ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	1,296,506,600		1,296,506,600	1,313,703,746
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens	311,599,497		311,599,497	311,535,134
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less $ .... encumbrances)				
4.2 Properties held for the production of income (less $ .... encumbrances)				
4.3 Properties held for sale (less $ .... encumbrances)				
5. Cash ($ 3,907,700), cash equivalents ($ 587,330,186) and short-term investments ($ 83,270)	591,321,156		591,321,156	1,291,720,749
6. Contract loans (including $ .... premium notes)				
7. Derivatives	21,977,403		21,977,403	20,948,399
8. Other invested assets	1,104,083		1,104,083	1,094,087
9. Receivables for securities	19,014,951		19,014,951	2,341,088
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	2,241,523,690		2,241,523,690	2,941,343,203
13. Title plants less $ .... charged off (for Title insurers only)				
14. Investment income due and accrued	11,730,941		11,730,941	11,796,794
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	323,413	323,413		
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ .... earned but unbilled premiums)				
15.3 Accrued retrospective premiums ($ ....) and contracts subject to redetermination ($ ....)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	37,569,968		37,569,968	3,353,918
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	13,299,417	5,061,516	8,237,901	15,557,434
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	3,643	3,643		
21. Furniture and equipment, including health care delivery assets ($ ....)	4,165	4,165		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care ($ ....) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	225,854	225,209	645	11,265
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	2,304,681,092	5,617,946	2,299,063,146	2,972,062,614
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	2,304,681,092	5,617,946	2,299,063,146	2,972,062,614
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. MISCELLANEOUS ASSETS	225,854	225,209	645	11,265
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	225,854	225,209	645	11,265

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts $ 1,928,328,241 less $ .... included in Line 6.3 (including $ .... Modco Reserve)	1,928,328,241	2,625,193,159
2.	Aggregate reserve for accident and health contracts (including $ .... Modco Reserve)		
3.	Liability for deposit-type contracts (including $ .... Modco Reserve)	938,204	805,715
4.	Contract claims:		
	4.1 Life	10,807,050	9,763,268
	4.2 Accident and health		
5.	Policyholders' dividends/refunds to members $ .... and coupons $ .... due and unpaid		
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
	6.1 Policyholders' dividends and refunds to members apportioned for payment (including $ .... Modco)		
	6.2 Policyholders' dividends and refunds to members not yet apportioned (including $ .... Modco)		
	6.3 Coupons and similar benefits (including $ .... Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less $ .... discount; including $ .... accident and health premiums		
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of $ .... accident and health experience rating refunds of which $ .... is for medical loss ratio rebate per the Public Health Service Act		
	9.3 Other amounts payable on reinsurance, including $ .... assumed and $ .... ceded		
	9.4 Interest Maintenance Reserve	2,365,238	2,325,467
10.	Commissions to agents due or accrued-life and annuity contracts $ 2,184,643, accident and health $ .... and deposit-type contract funds $	2,184,643	1,630,280
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued	750,101	854,909
13.	Transfers to Separate Accounts due or accrued (net) (including $ .... accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes	611,454	20,362
15.1	Current federal and foreign income taxes, including $ .... on realized capital gains (losses)	18,986,921	18,992,251
15.2	Net deferred tax liability		
16.	Unearned investment income		
17.	Amounts withheld or retained by reporting entity as agent or trustee	66,671	132,915
18.	Amounts held for agents' account, including $ .... agents' credit balances		
19.	Remittances and items not allocated	23,527,297	52,784,508
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money $ .... and interest thereon $		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve	8,365,483	7,263,030
	24.02 Reinsurance in unauthorized and certified ($ ....) companies		
	24.03 Funds held under reinsurance treaties with unauthorized and certified ($ ....) reinsurers		
	24.04 Payable to parent, subsidiaries and affiliates	252,110	420,087
	24.05 Drafts outstanding		
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.08 Derivatives		
	24.09 Payable for securities	6,674,772	3,746,166
	24.10 Payable for securities lending		
	24.11 Capital notes $ .... and interest thereon $		
25.	Aggregate write-ins for liabilities	17,227,346	985,652
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	2,021,085,531	2,724,917,770
27.	From Separate Accounts Statement		
28.	Total liabilities (Lines 26 and 27)	2,021,085,531	2,724,917,770
29.	Common capital stock	2,500,000	2,500,000
30.	Preferred capital stock		
31.	Aggregate write-ins for other than special surplus funds		
32.	Surplus notes		
33.	Gross paid in and contributed surplus	209,013,711	208,762,882
34.	Aggregate write-ins for special surplus funds		
35.	Unassigned funds (surplus)	66,463,904	35,881,962
36.	Less treasury stock, at cost:		
	36.1 .... shares common (value included in Line 29 $ ....)		
	36.2 .... shares preferred (value included in Line 30 $ ....)		
37.	Surplus (Total Lines 31+32+33+34+35-36) (including $ .... in Separate Accounts Statement)	275,477,615	244,644,844
38.	Totals of Lines 29, 30 and 37	277,977,615	247,144,844
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	2,299,063,146	2,972,062,614
	DETAILS OF WRITE-INS		
2501.	AMOUNT DUE REINSURERS	17,227,346	985,652
2502.			
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page		
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	17,227,346	985,652
3101.			
3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page		
3199.	Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page		
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts	(715,541,873)	93,263,175	1,218,351,797
2.	Considerations for supplementary contracts with life contingencies			
3.	Net investment income	47,276,257	24,642,189	75,491,920
4.	Amortization of Interest Maintenance Reserve (IMR)	(95,102)	27,987	(360,376)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses			
6.	Commissions and expense allowances on reinsurance ceded	37,774,544	2,236,754	5,834,435
7.	Reserve adjustments on reinsurance ceded			
8.	Miscellaneous Income:			
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
	8.2 Charges and fees for deposit-type contracts			
	8.3 Aggregate write-ins for miscellaneous income			
9.	Totals (Lines 1 to 8.3)	(630,586,174)	120,170,106	1,299,317,777
10.	Death benefits			
11.	Matured endowments (excluding guaranteed annual pure endowments)			
12.	Annuity benefits	4,875,611	2,367,167	13,403,929
13.	Disability benefits and benefits under accident and health contracts			
14.	Coupons, guaranteed annual pure endowments and similar benefits			
15.	Surrender benefits and withdrawals for life contracts	7,658,808	8,210,480	42,429,267
16.	Group conversions			
17.	Interest and adjustments on contract or deposit-type contract funds	10,824	2,327	24,062
18.	Payments on supplementary contracts with life contingencies	12,933	12,933	53,719
19.	Increase in aggregate reserves for life and accident and health contracts	(696,864,918)	97,334,323	1,174,292,968
20.	Totals (Lines 10 to 19)	(684,306,742)	107,927,231	1,230,203,945
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	27,980,971	6,651,072	37,933,543
22.	Commissions and expense allowances on reinsurance assumed	17,992	18,736	67,298
23.	General insurance expenses and fraternal expenses	3,179,970	1,826,473	7,507,924
24.	Insurance taxes, licenses and fees, excluding federal income taxes	1,188,789	566,683	1,900,420
25.	Increase in loading on deferred and uncollected premiums			
26.	Net transfers to or (from) Separate Accounts net of reinsurance			
27.	Aggregate write-ins for deductions			
28.	Totals (Lines 20 to 27)	(651,939,020)	116,990,195	1,277,613,129
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	21,352,846	3,179,911	21,704,648
30.	Dividends to policyholders and refunds to members			
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	21,352,846	3,179,911	21,704,648
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	60,952	1,233,729	19,466,264
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	21,291,894	1,946,181	2,238,384
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $ (61,997) (excluding taxes of $ (4,285) transferred to the IMR)	(194,015)	(178,554)	(2,201,662)
35.	Net income (Line 33 plus Line 34)	21,097,879	1,767,627	36,722
	CAPITAL AND SURPLUS ACCOUNT			
36.	Capital and surplus, December 31, prior year	247,144,844	207,931,798	207,931,798
37.	Net income (Line 35)	21,097,879	1,767,627	36,722
38.	Change in net unrealized capital gains (losses) less capital gains tax of $ 147,542	555,038	79,000	(754,450)
39.	Change in net unrealized foreign exchange capital gain (loss)			
40.	Change in net deferred income tax	(8,068,863)	466,199	14,815,384
41.	Change in nonadmitted assets	1,065,966	406,761	(4,563,282)
42.	Change in liability for reinsurance in unauthorized and certified companies			
43.	Change in reserve on account of change in valuation basis, (increase) or decrease			
44.	Change in asset valuation reserve	(1,102,453)	(623,200)	(419,201)
45.	Change in treasury stock			
46.	Surplus (contributed to) withdrawn from Separate Accounts during period			
47.	Other changes in surplus in Separate Accounts Statement			
48.	Change in surplus notes			
49.	Cumulative effect of changes in accounting principles			
50.	Capital changes:			
	50.1 Paid in			
	50.2 Transferred from surplus (Stock Dividend)			
	50.3 Transferred to surplus			
51.	Surplus adjustment:			
	51.1 Paid in			30,000,000
	51.2 Transferred to capital (Stock Dividend)			
	51.3 Transferred from capital			
	51.4 Change in surplus as a result of reinsurance			
52.	Dividends to stockholders			
53.	Aggregate write-ins for gains and losses in surplus	17,285,204	8,695	97,872
54.	Net change in capital and surplus for the year (Lines 37 through 53)	30,832,771	2,105,082	39,213,046
55.	Capital and surplus, as of statement date (Lines 36 + 54)	277,977,615	210,036,879	247,144,844
	DETAILS OF WRITE-INS			
08.301.				
08.302.				
08.303.				
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page			
08.399.	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)			
2701.				
2702.				
2703.				
2798.	Summary of remaining write-ins for Line 27 from overflow page			
2799.	Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)			
5301.	REINSURANCE ON IN-FORCE BUSINESS	17,034,375		
5302.	STOCK BASED COMPENSATION	250,829	8,695	97,872
5303.				
5398.	Summary of remaining write-ins for Line 53 from overflow page			
5399.	Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	17,285,204	8,695	97,872

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	146,408,279	93,263,175	1,218,351,797
2. Net investment income	59,479,507	34,238,537	120,605,993
3. Miscellaneous income			
4. Total (Lines 1 to 3)	205,887,786	127,501,712	1,338,957,790
5. Benefit and loss related payments	11,503,570	12,633,165	53,821,426
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	(737,029)	6,915,571	39,739,730
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of $ .... tax on capital gains (losses)			7,381,452
10. Total (Lines 5 through 9)	10,766,541	19,548,735	100,942,608
11. Net cash from operations (Line 4 minus Line 10)	195,121,245	107,952,977	1,238,015,183
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	40,567,258	54,957,507	301,086,065
12.2 Stocks			
12.3 Mortgage loans	4,928,002	1,045,334	8,198,841
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	45,495,260	56,002,841	309,284,906
13. Cost of investments acquired (long-term only):			
13.1 Bonds	23,941,591	64,099,547	146,940,365
13.2 Stocks			
13.3 Mortgage loans	4,380,023	36,550,000	166,125,283
13.4 Real estate			
13.5 Other invested assets	15,671		15,038
13.6 Miscellaneous applications	12,828,540	13,613,540	47,013,390
13.7 Total investments acquired (Lines 13.1 to 13.6)	41,165,825	114,263,087	360,094,076
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	4,329,435	(58,260,246)	(50,809,170)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			30,000,000
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities	121,666	(18,548)	398,664
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(899,971,939)	4,468,865	48,117,738
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(899,850,273)	4,450,316	78,516,402
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(700,399,593)	54,143,048	1,265,722,415
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	1,291,720,749	25,998,334	25,998,335
19.2 End of period (Line 18 plus Line 19.1)	591,321,156	80,141,382	1,291,720,749

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. STOCK BASED COMPENSATION	250,829	8,695	97,872

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance			
3. Ordinary individual annuities	1,114,423,574	110,691,665	1,252,767,517
4. Credit life (group and individual)			
5. Group life insurance			
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)			
9. A & H - other			
10. Aggregate of all other lines of business			
11. Subtotal (Lines 1 through 10)	1,114,423,574	110,691,665	1,252,767,517
12. Fraternal (Fraternal Benefit Societies Only)			
13. Subtotal (Lines 11 through 12)	1,114,423,574	110,691,665	1,252,767,517
14. Deposit-type contracts			
15. Total (Lines 13 and 14)	1,114,423,574	110,691,665	1,252,767,517
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Iowa Department of Commerce, Insurance Division (the "Insurance Division").

The Insurance Division recognizes only statutory accounting practices prescribed or permitted by the State of Iowa for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under Iowa Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the Insurance Division. The Company differs from NAIC SAP via application of Iowa Administrative Code Section 191-97 ("IAC 191-97"), *Accounting for Derivative Instruments Used to Hedge the Growth in Interest Credited for Indexed Insurance Products and Accounting for the Indexed Insurance Products Reserve*, a prescribed practice issued by the Insurance Division. Under this prescribed practice, call option derivative instruments that hedge the growth in interest credited on index products are accounted for at amortized cost with the corresponding amortization recorded as a decrease to net investment income and indexed annuity reserves are calculated based on Standard Valuation Law and Guideline 35 assuming the market value of the call options associated with the current index term is zero regardless of the observable market value for such options.

The Company also has a prescribed practice under Iowa Administrative Code 191 - Chapter 43 *"Annuity Mortality Tables For Use In Determining Reserve Liabilities for Annuities"* ("IAC 191-43") which allows the use of the Annuity 2000 Mortality Table for determining the minimum standard of valuation for annuities issued during 2015. The Company began using the 2012 IAR Mortality Table for annuities issued on or after January 1, 2016. NAIC SAP requires the use of the 2012 IAR Mortality Table for determining the minimum standard of valuation for annuities issued on or after January 1, 2015.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Insurance Division is shown below:

NET INCOME	SSAP #	F/S Page	F/S Line #	March 31 2021	December 31, 2020
Net Income, Iowa basis				$ 21,097,879	$ 36,722
(1) State Prescribed Practices that increase/(decrease) NAIC SAP:					
IAC 191-97					
(a) Net investment income - derivatives	86	4	3	1,561,677	(6,679,038)
(b) Change in reserves	51	4	19	(9,525,230)	29,978,438
IAC 191-43					
(c) Change in reserves	51	4	19	4,256	23,396
(2) Net Income, NAIC SAP (1-a-b-c=2)				$ 29,057,176	$ (23,286,074)
SURPLUS					
Statutory surplus, Iowa basis				$ 277,977,615	$ 247,144,844
(3) State Prescribed Practices that increase/(decrease) NAIC SAP:					
IAC 191-97					
(a) Derivative instruments	86	2, 4	7, 3	(78,895,424)	(88,254,679)
(b) Reserves for indexed annuities	51	3, 4	1, 19	63,412,737	72,937,967
(c) Tax impact	101	2	18.2	3,251,364	3,216,509
IAC 191-43					
(d) Change in Reserves	51	3, 4	1, 19	739,395	735,139
(e) Tax impact	101	2	18.2	(155,273)	(154,379)
(4) Statutory surplus, NAIC SAP (3-a-b-c-d-e=4)				$ 289,624,816	$ 258,664,287

B. Use of Estimates in the Preparation of the Financial Statements

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

C. Accounting Policy

1. No significant changes have occurred in disclosure from December 31, 2020.
2. Investments in bonds rated NAIC 1-5 (including loan-backed securities) are reported at cost adjusted for amortization of premiums or discounts. Bonds with NAIC 6 ratings are reported at the lower of amortized cost or fair value. Amortization is computed using methods which result in a level yield over the life of the security. The Company reviews its prepayment assumptions on mortgage and other asset-backed securities at regular intervals and adjusts amortization rates retrospectively when such assumptions are changed due to experience and/or expected future patterns.

   Realized capital gains and losses are recorded on the trade date and determined on the basis of specific identification and are recorded net of related federal income taxes and amounts transferred to the Interest Maintenance Reserve ("IMR"). Under a formula prescribed by the NAIC, the Company defers, in the IMR, the portion of realized gains and losses on sales of fixed income investments, principally bonds, attributable to changes in the general level of interest rates and amortizes those deferrals over the remaining period to maturity of the security. The Asset Valuation Reserve ("AVR") is established by the Company to provide for anticipated losses in the event of default by issuers of certain invested assets. The AVR is determined using a formula that is intended to establish a reserve to offset potential credit-related investment losses.

   The carrying values of all investments are reviewed on an ongoing basis for credit deterioration. An other than temporary impairment shall be considered to have occurred if it is probable that the reporting entity will be unable to collect all amounts due according to the contractual terms of a debt security in effect at the date of acquisition. If this review indicates a decline in fair value that is other than temporary, the carrying amount of the investment, other than loan-backed and structured securities, is reduced to its fair value and a specific other than temporary impairment is taken. A decline in fair value which is other than temporary includes situations where the Company has made a decision to sell a security prior to its maturity at an amount below its carrying value. Such reductions in carrying values are recognized as realized losses on investments.

3. No significant changes have occurred in disclosure from December 31, 2020.

4. No significant changes have occurred in disclosure from December 31, 2020.

5. No significant changes have occurred in disclosure from December 31, 2020.

6. If the fair value of a loan-backed or structured security is less than its amortized cost at the reporting date, the Company assesses whether the impairment is other than temporary. If the Company intends to sell the loan-backed or structured security, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

   If the Company does not intend to sell the loan-backed or structured security, the Company assesses whether it has the intent and ability to retain the investment in the security for a period of time sufficient to recover the amortized cost. If the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

   If the Company does not expect to recover the amortized cost basis of the security, it is unable to assert that it will recover its amortized cost even if it does not intend to sell the security and the entity has the intent and ability hold such security. Therefore, in those situations, an other than temporary impairment is considered to have occurred and a loss is recognized in an amount equal to the excess of amortized cost over the present value of cash flows expected to be collected, discounted at the loan-backed or structured security's effective interest rate.

   Interest income is recognized on an accrual basis. Dividend income is recognized when declared. The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain.

7. No significant changes have occurred in disclosure from December 31, 2020.

8. No significant changes have occurred in disclosure from December 31, 2020.

9. No significant changes have occurred in disclosure from December 31, 2020.

10. No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

11. No significant changes have occurred in disclosure from December 31, 2020.

12. No significant changes have occurred in disclosure from December 31, 2020.

13. No significant changes have occurred in disclosure from December 31, 2020.

D. Going Concern

The Company's management has evaluated its ability to continue as a going concern and does not have substantial doubt the entity will continue as a going concern.

2 - ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS:

No significant changes have occurred in disclosure from December 31, 2020.

3 - BUSINESS COMBINATIONS AND GOODWILL:

No significant changes have occurred in disclosure from December 31, 2020.

4 - DISCONTINUED OPERATIONS:

No significant changes have occurred in disclosure from December 31, 2020.

5 - INVESTMENTS:

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant changes have occurred in disclosure from December 31, 2020.

B. Debt Restructuring

No significant changes have occurred in disclosure from December 31, 2020.

C. Reverse Mortgages

No significant changes have occurred in disclosure from December 31, 2020.

D. Loan-Backed Securities

1. Prepayment assumptions for loan-backed securities were obtained from third party rating agencies and/or third party loan servicers.

2. For the three months ended March 31, 2021, the Company did not recognize other than temporary impairments ("OTTI") on its loan-backed securities on the basis of the intent to sell and on the basis of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.

3. The Company did not have any loan-backed securities for which the present value of cash flows expected to be collected was less than amortized cost for the three months ended March 31, 2021.

4. For loan-backed and structured securities with unrealized losses as of March 31, 2021, the gross unrealized losses and fair value, aggregated by length of time that individual securities have been in a continuous unrealized loss position are summarized as follows:

a.	Aggregate amount of unrealized loss:		
	Less than 12 months	$	589,418
	12 months or longer	$	4,014,809
b.	Aggregate related fair value of securities with unrealized losses:		
	Less than 12 months	$	71,560,509
	12 months or longer	$	106,491,001

NOTES TO FINANCIAL STATEMENTS

5. The process for evaluating loan-backed securities is based on a number of factors. The primary consideration in the evaluation process is the issuer's ability to meet current and future interest and principal payments as contractually stated at the time of purchase. The review of these securities includes an analysis of the cash flow modeling under various default scenarios considering independent third party benchmarks, the seniority of the specific tranche within the structure of the security, the composition of the collateral and the actual default, loss severity and prepayment experience exhibited. With the input of third party assumptions for default projections, loss severity and prepayment expectations, the Company evaluates the cash flow projections to determine whether the security is performing in accordance with its contractual obligations.

   At March 31, 2021, the Company had no exposure to subprime loan-backed securities. Substantially all of the owned securities are in the highest rated tranche of the pool in which they are structured and are not subordinated to any other tranche.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

1. No significant changes have occurred in disclosure from December 31, 2020.

2. No significant changes have occurred in disclosure from December 31, 2020.

3. The Company has not pledged as collateral any assets as part of a repurchase agreement or securities lending transaction during the three months ended March 31, 2021.

4. No significant changes have occurred in disclosure from December 31, 2020.

5. No significant changes have occurred in disclosure from December 31, 2020.

6. No significant changes have occurred in disclosure from December 31, 2020.

7. No significant changes have occurred in disclosure from December 31, 2020.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any repurchase agreements transactions accounted for as secured borrowing as of March 31, 2021.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing as of March 31, 2021.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any repurchase agreements transactions accounted for as a sale as of March 31, 2021.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any reverse repurchase agreements transactions accounted for as a sale as of March 31, 2021.

J. Real Estate

No significant changes have occurred in disclosure from December 31, 2020.

K. Low Income Housing Credits

No significant changes have occurred in disclosure from December 31, 2020.

L. Restricted Assets

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

M. Working Capital Finance Investments

The Company did not have any working capital finance investments as of March 31, 2021.

N. Offsetting and Netting of Assets and Liabilities

The Company did not have any offsetting and netting of assets and liabilities as of March 31, 2021.

O. 5GI Securities

No significant changes have occurred in disclosure from December 31, 2020.

P. Short Sales

The Company did not have unsettled and settled short sale transactions outstanding as of March 31, 2021.

Q. Prepayment Penalty and Acceleration Fees:

	General Account	Separate Accounts
(1) Number of CUSIPS	19	—
(2) Aggregate Amount of Investment Income	$ 145,304	$ —

R. Reporting Entity's Share of cash Pool by Asset type

Asset Type	Percent Share
(1) Cash	0.7 %
(2) Cash Equivalents	99.3 %
(3) Short-Term Investments	— %
(4) Total	100.0 %

6 - JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES:

No significant changes have occurred in disclosure from December 31, 2020.

7 - INVESTMENT INCOME:

No significant changes have occurred in disclosure from December 31, 2020.

8 - DERIVATIVE INSTRUMENTS:

In accordance with IAC 191-97, call option derivative instruments that hedge the growth in interest credited on index products are accounted for at amortized cost with the corresponding amortization recorded as a decrease to net investment income.

