		94,077		107,776			(4,090)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2021	06/03/2022	1,225	5,179,818	4229.89		224,013		214,679		238,489			(9,334)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2021	06/04/2022	10	41,011	4229.89		878		841		1,060			(37)				

STATEMENT AS OF JUNE 30, 2021 OF THE EAGLE LIFE INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2021	06/06/2022	34	62,263	1825.404		1,662		1,593		1,175			(69)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2021	06/06/2022	222	693,904	3120.09		14,065		13,479		9,336			(586)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2021	06/06/2022	742	3,136,898	4229.89		147,524		141,377		157,145			(6,147)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/07/2021	06/07/2022	542	987,040	1822.296		26,453		25,350		19,421			(1,102)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/07/2021	06/07/2022	6	19,843	3114.84		418		400		282			(17)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/07/2021	06/07/2022	837	3,536,797	4226.52		148,241		142,064		157,736			(6,177)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/08/2021	06/08/2022	426	776,332	1822.668		21,039		20,162		15,229			(877)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/08/2021	06/08/2022	11	33,458	3115.53		677		649		456			(28)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/08/2021	06/08/2022	1,091	4,610,997	4227.26		138,050		132,298		147,013			(5,752)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/09/2021	06/09/2022	215	390,300	1819.18		10,499		10,062		8,015			(437)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/09/2021	06/09/2022	41	126,423	3109.54		3,171		3,039		1,914			(132)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/09/2021	06/09/2022	880	3,713,271	4219.55		124,477		119,290		133,263			(5,187)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/10/2021	06/10/2022	644	1,171,904	1819.916		31,641		30,323		23,884			(1,318)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2021	06/10/2022	593	1,079,615	1821.8		28,934		27,728		21,486			(1,206)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2021	06/10/2022	78	242,675	3114.12		4,752		4,554		3,206			(198)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/10/2021	06/10/2022	598	2,535,564	4239.18		97,613		93,546		103,116			(4,067)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2021	06/10/2022	1,034	4,393,488	4247.44		196,859		188,657		208,171			(8,202)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2021	06/13/2022	7	13,311	1821.8		358		343		266			(15)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2021	06/13/2022	28	87,435	3114.12		1,746		1,673		1,175			(73)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2021	06/13/2022	685	2,909,067	4247.44		127,509		122,197		134,762			(5,313)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/14/2021	06/14/2022	242	439,611	1818.006		11,597		11,114		9,236			(483)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/14/2021	06/14/2022	10	32,455	3107.68		693		664		515			(29)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/14/2021	06/14/2022	783	3,332,558	4255.15		138,368		132,603		142,251			(5,765)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/15/2021	06/15/2022	937	1,704,146	1818.858		45,842		43,931		35,481			(1,910)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/15/2021	06/15/2022	27	83,371	3109.15		1,299		1,245		895			(54)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/15/2021	06/15/2022	536	2,277,127	4246.59		78,985		75,694		82,113			(3,291)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/16/2021	06/16/2022	597	1,081,741	1811.297		29,315		28,094		24,747			(1,221)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/16/2021	06/16/2022	12	36,713	3096.19		804		770		566			(33)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/16/2021	06/16/2022	890	3,758,488	4223.7		111,771		107,114		117,089			(4,657)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2021	06/17/2022	1,033	1,847,348	1788.808		49,324		47,269		54,922			(2,055)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/17/2021	06/17/2022	276	497,733	1802.874		13,538		12,974		12,604			(564)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2021	06/17/2022	68	209,123	3057.71		4,107		3,936		4,425			(171)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/17/2021	06/17/2022	8	23,998	3081.81		533		511		419			(22)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2021	06/17/2022	1,460	6,084,637	4166.45		273,583		262,184		318,361			(11,399)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/17/2021	06/17/2022	698	2,947,805	4221.86		108,912		104,374		117,799			(4,538)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2021	06/20/2022	53	162,446	3057.71		3,463		3,319		3,742			(144)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2021	06/20/2022	496	2,065,106	4166.45		107,628		103,144		126,698			(4,485)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/21/2021	06/21/2022	497	895,595	1803.47		24,181		23,174		22,641			(1,008)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/21/2021	06/21/2022	40	124,558	3082.81		2,615		2,506		2,360			(109)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/21/2021	06/21/2022	1,122	4,739,027	4224.79		166,865		159,912		177,613			(6,953)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/22/2021	06/22/2022	278	501,979	1804.869		13,503		12,941		12,501			(563)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/22/2021	06/22/2022	24	73,289	3085.2		1,578		1,512		1,249			(66)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/22/2021	06/22/2022	838	3,559,149	4246.44		116,230		111,387		120,375			(4,843)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/23/2021	06/23/2022	360	648,919	1802.028		17,391		16,666		16,726			(725)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/23/2021	06/23/2022	14	43,403	3080.39		1,100		1,054		859			(46)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/23/2021	06/23/2022	414	1,757,981	4241.84		54,405		52,138		56,944			(2,267)				