For the three months ended March 31, 2021, proceeds received upon expiration of options and amortization of options (see Note 1 Derivative Instruments) included as components of net investment income were as follows:

	3/31/2021
Proceeds received upon expiration	$ 45,099,982
Amortization	(11,799,530)
	33,300,452

9 - INCOME TAXES:

A. No significant changes have occurred in disclosure from December 31, 2020.

B. No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

C. Current income taxes incurred consist of the following major components:

1. Current Income Tax

		3/31/2021	12/31/2020	Change
(a)	Federal	$ 60,952	19,466,264	$ (19,405,312)
(b)	Foreign	—	—	—
(c)	Subtotal	60,952	19,466,264	(19,405,312)
(d)	Federal income tax expense (benefit) on net capital gains/losses	(66,282)	(472,304)	406,022
(e)	Utilization of capital loss carry-forwards	—	—	—
(f)	Other - Stock based compensation	—	—	—
(g)	Federal & Foreign income tax incurred	$ (5,330)	18,993,960	$ (18,999,290)

2. No significant changes have occurred in disclosure from December 31, 2020.

3. No significant changes have occurred in disclosure from December 31, 2020.

4. No significant changes have occurred in disclosure from December 31, 2020.

D. Analysis of Actual Income Tax Expense

As of March 31, 2021, income tax expense differs from the amount obtained by applying the federal statutory rate of 21% to pretax net income for the following reasons:

	Amounts	Effective Tax Rate (%)
Provisions computed at statutory rate	$ 4,417,816	21.00 %
Nondeductible expenses	13,019	0.06 %
IMR	19,971	0.09 %
Nonadmitted Assets	35,510	0.17 %
Change in surplus as a result of reinsurance	3,577,219	17.00 %
Other	(2)	— %
Total	$ 8,063,533	38.32 %
Federal income tax incurred	$ (5,330)	
Change in net deferred income taxes	8,068,863	
Total statutory income tax	$ 8,063,533	38.32 %

E. Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits

No significant changes have occurred in disclosure from December 31, 2020.

F. Consolidated Federal Income Tax Return

No significant changes have occurred in disclosure from December 31, 2020.

G. Federal or Foreign Federal Income Tax Loss Contingencies

No significant changes have occurred in disclosure from December 31, 2020.

H. Repatriation Transition Tax (RTT)

No significant changes have occurred in disclosure from December 31, 2020.

I. Alternative Minimum Tax (AMT) Credit

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

10 - INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES:

On January 5, 2021 American Equity Investment Life Holding Company established a new, wholly owned LLC for the purpose of providing investment advice and making investment decisions.

11 - DEBT:

A. No significant changes have occurred in disclosure from December 31, 2020.

B. The Company does not have any FHLB agreements for the three months ended March 31, 2021.

12 - RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYEMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS:

A. The Company does not participate in a defined benefit plan.

B-I. No significant changes have occurred in disclosure from December 31, 2020.

13 - CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS:

The change in unassigned funds (surplus) due to unrealized losses net of tax was $555,038 for the three months ended March 31, 2021.

14 - LIABILITIES, CONTINGENCIES AND ASSESSMENTS:

No significant changes have occurred in disclosure from December 31, 2020.

15 - LEASES:

No significant changes have occurred in disclosure from December 31, 2020.

16 - INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK:

1. The table below summarizes the face amount of the Company's financial instruments with off-balance sheet risk as of the period indicated:

	Assets		Liabilities	
	3/31/2021	**12/31/2020**	**3/31/2021**	**12/31/2020**
Options	21,977,403	$ 20,948,399	—	—
Total	$ 21,977,403	20,948,399	$ —	$ —

2.-4. No significant changes have occurred in disclosure from December 31, 2020.

17 - SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES:

A. No significant changes have occurred in disclosure from December 31, 2020.

B. The company did not have any transactions surrounding the transfers and servicing of financial assets during the three months ended March 31, 2021.

C. In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date. During the three months ended March 31, 2021, the Company did not have any transactions qualifying as wash sales.

18 - GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS:

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

19 - DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS:

No significant changes have occurred in disclosure from December 31, 2020.

20 - FAIR VALUE MEASUREMENTS:

A.-C.

There were no financial liabilities measured at fair value at the reporting date of March 31, 2021.

Transfers within levels are recognized on the actual date the event or change in circumstances caused the transfer. There were no significant transfers between Level 1 and Level 2 during the three months ended March 31, 2021.

The following table represents the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries and joint ventures). The fair values are also categorized into the three level fair value hierarchy.

Type of Financial Instrument	Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)	NAV
Assets:							
Bonds	$ 1,372,687,016	$ 1,296,506,600	$ 3,658,691	$ 1,369,028,325	$ —	$ —	$ —
Mortgage loans on real-estate	309,564,209	311,599,497	—	—	309,564,209	—	—
Other invested assets	1,246,680	1,104,083	—	1,221,168	25,512	—	—
Cash and cash equivalents	591,237,885	591,237,885	591,237,885	—	—	—	—
Short-term investments	83,270	83,270	—	83,270	—		
Derivatives	100,872,827	21,977,403	—	100,872,827	—	—	—
Liabilities:							
Policy benefit	$ 1,903,488,131	$ 1,928,767,487	$ —	$ —	$ 1,903,488,131	$ —	$ —

D. The Company does not have any assets where is it not practicable to estimate fair value as of March 31, 2021.

E. The Company does not have any assets measured using the NAV practical expedient as of March 31, 2021.

21 - OTHER ITEMS:

No significant changes have occurred in disclosure from December 31, 2020.

22 - EVENTS SUBSEQUENT:

Subsequent events have been considered through May 13, 2021 for the statutory statement issued on May 13, 2021. The Company did not have any subsequent events to report.

23 - REINSURANCE:

Effective January 1, 2020, the Company entered into a coinsurance agreement with its Parent in which the Company is ceding 100% of the risk associated with certain fixed index annuities. On January 1, 2021, this agreement was amended to include 100% of certain of the Company's single premium deferred annuity (SPDA) business for policies issued on or after January 1, 2021 and all policies in-force on December 31, 2020. This coinsurance agreement as of and for the period ended March 31, 2021 is summarized as follows:

NOTES TO FINANCIAL STATEMENTS

Income Statement		March 31, 2021
Annuity Considerations	$	1,827,258,743
Commission and Expense Allowance		30,610,924
Benefits and Surrenders Ceded		2,144,175

Balance Sheet		
Reserve Credit	$	1,796,808,195
Surplus		17,034,375

A ceding commission of $23,000,000 was paid to Eagle Life for the reinsurance of the in-force block of SPDA business. The ceding commission, net of tax, was reported directly to surplus and will be amortized into income over the life the business.

24 - RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION:

A-D. No significant changes have occurred in disclosure from December 31, 2020.

E. The Company did not have any risk-sharing provisions of the Affordable Care Act for the three months ended March 31, 2021.

25 - CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES:

The Company did not have any changes in incurred losses attributable to insured events of prior years as of March 31, 2021.

26 - INTERCOMPANY POOLING ARRANGEMENTS:

No significant changes have occurred in disclosure from December 31, 2020.

27 - STRUCTURED SETTLEMENTS:

No significant changes have occurred in disclosure from December 31, 2020.

28 - HEALTH CARE RECEIVABLES:

No significant changes have occurred in disclosure from December 31, 2020.

29 - PARTICIPATING POLICIES:

No significant changes have occurred in disclosure from December 31, 2020.

30 - PREMIUM DEFICIENCY RESERVES:

No significant changes have occurred in disclosure from December 31, 2020.

31 - RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS:

No significant changes have occurred in disclosure from December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

32 - ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE LIABILITIES BY WITHDRAWAL CHARACTERISTICS:

A. INDIVIDUAL ANNUITIES:

1. Subject to discretionary withdrawal	General Account	Separate Account with Guarantees	Separate Account Non-guaranteed	Amount	% of Total
a. With fair value adjustment	$ 4,431,441,157	$ —	$ —	$ 4,431,441,157	68.6 %
b. At book value less current surrender charge of 5% or more	1,184,642,375	—	—	1,184,642,375	18.3 %
c. At fair value	—	—	—	—	— %
d. Total with adjustment or at market value (Total of 1 through 3)	5,616,083,532	—	—	5,616,083,532	86.9 %
e. At book value without adjustment (minimal or no charge or adjustment)	845,408,999	—	—	845,408,999	13.1 %
2. Not subject to discretionary withdrawal	944,000	—	—	944,000	— %
3. Total (gross)	6,462,436,531	—	—	6,462,436,531	100.0 %
4. Reinsurance ceded	4,534,108,289	—	—	4,534,108,289	
5. Total (net)*(C-D)	$ 1,928,328,242	$ —	$ —	$ 1,928,328,242	
6. Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date	$ 527,544,530	$ —	$ —	$ 527,544,530	

B. GROUP ANNUITIES:

The Company did not have any Group Annuities as of March 31, 2021.

C. DEPOSIT-TYPE CONTRACTS (NO LIFE CONTINGENCIES):

1. Subject to discretionary withdrawal	General Account	Separate Account with Guarantees	Separate Account Non-guaranteed	Amount	% of Total
a. With fair value adjustment	$ —	$ —	$ —	$ —	— %
b. At book value less current surrender charge of 5% or more	—	—	—	—	— %
c. At fair value	—	—	—	—	— %
d. Total with adjustment or at market value (Total of 1 through 3)	—	—	—	—	— %
e. At book value without adjustment (minimal or no charge or adjustment)	—	—	—	—	— %
2. Not subject to discretionary withdrawal	1,535,429	—	—	1,535,429	100.0 %
3. Total (gross)	1,535,429	—	—	1,535,429	100.0 %
4. Reinsurance ceded	597,225	—	—	597,225	
5. Total (net)*(C-D)	$ 938,204	$ —	$ —	$ 938,204	
6. Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date	$ —	$ —	$ —	$ —	

NOTES TO FINANCIAL STATEMENTS

D.

Life, Accident and Health Annual Statement:		Amount
(1) Exhibit 5 - Annuities Section, Total (net)	$	1,927,829,284
(2) Exhibit 5 - Supplementary Contracts with Life Contingencies Section, Total (net)		498,958
(3) Exhibit 7 - Deposit-Type Contracts, Line 14, Column 1		938,204
(4) Subtotal		1,929,266,446
Separate Accounts Annual Statement:		
(5) Exhibit 3, Line 299999, Column 2		—
(6) Exhibit 3, Line 399999, Column 2		—
(7) Policyholder dividend and coupon accumulations		—
(8) Policyholder premiums		—
(9) Guaranteed interest contracts		—
(10) Other contract deposit funds		—
(11) Subtotal		—
(12) Combined Total	$	1,929,266,446

33 - ANALYSIS OF LIFE ACTUARIAL RESERVES BY WITHDRAWAL CHARACTERISTICS:

No significant changes have occurred in disclosure from December 31, 2020.

34 - PREMIUMS AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED:

No significant changes have occurred in disclosure from December 31, 2020.

35 - SEPARATE ACCOUNTS:

No significant changes have occurred in disclosure from December 31, 2020.

36 - LOSS/CLAIM ADJUSTMENT EXPENSES:

No significant changes have occurred in disclosure from December 31, 2020.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No [ ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.
American Equity Investment Life Holding Company established a new company for the purpose of providing investment services and advice.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No [ ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001039828

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/25/2020

6.4 By what department or departments?
DEPARTMENT OF COMMERCE, DIVISION OF INSURANCE, STATE OF IOWA

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $

13. Amount of real estate and mortgages held in short-term investments: $

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$	$
14.22	Preferred Stock	$	$
14.23	Common Stock	$	$
14.24	Short-Term Investments	$	$
14.25	Mortgage Loans on Real Estate	$	$
14.26	All Other	$	$
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$	$
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$	$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [X] No [ ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [X] No [ ] N/A [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. $

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 $

16.3 Total payable for securities lending reported on the liability page. $

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
STATE STREET BANK & TRUST	225 LIBERTY STREET, 2 WORLD FINANCIAL CENTER, NEW YORK, NY 10281

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
AMERICAN EQUITY HOLDING CO	A
ARES CAPITAL MANAGEMENT III LLC	U
BARINGS LLC	U
METLIFE	U
PRETIUM MORTGAGE CREDIT MANAGEMENT, LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
	AMERICAN EQUITY HOLDING CO	549300RK5RZQ740FPL83	SEC	DS
168076	ARES CAPITAL MANAGEMENT III LLC	549300N5FJT73X2E7715	SEC #801-78341	NO
106006	BARINGS LLC	ANDKRHQKPRRG4Q2KLR05	SEC #801-241	NO
142463	METLIFE INVESTMENT MANAGEMENT, LLC	EAU072Q8FCR1S0XGYJ21	SEC #801-67314	NO
171026	PRETIUM MORTGAGE CREDIT MANAGEMENT, LLC	549300E8PSWPQKEDX975	SEC #801-79924	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

		1 Amount
1.	Report the statement value of mortgage loans at the end of this reporting period for the following categories:	
	1.1 Long-Term Mortgages In Good Standing	
	1.11 Farm Mortgages	$ 29,656,100
	1.12 Residential Mortgages	$ 80,750,897
	1.13 Commercial Mortgages	$ 199,568,728
	1.14 Total Mortgages in Good Standing	$ 309,975,725
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms	
	1.21 Total Mortgages in Good Standing with Restructured Terms	$
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months	
	1.31 Farm Mortgages	$
	1.32 Residential Mortgages	$ 1,623,772
	1.33 Commercial Mortgages	$
	1.34 Total Mortgages with Interest Overdue more than Three Months	$ 1,623,772
	1.4 Long-Term Mortgage Loans in Process of Foreclosure	
	1.41 Farm Mortgages	$
	1.42 Residential Mortgages	$
	1.43 Commercial Mortgages	$
	1.44 Total Mortgages in Process of Foreclosure	$
1.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$ 311,599,497
1.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
	1.61 Farm Mortgages	$
	1.62 Residential Mortgages	$
	1.63 Commercial Mortgages	$
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	$
2.	Operating Percentages:	
	2.1 A&H loss percent	%
	2.2 A&H cost containment percent	%
	2.3 A&H expense percent excluding cost containment expenses	%
3.1	Do you act as a custodian for health savings accounts?	Yes [ ] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	$
3.3	Do you act as an administrator for health savings accounts?	Yes [ ] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date	$
4.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes [X] No [ ]
4.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes [ ] No [ ]

Fraternal Benefit Societies Only:

5.1	In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?	Yes [ ] No [ ] N/A [ ]
5.2	If no, explain:	
6.1	Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?	Yes [ ] No [ ]
6.2	If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?	

Date	Outstanding Lien Amount

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

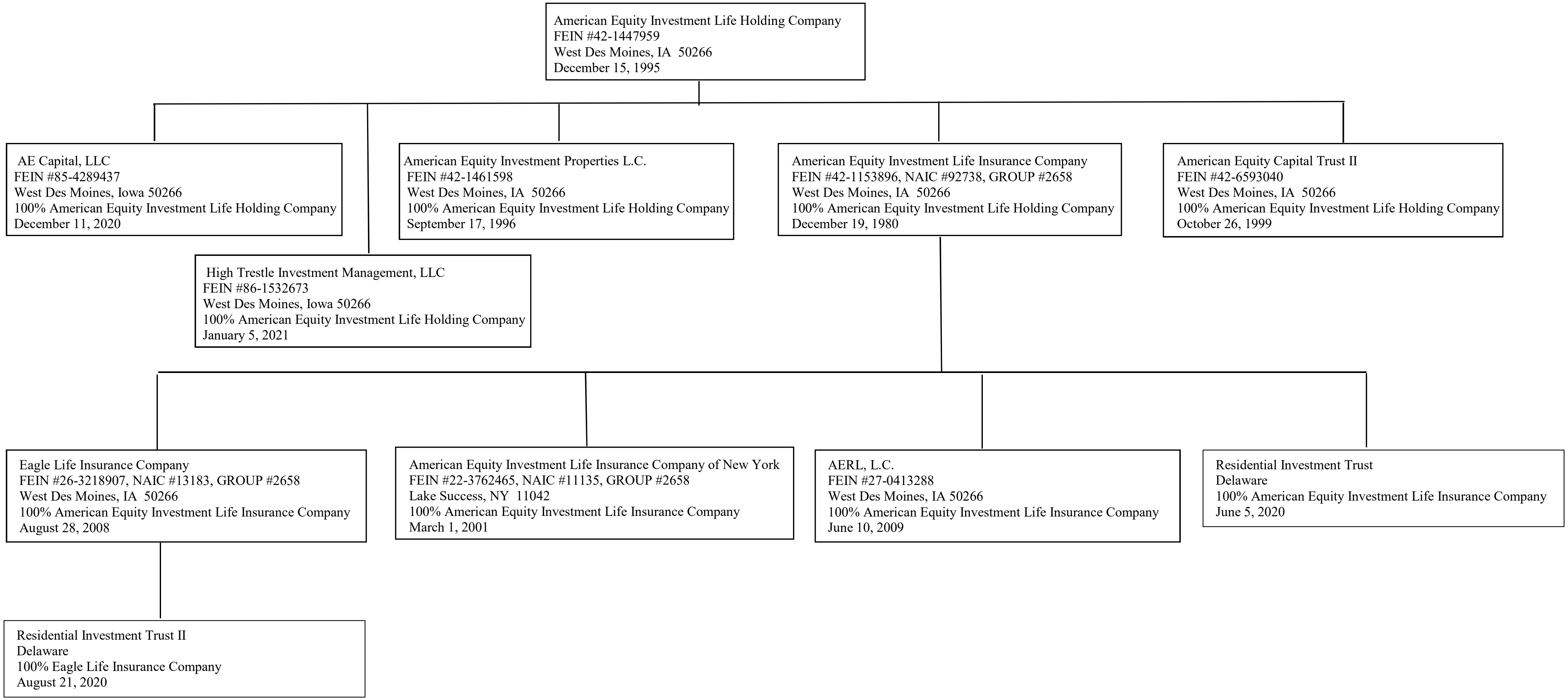
Current Year To Date - Allocated by States and Territories

		Direct Business Only					
	1	Life Contracts		4	5	6	7
		2	3				
States, Etc.	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts
1. Alabama AL	L		67,234,169			67,234,169	
2. Alaska AK	L		1,615,941			1,615,941	
3. Arizona AZ	L		23,852,938			23,852,938	
4. Arkansas AR	L		5,680,724			5,680,724	
5. California CA	L		2,690,665			2,690,665	
6. Colorado CO	L		13,120,545			13,120,545	
7. Connecticut CT	L		21,601,118			21,601,118	
8. Delaware DE	L		368,550			368,550	
9. District of Columbia DC	L		275,000			275,000	
10. Florida FL	L		125,654,390			125,654,390	
11. Georgia GA	L		24,703,710			24,703,710	
12. Hawaii HI	L		12,545,205			12,545,205	
13. Idaho ID	N		393,532			393,532	
14. Illinois IL	L		55,572,439			55,572,439	
15. Indiana IN	L		45,944,380			45,944,380	
16. Iowa IA	L		13,315,602			13,315,602	
17. Kansas KS	L		2,458,693			2,458,693	
18. Kentucky KY	L		12,827,091			12,827,091	
19. Louisiana LA	L		9,701,158			9,701,158	
20. Maine ME	L		15,248,514			15,248,514	
21. Maryland MD	L		7,590,399			7,590,399	
22. Massachusetts MA	L		5,748,432			5,748,432	
23. Michigan MI	L		56,235,101			56,235,101	
24. Minnesota MN	L		17,600,754			17,600,754	
25. Mississippi MS	L		12,915,545			12,915,545	
26. Missouri MO	L		10,945,137			10,945,137	
27. Montana MT	L		200,000			200,000	
28. Nebraska NE	L		1,374,032			1,374,032	
29. Nevada NV	L		668,760			668,760	
30. New Hampshire NH	L		1,853,130			1,853,130	
31. New Jersey NJ	L		54,916,254			54,916,254	
32. New Mexico NM	L		2,768,191			2,768,191	
33. New York NY	N						
34. North Carolina NC	L		46,136,003			46,136,003	
35. North Dakota ND	L		954,411			954,411	
36. Ohio OH	L		96,740,796			96,740,796	
37. Oklahoma OK	L		4,473,932			4,473,932	
38. Oregon OR	L		20,123,774			20,123,774	
39. Pennsylvania PA	L		55,786,702			55,786,702	
40. Rhode Island RI	L		1,610,107			1,610,107	
41. South Carolina SC	L		7,659,640			7,659,640	
42. South Dakota SD	L		494,591			494,591	
43. Tennessee TN	L		42,061,626			42,061,626	
44. Texas TX	L		128,659,948			128,659,948	
45. Utah UT	L		8,113,370			8,113,370	
46. Vermont VT	L		2,201,571			2,201,571	
47. Virginia VA	L		14,929,932			14,929,932	
48. Washington WA	L		36,623,309			36,623,309	
49. West Virginia WV	L		6,580,395			6,580,395	
50. Wisconsin WI	L		13,590,470			13,590,470	
51. Wyoming WY	L		62,000			62,000	
52. American Samoa AS	N						
53. Guam GU	N						
54. Puerto Rico PR	N						
55. U.S. Virgin Islands VI	N		900			900	
56. Northern Mariana Islands MP	N						
57. Canada CAN	N						
58. Aggregate Other Aliens OT	XXX						
59. Subtotal	XXX		1,114,423,574			1,114,423,574	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX						
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX		1,114,423,574			1,114,423,574	
96. Plus Reinsurance Assumed	XXX		40,590			40,590	
97 Totals (All Business)	XXX		1,114,464,164			1,114,464,164	
98. Less Reinsurance Ceded	XXX		1,830,006,037			1,830,006,037	
99. Totals (All Business) less Reinsurance Ceded	XXX		(715,541,873)			(715,541,873)	
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 49
R - Registered - Non-domiciled RRGs ....
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state ....
Q - Qualified - Qualified or accredited reinsurer ....
N - None of the above - Not allowed to write business in the state 8

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Y/N)	16 *
			42-1447959	3981379	0001039828	NEW YORK STOCK EXCHANGE	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	IA	UIP	SHAREHOLDERS	Ownership	100.000	SHAREHOLDERS	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	92738	42-1153896				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	IA	UDP	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	11135	22-3762465				AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK	NY	IA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
2658	AMERICAN EQUITY INVESTMENT GROUP	13183	26-3218907				EAGLE LIFE INSURANCE COMPANY	IA	RE	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
							RESIDENTIAL INVESTMENT TRUST	DE	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
							RESIDENTIAL INVESTMENT TRUST II	DE	NIA	EAGLE LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			27-0413288				AERL, LC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Y	
			42-1461598				AMERICAN EQUITY INVESTMENT PROPERTIES, LC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			42-6593040				AMERICAN EQUITY CAPITAL TRUST II	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			85-4289437				AE CAPITAL, LLC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	
			86-1532673				HIGH TRESTLE INVESTMENT MANAGEMENT, LLC	IA	NIA	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	Ownership	100.000	AMERICAN EQUITY INVESTMENT LIFE HOLDING COMPANY	N	

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8.	Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

1. Not required to be filed by the Company

2. Not required to be filed by the Company

3. Not required to be filed by the Company

5. Not required to be filed by the Company

6. Not required to be filed by the Company

7. Not required to be filed by the Company

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490] — 1 3 1 8 3 2 0 2 1 4 9 0 0 0 0 0 1

2. Medicare Part D Coverage Supplement [Document Identifier 365] — 1 3 1 8 3 2 0 2 1 3 6 5 0 0 0 0 1

3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445] — 1 3 1 8 3 2 0 2 1 4 4 5 0 0 0 0 1

5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447] — 1 3 1 8 3 2 0 2 1 4 4 7 0 0 0 0 1

6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448] — 1 3 1 8 3 2 0 2 1 4 4 8 0 0 0 0 1

7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449] — 1 3 1 8 3 2 0 2 1 4 4 9 0 0 0 0 1

OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year	311,535,134	154,575,912
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition	4,375,000	166,125,283
	2.2 Additional investment made after acquisition	5,023	
3.	Capitalized deferred interest and other	1,964	
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)	707,775	(955,000)
6.	Total gain (loss) on disposals	(60,328)	
7.	Deduct amounts received on disposals	4,929,963	8,198,841
8.	Deduct amortization of premium and mortgage interest points and commitment fees	35,106	12,220
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	311,599,499	311,535,134
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)	311,599,499	311,535,134
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)	311,599,499	311,535,134

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,094,087	1,080,923
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		4,313
	2.2 Additional investment made after acquisition	15,671	10,725
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)	(5,196)	
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation	480	1,874
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,104,082	1,094,087
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	1,104,082	1,094,087