UST 1.625% 05/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/23/2021	06/24/2022	53	5,200	98		64		62		57			(3)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/24/2021	06/24/2022	535	965,340	1804.97		25,775		24,701					(1,074)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2021	06/24/2022	1,732	3,133,269	1809.529		84,912		81,374		74,062			(3,538)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2021	06/24/2022	124	384,751	3093.16		8,863		8,494		6,428			(369)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/24/2021	06/24/2022	708	3,021,973	4266.49		108,071		103,568		110,900			(4,503)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2021	06/24/2022	1,343	5,747,951	4280.7		234,694		224,915		189,358			(9,779)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2021	06/27/2022	141	255,846	1809.529		6,933		6,645		6,073			(289)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2021	06/27/2022	2	6,735	3093.16		169		162		121			(7)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2021	06/27/2022	548	2,344,961	4280.7		101,916		97,670		102,814			(4,247)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/28/2021	06/28/2022	989	1,786,930	1807.536		48,068		46,066		43,483			(2,003)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/28/2021	06/28/2022	63	194,973	3089.81		4,146		3,974		3,578			(173)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/28/2021	06/28/2022	674	2,892,435	4290.61		105,138		100,758		103,333			(4,381)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/29/2021	06/29/2022	322	581,102	1806.504		15,574		14,925		14,332			(649)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/29/2021	06/29/2022	25	75,896	3088.06		1,625		1,548		1,455			(75)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/29/2021	06/29/2022	1,150	4,933,614	4291.8		151,034		144,740		148,862			(6,293)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/30/2021	06/30/2022	263	476,298	1808.479		12,860		12,324		11,494			(536)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/30/2021	06/30/2022	51	157,615	3091.47		3,624		3,473		2,665			(151)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/30/2021	06/30/2022	471	2,022,505	4297.5		61,994		59,411		60,197			(2,583)				
0209999999. Subtotal - Purchased Options - Hedging Other - Other										17,669,921	26,428,089		24,447,193	XXX	80,326,017			(15,243,767)			XXX	XXX
0219999999. Subtotal - Purchased Options - Hedging Other										17,669,921	26,428,089		24,447,193	XXX	80,326,017			(15,243,767)			XXX	XXX
0289999999. Subtotal - Purchased Options - Replications														XXX							XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation														XXX							XXX	XXX
0429999999. Subtotal - Purchased Options - Other														XXX							XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
0439999999. Total Purchased Options - Call Options and Warrants														XXX							XXX	XXX
0449999999. Total Purchased Options - Put Options														XXX							XXX	XXX
0459999999. Total Purchased Options - Caps														XXX							XXX	XXX
0469999999. Total Purchased Options - Floors														XXX							XXX	XXX
0479999999. Total Purchased Options - Collars														XXX							XXX	XXX
0489999999. Total Purchased Options - Other										17,669,921	26,428,089		24,447,193	XXX	80,326,017			(15,243,767)			XXX	XXX
0499999999. Total Purchased Options										17,669,921	26,428,089		24,447,193	XXX	80,326,017			(15,243,767)			XXX	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other														XXX							XXX	XXX
0779999999. Subtotal - Written Options - Replications														XXX							XXX	XXX
0849999999. Subtotal - Written Options - Income Generation														XXX							XXX	XXX
0919999999. Subtotal - Written Options - Other														XXX							XXX	XXX
0929999999. Total Written Options - Call Options and Warrants														XXX							XXX	XXX
0939999999. Total Written Options - Put Options														XXX							XXX	XXX
0949999999. Total Written Options - Caps														XXX							XXX	XXX
0959999999. Total Written Options - Floors														XXX							XXX	XXX
0969999999. Total Written Options - Collars														XXX							XXX	XXX
0979999999. Total Written Options - Other														XXX							XXX	XXX
0989999999. Total Written Options														XXX							XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other														XXX							XXX	XXX
1229999999. Subtotal - Swaps - Replication														XXX							XXX	XXX
1289999999. Subtotal - Swaps - Income Generation														XXX							XXX	XXX
1349999999. Subtotal - Swaps - Other														XXX							XXX	XXX
1359999999. Total Swaps - Interest Rate														XXX							XXX	XXX
1369999999. Total Swaps - Credit Default														XXX							XXX	XXX
1379999999. Total Swaps - Foreign Exchange														XXX							XXX	XXX
1389999999. Total Swaps - Total Return														XXX							XXX	XXX
1399999999. Total Swaps - Other														XXX							XXX	XXX
1409999999. Total Swaps														XXX							XXX	XXX
1479999999. Subtotal - Forwards														XXX							XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments														XXX							XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1709999999. Subtotal - Hedging Other										17,669,921	26,428,089		24,447,193	XXX	80,326,017			(15,243,767)			XXX	XXX
1719999999. Subtotal - Replication														XXX							XXX	XXX
1729999999. Subtotal - Income Generation														XXX							XXX	XXX
1739999999. Subtotal - Other														XXX							XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives														XXX							XXX	XXX
1759999999 - Totals										17,669,921	26,428,089		24,447,193	XXX	80,326,017			(15,243,767)			XXX	XXX