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	1,313,703,741	1,470,052,623
2.	Cost of bonds and stocks acquired	23,941,590	146,940,354
3.	Accrual of discount	271,811	1,140,495
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	(42,377)	(500,487)
6.	Deduct consideration for bonds and stocks disposed of	40,567,252	301,086,065
7.	Deduct amortization of premium	733,298	1,878,612
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized	212,925	1,748,578
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees	145,304	784,011
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	1,296,506,595	1,313,703,741
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	1,296,506,595	1,313,703,741

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	1,701,951,608	11,796,417	1,148,471,895	9,531,560	574,807,690			1,701,951,608
2. NAIC 2 (a)	683,544,105	12,203,439	15,348,559	(10,124,801)	670,274,184			683,544,105
3. NAIC 3 (a)	47,255,905	26,640	2,618,610	136,844	44,800,780			47,255,905
4. NAIC 4 (a)	6,561,035		1,026,897	(204,023)	5,330,115			6,561,035
5. NAIC 5 (a)								
6. NAIC 6 (a)	1,377,099				1,377,099			1,377,099
7. Total Bonds	2,440,689,752	24,026,496	1,167,465,961	(660,419)	1,296,589,868			2,440,689,752
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	2,440,689,752	24,026,496	1,167,465,961	(660,419)	1,296,589,868			2,440,689,752

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ 55,730 ; NAIC 2 $ 27,540 ; NAIC 3 $; NAIC 4 $; NAIC 5 $; NAIC 6 $

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	83,270	XXX	83,270	83,312	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,000,000	2,000,000
2. Cost of short-term investments acquired	84,906	1,783,019
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	2,001,636	1,783,019
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	83,270	2,000,000
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	83,270	2,000,000

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	20,948,392
2.	Cost Paid/(Consideration Received) on additions	12,828,541
3.	Unrealized Valuation increase/(decrease)	
4.	SSAP No. 108 adjustments	
5.	Total gain (loss) on termination recognized	45,099,982
6.	Considerations received/(paid) on terminations	45,099,982
7.	Amortization	(11,799,530)
8.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
9.	Total foreign exchange change in Book/Adjusted Carrying Value	
10.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	21,977,403
11.	Deduct nonadmitted assets	
12.	Statement value at end of current period (Line 10 minus Line 11)	21,977,403

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)			
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)			
3.1	Add:			
	Change in variation margin on open contracts - Highly Effective Hedges			
	3.11 Section 1, Column 15, current year to date minus			
	3.12 Section 1, Column 15, prior year			
	Change in variation margin on open contracts - All Other			
	3.13 Section 1, Column 18, current year to date minus			
	3.14 Section 1, Column 18, prior year			
3.2	Add:			
	Change in adjustment to basis of hedged item			
	3.21 Section 1, Column 17, current year to date minus			
	3.22 Section 1, Column 17, prior year			
	Change in amount recognized			
	3.23 Section 1, Column 19, current year to date minus			
	3.24 Section 1, Column 19, prior year plus			
	3.25 SSAP No. 108 adjustments			
3.3	Subtotal (Line 3.1 minus Line 3.2)			
4.1	Cumulative variation margin on terminated contracts during the year			
4.2	Less:			
	4.21 Amount used to adjust basis of hedged item			
	4.22 Amount recognized			
	4.23 SSAP No. 108 adjustments			
4.3	Subtotal (Line 4.1 minus Line 4.2)			
5.	Dispositions gains (losses) on contracts terminated in prior year:			
	5.1 Total gain (loss) recognized for terminations in prior year			
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year			
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)			
7.	Deduct total nonadmitted amounts			
8.	Statement value at end of current period (Line 6 minus Line 7)			

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

NONE

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check	
1.	Part A, Section 1, Column 14	21,977,403	
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance		
3.	Total (Line 1 plus Line 2)		21,977,403
4.	Part D, Section 1, Column 6	21,977,403	
5.	Part D, Section 1, Column 7		
6.	Total (Line 3 minus Line 4 minus Line 5)		
		Fair Value Check	
7.	Part A, Section 1, Column 16	100,872,827	
8.	Part B, Section 1, Column 13		
9.	Total (Line 7 plus Line 8)		100,872,827
10.	Part D, Section 1, Column 9	100,872,827	
11.	Part D, Section 1, Column 10		
12	Total (Line 9 minus Line 10 minus Line 11)		
		Potential Exposure Check	
13.	Part A, Section 1, Column 21		
14.	Part B, Section 1, Column 20		
15.	Part D, Section 1, Column 12		
16.	Total (Line 13 plus Line 14 minus Line 15)		

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,269,892,509	21,851,170
2.	Cost of cash equivalents acquired	3,261,180,504	3,308,604,095
3.	Accrual of discount	13,993	4,097
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	3,943,756,820	2,060,566,853
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	587,330,186	1,269,892,509
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	587,330,186	1,269,892,509

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings
0AG1036	BUHL	ID		02/03/2021	5.000	1,375,000		2,350,000
0AG1038	SACO	MT		12/23/2020	4.500		125	8,100,000
0AG1042	Wheatland	WY		02/25/2021	4.600	3,000,000		6,820,000
0199999. Mortgages in good standing - Farm Mortgages						4,375,000	125	17,270,000
P2008018576	Scottsdale	AZ		02/12/2021	6.250		3,622	786,000
P2009020007	Laguna Hills	CA		03/12/2021	4.990		704	2,800,000
P2008802575	Orange	CA		02/12/2021	4.750		572	1,289,000
0399999. Mortgages in good standing - Residential mortgages-all other							4,898	4,875,000
V029777	BENBOOK	TX		11/22/2019	4.500			2,514,635
V029816	HOUSTON	TX		03/21/2019	5.350			2,745,782
V029854	CORPUS CHRISTI	TX		07/30/2019	4.950			2,902,666
V029900	INDIANAPOLIS	IN		11/06/2019	4.970			6,767,913
V029961	LAUDERHILL	FL		02/27/2020	4.280			4,916,970
0599999. Mortgages in good standing - Commercial mortgages-all other								19,847,966
0899999. Total Mortgages in good standing						4,375,000	5,023	41,992,966
1699999. Total - Restructured Mortgages								
2499999. Total - Mortgages with overdue interest over 90 days								
3299999. Total - Mortgages in the process of foreclosure								
3399999 - Totals						4,375,000	5,023	41,992,966

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
P2007018558	Tarzana	CA		01/15/2021	03/12/2021	1,909,451		40,838		1,960	42,798			1,825,575		(40,918)	(40,918)
P2009020018	Agoura	CA		10/30/2020	02/12/2021	688,537		19,406			19,406			649,713		(19,410)	(19,410)
0901866	GERMANTOWN	WI		01/07/2014	02/24/2021	314,081							308,778	308,778			
0199999. Mortgages closed by repayment						2,912,069		60,244		1,960	62,204		308,778	2,784,066		(60,328)	(60,328)
P2009020037	Englewood	CO		11/25/2020	03/12/2021												
P2008018576	Scottsdale	AZ		02/12/2021	02/12/2021	5,433		(106)			(106)			5,327			
P2010880051	Thousand Oaks	CA		11/12/2020	03/12/2021	1,008		(50)			(50)			958			
P2010802584	Coventry	CT		11/12/2020	03/12/2021	724		(18)			(18)			706			
P2009020184	Orlando	FL		11/25/2020	03/12/2021	685		(24)			(24)			661			
P2008802577	Los Angeles	CA		09/18/2020	03/12/2021												
P2010880066	Rancho Cordova	CA		11/12/2020	03/12/2021												
P2008802578	Del Mar	CA		10/21/2020	03/12/2021												
P2008018573	Palm Beach Gardens	FL		09/18/2020	03/12/2021	1,467		(32)			(32)			1,435			
P2007018558	Tarzana	CA		01/15/2021	03/12/2021	8,148		(179)		4	(175)			7,969			
P2009020026	Spring Grove	PA		11/12/2020	03/12/2021	1,003		(29)			(29)			974			
P2010880063	Brooksville	FL		11/12/2020	03/12/2021	876		(44)			(44)			832			
P2009018600	Charlotte	NC		10/21/2020	02/12/2021	1,508		(37)			(37)			1,471			
P2009020021	Dumfries	VA		10/30/2020	03/12/2021	569		(14)			(14)			555			
P2009020051	Vista	CA		11/25/2020	03/12/2021	1,935		(40)			(40)			1,895			
P2010880070	Santa Cruz	CA		11/12/2020	03/12/2021	605		(30)			(30)			575			
P2009020155	Naples	FL		11/25/2020	03/12/2021	2,363		(74)			(74)			2,289			
P2008802579	Los Angeles	CA		10/30/2020	03/12/2021												
P2010880059	Red Bluff	CA		11/12/2020	03/12/2021	948		(47)			(47)			901			

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3				Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8	9	10	11	12	13	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal				
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date		Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value		Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
P2002017883	Lynn	MA		10/21/2020	03/12/2021	2,218		(27)			(27)			2,190			
P2009020019	Denison	TX		10/30/2020	03/12/2021	6,210		(180)			(180)			6,029			
P2009018601	Garland	TX		10/30/2020	03/12/2021	15,484		(449)			(449)			15,034			
P2008802574	Los Angeles	CA		09/18/2020	03/12/2021												
P2009020149	The Woodlands	TX		11/12/2020	03/12/2021	1,314		(43)			(43)			1,271			
P2009018597	Big Bear Lake	CA		10/21/2020	03/12/2021	6,773		(164)			(164)			6,608			
P2010880060	Flowery Branch	GA		11/12/2020	02/12/2021	726		(36)			(36)			690			
P2010802585	Clear Spring	MD		11/25/2020	03/12/2021	520		(15)			(15)			505			
P2008018582	Jackson	NJ		10/30/2020	03/12/2021	992		(29)			(29)			963			
P2010880045	Fairfield	CA		11/12/2020	03/12/2021	1,582		(79)			(79)			1,503			
P2010880041	Manzanita	OR		11/12/2020	03/12/2021	1,047		(52)			(52)			995			
P2009018583	Conroe	TX		09/30/2020	02/12/2021	1,020		(22)			(22)			998			
P2010880075	Dallas	TX		11/12/2020	03/12/2021												
P2010880068	Hallandale Beach	FL		11/12/2020	03/12/2021	585		(29)			(29)			556			
P2009020003	Los Angeles	CA		11/12/2020	03/12/2021	549,715		(8,117)			(8,117)			541,598			
P2009020034	Hollywood	FL		11/25/2020	03/12/2021	3,412		(99)			(99)			3,313			
P2010880062	Buford	GA		11/12/2020	03/12/2021	1,298		(65)			(65)			1,234			
P2009020148	Champions Gate	FL		11/12/2020	03/12/2021	350		(12)			(12)			338			
P2009020144	Los Angeles	CA		11/12/2020	03/12/2021												
P2009020182	Los Angeles	CA		11/25/2020	03/12/2021	537		(17)			(17)			520			
P2008018580	Coventry	CT		09/30/2020	03/12/2021	641		(14)			(14)			627			
P2009020038	Mill Valley	CA		11/25/2020	03/12/2021												
P2009020014	Rochester Hills	MI		10/30/2020	02/12/2021	3,789		(110)			(110)			3,679			
P2010880043	Naples	FL		11/12/2020	03/12/2021	990		(49)			(49)			941			
P2009020041	Parkland	FL		11/12/2020	03/12/2021	1,861		(50)			(50)			1,811			
P2009020022	Commerce City	CO		10/30/2020	03/12/2021	1,447		(42)			(42)			1,405			
P2008802568	Winnetka	CA		08/31/2020	03/12/2021	1,488		(22)			(22)			1,467			
P2010880053	Kennewick	WA		11/12/2020	03/12/2021	533		(27)			(27)			507			
P2009020151	Hapeville	GA		11/25/2020	03/12/2021	729		(18)			(18)			711			
P2009802580	Rancho Santa	CA		10/21/2020	03/12/2021												
P2007018564	Jupiter	FL		09/18/2020	03/12/2021	1,899		(42)			(42)			1,857			
P2010880069	White Plains	MD		11/12/2020	03/12/2021	833		(41)			(41)			792			
P2010880064	Stamford	CT		11/12/2020	03/12/2021	1,828		(91)			(91)			1,737			
P2008018575	Tomball	TX		09/18/2020	03/12/2021	990		(22)			(22)			969			
P2008802570	Oakland	CA		08/31/2020	03/12/2021	2,173		(32)			(32)			2,141			
P2009020017	Chino	CA		10/30/2020	03/12/2021	1,852		(54)			(54)			1,799			
P2009020023	Bryant	AR		10/30/2020	03/12/2021	763		(22)			(22)			741			
P2009018598	Dana Point	CA		10/21/2020	03/12/2021	4,641		(113)			(113)			4,529			
P2010880067	Los Angeles	CA		11/12/2020	03/12/2021												
P2010880048	Foster City	CA		11/12/2020	03/12/2021	3,312		(165)			(165)			3,147			
P2010880040	San Bernardino	CA		11/12/2020	03/12/2021	1,043		(52)			(52)			991			
P2007018556	Friendswood	TX		08/31/2020	03/12/2021	8,230		(161)			(161)			8,069			
P2010880057	Santa Clarita	CA		11/12/2020	03/12/2021	2,708		(135)			(135)			2,573			
P2010880061	Dawsonville	GA		11/12/2020	03/12/2021	1,104		(55)			(55)			1,049			
P2009020036	Los Alamitos	CA		11/25/2020	03/12/2021												
P2009020015	Corpus Christi	TX		10/30/2020	03/12/2021	2,014		(58)			(58)			1,956			
P2009020040	Marina Del Rey	CA		11/25/2020	02/12/2021	5,288		(129)			(129)			5,159			
P2010802588	Baltimore	MD		11/25/2020	03/12/2021	259		(8)			(8)			251			
P2009018606	Oakland	CA		10/21/2020	03/12/2021	8,736		(107)			(107)			8,629			
P2009018595	Chalfont	PA		10/21/2020	02/12/2021	2,989		(73)			(73)			2,916			
P2010880039	West Hills	CA		11/12/2020	03/12/2021	13,260		(663)			(663)			12,597			
P2009020010	San Antonio	TX		10/21/2020	03/12/2021	2,226		(54)			(54)			2,172			
P2010880065	Henderson	NV		11/12/2020	03/12/2021												
P2010880046	Studio City	CA		11/12/2020	03/12/2021	943		(47)			(47)			896			
P2009020050	Crosslake	MN		11/25/2020	03/12/2021	2,363		(57)			(57)			2,305			
P2010880042	Richmond	TX		11/12/2020	03/12/2021	878		(44)			(44)			835			
P2009020153	Severance	CO		11/25/2020	03/12/2021	661		(21)			(21)			640			
P2009020016	Jensen Beach	FL		10/30/2020	03/12/2021	646		(19)			(19)			627			
P2009020052	Port Orange	FL		11/25/2020	02/12/2021	1,591		(46)			(46)			1,545			
P2010880055	Fremont	CA		11/12/2020	03/12/2021	7,204		(363)			(363)			6,841			

STATEMENT AS OF MARCH 31, 2021 OF THE EAGLE LIFE INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
P2009020145	Laguna Niguel	CA		11/12/2020	03/12/2021	521		(18)			(18)			503			
P2009020146	Peoria	AZ		11/12/2020	03/12/2021	220		(8)			(8)			212			
P2007018568	Hanford	CA		08/31/2020	03/12/2021	1,551		(34)			(34)			1,517			
P2008802571	San Francisco	CA		08/31/2020	03/12/2021												
P2010880044	El Dorado Hills	CA		11/12/2020	03/12/2021	3,601		(179)			(179)			3,422			
P2009020032	Edgewater	NJ		11/25/2020	02/12/2021	3,913		(104)			(104)			3,809			
P2009020004	Rancho Cucamonga	CA		10/30/2020	03/12/2021												
P2009020024	Bloomfield Hills	MI		10/30/2020	03/12/2021	1,211		(35)			(35)			1,176			
P2007018559	Miami	FL		08/31/2020	03/12/2021												
P2009020035	San Diego	CA		11/25/2020	03/12/2021												
P2010880047	Whittier	CA		11/12/2020	03/12/2021	2,846		(141)			(141)			2,705			
P2010880052	Plant City	FL		11/12/2020	03/12/2021	621		(31)			(31)			590			
P2009020007	Laguna Hills	CA		03/12/2021	03/12/2021	1,769		(13)			(13)			1,756			
P2009020046	Kissimmee	FL		11/25/2020	03/12/2021	1,504		(37)			(37)			1,467			
P2007018565	Kingwood	TX		09/18/2020	03/12/2021	602		(13)			(13)			589			
P2009020029	Glendora	CA		11/25/2020	03/12/2021	3,836		(14)			(14)			3,822			
P2009020013	Crestline	CA		10/30/2020	03/12/2021	1,709		(50)			(50)			1,659			
P2009020027	Chesapeake	VA		10/30/2020	03/12/2021	774		(22)			(22)			751			
P2010880074	Richmond	CA		11/12/2020	03/12/2021	1,386		(69)			(69)			1,317			
P2009018590	Cave Creek	AZ		10/21/2020	02/12/2021	3,496		(85)			(85)			3,411			
P2009020147	San Rafael	CA		11/12/2020	03/12/2021												
P2010880073	Richmond	CA		11/12/2020	03/12/2021	1,510		(75)			(75)			1,435			
P2009020181	Los Angeles	CA		11/25/2020	03/12/2021	945		(31)			(31)			913			
P2009020043	Pasadena	CA		11/25/2020	03/12/2021	333		(7)			(7)			327			
P2009020143	Long Beach	CA		11/12/2020	03/12/2021												
P2010880071	San Pablo	CA		11/12/2020	03/12/2021	984		(49)			(49)			936			
P2008802575	Orange	CA		02/12/2021	03/12/2021	1,679		(6)			(6)			1,672			
P2009020183	Carlsbad	CA		11/25/2020	03/12/2021												
P2010880050	San Bernardino	CA		11/12/2020	03/12/2021	1,182		(59)			(59)			1,123			
P2010880058	Sacramento	CA		11/12/2020	03/12/2021	5,450		(271)			(271)			5,179			
P2010880072	Richmond	CA		11/12/2020	03/12/2021	1,063		(53)			(53)			1,010			
P2009020030	Mesa	AZ		10/30/2020	03/12/2021	1,182		(34)			(34)			1,148			
P2009020018	Agoura	CA		10/30/2020	02/12/2021	6,475		(188)			(188)			6,287			
P2008018581	Matthews	NC		09/30/2020	03/12/2021	1,580		(23)			(23)			1,556			
P2010802586	Milford	PA		11/12/2020	03/12/2021	451		(13)			(13)			438			
P2010880049	Rancho Santa Fe	CA		11/12/2020	03/12/2021	2,734		(136)			(136)			2,598			
P2009020158	Aiken	SC		11/25/2020	03/12/2021	631		(21)			(21)			609			
P2010880054	Fresno	CA		11/12/2020	03/12/2021	1,455		(72)			(72)			1,382			
P2009020047	Eaton Rapids	MI		11/12/2020	03/12/2021	549		(13)			(13)			536			
P2010802583	Sarasota	FL		11/25/2020	03/12/2021	430		(13)			(13)			417			
P2008802569	Los Angeles	CA		09/18/2020	03/12/2021	1,007		(7)			(7)			1,000			
P2009020028	Montgomery	TX		10/30/2020	03/12/2021	1,107		(32)			(32)			1,075			
P2009020011	Sebring	FL		10/30/2020	03/12/2021	678		(20)			(20)			658			
P2009020025	The Woodlands	TX		10/30/2020	03/12/2021	769		(22)			(22)			747			
P2010880038	Las Vegas	NV		11/12/2020	03/12/2021	2,772		(138)			(138)			2,634			
P2009020031	Sherman Oaks	CA		11/12/2020	02/12/2021	5,577		(135)			(135)			5,442			
P2009020186	Heath	TX		11/25/2020	03/12/2021	758		(27)			(27)			731			
P2009020008	Oakland	CA		11/25/2020	03/12/2021	64								64			
0901814	TUSCON	AZ		09/26/2013		31,512								9,049			
0901849	SHERWOOD	OR		12/18/2013		317,147								7,929			
0901850	RIVERVIEW	FL		12/04/2013		149,454								4,366			
0901866	GERMANTOWN	WI		01/07/2014		314,081								5,303			
0901882	EXTON	PA		01/23/2014		79,994								1,973			
0901887	FORT WAYNE	IN		03/17/2014		225,673								5,506			
0901916	CRANBURY	NJ		09/09/2014		327,850								19,704			
0901933	CRANBURY	NJ		12/02/2014		512,563								11,489			
0901942	READINGTON	NJ		12/23/2014		507,575								11,593			
0901943	LOPATCONG TWNSHIP/PHILLIPSBURG	NJ		12/23/2014		507,575								11,593			
0901959	TUCSON	AZ		12/23/2014		119,524								2,690			
0901969	MUKILTEO	WA		01/30/2015		342,564								7,684			

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
0901997	WILMINGTON	CA		07/24/2015		532,687								11,359			
0902002	WEBSTER	TX		04/28/2015		521,633								11,465			
0902004	LAS VEGAS	NV		05/14/2015		526,569								11,432			
0902016	AUSTIN	TX		06/19/2015		531,643								11,363			
0902022	WARREN	MI		06/17/2015		531,010								15,140			
0902030	AUBURN	WA		06/25/2015		198,041								4,233			
0902031	TACOMA	WA		06/25/2015		205,660								4,395			
0902042	SOUTH BEND	IN		08/12/2015		399,562								18,881			
0902066	SERLING HEIGHTS	MI		01/14/2016		496,510								19,617			
0902117	TUCSON	AZ		05/19/2016		383,157								7,237			
0902120	KENNESAW	GA		10/07/2016		1,804,763								13,372			
0902134	EAGAN	MN		07/01/2016		385,199								7,226			
0902136	NEWINGTON	VA		11/01/2016		1,810,007								13,088			
0902140	AUSTIN	TX		08/19/2016		1,793,329								13,607			
0902141	MENTOR	OH		08/22/2016		1,793,329								13,607			
0902142	ARLINGTON	VA		09/01/2016		1,781,898								9,517			
0902143	LAS VEGAS	NV		09/01/2016		1,796,085								13,465			
0902147	BLACKLICK (COLUMBUS)	OH		10/03/2016		1,800,775								13,587			
0902148	HARRISBURG	PA		09/30/2016		1,842,004								10,548			
0902149	YORBA LINDA	CA		10/31/2016		1,795,381								13,877			
0902150	JACKSONVILLE	FL		10/25/2016		1,638,625								24,324			
0902151	CHESAPEAKE	VA		11/07/2016		1,800,003								13,831			
0902152	BROWNSVILLE	TX		10/19/2016		1,635,445								24,310			
0902153	RACINE	WI		09/15/2016		1,630,079								24,266			
0902154	CHARLOTTE	NC		10/05/2016		1,795,863								13,641			
0902174	CYPRESS	CA		01/31/2017		1,868,874								9,610			
0902354	KENNESAW	GA		01/30/2019		1,346,116								7,941			
0902358	CEDAR PARK	TX		02/28/2019		1,349,160								7,904			
0902363	DULUTH	GA		03/12/2019		1,349,306								8,193			
0902367	INDIANAPOLIS	IN		04/22/2019		1,641,748								9,911			
0902372	KNOXVILLE	TN		05/24/2019		1,645,652								9,746			
0902376	OCEANSIDE	CA		07/01/2019		1,690,109								6,442			
0902378	PHILADELPHIA	PA		07/15/2019		1,664,200								7,256			
0902381	CHICAGO	IL		08/14/2019		1,666,907								7,134			
0902382	HOUSTON	TX		07/25/2019		1,659,333								8,200			
0902385	TUKWILA	WA		07/24/2019		970,362								5,924			
0902387	PEACHTREE CORNERS	GA		08/29/2019		1,653,811								9,837			
0902389	BENSALEM	PA		09/04/2019		1,654,373								10,342			
0902391	BENSALEM	PA		09/18/2019		1,655,059								10,205			
0902393	ALBUQUERQUE	NM		09/27/2019		3,340,157								14,884			
0902394	Creve Coeur	MO		09/05/2019		1,654,028								10,411			
0902395	MIDDLETON	WI		10/01/2019		2,938,927								13,924			
0902398	COVINGTON	WA		09/12/2019		3,234,201								15,038			
0902399	ARDEN HILLS	MN		09/26/2019		2,934,886								19,125			
0902400	STERLING HEIGHTS	MI		10/08/2019		2,990,981								13,635			
0902401	SACRAMENTO	CA		10/15/2019		3,235,047								15,812			
0902403	WALDORF	MD		09/25/2019		2,910,709								18,878			
0902409	SAN DIEGO	CA		11/14/2019		3,000,000								13,006			
0902411	CHARLESTON	SC		11/05/2019		1,198,240								5,313			
0902412	SAN ANTONIO	TX		11/14/2019		2,852,995								38,162			
0902413	DOWNINGTON	PA		09/30/2019		2,912,610								19,624			
0902414	COLORADO SPRINGS	CO		02/20/2020		1,965,639								12,050			
0902415	LANSDALE	PA		09/30/2019		970,870								6,541			
0902416	DENVER	CO		10/31/2019		2,940,404								14,494			
0902417	INDIO	CA		11/21/2019		2,941,572								15,306			
0902419	HOMEWOOD	AL		11/07/2019		2,938,720								15,687			
0902420	LEAGUE CITY	TX		12/06/2019		1,956,793								12,064			
0902422	ONTARIO	CA		12/12/2019		1,179,028								5,838			
0902423	PLEASANTON	CA		12/12/2019		2,458,856								11,810			
0902425	COLUMBUS	OH		12/10/2019		1,376,528								6,725			

STATEMENT AS OF MARCH 31, 2021 OF THE EAGLE LIFE INSURANCE COMPANY

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
0902426	SPOKANE	WA		12/11/2019		1,474,618								7,265			
0902429	STILLWATER	NY		12/24/2019		2,953,773								13,361			
0902430	CHICAGO	IL		12/19/2019		1,466,247								9,600			
0902431	LAKEWOOD	NJ		12/19/2019		2,951,540								13,936			
0902432	LAS VEGAS	NV		12/18/2019		977,829								6,315			
0902436	ASHBURN	VA		12/30/2019		1,968,295								9,136			
0902437	CHULA VISTA	CA		01/09/2020		985,551								4,554			
0902442	SAN JUAN CAPISTRANO	CA		12/23/2019		2,949,236								14,530			
0902443	HUNTINGTON BEACH	CA		12/23/2019		2,949,236								14,530			
0902444	RANCHO DOMINGUEZ	CA		12/23/2019		2,359,389								11,624			
0902452	TAMPA	FL		01/29/2020		2,954,176								18,895			
0902453	LUTHERVILLE-TIMONIUM	MD		01/31/2020		2,558,434								12,982			
0902456	LAKEWOOD	CO		02/05/2020		1,974,149								9,139			
0902457	AURORA	CO		02/14/2020		2,799,971								17,504			
0902458	SACRAMENTO	CA		01/27/2020		2,462,855								11,677			
0902461	AUBURN	WA		02/04/2020		1,767,130								11,452			
0902462	KING OF PRUSSIA	PA		03/12/2020		1,546,776								20,540			
0902463	ROWLETT	TX		02/13/2020		1,326,627								8,190			
0902465	West Des Moines	IA		02/26/2020		1,973,295								9,406			
0902469	DARIEN	CT		03/19/2020		984,182								6,190			
0902472	GRIMES	IA		04/09/2020		2,993,642								19,179			
0902473	ROCKLIN	CA		04/09/2020		2,969,918								13,647			
0902476	LAKE OSWEGO	OR		02/27/2020		1,962,471								12,736			
0902477	AUBURN	WA		02/26/2020		1,520,915								9,870			
0902479	CENTENNIAL	CO		04/01/2020		1,968,460								12,347			
0902482	ST PETERSBURG	FL		05/12/2020		2,971,954								14,213			
0902485	STERLING HEIGHTS	MI		04/30/2020		2,942,836								25,449			
0AG1020	METTLER	CA		07/21/2020		1,500,000								25,514			
0AG1021	METTLER	CA		07/21/2020		1,500,000								25,514			
0AG1023	BAKERSFIELD	CA		07/21/2020		1,500,000								25,514			
0AG1026	HARTLEY	TX		09/14/2020		3,000,000								22,179			
0AG1027	PIPESTONE	MN		10/14/2020		843,493								9,857			
0AG1029	JACKSONVILLE	IL		09/03/2020		475,000								3,757			
0AG1033	CARROLL	NE		12/01/2020		3,000,000								32,821			
0AG1035	WAPATO	WA		12/17/2020		3,000,000								23,734			
0AG1036	BUHL	ID		02/03/2021										3,345			
VC29790	TAMPA	FL		02/28/2019		1,608,456								13,729			
0299999. Mortgages with partial repayments						182,535,316		(15,463)		4	(15,459)			2,145,897			
0599999 - Totals						185,447,385		44,781		1,964	46,745		308,778	4,929,963		(60,328)	(60,328)

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location 3 City	Location 4 State	5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
000000-00-0	Blue Ocean Income Fund II LP Blue Ocean Income Fund II LP		FL	Blue Ocean GP LLC		09/22/2020			15,671			
2599999. Joint Venture Interests - Other - Unaffiliated									15,671			XXX
4899999. Total - Unaffiliated									15,671			XXX
4999999. Total - Affiliated												XXX
5099999 - Totals									15,671			XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location 3 City	Location 4 State	5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value 9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	15 Book/ Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
5099999 - Totals																			

NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
06887#-AG-6	BARRY-WEHMILLER COMPANIES, INC BARRYW 3.05 01/20/2033 3.050% 01/20/33		01/20/2021	MERRILL LYNCH		2,000,000	2,000,000		2.C PL
09579@-AA-8	Blue Ocean Income Fund II LP Blue Ocean Inc FdII 6% 9/22/30 6.000% 09/22/30		03/12/2021	DIRECT		297,748	297,748		2.A Z
166756-AR-7	CHEVRON USA INC CVX 3.85 01/15/28 3.850% 01/15/28		01/06/2021	Tax Free Exchange		982,423	1,000,000	18,288	1.D FE
20825C-AX-2	CONOCOPHILLIPS COP 4.3 08/15/28 4.300% 08/15/28		02/08/2021	Tax Free Exchange		997,390	1,000,000	20,664	1.G FE
29641@-AA-0	ESCORT VESSELS LLC ESCORT VESSELS LLC 4.5 7/31/32 4.500% 07/31/32		01/15/2021	PARETO SECURITIES		2,500,000	2,500,000		1.G Z
63636#-AG-1	NATIONAL HOCKEY LEAGUE NATHOC 3.15 01/06/2028 3.150% 01/06/28		01/06/2021	CITIGROUP GLOBAL MARKETS		4,500,000	4,500,000		2.A PL
BME3NH-3M-6	STRATEGIC PARTNERS VIII LP STRATEGIC PARTNERS FUND VIII L 4.147% 03/10/25		01/11/2021	DIRECT		1,125,000	1,125,000		1.D Z
05580H-AS-8	BNPP 2014 BNPIP 2014-2A DR 3.705% 10/30/25	D	10/30/2020	Interest Capitalization		26,640	26,640		3.A FE
P4001*-AC-8	ENVASES UNIVERSALES DE MEXICO ENVASES UNIV DE MEX3.61 2/9/31 3.610% 02/09/31	D	02/09/2021	CITIGROUP GLOBAL MARKETS		1,000,000	1,000,000		2.C PL
Q7450@-AP-5	PERTH AIRPORT PTY LTD PERTH AIRPORT 3.66 1/21/2033 3.660% 01/21/33	D	01/21/2021	DIRECT		2,000,000	2,000,000		2.B Z
42806D-ZZ-5	HERTZ SERIES 2013-A VFN		01/01/2021	DIRECT		2,000,000	2,000,000		2.B Z
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						17,429,201	17,449,388	38,952	XXX
LX1847-66-9	ARM FUNDING 2019-1 LLC ARM FUNDING 2019-1 LLC 2/24 2.808% 02/29/24		03/26/2021	DIRECT		1,410,000	1,410,000		1.F Z
21H053-49-4	MATISSE 401, LP MATISSE 401 LP TL FLT 9/4/2024 5.694% 09/04/24		01/19/2021	DIRECT		1,770,000	1,770,000		1.G Z
21H060-49-9	MATISSE 403, LP MATISSE 403 LP TL FLT 11/12/25 5.694% 11/12/25		01/19/2021	DIRECT		1,730,000	1,730,000		1.G Z
9LX189-70-3	Avant Warehouse Trust III Class A Loan Upsize 9/20 2.758% 09/16/26		03/04/2021	DIRECT		1,225,000	1,225,000		1.D Z
24702#-ZZ-3	DELL FINANCIAL SERVICES DELL FINANCIAL SERVICES 1.759% 08/22/22		03/22/2021	DIRECT		377,389	377,389		2.B Z
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						6,512,389	6,512,389		XXX
8399997. Total - Bonds - Part 3						23,941,590	23,961,777	38,952	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						23,941,590	23,961,777	38,952	XXX
8999997. Total - Preferred Stocks - Part 3							XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX		XXX
9799997. Total - Common Stocks - Part 3							XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX		XXX
9899999. Total - Preferred and Common Stocks							XXX		XXX
9999999 - Totals						23,941,590	XXX	38,952	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
912828-NT-3	US TREASURY N/B T 2 5/8 08/15/20 2.625% 08/15/20		08/15/2020	Maturity		35,000	35,000	35,498	35,000						35,000					08/15/2020	1.A
0599999. Subtotal - Bonds - U.S. Governments						35,000	35,000	35,498	35,000						35,000					XXX	XXX
60416S-YP-9	MINNESOTA ST HSG FIN AGY SINGLE FAMILY HSG 4.200% 07/01/33		03/01/2021	Redemption 100.0000		55,000	55,000	55,000	55,000						55,000				1,164	07/01/2033	1.B FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						55,000	55,000	55,000	55,000						55,000				1,164	XXX	XXX
3138L4-V5-9	FANNIE MAE FN AM4235 4.440% 08/01/38		03/01/2021	Paydown		6,718	6,718	6,884	6,811		(93)		(93)		6,718				52	08/01/2038	1.A
3138LA-KZ-1	FNMA POOL FN AM9311 3.390% 07/01/35		03/01/2021	Paydown		6,944	6,944	6,903	6,902		42		42		6,944				41	07/01/2035	1.A
3138LF-A4-0	FNMA DUS FN FN AN2726 2.920% 09/01/36		03/01/2021	Paydown		20,774	20,774	21,306	21,174		(400)		(400)		20,774				107	09/01/2036	1.A
3138LF-BX-5	FNMA DUS FN FN AN2753 2.920% 09/25/36		03/01/2021	Paydown		10,733	10,733	11,011	10,942		(209)		(209)		10,733				55	09/25/2036	1.A
31398S-UD-3	FANNIE MAE FNR 2010-138 Z 4.000% 12/25/40		03/01/2021	Paydown		140,916	140,916	124,902	134,913		6,002		6,002		140,916				672	12/25/2040	1.A
57563R-PQ-6	MASSACHUSETTS ST EDUCTNL FING STUDENT LOAN 4.408% 07/01/34		01/04/2021	Call 100.0000		405,000	405,000	405,000	405,000						405,000				8,926	07/01/2034	1.C FE
3199999. Subtotal - Bonds - U.S. Special Revenues						591,085	591,085	576,006	585,742		5,342		5,342		591,085				9,853	XXX	XXX
02378*-AA-8	AMERICAN AIRLINES AMERICAN AIRLINES 2017-2C EETC 5.180% 10/15/23		03/12/2021	SEAPORT		268,699	297,727	225,889	225,889						225,889		42,810	42,810	6,854	10/15/2023	3.A PL
02378A-AA-5	AMER AIRLINE 17-1 A PTT AAL 4 02/15/29 4.000% 02/15/29		02/15/2021	Redemption 100.0000		23,750	23,750	23,750	23,750						23,750				475	02/15/2029	2.C FE
02378L-AA-1	AMERICAN AIRLINES AMERICAN AIRLINES 2017-1C EETC 5.180% 08/15/23		03/12/2021	SEAPORT		244,845	271,296	208,704	208,704						208,704		36,141	36,141	8,588	08/15/2023	3.A PL
02378L-AA-1	AMERICAN AIRLINES AMERICAN AIRLINES 2017-1C EETC 5.180% 08/15/23		02/15/2021	Redemption 100.0000		45,741	45,741	35,188	35,188		10,553		10,553		45,741				1,185	08/15/2023	3.A PL
02378M-AA-9	AMER AIRLINE 19-1A PTT AAL 3 1/2 02/15/32 3.500% 08/15/33		02/15/2021	Redemption 100.0000		96,859	96,859	96,859	96,859						96,859				1,695	08/15/2033	3.A FE
03065D-AG-2	AMERICREDIT AUTO RECEIVABLES AMCAR 2016-3 D 2.710% 09/08/22		03/08/2021	Call 100.0000		870,066	870,066	869,819	870,056		6		6		870,062		4	4	5,895	09/08/2022	1.A FE
03065D-AG-2	AMERICREDIT AUTO RECEIVABLES AMCAR 2016-3 D 2.710% 09/08/22		02/08/2021	Paydown		129,934	129,934	129,897	129,932		2		2		129,934				494	09/08/2022	1.A FE
11043H-AA-6	BRITISH AIR 18-1 A PTT IAGLN 4 1/8 09/20/31 4.125% 09/20/31		03/20/2021	Redemption 100.0000		35,558	35,558	35,558	35,558						35,558				214	09/20/2031	2.B FE
12326Q-AA-2	Business Jet Securities, LLC SERIES 20191 CLASS A 4.212% 07/15/34		03/15/2021	Paydown		105,273	105,273	105,419	105,413		(139)		(139)		105,273				731	07/15/2034	1.G FE
12326R-AA-0	Business Jet Securities, LLC SERIES 20182 CLASS A 4.447% 06/15/33		03/15/2021	Call 100.0000		700,597	700,597	700,585	700,596		1		1		700,597				15,250	06/15/2033	1.F FE
12326R-AA-0	Business Jet Securities, LLC SERIES 20182 CLASS A 4.447% 06/15/33		02/15/2021	Paydown		184,180	184,180	184,177	184,180						184,180				1,062	06/15/2033	1.F FE
12327F-AA-5	Business Jet Securities LLC SERIES 20201A CLASS A 2.981% 11/15/35		03/14/2021	Paydown		450,942	450,942	450,935	450,929		13		13		450,942				2,371	11/15/2035	1.G FE
12556M-AB-0	CIM Trust SERIES 2019J1 CLASS 1A2 3.500% 08/25/49		02/01/2021	Paydown		43,891	43,891	44,481	44,463		(572)		(572)		43,891				256	08/25/2049	1.A FM
12556M-AB-0	CIM Trust SERIES 2019J1 CLASS 1A2 3.500% 08/25/49		03/01/2021	Paydown		143,532	143,532	145,461	145,403		(1,871)		(1,871)		143,532				849	08/25/2049	1.D FM
12558T-AA-5	CIM Trust SERIES 2019J2 CLASS A1 3.500% 10/25/49		02/01/2021	Paydown		242,412	242,412	248,472	248,312		(5,900)		(5,900)		242,412				1,414	10/25/2049	1.A FM
12558T-AA-5	CIM Trust SERIES 2019J2 CLASS A1 3.500% 10/25/49		03/01/2021	Paydown		511,091	511,091	523,869	523,531		(12,439)		(12,439)		511,091				3,345	10/25/2049	1.D FM
12594J-AG-0	COMM MTGE TRUST COMM 2016-GCT C 3.461% 08/10/29		02/11/2021	Call 100.0000		500,000	500,000	515,459	503,036		(309)		(309)		502,727		(2,727)	(2,727)	7,981	08/10/2029	1.A FM
12594J-AJ-4	COMM MTGE TRUST COMM 2016-GCT D 3.461% 08/10/29		02/11/2021	Call 100.0000		500,000	500,000	500,556	499,832		8		8		499,840		160	160	7,981	08/10/2029	1.A FM
12652C-AR-0	CREDIT SUISSE MORTGAGE TRUST SERIES 2017HL2 CLASS A12 3.500% 10/25/47		03/01/2021	Paydown		43,493	43,493	44,463	44,351		(858)		(858)		43,493				381	10/25/2047	1.D FM

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
165183-AP-9	CHESAPEAKE FUNDING II LLC CHESAPEAKE FUNDING II LLC 3.710% 07/15/29		02/16/2021	Call 100.0000		1,500,000	1,500,000	1,499,740	1,499,976		5		5		1,499,981		19	19	9,275	07/15/2029	2.B FE
20605P-AK-7	CONCHO RESOURCES INC CXO 4.3 08/15/28 4.300% 08/15/28		02/08/2021	Tax Free Exchange		997,390	1,000,000	996,600	997,360		30		30		997,390				21,664	08/15/2028	1.F FE
247367-BH-7	DELTA AIR LINES DAL 6.821 08/10/22 6.821% 08/10/22		02/10/2021	Redemption 100.0000		14,777	14,777	14,925	14,808		(31)		(31)		14,777				504	08/10/2022	3.A FE
26208B-AN-0	DRIVE AUTO REC TRUST DRIVE 2016-CA D 4.180% 03/15/24		03/15/2021	Paydown		45,932	45,932	45,923	45,928		4		4		45,932				313	03/15/2024	1.B FE
26208C-AN-8	DRIVE AUTO RECEIVABLES TRUST DRIVE 2017-AA D 4.160% 05/15/24		03/15/2021	Paydown		246,424	246,424	246,419	246,421		3		3		246,424				1,681	05/15/2024	1.D FE
26208D-AF-3	DRIVE AUTO RECIEVABLES TRUST DRIVE 2017-BA D 3.720% 10/17/22		02/16/2021	Call 100.0000		45,605	45,605	45,604	45,605						45,605				283	10/17/2022	1.A FE
26208D-AF-3	DRIVE AUTO RECIEVABLES TRUST DRIVE 2017-BA D 3.720% 10/17/22		01/15/2021	Paydown		83,096	83,096	83,094	83,096						83,096				258	10/17/2022	1.A FE
26208E-AG-9	DRIVE AUTO RECEIVABLES TRUST DRIVE AUTO RECEIVABLES TRUST 3.840% 03/15/23		03/15/2021	Paydown		189,126	189,126	189,120	189,125		1		1		189,126				1,190	03/15/2023	1.B FE
26208J-AG-8	DRIVE AUTO RECEIVABLES TRUST SERIES 20182 CLASS D 4.140% 08/15/24		03/23/2021	Paydown		231,055	231,055	231,028	231,039		17		17		231,055				1,697	08/15/2024	1.F FE
31738K-AA-7	Finance of America Structured SERIES 2020JR4 CLASS A1 2.000% 10/25/50		03/25/2021	Redemption 100.0000		335,587	335,587	330,076	330,127		5,460		5,460		335,587				9,710	06/01/2031	1.A PL
31738K-AB-5	Finance of America Structured SERIES 2020JR4 CLASS A2 3.000% 10/25/50		03/25/2021	Redemption 100.0000		70,703	70,703	69,408	69,420		1,283		1,283		70,703				2,983	06/01/2031	1.D PL
32057H-AJ-6	FIRST INVESTORS AUTO OWNERS TR FIAOT 2016-2A D 3.350% 11/15/22		03/17/2021	Paydown		112,829	112,829	112,827	112,829						112,829				908	11/15/2022	1.D FE
33843Q-AG-9	FLAGSHIP CREDIT AUTO TRUST FCAT 2017-1 D 4.230% 05/15/23		03/15/2021	Paydown		85,008	85,008	85,003	85,006		2		2		85,008				899	05/15/2023	1.A FE
33844F-AD-9	FLAGSHIP CREDIT AUTO TRUST FCAT 2016-4 C 2.710% 11/15/22		03/15/2021	Paydown		160,968	160,968	160,959	160,965		3		3		160,968				681	11/15/2022	1.A FE
33844F-AE-7	FLAGSHIP CREDIT AUTO TRUST FCAT 2016-4 D 3.890% 11/15/22		03/15/2021	Paydown		24,903	24,903	24,899	24,902		1		1		24,903				242	11/15/2022	1.B FE
36242D-5W-9	GSR MORTGAGE LOAN TRUST GSR 2005-5F 2A2 5.500% 06/25/35		03/01/2021	Paydown		6,193	6,193	6,212	6,199		(7)		(7)		6,193				60	06/25/2035	1.D FM
36251X-AY-3	GS MORTGAGE SEC TRUST GSMS 2016-GS4 C 3.811% 11/10/49		03/11/2021	BANK OF AMERICA		964,180	1,000,000	973,053	985,390		764		764		986,153		(21,974)	(21,974)	11,009	11/10/2049	4.B FM
36258F-AA-7	GS Mortgage-Backed Securities SERIES 2020PJ1 CLASS A1 3.500% 05/25/50		02/01/2021	Paydown		422,733	422,733	432,839	432,571		(9,838)		(9,838)		422,733				2,466	05/25/2050	1.A FM
36258F-AA-7	GS Mortgage-Backed Securities SERIES 2020PJ1 CLASS A1 3.500% 05/25/50		03/01/2021	Paydown		424,076	424,076	434,214	433,945		(9,869)		(9,869)		424,076				2,328	05/25/2050	1.D FM
36262D-AA-6	GS Mortgage-Backed Securities SERIES 2020PJ2 CLASS A1 3.500% 07/25/50		02/01/2021	Paydown		487,346	487,346	498,616	498,331		(10,985)		(10,985)		487,346				2,843	07/25/2050	1.A FM
36262D-AA-6	GS Mortgage-Backed Securities SERIES 2020PJ2 CLASS A1 3.500% 07/25/50		03/01/2021	Paydown		640,502	640,502	655,314	654,940		(14,438)		(14,438)		640,502				3,837	07/25/2050	1.D FM
375558-AQ-6	GILEAD SCIENCES INC GILD 4 1/2 04/01/21 4.500% 04/01/21		01/04/2021	Call 100.0000		1,000,000	1,000,000	1,026,525	1,000,000						1,000,000				11,250	04/01/2021	2.A FE
38081E-AA-9	GOLDEN BEAR 2016-1A A GLDN 2016-1A A 3.750% 09/20/47		03/20/2021	Paydown		42,153	42,153	42,153	42,153						42,153				790	09/20/2047	1.A FE
40439H-AC-3	HIN Timeshare Trust 2020-A SERIES 2020A CLASS C 3.420% 10/09/39		03/17/2021	Paydown		150,242	150,242	150,222	150,222		19		19		150,242				900	10/09/2039	2.B FE
42770W-AA-7	HERO FUNDING TRUST HERO 2016-2A A 3.750% 09/20/41		03/20/2021	Paydown		17,960	17,960	17,954	18,140		(181)		(181)		17,960				107	09/20/2041	1.A FE
446150-AJ-3	HUNTINGTON BANCSHARES HBAN 3.15 03/14/21 3.150% 03/14/21		02/16/2021	Call 100.0000		623,000	623,000	621,773	622,947		33		33		622,980		20	20	8,177	03/14/2021	2.A FE
46591F-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20195 CLASS A3 4.000% 11/25/49		02/01/2021	Paydown		131,882	131,882	134,850	134,786		(2,904)		(2,904)		131,882				859	11/25/2049	1.A FM