(a)

Code	Description of Hedged Risk(s)

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1	2	3	Counterparty Offset		Book/Adjusted Carrying Value			Fair Value			12	13
Description of Exchange, Counterparty or Central Clearinghouse	Master Agreement (Y or N)	Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	5 Present Value of Financing Premium	6 Contracts With Book/Adjusted Carrying Value >0	7 Contracts With Book/Adjusted Carrying Value <0	8 Exposure Net of Collateral	9 Contracts With Fair Value >0	10 Contracts With Fair Value <0	11 Exposure Net of Collateral	Potential Exposure	Off-Balance Sheet Exposure
0199999999 - Aggregate Sum of Exchange Traded Derivatives	XXX	XXX	XXX									
AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY 85LNZLR1WR9SYRVFCH09	Y	N			24,447,193		24,447,193	80,326,017		80,326,017		
0299999999. Total NAIC 1 Designation					24,447,193		24,447,193	80,326,017		80,326,017		
0899999999. Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)												
0999999999 - Gross Totals					24,447,193		24,447,193	80,326,017		80,326,017		
1. Offset per SSAP No. 64												
2. Net after right of offset per SSAP No. 64					24,447,193							

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
Depository		Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6 First Month	7 Second Month	8 Third Month	*
CITIBANK	NEW YORK, NY					4,515,198	1,474,401	11,051,798	XXX
JPMORGAN CHASE BANK	NEW YORK, NY					1,364,281	6,875,276	2,260,696	XXX
STATE STREET BANK	BOSTON, MA					9,759,092	7,226,586	100,452	XXX
WEST BANK	WEST DES MOINES, IA					(13,758,044)	(10,971,928)	(9,494,227)	XXX
0199998. Deposits in ... 1 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories		XXX	XXX					27,392	XXX
0199999. Totals - Open Depositories		XXX	XXX			1,880,526	4,604,334	3,946,111	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories		XXX	XXX						XXX
0299999. Totals - Suspended Depositories		XXX	XXX						XXX
0399999. Total Cash on Deposit		XXX	XXX			1,880,526	4,604,334	3,946,111	XXX
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash		XXX	XXX			1,880,526	4,604,334	3,946,111	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds								
1099999. Total - All Other Government Bonds								
1799999. Total - U.S. States, Territories and Possessions Bonds								
2499999. Total - U.S. Political Subdivisions Bonds								
3199999. Total - U.S. Special Revenues Bonds								
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds								
4899999. Total - Hybrid Securities								
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								
6099999. Subtotal - SVO Identified Funds								
6599999. Subtotal - Unaffiliated Bank Loans								
7699999. Total - Issuer Obligations								
7799999. Total - Residential Mortgage-Backed Securities								
7899999. Total - Commercial Mortgage-Backed Securities								
7999999. Total - Other Loan-Backed and Structured Securities								
8099999. Total - SVO Identified Funds								
8199999. Total - Affiliated Bank Loans								
8299999. Total - Unaffiliated Bank Loans								
8399999. Total Bonds								
09248U-70-0	BLACKROCK PROVIDENT T-FUND MONEY MARKET					132,146,000		28,048
31607A-70-3	Fidelity Government Portfolio MONEY MARKET					58,363,684	488	5,114
38141W-25-7	GOLDMAN SACHS GOLDMAN SACHS SQ GOVT SERVICE					52,417		764
38141W-27-3	GOLDMAN SACHS MONEY MARKET					130,200,000		32,098
4812C0-67-0	JPMorgan US Government Money M MONEY MARKET					164,576,000	3,402	18,714
857492-70-6	STATE STREET INST US GOVT FUND MONEY MARKET					281,401,971		65,840
949921-12-6	Wells Fargo Government Money M MONEY MARKET					129,573,000		28,426
8699999. Subtotal - All Other Money Market Mutual Funds						896,313,072	3,890	179,004
9999999 - Total Cash Equivalents						896,313,072	3,890	179,004