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
46591F-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20195 CLASS A3 4.000% 11/25/49		03/01/2021	Paydown		200,414	200,414	204,924	204,827		(4,412)		(4,412)		200,414				1,184	11/25/2049	1.D FM
46591K-AC-7	JP MORGAN MORTGAGE TRUST SERIES 20198 CLASS A3 3.500% 03/25/50		02/01/2021	Paydown		224,096	224,096	227,003	226,957		(2,861)		(2,861)		224,096				1,307	03/25/2050	1.A FM
46591K-AC-7	JP MORGAN MORTGAGE TRUST SERIES 20198 CLASS A3 3.500% 03/25/50		03/01/2021	Paydown		360,233	360,233	364,904	364,831		(4,599)		(4,599)		360,233				1,928	03/25/2050	1.D FM
46591T-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20202 CLASS A3 3.500% 07/25/50		02/01/2021	Paydown		240,940	240,940	246,624	246,492		(5,552)		(5,552)		240,940				1,405	07/25/2050	1.A FM
46591T-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20202 CLASS A3 3.500% 07/25/50		03/01/2021	Paydown		574,228	574,228	587,776	587,461		(13,233)		(13,233)		574,228				3,614	07/25/2050	1.D FM
46649K-AA-3	JP MORGAN MORTGAGE TRUST SERIES 20185 CLASS A1 3.500% 10/25/48		02/01/2021	Paydown		144,837	144,837	146,214	146,175		(1,339)		(1,339)		144,837				837	10/25/2048	1.A FM
46649K-AA-3	JP MORGAN MORTGAGE TRUST SERIES 20185 CLASS A1 3.500% 10/25/48		03/01/2021	Paydown		374,813	374,813	378,376	378,277		(3,464)		(3,464)		374,813				2,074	10/25/2048	1.D FM
46650H-AC-2	JP MORGAN MORTGAGE TRUST SERIES 20191 CLASS A3 4.000% 05/25/49		02/01/2021	Paydown		54,802	54,802	56,267	56,219		(1,417)		(1,417)		54,802				357	05/25/2049	1.A FM
46650H-AC-2	JP MORGAN MORTGAGE TRUST SERIES 20191 CLASS A3 4.000% 05/25/49		03/01/2021	Paydown		95,529	95,529	98,081	97,998		(2,470)		(2,470)		95,529				643	05/25/2049	1.D FM
46650J-AG-9	JP MORGAN MORTGAGE TRUST SERIES 20186 CLASS 1A7 3.500% 12/25/48		02/01/2021	Paydown		130,655	130,655	132,907	132,651		(1,996)		(1,996)		130,655				757	12/25/2048	1.A FM
46650J-AG-9	JP MORGAN MORTGAGE TRUST SERIES 20186 CLASS 1A7 3.500% 12/25/48		03/01/2021	Paydown		163,721	163,721	166,542	166,221		(2,501)		(2,501)		163,721				1,425	12/25/2048	1.D FM
46651A-AT-9	JP MORGAN MORTGAGE TRUST SERIES 2019LTV2 CLASS A18 4.000% 12/25/49		02/01/2021	Paydown		176,578	176,578	180,551	180,454		(3,877)		(3,877)		176,578				1,156	12/25/2049	1.A FM
46651A-AT-9	JP MORGAN MORTGAGE TRUST SERIES 2019LTV2 CLASS A18 4.000% 12/25/49		03/01/2021	Paydown		422,969	422,969	432,486	432,256		(9,286)		(9,286)		422,969				2,847	12/25/2049	1.D FM
46651B-AC-4	JP MORGAN MORTGAGE TRUST SERIES 20196 CLASS A3 3.500% 12/25/49		02/01/2021	Paydown		186,507	186,507	189,421	189,352		(2,846)		(2,846)		186,507				1,050	12/25/2049	1.A FM
46651B-AC-4	JP MORGAN MORTGAGE TRUST SERIES 20196 CLASS A3 3.500% 12/25/49		03/01/2021	Paydown		303,238	303,238	307,976	307,865		(4,627)		(4,627)		303,238				1,640	12/25/2049	1.D FM
46651Y-AC-4	JP MORGAN MORTGAGE TRUST SERIES 20199 CLASS A3 3.500% 05/25/50		02/01/2021	Paydown		248,027	248,027	253,995	253,835		(5,808)		(5,808)		248,027				1,447	05/25/2050	1.A FM
46651Y-AC-4	JP MORGAN MORTGAGE TRUST SERIES 20199 CLASS A3 3.500% 05/25/50		03/01/2021	Paydown		513,027	513,027	525,371	525,040		(12,013)		(12,013)		513,027				3,215	05/25/2050	1.D FM
553894-AB-2	MVW OWNER TRUST MVWOT 2016-1A B 2.640% 12/20/33		03/20/2021	Paydown		20,245	20,245	20,239	20,240		4		4		20,245				87	12/20/2033	2.B FE
56585A-AD-4	MARATHON PETROLEUM CORP MPC 5 1/8 03/01/21 5.125% 03/01/21		03/01/2021	Maturity		750,000	750,000	770,723	750,457		(457)		(457)		750,000				19,219	03/01/2021	2.B FE
637417-AF-3	NATIONAL RETAIL PROPERTIES NNN 3.3 04/15/23 3.300% 04/15/23		03/12/2021	Call 106.0937		1,060,937	1,000,000	974,230	990,956		748		748		991,704		8,296	8,296	74,412	04/15/2023	2.A FE
655044-AP-0	NOBLE ENERGY INC NBL 3.85 01/15/28 3.850% 01/15/28		01/06/2021	Tax Free Exchange		982,423	1,000,000	976,530	982,393		30		30		982,423				18,288	01/15/2028	2.C FE
68504U-AC-5	Orange Lake Timeshare Trust SERIES 2019A CLASS C 3.610% 04/09/38		03/09/2021	Paydown		114,978	114,978	114,976	114,976		2		2		114,978				611	04/09/2038	2.B FE
69372X-AM-4	Psmc 2018-1 Trust SERIES 20191 CLASS A12 4.000% 07/25/49		02/01/2021	Paydown		292,682	292,682	308,111	306,536		(13,854)		(13,854)		292,682				1,951	07/25/2049	1.A FM
69372X-AM-4	Psmc 2018-1 Trust SERIES 20191 CLASS A12 4.000% 07/25/49		03/01/2021	Paydown		541,828	541,828	570,390	567,474		(25,647)		(25,647)		541,828				3,804	07/25/2049	1.D FM
80284R-AG-4	SANTANDER DRIVE AUTO RECEIVABL SDART 2016-3 D 2.800% 08/15/22		03/15/2021	Call 100.0000		502,844	502,844	502,685	502,798		7		7		502,805		39	39	3,520	08/15/2022	1.A FE
80284R-AG-4	SANTANDER DRIVE AUTO RECEIVABL SDART 2016-3 D 2.800% 08/15/22		02/15/2021	Paydown		159,142	159,142	159,092	159,127		14		14		159,142				554	08/15/2022	1.A FE
81747A-AA-3	SEQUOIA MORTGAGE TRUST SERIES 20191 CLASS A1 4.000% 02/25/49		02/01/2021	Paydown		17,793	17,793	18,200	18,188		(394)		(394)		17,793				119	02/25/2049	1.A FM

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
81747A-AA-3	SEQUOIA MORTGAGE TRUST SERIES 20191 CLASS A1 4.000% 02/25/49		03/01/2021	Paydown		43,078	43,078	44,062	44,033		(955)		(955)		43,078				225	02/25/2049	1.D FM
81748A-AA-2	SEQUOIA MORTGAGE TRUST SERIES 20203 CLASS A1 3.000% 04/25/50		02/01/2021	Paydown		185,846	185,846	189,679	189,582		(3,736)		(3,736)		185,846				929	04/25/2050	1.A FM
81748A-AA-2	SEQUOIA MORTGAGE TRUST SERIES 20203 CLASS A1 3.000% 04/25/50		03/01/2021	Paydown		353,574	353,574	360,867	360,682		(7,108)		(7,108)		353,574				1,771	04/25/2050	1.D FM
81748K-AA-0	SEQUOIA MORTGAGE TRUST SERIES 20202 CLASS A1 3.500% 03/25/50		02/01/2021	Paydown		251,372	251,372	257,578	257,425		(6,053)		(6,053)		251,372				1,466	03/25/2050	1.A FM
81748K-AA-0	SEQUOIA MORTGAGE TRUST SERIES 20202 CLASS A1 3.500% 03/25/50		03/01/2021	Paydown		617,281	617,281	632,520	632,144		(14,863)		(14,863)		617,281				3,271	03/25/2050	1.D FM
82281E-AA-5	Shellpoint Co-Originator Trust SERIES 20161 CLASS 1A1 3.500% 11/25/46		02/01/2021	Paydown		38,493	38,493	37,519	37,585		907		907		38,493				225	11/25/2046	1.A FM
82281E-AA-5	Shellpoint Co-Originator Trust SERIES 20161 CLASS 1A1 3.500% 11/25/46		03/01/2021	Paydown		29,715	29,715	28,963	29,015		700		700		29,715				167	11/25/2046	1.D FM
82652N-AC-2	SIERRA RECEIVABLES FUNDING CO SERIES 20193A CLASS C 3.000% 07/15/38		03/23/2021	Paydown		121,294	121,294	121,282	121,283		11		11		121,294				585	07/15/2038	2.B FE
82652W-AB-4	SIERRA RECEIVABLES SRFC 2016-2A B 2.780% 07/20/33		03/18/2021	Call 100.0000		100,447	100,447	100,441	100,442						100,443		4	4	465	07/20/2033	2.B FE
82652W-AB-4	SIERRA RECEIVABLES SRFC 2016-2A B 2.780% 07/20/33		02/20/2021	Paydown		7,547	7,547	7,547	7,547						7,547				26	07/20/2033	2.B FE
82653G-AC-6	SIERRA RECEIVABLES FUNDING CO SERIES 20183A CLASS C 4.170% 03/20/26		03/20/2021	Paydown		17,627	17,627	17,627	17,626		1		1		17,627				121	03/20/2026	2.B FE
87233Q-AA-6	TC PIPELINES LP TCP 4.65 06/15/21 4.650% 06/15/21		03/15/2021	Call 100.0000		800,000	800,000	838,784	801,057		(1,057)		(1,057)		800,000				9,403	06/15/2021	2.B FE
883556-BF-8	THERMO FISHER SCIENTIFIC TMO 4.15 02/01/24 4.150% 02/01/24		01/15/2021	Call 111.2490		834,368	750,000	750,376	750,150		(2)		(2)		750,149		(149)	(149)	98,547	02/01/2024	2.A FE
90931E-AA-2	UNITED AIR 2019-1 A PTT UAL 4.55 08/25/31 4.550% 08/25/31		02/25/2021	Redemption 100.0000		69,210	69,210	69,210	69,210						69,210				1,575	08/25/2031	2.B FE
90983V-AA-1	MCGUIRE AIR FORCE BASE MCGAFB 5.611 09/15/51 5.611% 09/15/51		03/15/2021	Redemption 100.0000		22,555	22,555	22,438	22,443		112		112		22,555				633	09/15/2051	2.B FE
90983V-AA-1	MCGUIRE AIR FORCE BASE MCGAFB 5.611 09/15/51 5.611% 09/15/51		03/05/2021	Security Withdraw		197,941	198,905	197,879	197,920		20		20		197,941					09/15/2051	2.B FE
918290-AB-3	VSE VOI MORTGAGE LLC VSTNA 2016-A B 2.740% 07/20/33		03/20/2021	Paydown		20,169	20,169	20,166	20,180		(11)		(11)		20,169				89	07/20/2033	2.B FE
92211M-AE-3	VANTAGE DATA CENTERS ISSUER, L SERIES 20182A CLASS A2 4.196% 11/16/43		03/15/2021	Paydown		3,750	3,750	3,750	3,750						3,750				26	11/16/2043	1.G FE
92212K-AA-4	Vantage Data Centers LLC SERIES 20191A CLASS A2 3.160% 07/15/44		03/15/2021	Paydown		2,500	2,500	2,500	2,500						2,500				13	07/15/2044	1.G FE
949831-AA-9	Wells Fargo Mortgage Backed Se SERIES 20193 CLASS A1 3.500% 10/25/49		02/01/2021	Paydown		26,424	26,424	27,052	27,037		(613)		(613)		26,424				154	10/25/2049	1.A FM
949831-AA-9	Wells Fargo Mortgage Backed Se SERIES 20193 CLASS A1 3.500% 10/25/49		03/01/2021	Paydown		78,199	78,199	80,056	80,013		(1,814)		(1,814)		78,199				400	10/25/2049	1.D FM
95001T-AA-3	Wells Fargo Mortgage Backed Se SERIES 20191 CLASS A1 4.000% 11/25/48		02/01/2021	Paydown		47,301	47,301	48,441	48,406		(1,106)		(1,106)		47,301				315	11/25/2048	1.A FM
95001T-AA-3	Wells Fargo Mortgage Backed Se SERIES 20191 CLASS A1 4.000% 11/25/48		03/01/2021	Paydown		104,513	104,513	107,032	106,956		(2,443)		(2,443)		104,513				578	11/25/2048	1.D FM
97651L-AC-5	Winwater Mortgage Loan Trust SERIES 20154 CLASS A3 3.500% 06/20/45		02/01/2021	Paydown		38,581	38,581	37,604	37,678		903		903		38,581				225	06/20/2045	1.A FM
97651L-AC-5	Winwater Mortgage Loan Trust SERIES 20154 CLASS A3 3.500% 06/20/45		03/01/2021	Paydown		158,831	158,831	154,810	155,113		3,718		3,718		158,831				998	06/20/2045	1.D FM
009090-AA-9	AIR CANADA 2015-1A PTT ACACN 3.6 03/15/27 3.600% 09/15/27	A	03/15/2021	Redemption 100.0000		60,311	60,311	51,945	53,630		6,681		6,681		60,311				1,086	03/15/2027	1.F FE
04016D-AE-5	ARES CLO LTD ARES 2016-41A C 2.841% 01/15/29	D	03/24/2021	Call 100.0000		1,500,000	1,500,000	1,500,000	1,500,000						1,500,000				18,925	01/15/2029	1.F FE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
05363L-AY-9	AVERY 2015-6A C AVERY 2015-6A CR 2.195% 08/05/27	D	02/25/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				6,905	08/05/2027	1.F FE
05580H-AS-8	BNPP 2014 BNPIP 2014-2A DR 3.705% 10/30/25	D	03/23/2021	BARCLAYS CAPITAL GROUP		1,925,308	2,026,640	2,026,640	2,000,000						2,026,640		(101,332)	(101,332)	57,142	10/30/2025	3.A FE
45082#-AA-0	IBERIA AIRLINES IBERIA AIRLINES 3.87 5/20/33 3.870% 05/20/33	D	03/20/2021	Redemption 100.0000		8,180	8,180	8,180	8,180						8,180				79	05/20/2033	1.F PL
56576Q-AU-2	MARATHON CLO LTD MCLO 2013-5A CR 2.932% 11/21/27	D	02/22/2021	Paydown		40,744	40,744	40,744	40,744						40,744				305	11/21/2027	4.A FE
64131J-AJ-5	NEUBERGER BERMAN CLO LTD NEUB 2017-25A D 3.476% 10/18/29	D	03/18/2021	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				28,916	10/18/2029	2.C FE
65023P-AJ-9	NEWARK BSL CLO 2 NBCLO 2017-1A C 3.868% 07/25/30	D	03/04/2021	Redemption 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				13,744	07/25/2030	2.C FE
67102Q-AP-3	OAK HILL CREDIT PARTNERS OAKC 2012-7A CR 2.882% 11/20/27	D	03/05/2021	Call 100.0000		500,000	500,000	499,900	503,833		(144)		(144)		503,689		(3,689)	(3,689)	4,257	11/20/2027	1.F FE
67590A-BF-8	OCTAGON INV PARTNERS XIV OCT14 2012-1A BR 2.841% 07/15/29	D	03/12/2021	Call 100.0000		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				11,670	07/15/2029	1.F FE
902133-AK-3	TYCO ELECTRONICS GROUP S TEL 4 7/8 01/15/21 4.875% 01/15/21	D	01/15/2021	Maturity		750,000	750,000	799,058	750,250		(250)		(250)		750,000				18,281	01/15/2021	2.A FE
92841#-AA-4	VISTA JET MALTA FINANCE PLC VISTA JET MALTA FINANCE PLC 4.500% 07/15/27	D	01/15/2021	Redemption 100.0000		84,274	84,274	84,274	84,274						84,274				3,076	07/15/2027	1.G PL
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						37,091,452	37,159,929	37,302,409	37,162,957		(201,083)		(201,083)		36,988,524		(42,378)	(42,378)	599,979	XXX	XXX
000000-00-0	ARM FUNDING 2019-1 LLC ARM FUNDING 2019-1 LLC 2/24 2.808% 02/29/24		01/29/2021	Redemption 100.0000		(593,700)	(593,700)	(593,700)	(640,782)						(593,700)				1,951	02/29/2024	1.F Z
000000-00-0	NF FUNDING I LLC CLASS A 3/19 2.865% 03/29/26		03/15/2021	Redemption 100.0000		116,286	116,286	116,286	116,286						116,286				1,224	03/29/2026	1.F Z
000000-00-0	Avant Warehouse Trust III Class A Loan Upsize 9/20 2.758% 09/16/26		03/15/2021	Redemption 100.0000		459,347	459,347	459,347	295,410						459,347				3,648	09/16/2026	1.D Z
24702#-ZZ-3	DELL FINANCIAL SERVICES DELL FINANCIAL SERVICES 1.759% 08/22/22		03/17/2021	Redemption 100.0000		967,431	967,431	967,431	702,956						967,431				2,837	08/22/2022	2.B Z
55282G-AA-5	ME 2019-1 A2 MASSAGE ENVY 2019-1 A2 6.448% 07/30/49		01/30/2021	Paydown		7,500	7,500	7,500	7,500						7,500				121	07/30/2049	2.B FE
74166Y-AA-8	Primrose Schools SERIES 20191A CLASS A2 4.475% 07/30/49		01/30/2021	Paydown		5,000	5,000	5,000	5,000						5,000				56	07/30/2049	2.B FE
83218#-XX-3	SOFI FUNDING PL XII LLC CLASS A TRANCHE 2.359% 10/18/21		03/18/2021	Redemption 100.0000		1,513,787	1,513,787	1,513,787	1,513,787						1,513,787				8,181	10/18/2021	1.F Z
83218#-YY-0	SOFI FUNDING PL XII LLC CLASS B TRANCHE 3.258% 10/18/21		02/26/2021	Redemption 100.0000		319,070	319,070	319,070	319,070						319,070				31,346	10/18/2021	2.B Z
8299999. Subtotal - Bonds - Unaffiliated Bank Loans						2,794,721	2,794,721	2,794,721	2,319,227						2,794,721				49,364	XXX	XXX
8399997. Total - Bonds - Part 4						40,567,258	40,635,735	40,763,634	40,157,926		(195,741)		(195,741)		40,464,330		(42,378)	(42,378)	660,360	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						40,567,258	40,635,735	40,763,634	40,157,926		(195,741)		(195,741)		40,464,330		(42,378)	(42,378)	660,360	XXX	XXX
8999997. Total - Preferred Stocks - Part 4							XXX													XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX													XXX	XXX
9799997. Total - Common Stocks - Part 4							XXX													XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX													XXX	XXX
9899999. Total - Preferred and Common Stocks							XXX													XXX	XXX
9999999 - Totals						40,567,258	XXX	40,763,634	40,157,926		(195,741)		(195,741)		40,464,330		(42,378)	(42,378)	660,360	XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2020	04/01/2021	129	212,062	1640.814	4,983			208		17,208			(1,246)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/03/2020	04/01/2021	231	378,790	1641.261	8,561			357		30,626			(2,140)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/02/2020	04/01/2021	96	157,037	1642.726	3,502			146		12,545			(875)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/01/2020	04/01/2021	1,216	3,004,889	2470.5	272,541			11,356		1,224,194			(68,135)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/03/2020	04/01/2021	2,698	6,714,206	2488.65	636,141			26,506		2,937,776			(159,035)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/02/2020	04/01/2021	1,444	3,647,763	2526.9	372,939			15,539		1,648,177			(93,235)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/03/2020	04/01/2021	76	210,370	2781.79	4,341			181		15,588			(1,085)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/02/2020	04/01/2021	23	62,809	2783.7	1,112			46		4,619			(278)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/03/2020	04/04/2021	16	40,445	2488.65	708			29		4,712			(177)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/03/2020	04/05/2021	1,246	3,101,103	2488.65	307,081			12,795		1,432,599			(76,770)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/03/2020	04/05/2021	256	712,817	2781.79	15,577			649		54,163			(3,894)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/06/2020	04/06/2021	311	511,882	1648.238	11,620			484		39,045			(2,905)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/06/2020	04/06/2021	463	1,234,491	2663.68	110,311			4,596		469,859			(27,578)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/07/2020	04/07/2021	246	405,088	1648.723	9,114			380		30,770			(2,279)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/07/2020	04/07/2021	557	1,481,800	2659.41	114,810			4,784		473,512			(28,703)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/07/2020	04/07/2021	43	120,410	2795.2	2,122			88		7,579			(530)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/08/2020	04/08/2021	106	175,733	1652.797	3,813			159		12,883			(953)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/08/2020	04/08/2021	1,024	2,815,979	2749.98	224,914			9,371		862,052			(56,228)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/08/2020	04/08/2021	14	37,848	2802.48	844			35		2,508			(211)				
UST 1.50% 02/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/08/2020	04/09/2021	212	20,800	98	275			11		701			(69)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2020	04/09/2021	345	570,268	1654.926	12,375			516		41,018			(3,094)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2020	04/09/2021	2,678	7,471,811	2789.82	656,835			27,368		2,526,653			(164,209)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2020	04/09/2021	188	528,768	2806.31	11,503			479		34,851			(2,876)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2020	04/12/2021	67	110,882	1654.926	2,406			100		7,975			(602)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/09/2020	04/12/2021	947	2,642,133	2789.82	239,731			9,989		926,706			(59,933)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/13/2020	04/13/2021	62	102,942	1652.453	2,285			95		7,569			(571)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/13/2020	04/13/2021	613	1,691,907	2761.63	136,365			5,682		523,747			(34,091)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/13/2020	04/13/2021	29	80,853	2803.29	1,469			61		4,975			(367)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/14/2020	04/14/2021	175	290,308	1655.748	7,084			295		20,727			(1,771)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/14/2020	04/14/2021	254	721,943	2846.06	39,621			1,651		134,345			(9,905)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/15/2020	04/15/2021	155	255,703	1651.988	5,702			238		18,879			(1,426)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/15/2020	04/15/2021	1,108	3,083,802	2783.36	262,412			10,934		995,791			(65,603)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/15/2020	04/15/2021	141	396,392	2803.06	5,900			246		23,711			(1,475)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2020	04/16/2021	268	441,970	1652.086	9,635			401		32,604			(2,409)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/17/2020	04/16/2021	1,881	3,115,820	1656.443	49,230			2,051		221,053			(12,307)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/16/2020	04/16/2021	844	2,362,964	2799.55	235,920			9,830		885,712			(58,980)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/17/2020	04/16/2021	136	381,305	2811.14	7,569			315		23,290			(1,892)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/17/2020	04/16/2021	1,996	5,737,413	2874.56	509,927			21,247		1,769,300			(127,482)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/17/2020	04/19/2021	4	11,567	2811.14	244			10		728			(61)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/17/2020	04/19/2021	519	1,491,377	2874.56	134,000			5,583		465,355			(33,500)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/20/2020	04/20/2021	6	17,989	2806.96	378			16		1,161			(94)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/20/2020	04/20/2021	706	1,992,780	2823.16	141,732			5,905		481,851			(35,433)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/21/2020	04/21/2021	118	194,095	1650.374	4,270			178		14,535			(1,068)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/21/2020	04/21/2021	458	1,253,023	2736.56	93,673			3,903		329,617			(23,418)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/22/2020	04/22/2021	212	349,781	1652.233	7,695			321		25,770			(1,924)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/22/2020	04/22/2021	782	2,188,125	2799.31	190,685			7,945		663,899			(47,671)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/22/2020	04/22/2021	22	60,340	2805.25	936			39		3,710			(234)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2020	04/23/2021	110	180,984	1651.819	4,036			168		13,383			(1,009)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/24/2020	04/23/2021	623	1,030,118	1653.825	22,560			940		74,828			(5,640)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/23/2020	04/23/2021	1,141	3,191,630	2797.8	316,305			13,179		1,137,068			(79,076)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/24/2020	04/23/2021	132	370,484	2808.54	6,807			284		22,337			(1,702)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/24/2020	04/23/2021	2,120	6,014,857	2836.74	580,358			24,182		1,984,795			(145,090)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/24/2020	04/26/2021	994	2,818,513	2836.74	266,285			11,095		905,979			(66,571)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/27/2020	04/27/2021	27	44,165	1657.04	998			42		3,116			(250)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/27/2020	04/27/2021	688	1,980,056	2878.48	138,414			5,767		462,731			(34,603)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/28/2020	04/28/2021	249	413,172	1658.547	8,925			372		28,751			(2,231)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/28/2020	04/28/2021	21	59,848	2817.95	921			38		3,392			(230)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/28/2020	04/28/2021	561	1,606,492	2863.39	99,889			4,162		336,822			(24,972)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/29/2020	04/29/2021	168	279,089	1660.633	6,000			250		19,046			(1,500)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/29/2020	04/29/2021	39	109,661	2822.14	2,083			87		6,267			(521)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/29/2020	04/29/2021	667	1,960,087	2939.51	141,868			5,911		472,936			(35,467)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/01/2020	04/30/2021	80	131,832	1652.924	2,795			349		9,653			(699)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2020	04/30/2021	154	254,696	1657.312	5,527			230		17,926			(1,382)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/01/2020	04/30/2021	134	375,846	2810.57	7,213			902		23,275			(1,803)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2020	04/30/2021	17	47,133	2817.08	1,032			43		2,978			(258)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/01/2020	04/30/2021	2,132	6,035,695	2830.71	529,994			66,249		1,876,959			(132,499)				

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S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	04/30/2020	04/30/2021	817	2,380,668	2912.43	190,085			7,920		650,634			(47,521)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/01/2020	05/01/2021	1	3,711	2830.71	59			7		306			(15)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/01/2020	05/03/2021	99	163,039	1652.924	3,473			434		11,939			(868)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/01/2020	05/03/2021	1,003	2,839,271	2830.71	214,712			26,839		731,293			(53,678)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/04/2020	05/04/2021	25	40,610	1653.149	865			108		2,968			(216)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/04/2020	05/04/2021	33	91,875	2811.19	1,619			202		5,935			(405)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/04/2020	05/04/2021	678	1,926,998	2842.74	136,905			17,113		462,690			(34,226)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/05/2020	05/05/2021	49	81,131	1653.663	1,761			220		5,902			(440)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/05/2020	05/05/2021	3	9,813	2812.39	129			16		540			(32)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/05/2020	05/05/2021	337	966,993	2868.44	55,478			6,935		179,379			(13,870)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/06/2020	05/06/2021	34	56,611	1651.692	1,234			154		4,191			(309)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/06/2020	05/06/2021	5	13,522	2809.28	214			27		760			(53)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/06/2020	05/06/2021	406	1,156,443	2848.42	79,772			9,972		276,824			(19,943)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2020	05/07/2021	492	812,933	1653.695	17,803			2,225		59,121			(4,451)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/08/2020	05/07/2021	540	895,799	1657.688	19,708			2,463		62,832			(4,927)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/08/2020	05/07/2021	91	257,367	2819.86	4,588			574		14,828			(1,147)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/07/2020	05/07/2021	435	1,253,516	2881.19	102,840			12,855		366,331			(25,710)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/08/2020	05/07/2021	1,843	5,399,472	2929.8	444,046			55,506		1,546,970			(111,011)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/08/2020	05/10/2021	128	211,565	1657.688	4,654			582		14,839			(1,164)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/08/2020	05/10/2021	1,205	3,529,625	2929.8	254,130			31,766		850,148			(63,533)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/11/2020	05/11/2021	77	128,130	1656.75	2,806			351		9,065			(702)				

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S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/11/2020	05/11/2021	447	1,309,177	2930.32	81,843			10,230		277,836			(20,461)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/12/2020	05/12/2021	255	420,979	1652.91	9,262			1,158		30,831			(2,315)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/12/2020	05/12/2021	19	54,380	2811.95	1,042			130		3,310			(260)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/12/2020	05/12/2021	484	1,388,990	2870.12	74,431			9,304		254,767			(18,608)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/13/2020	05/13/2021	158	260,519	1648.826	5,784			723		19,772			(1,446)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/13/2020	05/13/2021	507	1,428,929	2820	132,234			16,529		500,393			(33,058)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2020	05/14/2021	184	303,227	1650.793	6,762			845		22,624			(1,690)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/15/2020	05/14/2021	456	753,332	1651.574	16,573			2,072		55,824			(4,143)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2020	05/14/2021	7	21,063	2808.74	438			55		1,346			(110)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/15/2020	05/14/2021	94	263,463	2810.17	4,475			559		16,762			(1,119)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/14/2020	05/14/2021	741	2,113,382	2852.5	181,444			22,681		650,946			(45,361)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/15/2020	05/14/2021	1,646	4,713,867	2863.7	389,740			48,717		1,418,518			(97,435)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/15/2020	05/17/2021	19	31,005	1651.574	685			86		2,298			(171)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/15/2020	05/17/2021	868	2,484,910	2863.7	208,893			26,112		761,348			(52,223)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/18/2020	05/18/2021	227	376,229	1659.311	6,584			823		25,996			(1,646)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/18/2020	05/18/2021	31	88,763	2823.52	1,500			188		5,174			(375)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/18/2020	05/18/2021	789	2,331,776	2953.91	159,801			19,975		547,103			(39,950)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/19/2020	05/19/2021	87	143,590	1656.559	3,374			422		10,177			(844)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/19/2020	05/19/2021	11	31,854	2818.91	475			59		1,754			(119)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/19/2020	05/19/2021	318	929,930	2922.94	51,942			6,493		171,752			(12,985)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/20/2020	05/20/2021	167	277,015	1659.215	6,261			783		19,158			(1,565)				

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SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/20/2020	05/20/2021	24	67,191	2823.49	1,384			173		3,918			(346)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/20/2020	05/20/2021	750	2,230,109	2971.61	129,212			16,152		409,936			(32,303)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/22/2020	05/21/2021	243	403,303	1658.654	8,994			1,124		28,038			(2,248)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2020	05/21/2021	70	115,639	1658.911	2,567			321		8,020			(642)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/22/2020	05/21/2021	190	535,898	2822.73	9,986			1,248		30,146			(2,496)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2020	05/21/2021	30	84,282	2823.13	1,172			146		4,298			(293)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/21/2020	05/21/2021	613	1,807,481	2948.51	147,848			18,481		516,561			(36,962)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/22/2020	05/21/2021	2,247	6,640,648	2955.45	512,958			64,120		1,755,984			(128,239)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/22/2020	05/24/2021	16	46,502	2822.73	1,018			127		2,841			(255)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/22/2020	05/24/2021	1,091	3,223,688	2955.45	248,952			31,119		848,898			(62,238)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/22/2020	05/25/2021	16	45,368	2822.73	964			121		2,722			(241)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/22/2020	05/25/2021	454	1,342,955	2955.45	90,704			11,338		297,092			(22,676)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/26/2020	05/26/2021	178	296,331	1663.415	6,579			822		19,696			(1,645)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/26/2020	05/26/2021	48	137,182	2830.96	2,853			357		7,616			(713)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/26/2020	05/26/2021	389	1,163,254	2991.77	65,378			8,172		204,267			(16,344)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/27/2020	05/27/2021	68	113,866	1667.171	2,516			315		7,295			(629)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/27/2020	05/27/2021	66	186,989	2837.39	2,244			280		7,826			(561)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/27/2020	05/27/2021	286	869,533	3036.13	36,390			4,549		98,005			(9,098)				
UST .625% 05/28/21 Total Return Option		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/27/2020	05/28/2021	112	11,000	98	117			15		335			(29)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2020	05/28/2021	134	224,097	1666.564	4,953			619		14,444			(1,238)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/29/2020	05/28/2021	285	474,451	1667.035	10,485			1,311		30,438			(2,621)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2020	05/28/2021	67	188,894	2836.47	2,538			317		8,710			(634)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/29/2020	05/28/2021	365	1,037,026	2837.4	19,273			2,409		52,420			(4,818)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/28/2020	05/28/2021	491	1,486,148	3029.73	98,888			12,361		322,311			(24,722)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	05/29/2020	05/28/2021	3,217	9,794,892	3044.31	752,032			94,004		2,399,226			(188,008)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/01/2020	06/01/2021	110	183,650	1667.902	3,985			830		11,682			(996)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/01/2020	06/01/2021	73	207,727	2839.05	3,488			727		11,137			(872)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/01/2020	06/01/2021	703	2,149,161	3055.73	110,689			23,060		316,780			(27,672)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/02/2020	06/02/2021	94	156,779	1669.76	3,465			722		9,788			(866)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/02/2020	06/02/2021	455	1,402,328	3080.82	53,567			11,160		132,576			(13,392)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/03/2020	06/03/2021	155	259,804	1674.443	5,794			1,207		15,452			(1,448)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/03/2020	06/03/2021	28	80,368	2850.37	1,698			354		3,917			(424)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/03/2020	06/03/2021	423	1,321,668	3122.87	80,515			16,774		235,565			(20,129)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2020	06/04/2021	94	157,009	1674.71	3,438			716		9,313			(860)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/05/2020	06/04/2021	134	224,431	1680.652	4,915			1,024		12,477			(1,229)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2020	06/04/2021	15	44,130	2850.9	790			165		2,236			(197)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/05/2020	06/04/2021	331	946,854	2861.12	18,394			3,832		41,629			(4,599)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/04/2020	06/04/2021	507	1,577,306	3112.35	96,748			20,156		287,000			(24,187)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/05/2020	06/04/2021	1,623	5,182,276	3193.93	297,916			62,066		830,238			(74,479)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/05/2020	06/07/2021	138	232,282	1680.652	5,087			1,060		12,917			(1,272)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/05/2020	06/07/2021	654	2,088,831	3193.93	132,991			27,706		382,553			(33,248)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/08/2020	06/08/2021	50	84,212	1683.306	1,937			404		4,545			(484)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/08/2020	06/08/2021	8	24,155	2865.78	408			85		1,038			(102)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/08/2020	06/08/2021	422	1,364,987	3232.39	63,689			13,268		158,137			(15,922)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/09/2020	06/09/2021	89	149,298	1679.595	3,359			700		8,402			(840)				

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SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/09/2020	06/09/2021	11	31,779	2859.52	648			135		1,436			(162)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/09/2020	06/09/2021	608	1,948,583	3207.18	92,006			19,168		226,643			(23,001)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/10/2020	06/10/2021	286	479,407	1676.188	11,026			2,297		28,001			(2,757)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/10/2020	06/10/2021	641	2,044,964	3190.14	100,437			20,924		263,957			(25,109)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2020	06/11/2021	321	534,801	1664.386	12,033			2,507		35,226			(3,008)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/12/2020	06/11/2021	226	376,229	1667.203	8,202			1,709		24,107			(2,050)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2020	06/11/2021	41	115,163	2833.64	1,774			369		6,011			(443)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/12/2020	06/11/2021	61	172,675	2838.51	3,299			687		8,902			(825)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/11/2020	06/11/2021	567	1,702,039	3002.1	111,842			23,301		326,343			(27,961)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/12/2020	06/11/2021	1,421	4,321,889	3041.31	306,038			63,758		829,891			(76,510)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/12/2020	06/14/2021	49	80,994	1667.203	1,774			370		5,190			(443)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/12/2020	06/14/2021	19	53,681	2838.51	1,176			245		2,970			(294)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/12/2020	06/14/2021	779	2,370,387	3041.31	162,997			33,958		438,058			(40,749)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/15/2020	06/15/2021	95	159,290	1669.299	3,632			757		9,997			(908)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/07/2020	06/15/2021	431	720,000	1670.632	15,912			3,616		44,581			(4,340)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/15/2020	06/15/2021	46	130,126	2842.13	1,808			377		5,798			(452)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/15/2020	06/15/2021	582	1,785,616	3066.59	133,854			27,886		395,369			(33,463)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/16/2020	06/16/2021	236	394,465	1673.01	9,191			1,915		23,836			(2,298)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/16/2020	06/16/2021	7	20,347	2848.63	378			79		952			(95)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/16/2020	06/16/2021	372	1,160,960	3124.74	52,425			10,922		125,835			(13,106)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/17/2020	06/17/2021	174	290,865	1671.732	6,428			1,339		17,811			(1,607)				

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SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/17/2020	06/17/2021	13	38,243	2846.43	597			124		1,821			(149)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/17/2020	06/17/2021	609	1,897,549	3113.49	102,154			21,282		262,899			(25,539)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/19/2020	06/18/2021	435	726,587	1670.204	16,566			3,451		45,193			(4,142)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2020	06/18/2021	322	538,646	1671.608	12,173			2,536		33,027			(3,043)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/19/2020	06/18/2021	131	372,805	2843.9	7,064			1,472		18,597			(1,766)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2020	06/18/2021	18	49,842	2846.19	892			186		2,619			(223)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/19/2020	06/18/2021	1,025	3,175,475	3097.74	169,841			35,384		439,308			(42,460)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/18/2020	06/18/2021	1,020	3,178,773	3115.34	178,975			37,286		453,878			(44,744)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/19/2020	06/20/2021	1	3,257	3097.74	55			12		363			(14)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/19/2020	06/21/2021	11	18,951	1670.204	432			90		1,179			(108)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/19/2020	06/21/2021	49	139,661	2843.9	2,897			604		7,323			(724)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/19/2020	06/21/2021	784	2,427,705	3097.74	142,198			29,625		375,970			(35,549)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/22/2020	06/22/2021	183	304,809	1670.078	6,980			1,454		18,988			(1,745)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/22/2020	06/22/2021	11	31,547	2843.79	517			108		1,611			(129)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/22/2020	06/22/2021	861	2,685,483	3117.86	199,065			41,472		555,155			(49,766)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/23/2020	06/23/2021	11	19,125	1670.248	432			90		1,189			(108)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/23/2020	06/23/2021	318	996,383	3131.29	42,942			8,946		103,104			(10,736)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/24/2020	06/24/2021	83	137,757	1664.462	3,361			700		9,072			(840)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/24/2020	06/24/2021	51	144,885	2834.35	3,091			644		8,074			(773)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/24/2020	06/24/2021	551	1,679,763	3050.33	113,328			23,610		319,868			(28,332)				
UST .625% 05/28/21 Total Return Option		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/24/2020	06/25/2021	371	36,400	98	387			81		1,069			(97)				
UST 0.625% 05/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/24/2020	06/25/2021	130	13,000	100	214			45		1			(53)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/26/2020	06/25/2021	505	839,675	1662.432	18,725			3,901		56,385			(4,681)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2020	06/25/2021	247	411,534	1666.176	9,301			1,938		26,656			(2,325)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/26/2020	06/25/2021	78	221,035	2830.9	4,054			845		11,784			(1,013)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2020	06/25/2021	29	81,737	2837.4	1,568			327		4,134			(392)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/26/2020	06/25/2021	1,570	4,725,005	3009.05	308,251			64,219		913,651			(77,063)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/25/2020	06/25/2021	577	1,780,608	3083.76	118,565			24,701		324,306			(29,641)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/26/2020	06/28/2021	707	1,176,000	1662.432	26,342			5,488		78,982			(6,586)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/26/2020	06/28/2021	70	199,413	2830.9	4,212			877		11,428			(1,053)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/26/2020	06/28/2021	534	1,608,006	3009.05	101,537			21,154		296,999			(25,384)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/29/2020	06/29/2021	78	130,792	1666.93	3,074			640		8,412			(768)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/29/2020	06/29/2021	15	42,483	2838.67	854			178		2,252			(213)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/29/2020	06/29/2021	541	1,651,476	3053.24	88,535			18,445		236,699			(22,134)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/30/2020	06/30/2021	170	284,268	1669.491	6,794			1,415		17,825			(1,699)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/30/2020	06/30/2021	11	31,301	2843.15	354			74		1,193			(88)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	06/30/2020	06/30/2021	368	1,140,037	3100.29	46,522			9,692		110,733			(11,631)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/01/2020	07/01/2021	501	835,891	1668.725	18,808			5,486		52,820			(4,702)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/01/2020	07/01/2021	29	81,635	2841.85	1,478			431		4,432			(369)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/01/2020	07/01/2021	558	1,738,927	3115.86	80,180			23,386		203,311			(20,045)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/02/2020	07/02/2021	117	195,478	1670.568	4,418			1,289		12,127			(1,104)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/02/2020	07/02/2021	302	857,975	2845.05	14,671			4,279		39,460			(3,668)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/02/2020	07/02/2021	2,172	6,797,262	3130.01	386,417			112,705		1,072,821			(96,604)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/06/2020	07/06/2021	230	384,463	1672.873	8,574			2,501		23,308			(2,143)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/06/2020	07/06/2021	79	224,168	2849.1	3,878			1,131		11,176			(969)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/06/2020	07/06/2021	492	1,564,861	3179.72	76,254			22,241		191,382			(19,063)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/07/2020	07/07/2021	245	410,130	1670.632	9,351			2,727		25,440			(2,338)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/07/2020	07/07/2021	42	118,375	2845.51	2,439			711		5,998			(610)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/07/2020	07/07/2021	320	1,005,615	3145.32	51,299			14,962		133,561			(12,825)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/08/2021	50	83,878	1670.623	1,921			560		5,204			(480)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/08/2021	17	49,553	2845.58	768			224		2,393			(192)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/08/2021	445	1,410,306	3169.94	60,415			17,621		141,539			(15,104)				
UST .625% 05/28/21 Total Return Option		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/08/2020	07/09/2021	484	47,400	98	522			152		1,391			(131)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/09/2020	07/09/2021	91	151,448	1667.183	3,408			994		9,723			(852)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/09/2021	241	402,274	1671.036	9,252			2,699		24,856			(2,313)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/09/2020	07/09/2021	68	193,064	2839.72	3,050			890		8,826			(763)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/09/2021	97	276,114	2846.36	5,231			1,526		13,474			(1,308)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/09/2020	07/09/2021	476	1,501,849	3152.05	90,696			26,453		246,760			(22,674)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/09/2021	1,095	3,486,067	3185.04	180,352			52,603		447,652			(45,088)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/12/2021	20	32,872	1671.036	756			221		2,032			(189)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/10/2020	07/12/2021	566	1,801,357	3185.04	92,405			26,952		228,925			(23,101)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/13/2020	07/13/2021	60	99,513	1671.205	2,408			702		6,141			(602)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/13/2020	07/13/2021	422	1,332,481	3155.22	72,827			21,241		191,271			(18,207)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/14/2020	07/14/2021	46	76,245	1675.708	1,746			509		4,493			(437)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/14/2020	07/14/2021	7	20,133	2854.37	324			95		913			(81)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/14/2020	07/14/2021	386	1,234,015	3197.52	46,783			13,645		106,102			(11,696)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/15/2021	206	346,802	1679.639	7,976			2,326		19,605			(1,994)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/15/2021	116	332,122	2861.13	5,779			1,686		13,563			(1,445)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/15/2021	392	1,263,634	3226.56	68,535			19,989		165,359			(17,134)				
UST 0.625% 05/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/15/2020	07/16/2021	159	15,600	98	165			48		462			(41)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/16/2020	07/16/2021	235	394,436	1679.833	8,835			2,577		22,255			(2,209)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/16/2021	333	558,754	1680.448	12,348			3,602		31,317			(3,087)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/16/2021	188	539,248	2862.57	9,255			2,699		24,367			(2,314)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/16/2020	07/16/2021	554	1,781,926	3215.57	87,366			25,482		204,244			(21,841)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/16/2021	1,294	4,173,922	3224.73	237,499			69,270		591,923			(59,375)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/18/2021	3	8,730	3224.73	189			55		781			(47)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/19/2021	56	93,677	1680.448	2,080			607		5,253			(520)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/19/2021	53	152,883	2862.57	2,782			812		7,228			(696)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/17/2020	07/19/2021	697	2,246,977	3224.73	122,470			35,720		301,611			(30,617)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/20/2020	07/20/2021	162	271,630	1677.845	6,492			1,893		15,666			(1,623)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/20/2020	07/20/2021	12	34,421	2858.24	599			175		1,680			(150)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/20/2020	07/20/2021	370	1,201,592	3251.84	54,703			15,955		127,673			(13,676)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/21/2020	07/21/2021	126	211,336	1680.67	4,776			1,393		11,828			(1,194)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/21/2020	07/21/2021	22	63,385	2863.18	1,040			303		2,813			(260)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/21/2020	07/21/2021	314	1,024,116	3257.3	38,476			11,222		81,106			(9,619)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/22/2020	07/22/2021	80	134,576	1682.712	3,001			875		7,368			(750)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/22/2020	07/22/2021	20	57,361	2866.7	1,222			356		2,504			(305)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/22/2020	07/22/2021	503	1,648,114	3276.02	66,315			19,342		140,239			(16,579)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/23/2021	513	862,655	1682.052	19,841			5,787		47,581			(4,960)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/23/2020	07/23/2021	249	419,331	1682.967	9,351			2,727		22,898			(2,338)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/23/2021	133	381,059	2865.71	6,249			1,823		16,508			(1,562)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/23/2020	07/23/2021	21	60,596	2867.11	1,019			297		2,642			(255)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/23/2021	1,397	4,493,019	3215.63	230,455			67,216		563,578			(57,614)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/23/2020	07/23/2021	814	2,634,901	3235.66	135,311			39,466		326,321			(33,828)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/26/2021	140	235,234	1682.052	5,410			1,578		12,983			(1,353)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/26/2021	25	72,229	2865.71	1,206			352		3,185			(302)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/24/2020	07/26/2021	692	2,226,596	3215.63	122,042			35,595		305,094			(30,510)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/27/2020	07/27/2021	227	381,489	1683.204	8,545			2,492		20,797			(2,136)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/27/2020	07/27/2021	24	69,532	2867.74	1,279			373		3,178			(320)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/27/2020	07/27/2021	387	1,254,658	3239.41	73,315			21,384		184,308			(18,329)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/28/2020	07/28/2021	239	401,801	1681.718	9,241			2,695		22,267			(2,310)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/28/2020	07/28/2021	5	15,658	2865.08	254			74		660			(63)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/28/2020	07/28/2021	472	1,518,191	3218.44	52,873			15,421		109,755			(13,218)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/29/2020	07/29/2021	241	406,834	1685.33	9,357			2,729		21,674			(2,339)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/29/2020	07/29/2021	45	129,787	2871.28	2,118			618		5,353			(529)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/29/2020	07/29/2021	465	1,515,783	3258.44	68,988			20,121		155,958			(17,247)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	07/30/2021	821	1,379,985	1681.218	31,740			9,257		76,926			(7,935)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/30/2020	07/30/2021	259	434,954	1681.982	9,873			2,880		24,047			(2,468)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	07/30/2021	61	174,087	2864.46	3,137			915		7,989			(784)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/30/2020	07/30/2021	475	1,540,476	3246.22	89,954			26,237		218,945			(22,489)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	07/30/2021	2,089	6,834,689	3271.12	372,027			108,508		846,413			(93,007)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	08/02/2021	47	133,303	2864.46	2,186			638		5,662			(547)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	07/31/2020	08/02/2021	724	2,368,053	3271.12	145,476			42,430		340,385			(36,369)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/03/2020	08/03/2021	176	295,681	1681.61	6,919			2,595		16,428			(1,730)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/03/2020	08/03/2021	18	52,481	2865.24	945			354		2,452			(236)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/03/2020	08/03/2021	406	1,337,130	3294.61	60,809			22,803		132,402			(15,202)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/04/2020	08/04/2021	25	42,825	1682.532	964			361		2,356			(241)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/04/2020	08/04/2021	38	109,050	2866.84	1,764			661		4,595			(441)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/04/2020	08/04/2021	387	1,279,283	3306.51	49,395			18,523		99,635			(12,349)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/05/2020	08/05/2021	64	107,652	1684.826	2,487			933		5,778			(622)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/05/2020	08/05/2021	129	371,067	2870.78	4,935			1,851		12,697			(1,234)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/05/2020	08/05/2021	590	1,964,857	3327.77	93,963			35,236		206,948			(23,491)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/06/2020	08/06/2021	386	650,273	1684.17	15,021			5,633		35,164			(3,755)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/06/2021	433	731,254	1687.656	17,038			6,389		38,041			(4,260)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/06/2020	08/06/2021	194	555,573	2869.73	8,427			3,160		21,598			(2,107)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/06/2021	84	242,423	2875.68	3,897			1,461		9,424			(974)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/06/2020	08/06/2021	726	2,432,870	3349.16	152,097			57,036		346,373			(38,024)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/06/2021	1,052	3,525,281	3351.28	193,705			72,639		431,942			(48,426)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/09/2021	68	114,965	1687.656	2,690			1,009		5,986			(673)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/07/2020	08/09/2021	502	1,681,200	3351.28	94,499			35,437		211,212			(23,625)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/10/2020	08/10/2021	25	41,518	1691.193	947			355		2,077			(237)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/10/2020	08/10/2021	453	1,520,858	3360.47	57,532			21,574		114,102			(14,383)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/11/2020	08/11/2021	90	153,079	1691.587	3,613			1,355		7,627			(903)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/11/2020	08/11/2021	27	77,329	2882.39	1,376			516		3,028			(344)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/11/2020	08/11/2021	340	1,133,708	3333.69	37,059			13,897		71,187			(9,265)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/12/2021	239	405,153	1693.75	9,562			3,586		19,694			(2,390)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/12/2021	24	69,072	2886.12	1,264			474		2,344			(316)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/12/2021	388	1,311,726	3380.35	40,582			15,218		74,203			(10,145)				
UST .625% 05/28/21 Total Return Option		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/12/2020	08/13/2021	502	49,200	98	480			180		1,384			(120)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/13/2020	08/13/2021	149	251,464	1691.938	5,809			2,178		12,487			(1,452)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/13/2021	262	442,738	1692.215	10,272			3,852		21,916			(2,568)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/13/2020	08/13/2021	4	10,992	2882.99	204			77		453			(51)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/13/2021	41	118,788	2883.39	2,006			752		4,379			(502)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/13/2021	1,188	4,007,324	3372.85	168,620			63,233		332,409			(42,155)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/13/2020	08/13/2021	459	1,548,311	3373.43	82,263			30,849		172,884			(20,566)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/16/2021	66	112,469	1692.215	2,621			983		5,573			(655)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/16/2021	54	155,794	2883.39	2,797			1,049		6,078			(699)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/14/2020	08/16/2021	441	1,489,104	3372.85	75,422			28,283		156,052			(18,856)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/17/2020	08/17/2021	10	17,672	1692.431	406			152		874			(102)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/17/2020	08/17/2021	94	270,517	2883.94	4,707			1,765		10,516			(1,177)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/17/2020	08/17/2021	319	1,079,942	3381.99	46,574			17,465		91,316			(11,643)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/18/2020	08/18/2021	117	197,095	1691.319	4,908			1,840		9,875			(1,227)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/18/2020	08/18/2021	41	118,020	2882.1	1,987			745		4,531			(497)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/18/2020	08/18/2021	488	1,655,436	3389.78	51,122			19,171		90,116			(12,781)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/19/2020	08/19/2021	226	382,187	1690.183	8,905			3,339		19,405			(2,226)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/19/2020	08/19/2021	283	953,778	3374.85	39,991			14,997		80,632			(9,998)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/20/2021	617	1,042,736	1688.885	24,296			9,111		53,742			(6,074)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/20/2020	08/20/2021	230	387,906	1689.004	9,232			3,462		19,966			(2,308)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/20/2021	158	453,779	2878.13	7,553			2,832		17,492			(1,888)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/20/2020	08/20/2021	41	118,655	2878.37	1,858			697		4,380			(464)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/20/2020	08/20/2021	488	1,653,464	3385.51	71,715			26,893		142,946			(17,929)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/20/2021	1,293	4,391,417	3397.16	200,464			75,174		390,018			(50,116)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/23/2021	130	218,751	1688.885	5,119			1,920		11,286			(1,280)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/23/2021	56	161,503	2878.13	2,406			902		5,605			(602)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/21/2020	08/23/2021	581	1,974,060	3397.16	101,363			38,011		203,896			(25,341)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/24/2020	08/24/2021	75	127,453	1693.166	3,059			1,147		6,266			(765)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/24/2020	08/24/2021	466	1,597,282	3431.28	77,830			29,186		150,183			(19,457)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/25/2020	08/25/2021	99	166,719	1692.044	3,951			1,482		8,306			(988)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/25/2020	08/25/2021	26	75,879	2883.79	1,322			496		2,913			(330)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/25/2020	08/25/2021	390	1,344,453	3443.62	41,713			15,642		68,267			(10,428)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/26/2020	08/26/2021	228	385,766	1691.987	9,143			3,428		19,239			(2,286)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/26/2020	08/26/2021	36	104,433	2883.6	1,765			662		3,886			(441)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/26/2020	08/26/2021	495	1,720,607	3478.73	69,580			26,093		121,531			(17,395)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/27/2020	08/27/2021	103	173,817	1693.57	4,137			1,551		8,517			(1,034)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/27/2021	294	499,293	1696.045	11,983			4,494		23,772			(2,996)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/27/2020	08/27/2021	90	260,605	2886.3	4,665			1,749		10,028			(1,166)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/27/2021	59	169,612	2890.63	2,964			1,111		6,154			(741)				

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1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/27/2020	08/27/2021	383	1,335,652	3484.55	56,895			21,335		97,560			(14,224)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/27/2021	1,165	4,086,480	3508.01	181,606			68,102		299,479			(45,401)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/30/2021	175	296,828	1696.045	7,154			2,683		14,151			(1,788)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/30/2021	75	217,733	2890.63	3,092			1,159		6,435			(773)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/28/2020	08/30/2021	572	2,006,625	3508.01	115,363			43,261		197,250			(28,841)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/31/2020	08/31/2021	112	190,019	1692.896	4,484			1,682		9,398			(1,121)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	08/31/2020	08/31/2021	475	1,662,563	3500.31	77,439			29,040		130,011			(19,360)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/01/2020	09/01/2021	143	241,925	1694.762	5,855			2,683		11,716			(1,464)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/01/2020	09/01/2021	15	42,317	2888.61	762			349		1,606			(190)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/01/2020	09/01/2021	377	1,329,470	3526.65	55,407			25,395		87,121			(13,852)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/02/2020	09/02/2021	32	53,670	1701.983	1,352			620		2,388			(338)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/02/2020	09/02/2021	20	59,108	2900.87	997			457		1,927			(249)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/02/2020	09/02/2021	177	633,783	3580.84	21,198			9,716		30,673			(5,300)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/03/2021	422	714,261	1693.288	16,928			7,759		35,210			(4,232)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/03/2020	09/03/2021	339	574,481	1693.71	13,385			6,135		28,183			(3,346)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/03/2021	48	139,409	2886.13	2,191			1,004		4,757			(548)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/03/2021	1,683	5,766,842	3426.96	298,624			136,869		512,840			(74,656)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/03/2020	09/03/2021	347	1,197,208	3455.06	43,048			19,730		68,990			(10,762)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/06/2021	4	14,364	3426.96	277			127		693			(69)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/04/2020	09/07/2021	166	567,277	3426.96	34,123			15,640		59,981			(8,531)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/08/2020	09/08/2021	198	333,798	1686.4	8,378			3,840		17,791			(2,095)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/08/2020	09/08/2021	5	15,809	2874.54	269			123		639			(67)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/08/2020	09/08/2021	294	979,841	3331.84	62,805			28,786		128,638			(15,701)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/09/2020	09/09/2021	263	445,818	1692.12	10,789			4,945		22,323			(2,697)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/09/2020	09/09/2021	120	345,827	2884.3	6,232			2,856		13,538			(1,558)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/09/2020	09/09/2021	338	1,149,694	3398.96	38,776			17,772		67,520			(9,694)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/10/2020	09/10/2021	465	784,296	1686.882	18,353			8,412		41,614			(4,588)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/10/2021	642	1,084,149	1689.593	25,803			11,826		55,849			(6,451)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/10/2020	09/10/2021	13	38,008	2875.41	566			260		1,374			(142)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/10/2021	73	209,330	2880.07	3,724			1,707		8,408			(931)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/10/2020	09/10/2021	503	1,680,992	3339.19	69,501			31,854		135,302			(17,375)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/10/2021	1,001	3,343,285	3340.97	159,267			72,998		321,506			(39,817)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/13/2021	150	254,044	1689.593	6,046			2,771		13,101			(1,512)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/11/2020	09/13/2021	586	1,956,154	3340.97	87,943			40,307		175,965			(21,986)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/14/2020	09/14/2021	158	268,028	1694.198	6,808			3,120		13,136			(1,702)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/14/2020	09/14/2021	10	29,679	2888.01	502			230		1,085			(125)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/14/2020	09/14/2021	345	1,168,660	3383.54	53,128			24,350		102,845			(13,282)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/15/2020	09/15/2021	124	209,367	1693.734	5,067			2,322		10,320			(1,267)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/15/2020	09/15/2021	397	1,349,017	3401.2	39,303			18,014		67,479			(9,826)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/16/2020	09/16/2021	202	341,963	1695.908	8,036			3,683		16,452			(2,009)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/16/2020	09/16/2021	22	62,791	2891.01	1,061			486		2,246			(265)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/16/2020	09/16/2021	457	1,545,512	3385.49	57,830			26,506		106,701			(14,458)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/17/2021	489	827,922	1692.706	20,119			9,221		41,315			(5,030)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/17/2020	09/17/2021	322	546,988	1696.22	12,964			5,942		26,234			(3,241)				

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SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/17/2021	24	69,950	2885.75	1,269			581		2,782			(317)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/17/2020	09/17/2021	24	68,064	2891.67	1,300			596		2,695			(325)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/17/2021	1,576	5,230,028	3319.47	269,934			123,720		557,855			(67,483)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/17/2020	09/17/2021	665	2,233,544	3357.01	95,093			43,584		183,923			(23,773)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/20/2021	195	329,880	1692.706	8,049			3,689		16,482			(2,012)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/18/2020	09/20/2021	373	1,239,101	3319.47	69,769			31,978		146,794			(17,442)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/21/2020	09/21/2021	87	145,572	1682.587	3,552			1,628		8,111			(888)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/21/2020	09/21/2021	66	190,007	2868.59	3,295			1,510		8,039			(824)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/21/2020	09/21/2021	583	1,913,229	3281.06	85,585			39,227		177,077			(21,396)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/22/2020	09/22/2021	279	470,664	1684.774	11,484			5,264		25,641			(2,871)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/22/2020	09/22/2021	11	30,573	2872.37	575			263		1,332			(144)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/22/2020	09/22/2021	547	1,814,634	3315.57	77,535			35,537		154,588			(19,384)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/23/2020	09/23/2021	356	596,640	1676.433	14,379			6,590		35,407			(3,595)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/23/2020	09/23/2021	489	1,581,256	3236.92	72,237			33,109		155,745			(18,059)				
UST 0.625% 08/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/23/2020	09/24/2021	133	13,000	98	125			57		366			(31)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/24/2020	09/24/2021	175	293,841	1678.158	7,023			3,219		17,144			(1,756)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/24/2021	1,293	2,174,193	1681.762	50,659			23,219		122,288			(12,665)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/24/2020	09/24/2021	29	83,630	2861.13	2,024			928		4,128			(506)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/24/2021	149	426,771	2867.24	7,576			3,473		19,090			(1,894)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/24/2020	09/24/2021	659	2,137,917	3246.59	98,634			45,207		212,087			(24,659)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/24/2021	1,382	4,558,325	3298.46	228,148			104,568		478,490			(57,037)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/27/2021	371	624,110	1681.762	14,604			6,694		35,134			(3,651)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/27/2021	7	20,969	2867.24	401			184		995			(100)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/25/2020	09/27/2021	542	1,786,951	3298.46	120,103			55,047		266,510			(30,026)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/28/2020	09/28/2021	715	1,206,971	1687.158	30,174			13,830		64,246			(7,544)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/28/2020	09/28/2021	140	401,582	2876.63	6,988			3,203		16,223			(1,747)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/28/2020	09/28/2021	409	1,371,454	3351.6	68,241			31,277		135,296			(17,060)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/29/2020	09/29/2021	645	1,085,670	1684.199	26,273			12,042		59,635			(6,568)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/29/2020	09/29/2021	28	79,714	2871.59	1,487			681		3,578			(372)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/29/2020	09/29/2021	610	2,034,496	3335.47	75,381			34,550		140,467			(18,845)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/30/2020	09/30/2021	686	1,157,322	1687.208	27,660			12,677		61,613			(6,915)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/30/2020	09/30/2021	41	118,618	2876.71	2,230			1,022		4,560			(558)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	09/30/2020	09/30/2021	461	1,549,684	3363	57,329			26,276		105,851			(14,332)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/01/2020	10/01/2021	499	841,696	1686.904	20,201			10,942		44,970			(5,050)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/01/2021	500	844,783	1689.525	20,275			10,982		43,891			(5,069)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/01/2020	10/01/2021	67	192,098	2876.16	3,660			1,983		8,538			(915)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/01/2021	181	521,393	2880.66	9,339			5,059		21,047			(2,335)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/01/2021	1,481	4,959,648	3348.44	261,090			141,424		528,004			(65,273)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/01/2020	10/01/2021	695	2,350,521	3380.8	103,970			56,317		195,039			(25,993)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/04/2021	44	74,178	1689.525	1,788			968		3,858			(447)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/04/2021	11	30,509	2880.66	598			324		1,326			(149)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/02/2020	10/04/2021	322	1,077,211	3348.44	78,563			42,555		169,099			(19,641)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/05/2020	10/05/2021	300	508,056	1695.716	12,346			6,687		24,707			(3,086)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/05/2020	10/05/2021	26	74,845	2891.31	1,390			753		2,923			(348)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/05/2020	10/05/2021	562	1,914,772	3408.63	69,631			37,717		121,877			(17,408)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/06/2020	10/06/2021	128	215,804	1692.225	5,266			2,852		10,911			(1,316)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/06/2020	10/06/2021	20	56,495	2885.48	1,243			673		2,262			(311)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/06/2020	10/06/2021	375	1,258,851	3360.95	50,902			27,572		95,112			(12,726)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/07/2020	10/07/2021	86	146,921	1698.64	3,746			2,029		6,920			(937)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/07/2020	10/07/2021	41	119,301	2896.39	1,980			1,073		3,956			(495)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/07/2020	10/07/2021	315	1,075,712	3419.45	41,937			22,716		75,600			(10,484)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/08/2020	10/08/2021	155	264,744	1703.311	6,486			3,513		11,823			(1,622)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/08/2021	448	764,272	1704.713	18,801			10,184		33,575			(4,700)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/08/2020	10/08/2021	98	285,671	2904.38	5,285			2,863		9,921			(1,321)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/08/2021	44	128,564	2906.87	2,327			1,260		4,236			(582)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/08/2020	10/08/2021	542	1,866,684	3446.83	96,548			52,297		176,931			(24,137)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/08/2021	1,300	4,518,791	3477.13	218,158			118,169		389,788			(54,539)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/11/2021	128	218,798	1704.713	5,404			2,927		9,629			(1,351)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/11/2021	34	98,831	2906.87	1,879			1,018		3,397			(470)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/09/2020	10/11/2021	326	1,133,631	3477.13	75,354			40,817		141,027			(18,838)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/12/2020	10/12/2021	44	75,737	1707.547	1,856			1,005		3,225			(464)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/12/2020	10/12/2021	101	294,329	2911.76	5,357			2,902		9,680			(1,339)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/12/2020	10/12/2021	627	2,217,073	3534.22	86,429			46,816		142,261			(21,607)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/13/2020	10/13/2021	280	477,508	1703.649	11,412			6,182		21,301			(2,853)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/13/2020	10/13/2021	65	188,978	2905.11	3,179			1,722		6,027			(795)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/13/2020	10/13/2021	312	1,096,922	3511.93	45,113			24,436		75,622			(11,278)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/14/2020	10/14/2021	285	485,529	1703.181	12,478			6,759		21,789			(3,120)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/14/2020	10/14/2021	617	2,151,023	3488.67	77,662			42,067		128,316			(19,415)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/15/2020	10/15/2021	332	565,624	1704.327	13,858			7,506		25,061			(3,464)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/15/2021	956	1,629,532	1704.668	39,598			21,449		71,914			(9,899)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/15/2020	10/15/2021	22	63,063	2906.32	1,167			632		2,173			(292)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/15/2021	39	112,085	2907.02	2,031			1,100		3,685			(508)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/15/2020	10/15/2021	551	1,917,840	3483.34	72,052			39,028		122,378			(18,013)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/15/2021	1,813	6,315,326	3483.81	319,833			173,243		578,709			(79,958)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/18/2021	105	178,971	1704.668	4,063			2,201		7,912			(1,016)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/18/2021	110	318,979	2907.02	6,434			3,485		11,487			(1,608)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/16/2020	10/18/2021	399	1,389,004	3483.81	79,647			43,142		146,667			(19,912)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/19/2020	10/19/2021	48	82,298	1698.361	1,983			1,074		3,911			(496)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/19/2020	10/19/2021	44	127,938	2896.34	2,374			1,286		4,815			(593)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/19/2020	10/19/2021	426	1,461,445	3426.92	72,293			39,159		134,493			(18,073)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/20/2020	10/20/2021	166	282,328	1700.516	6,974			3,777		13,104			(1,743)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/20/2020	10/20/2021	22	64,403	2900.11	1,410			764		2,339			(353)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/20/2020	10/20/2021	539	1,855,985	3443.12	72,937			39,508		125,704			(18,234)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/21/2020	10/21/2021	251	426,892	1699.843	10,630			5,758		19,974			(2,657)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/21/2020	10/21/2021	24	69,604	2898.93	1,415			766		2,394			(354)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/21/2020	10/21/2021	340	1,167,122	3435.56	38,831			21,034		66,214			(9,708)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/22/2020	10/22/2021	98	166,472	1702.408	4,112			2,227		7,570			(1,028)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/22/2021	361	614,380	1704.072	15,421			8,353		27,410			(3,855)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/22/2020	10/22/2021	27	77,384	2903.38	1,849			1,002		2,893			(462)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/22/2021	284	824,488	2906.2	12,520			6,781		22,996			(3,130)				

STATEMENT AS OF MARCH 31, 2021 OF THE EAGLE LIFE INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/22/2020	10/22/2021	509	1,759,394	3453.49	67,473			36,548		117,021			(16,868)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/22/2021	1,332	4,616,474	3465.39	191,607			103,787		333,362			(47,902)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/25/2021	69	116,913	1704.072	2,935			1,590		5,225			(734)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/25/2021	32	92,133	2906.2	1,880			1,018		3,381			(470)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/23/2020	10/25/2021	423	1,465,689	3465.39	68,918			37,330		122,844			(17,229)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/26/2020	10/26/2021	364	616,400	1695.373	15,348			8,314		30,375			(3,837)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/26/2020	10/26/2021	22	63,824	2891.43	1,462			792		2,538			(366)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/26/2020	10/26/2021	685	2,328,038	3400.97	116,517			63,113		223,535			(29,129)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/27/2020	10/27/2021	128	216,626	1687.83	5,459			2,957		11,570			(1,365)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/27/2020	10/27/2021	20	57,457	2878.54	1,316			713		2,456			(329)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/27/2020	10/27/2021	666	2,257,583	3390.68	86,346			46,771		156,336			(21,586)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/28/2020	10/28/2021	244	409,475	1674.884	10,032			5,434		24,887			(2,508)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/28/2020	10/28/2021	18	51,234	2856.56	808			438		2,215			(202)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/28/2020	10/28/2021	624	2,040,029	3271.03	58,322			31,591		98,200			(14,581)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	10/29/2021	560	938,356	1676.769	23,459			12,707		56,022			(5,865)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/29/2020	10/29/2021	460	772,428	1678.272	19,156			10,376		45,447			(4,789)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	10/29/2021	143	409,136	2859.82	7,496			4,061		19,544			(1,874)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	10/29/2021	1,479	4,837,231	3269.96	227,141			123,035		477,605			(56,785)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/29/2020	10/29/2021	578	1,914,769	3310.11	70,301			38,080		136,338			(17,575)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	11/01/2021	237	397,353	1676.769	9,974			5,402		23,742			(2,493)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	11/01/2021	3	9,800	2859.82	123			67		342			(31)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	10/30/2020	11/01/2021	715	2,338,677	3269.96	99,780			54,047		204,683			(24,945)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/02/2020	11/02/2021	134	226,735	1688.248	6,235			3,897		12,084			(1,559)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/02/2020	11/02/2021	5	14,806	2879.47	339			212		631			(85)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/02/2020	11/02/2021	473	1,564,499	3310.24	84,112			52,570		181,387			(21,028)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/03/2020	11/03/2021	9	14,684	1695.39	367			229		726			(92)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/03/2020	11/03/2021	73	211,044	2891.65	4,010			2,506		8,264			(1,002)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/03/2020	11/03/2021	983	3,312,445	3369.16	102,993			64,371		176,999			(25,748)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/04/2020	11/04/2021	146	247,305	1692.44	6,653			4,158		12,625			(1,663)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/04/2020	11/04/2021	15	42,426	2886.62	976			610		1,724			(244)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/04/2020	11/04/2021	298	1,027,701	3443.44	33,227			20,767		56,606			(8,307)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/05/2020	11/05/2021	45	77,040	1699.419	1,934			1,209		3,649			(483)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/05/2021	258	438,990	1699.681	10,887			6,804		20,733			(2,722)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/05/2021	127	367,822	2899.11	6,851			4,282		13,292			(1,713)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/05/2021	1,236	4,339,419	3509.44	194,214			121,384		337,981			(48,553)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/05/2020	11/05/2021	1,214	4,263,047	3510.45	121,537			75,961		187,660			(30,384)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/07/2021	3	10,810	3509.44	191			120		522			(48)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/08/2021	16	26,698	1699.681	665			415		1,263			(166)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/06/2020	11/08/2021	306	1,073,523	3509.44	64,260			40,162		117,838			(16,065)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/09/2020	11/09/2021	91	155,763	1713.461	3,847			2,405		6,295			(962)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/09/2020	11/09/2021	39	114,292	2922.74	2,133			1,333		3,474			(533)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/09/2020	11/09/2021	347	1,231,162	3550.5	57,167			35,729		100,320			(14,292)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/10/2020	11/10/2021	376	647,145	1720.403	16,437			10,273		24,054			(4,109)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/10/2020	11/10/2021	44	127,804	2934.62	2,441			1,526		3,616			(610)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/10/2020	11/10/2021	726	2,572,743	3545.53	79,703			49,814		121,638			(19,926)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/11/2020	11/11/2021	188	322,531	1717.56	8,128			5,080		12,424			(2,032)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/11/2020	11/11/2021	619	2,212,863	3572.66	59,771			37,357		88,329			(14,943)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/12/2020	11/12/2021	136	233,297	1712.71	5,949			3,718		9,533			(1,487)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/12/2021	814	1,399,493	1719.113	34,428			21,517		52,937			(8,607)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/12/2020	11/12/2021	45	132,365	2921.44	3,023			1,889		4,255			(756)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/12/2021	71	208,724	2932.38	3,836			2,398		5,888			(959)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/12/2020	11/12/2021	291	1,027,767	3537.01	43,026			26,891		71,138			(10,756)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/12/2021	1,330	4,769,702	3585.15	191,027			119,392		303,709			(47,757)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/15/2021	143	246,658	1719.113	6,092			3,808		9,352			(1,523)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/15/2021	17	49,980	2932.38	1,000			625		1,533			(250)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/13/2020	11/15/2021	442	1,585,046	3585.15	81,003			50,627		134,493			(20,251)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/16/2020	11/16/2021	155	267,224	1726.354	7,268			4,543		9,264			(1,817)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/16/2020	11/16/2021	13	38,776	2944.82	869			543		1,016			(217)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/16/2020	11/16/2021	455	1,649,743	3626.91	71,128			44,455		107,886			(17,782)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/17/2020	11/17/2021	158	272,507	1723.216	6,867			4,292		9,837			(1,717)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/17/2020	11/17/2021	39	114,046	2939.51	2,207			1,379		3,187			(552)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/17/2020	11/17/2021	583	2,105,829	3609.53	76,188			47,617		115,269			(19,047)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/18/2020	11/18/2021	148	254,192	1719.13	6,380			3,988		9,658			(1,595)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/18/2020	11/18/2021	22	64,632	2932.49	1,474			921		1,881			(368)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/18/2020	11/18/2021	365	1,302,755	3567.79	44,255			27,659		68,616			(11,064)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/19/2021	605	1,040,153	1718.199	26,108			16,317		40,000			(6,527)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/19/2020	11/19/2021	200	344,475	1720.061	8,629			5,393		12,950			(2,157)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/19/2021	57	166,211	2931.09	3,064			1,915		4,772			(766)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/19/2020	11/19/2021	6	17,543	2934.16	196			123		287			(49)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/19/2021	2,295	8,162,993	3557.54	301,560			188,475		481,718			(75,390)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/19/2020	11/19/2021	561	2,010,672	3581.87	72,193			45,121		111,314			(18,048)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/21/2021	8	27,605	3557.54	544			340		1,366			(136)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/22/2021	40	67,899	1718.199	1,711			1,069		2,617			(428)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/22/2021	19	55,598	2931.09	1,112			695		1,737			(278)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/20/2020	11/22/2021	341	1,213,765	3557.54	49,843			31,152		81,920			(12,461)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/23/2020	11/23/2021	333	572,924	1722.096	14,442			9,026		21,075			(3,610)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/23/2020	11/23/2021	2	6,168	2937.87	142			89		173			(35)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/23/2020	11/23/2021	452	1,615,341	3577.59	64,028			40,017		101,489			(16,007)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/24/2021	179	308,154	1725.499	7,611			4,757		10,876			(1,903)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/24/2020	11/24/2021	152	262,505	1728.68	6,615			4,134		8,899			(1,654)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/24/2021	8	23,583	2943.53	483			302		561			(121)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/24/2020	11/24/2021	5	13,965	2949.12	321			201		357			(80)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/24/2021	562	2,038,195	3629.65	60,707			37,942		85,679			(15,177)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/24/2020	11/24/2021	1,165	4,236,064	3635.41	131,432			82,145		185,878			(32,858)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/25/2021	7	25,509	3629.65	469			293		1,121			(117)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/26/2021	80	138,086	1725.129	3,507			2,192		4,904			(877)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/26/2021	6	10,539	1725.499	262			164		373			(66)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/26/2021	48	140,948	2942.96	2,733			1,708		3,824			(683)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/25/2020	11/26/2021	143	519,119	3629.65	26,613			16,633		41,655			(6,653)				

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Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/26/2021	734	2,669,057	3638.35	130,262			81,414		202,699			(32,565)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/29/2021	155	267,385	1725.129	6,818			4,261		9,521			(1,705)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/27/2020	11/29/2021	334	1,214,887	3638.35	58,246			36,404		90,518			(14,561)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/30/2020	11/30/2021	425	732,130	1721.686	19,475			12,172		27,220			(4,869)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/30/2020	11/30/2021	61	178,075	2937.23	3,473			2,170		5,209			(868)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	11/30/2020	11/30/2021	709	2,568,774	3621.63	114,639			71,649		178,346			(28,660)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/01/2020	12/01/2021	163	281,212	1723.49	7,087			5,020		10,236			(1,772)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/01/2020	12/01/2021	31	90,642	2940.32	1,780			1,261		2,596			(445)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/01/2020	12/01/2021	881	3,224,913	3662.45	113,376			80,308		161,246			(28,344)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/02/2020	12/02/2021	175	301,490	1722.223	7,510			5,320		11,154			(1,878)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/02/2020	12/02/2021	36	104,370	2938.16	2,505			1,774		2,954			(626)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/02/2020	12/02/2021	673	2,469,007	3669.01	69,472			49,210		94,797			(17,368)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/03/2020	12/03/2021	120	207,579	1722.679	5,273			3,735		7,643			(1,318)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/03/2021	319	550,954	1728.884	14,821			10,498		18,785			(3,705)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/03/2020	12/03/2021	7	21,459	2938.98	515			365		604			(129)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/03/2021	29	84,128	2949.63	1,529			1,083		2,040			(382)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/03/2020	12/03/2021	574	2,104,856	3666.72	83,093			58,857		119,644			(20,773)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/03/2021	1,013	3,746,715	3699.12	149,796			106,106		206,322			(37,449)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/06/2021	108	186,379	1728.884	5,051			3,578		6,372			(1,263)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/06/2021	34	99,840	2949.63	1,695			1,201		2,246			(424)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/04/2020	12/06/2021	561	2,073,721	3699.12	111,396			78,905		157,941			(27,849)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/07/2020	12/07/2021	339	584,046	1724.827	16,353			11,584		21,017			(4,088)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/07/2020	12/07/2021	77	226,262	2942.78	4,488			3,179		6,415			(1,122)				

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S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/07/2020	12/07/2021	759	2,800,819	3691.96	92,737			65,689		125,367			(23,184)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/08/2020	12/08/2021	182	315,144	1727.127	8,005			5,670		11,033			(2,001)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/08/2020	12/08/2021	24	71,384	2946.71	1,379			977		1,942			(345)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/08/2020	12/08/2021	581	2,152,135	3702.25	73,672			52,184		99,095			(18,418)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/09/2020	12/09/2021	138	238,490	1727.728	6,105			4,325		8,295			(1,526)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/09/2020	12/09/2021	699	2,567,810	3672.82	72,592			51,419		97,145			(18,148)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/10/2021	558	962,991	1724.798	26,001			18,417		34,752			(6,500)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/10/2020	12/10/2021	304	523,832	1725.428	12,991			9,202		18,759			(3,248)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/10/2021	71	208,617	2942.89	4,009			2,840		5,783			(1,002)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/10/2020	12/10/2021	21	62,612	2943.93	1,196			847		1,710			(299)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/10/2021	1,189	4,354,473	3663.46	183,494			129,975		261,670			(45,873)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/10/2020	12/10/2021	623	2,285,677	3668.1	77,857			55,149		107,521			(19,464)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/13/2021	346	596,637	1724.798	16,169			11,453		21,585			(4,042)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/13/2021	45	131,054	2942.89	2,532			1,794		3,665			(633)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/11/2020	12/13/2021	301	1,103,214	3663.46	65,338			46,281		97,100			(16,335)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/14/2020	12/14/2021	364	625,827	1720.285	15,771			11,171		23,920			(3,943)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/14/2020	12/14/2021	40	116,612	2935.3	2,033			1,440		3,189			(508)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/14/2020	12/14/2021	545	1,986,550	3647.49	75,704			53,624		107,083			(18,926)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/15/2020	12/15/2021	51	88,554	1726.287	2,488			1,763		3,151			(622)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/15/2020	12/15/2021	23	67,887	2945.54	1,548			1,096		1,842			(387)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/15/2020	12/15/2021	749	2,768,969	3694.62	83,113			58,871		107,983			(20,778)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/16/2020	12/16/2021	389	670,501	1724.708	16,628			11,778		24,344			(4,157)				

STATEMENT AS OF MARCH 31, 2021 OF THE EAGLE LIFE INSURANCE COMPANY

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/16/2020	12/16/2021	3	8,197	2942.81	189			134		228			(47)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/16/2020	12/16/2021	345	1,275,508	3701.17	40,484			28,676		53,283			(10,121)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/17/2021	711	1,227,966	1725.891	31,804			22,528		43,985			(7,951)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/17/2020	12/17/2021	227	391,606	1727.261	9,908			7,018		13,794			(2,477)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/17/2021	82	242,199	2944.82	4,655			3,297		6,593			(1,164)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/17/2020	12/17/2021	48	141,163	2947.14	2,682			1,900		3,792			(671)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/17/2021	1,212	4,497,504	3709.41	204,635			144,950		274,835			(51,159)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/17/2020	12/17/2021	563	2,095,668	3722.48	75,828			53,711		98,504			(18,957)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/20/2021	239	412,004	1725.891	10,671			7,559		14,795			(2,668)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/18/2020	12/20/2021	562	2,086,143	3709.41	87,984			62,322		116,709			(21,996)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/21/2020	12/21/2021	124	214,451	1723.079	5,576			3,949		7,972			(1,394)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/21/2020	12/21/2021	29	85,151	2940.13	1,720			1,218		2,566			(430)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/21/2020	12/21/2021	1,054	3,895,044	3694.92	169,455			120,030		228,760			(42,364)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/22/2020	12/22/2021	75	128,942	1720.345	3,275			2,320		4,955			(819)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/22/2020	12/22/2021	53	154,184	2935.44	2,850			2,019		4,399			(712)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/22/2020	12/22/2021	1,314	4,844,899	3687.26	159,039			112,653		210,095			(39,760)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/23/2020	12/23/2021	266	458,714	1721.803	11,835			8,383		17,339			(2,959)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/23/2021	467	804,776	1723.286	21,005			14,878		29,889			(5,251)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/23/2020	12/23/2021	11	32,012	2938.01	613			434		932			(153)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/23/2021	3	8,348	2940.48	173			122		251			(43)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/23/2020	12/23/2021	704	2,599,448	3690.01	74,710			52,920		98,094			(18,678)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/23/2021	564	2,088,093	3703.06	118,985			84,281		167,763			(29,746)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/27/2021	199	343,265	1723.286	8,994			6,370		12,789			(2,248)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/27/2021	204	600,207	2940.48	11,458			8,116		16,564			(2,864)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/24/2020	12/27/2021	425	1,573,065	3703.06	92,754			65,701		130,834			(23,189)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/28/2020	12/28/2021	73	125,989	1725.08	3,228			2,286		4,599			(807)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/28/2020	12/28/2021	57	168,749	2943.75	3,085			2,185		4,494			(771)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/28/2020	12/28/2021	1,032	3,856,082	3735.36	152,108			107,743		195,517			(38,027)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/29/2020	12/29/2021	57	98,452	1722.352	2,609			1,848		3,714			(652)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/29/2020	12/29/2021	79	232,203	2939.13	4,820			3,414		6,283			(1,205)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/29/2020	12/29/2021	518	1,929,676	3727.04	72,988			51,700		92,378			(18,247)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/30/2021	362	623,972	1725.166	15,724			11,138		22,788			(3,931)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/30/2021	17	51,223	2943.87	918			650		1,335			(229)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/30/2021	750	2,799,411	3732.04	90,163			63,866		112,186			(22,541)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/31/2021	69	6,800	98	73			51		166			(18)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/30/2020	12/31/2021	51	5,138	100	79			56		13			(20)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	12/31/2021	478	825,579	1728.78	22,043			15,614		28,893			(5,511)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	12/31/2021	78	230,047	2950.19	5,239			3,711		6,182			(1,310)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	12/31/2021	1,317	4,947,396	3756.07	197,857			140,149		244,593			(49,464)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	01/03/2022	111	191,582	1728.78	5,102			3,623		6,722			(1,279)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	01/03/2022	12	34,123	2950.19	836			592		967			(209)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	12/31/2020	01/03/2022	495	1,859,236	3756.07	110,339			78,156		141,067			(27,585)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/04/2021	01/04/2022	16	26,826	1721.169		689		546		1,031			(144)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/04/2021	01/04/2022	105	308,783	2937.32		5,398		4,274		8,363			(1,125)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/04/2021	01/04/2022	614	2,273,288	3700.65		102,611		81,234		135,590			(21,377)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/05/2021	01/05/2022	69	118,979	1724.578		3,010		2,383		4,396			(627)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/05/2021	01/05/2022	15	43,303	2943.18		957		758		1,230			(199)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/05/2021	01/05/2022	552	2,057,702	3726.86		78,725		62,324		100,089			(16,401)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/06/2021	01/06/2022	86	149,772	1736.378		4,089		3,237		4,800			(852)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/06/2021	01/06/2022	38	113,214	2963.35		2,559		2,026		2,744			(533)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/06/2021	01/06/2022	492	1,844,710	3748.14		54,638		43,255		66,257			(11,383)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/07/2022	305	530,984	1738.099		13,381		10,593		16,669			(2,788)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/07/2021	01/07/2022	208	361,025	1738.12		9,098		7,202		11,330			(1,895)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/07/2021	01/07/2022	51	151,887	2966.38		2,886		2,285		3,596			(601)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/07/2022	132	390,302	2966.44		7,253		5,742		9,072			(1,511)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/07/2021	01/07/2022	504	1,918,729	3803.79		66,598		52,724		76,023			(13,875)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/07/2022	1,384	5,294,470	3824.68		215,216		170,379		237,012			(44,837)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/10/2022	116	201,091	1738.099		5,088		4,028		6,332			(1,060)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/10/2022	22	65,837	2966.44		1,251		990		1,565			(261)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/08/2021	01/10/2022	298	1,141,565	3824.68		54,344		43,022		60,071			(11,322)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/11/2021	01/11/2022	135	234,750	1737.22		5,892		4,665		7,480			(1,228)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/11/2021	01/11/2022	34	100,123	2965.04		1,730		1,370		2,166			(360)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/11/2021	01/11/2022	716	2,719,571	3799.61		106,493		84,307		121,617			(22,186)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/12/2021	01/12/2022	249	434,208	1741.312		12,028		9,522		13,155			(2,506)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/12/2021	01/12/2022	16	47,040	2972.06		687		544		738			(143)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/12/2021	01/12/2022	656	2,491,780	3801.19		83,687		66,252		95,487			(17,435)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/13/2022	162	280,955	1739.01		7,136		5,650		8,772			(1,487)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/13/2022	22	66,291	2968.09		1,111		880		1,342			(231)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/13/2022	679	2,588,605	3809.84		79,342		62,812		89,281			(16,529)				

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UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/13/2021	01/14/2022	55	5,400	98		53		42		114			(11)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/14/2022	880	1,527,293	1735.766		41,390		32,767		49,696			(8,623)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/14/2021	01/14/2022	115	200,738	1738.899		5,099		4,037		6,282			(1,062)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/14/2022	145	430,584	2962.68		8,054		6,376		10,331			(1,678)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/14/2022	1,980	7,461,440	3768.25		349,801		276,926		424,010			(72,875)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/14/2021	01/14/2022	1,096	4,161,483	3795.54		155,450		123,064		179,612			(32,385)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/17/2022	1	3,811	3768.25		74		58		130			(15)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/18/2022	10	29,655	2962.68		605		479		777			(126)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/15/2021	01/18/2022	351	1,322,160	3768.25		71,844		56,877		87,754			(14,968)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/19/2021	01/19/2022	176	305,761	1737.031		7,827		6,197		9,842			(1,631)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/19/2021	01/19/2022	7	20,041	2964.99		457		362		489			(95)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/19/2021	01/19/2022	576	2,187,928	3798.91		71,252		56,408		80,607			(14,844)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/20/2021	01/20/2022	215	374,354	1738.724		9,172		7,261		11,811			(1,911)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/20/2021	01/20/2022	47	139,533	2967.91		2,777		2,198		2,963			(578)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/20/2021	01/20/2022	724	2,788,387	3851.85		78,329		62,011		82,778			(16,319)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/21/2022	850	1,473,149	1733.354		37,565		29,739		49,684			(7,826)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/21/2021	01/21/2022	200	347,740	1734.96		8,867		7,020		11,502			(1,847)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/21/2022	153	452,901	2958.85		8,714		6,898		11,722			(1,815)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/21/2021	01/21/2022	9	27,094	2961.52		623		493		681			(130)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/21/2022	1,408	5,408,138	3841.47		255,481		202,256		272,216			(53,225)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/21/2021	01/21/2022	442	1,703,515	3853.07		54,505		43,150		57,560			(11,355)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/23/2022	3	11,741	3841.47		251		199		353			(52)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/24/2022	110	190,475	1733.354		4,876		3,860		6,441			(1,016)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/24/2022	14	42,238	2958.85		853		675		1,149			(178)				

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S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/22/2021	01/24/2022	532	2,045,003	3841.47		121,543		96,222		129,155			(25,322)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/25/2021	01/25/2022	201	348,612	1734.53		8,750		6,927		11,633			(1,823)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/25/2021	01/25/2022	136	402,598	2960.97		7,921		6,271		10,310			(1,650)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/25/2021	01/25/2022	749	2,886,499	3855.36		123,259		97,580		126,493			(25,679)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/26/2021	01/26/2022	190	330,050	1734.437		8,383		6,637		11,036			(1,747)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/26/2021	01/26/2022	1	3,785	2960.85		73		58		96			(15)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/26/2021	01/26/2022	872	3,358,442	3849.62		111,351		88,153		116,658			(23,198)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/27/2022	563	971,481	1725.107		24,848		19,671		36,289			(5,177)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/27/2022	15	45,193	2944.92		868		687		1,302			(181)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/27/2022	865	3,245,973	3750.77		106,545		84,348		124,684			(22,197)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/27/2021	01/28/2022	216	21,200	98		231		183		485			(48)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/28/2022	349	600,069	1717.956		15,962		12,636		24,320			(3,325)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/28/2021	01/28/2022	455	786,969	1729.382		20,225		16,012		27,994			(4,214)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/28/2022	119	349,844	2932.87		6,726		5,325		11,035			(1,401)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/28/2022	1,754	6,514,220	3714.24		336,304		266,240		409,654			(70,063)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/28/2021	01/28/2022	726	2,748,905	3787.38		116,429		92,173		129,432			(24,256)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/30/2022	16	58,547	3714.24		1,030		816		2,294			(215)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/31/2022	347	596,887	1717.956		15,997		12,664		24,239			(3,333)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	01/29/2021	01/31/2022	577	2,142,308	3714.24		130,701		103,472		159,633			(27,229)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/01/2021	02/01/2022	183	314,317	1721.431		8,612		7,536		12,288			(1,077)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/01/2021	02/01/2022	54	158,636	2938.9		3,102		2,715		4,869			(388)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/01/2021	02/01/2022	951	3,589,072	3773.86		178,833		156,479		202,584			(22,354)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/02/2021	02/02/2022	74	128,232	1726.112		3,001		2,626		4,757			(375)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/02/2021	02/02/2022	27	79,198	2946.97		1,806		1,580		2,262			(226)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/02/2021	02/02/2022	773	2,957,573	3826.31		96,921		84,806		104,410			(12,115)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/03/2022	675	1,165,679	1727.005		29,725		26,009		42,832			(3,716)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/03/2022	49	143,853	2948.54		2,805		2,454		4,066			(351)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/03/2022	522	2,000,203	3830.17		71,954		62,960		77,029			(8,994)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/04/2022	190	18,600	98		202		177		385			(25)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/03/2021	02/04/2022	28	2,800	100		44		39		13			(6)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/04/2021	02/04/2022	456	790,040	1730.797		19,988		17,490		27,800			(2,499)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/04/2022	434	753,874	1735.135		20,505		17,942		25,199			(2,563)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/04/2022	60	178,318	2962.47		3,924		3,433		4,438			(490)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/04/2021	02/04/2022	774	2,997,595	3871.74		102,294		89,507		104,528			(12,787)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/04/2022	1,871	7,273,626	3886.83		318,167		278,396		311,532			(39,771)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/07/2022	59	102,402	1735.135		2,806		2,455		3,432			(351)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/05/2021	02/07/2022	460	1,789,789	3886.83		88,006		77,005		85,688			(11,001)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/08/2021	02/08/2022	272	473,366	1739.6		13,349		11,680		15,048			(1,669)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/08/2021	02/08/2022	19	56,307	2970.18		1,065		932		1,324			(133)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/08/2021	02/08/2022	978	3,827,662	3915.59		195,774		171,303		181,023			(24,472)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/09/2021	02/09/2022	205	356,365	1738.835		9,016		7,889		11,445			(1,127)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/09/2021	02/09/2022	14	41,764	2968.86		829		726		1,041			(104)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/09/2021	02/09/2022	886	3,465,443	3911.23		107,603		94,152		105,916			(13,450)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/10/2022	183	318,922	1740.633		7,909		6,921		10,031			(989)				

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SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/10/2022	38	113,447	2971.91		2,292		2,005		2,412			(286)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/10/2022	444	1,736,203	3909.88		57,560		50,365		56,054			(7,195)				
UST 0.875% 11/15/30 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/10/2021	02/11/2022	37	3,600	98		40		35		73			(5)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/11/2021	02/11/2022	817	1,420,315	1739.153		35,508		31,069		45,525			(4,438)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/11/2022	513	892,627	1740.977		24,190		21,166		27,984			(3,024)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/11/2021	02/11/2022	1	2,365	2969.42		38		34		49			(5)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/11/2022	71	210,352	2972.59		4,445		3,889		4,777			(556)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/11/2021	02/11/2022	966	3,781,298	3916.38		145,150		127,006		135,570			(18,144)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/11/2022	1,589	6,254,079	3934.83		296,099		259,087		263,972			(37,012)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/12/2022	2	8,631	3934.83		129		113		171			(16)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/13/2022	9	35,023	3934.83		480		420		621			(60)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/14/2022	57	99,960	1740.977		2,719		2,379		3,143			(340)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/14/2022	682	2,683,831	3934.83		132,163		115,642		117,939			(16,520)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/15/2022	209	364,687	1740.977		9,919		8,680		11,477			(1,240)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/12/2021	02/15/2022	457	1,796,486	3934.83		112,818		98,716		97,815			(14,102)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/16/2021	02/16/2022	319	555,151	1738.606		14,156		12,387		17,995			(1,770)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/16/2021	02/16/2022	61	180,058	2968.68		3,530		3,089		4,487			(441)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/16/2021	02/16/2022	605	2,378,109	3932.59		78,336		68,544		73,606			(9,792)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/17/2021	02/17/2022	1,350	2,347,248	1739.192		58,681		51,346		75,621			(7,335)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/17/2021	02/17/2022	35	105,239	2969.74		1,821		1,593		2,304			(228)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/17/2021	02/17/2022	494	1,943,528	3931.33		59,709		52,245		57,041			(7,464)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/18/2021	02/18/2022	100	173,873	1737.996		4,416		3,864		5,688			(552)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/18/2022	1,336	2,322,516	1738.214		63,213		55,311		75,774			(7,902)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/18/2022	134	398,832	2968.16		8,320		7,280		9,340			(1,040)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/18/2022	2,156	8,421,785	3906.71		429,259		375,601		400,053			(53,657)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/18/2021	02/18/2022	416	1,626,677	3913.97		65,139		56,997		61,206			(8,142)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/19/2021	02/19/2022	4	15,697	3906.71		253		221		344			(32)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/22/2021	02/22/2022	83	144,457	1741.204		3,684		3,223		4,564			(460)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/22/2021	02/22/2022	96	285,661	2973.38		5,049		4,418		6,181			(631)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/22/2021	02/22/2022	766	2,970,111	3876.5		127,325		111,409		127,150			(15,916)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/23/2021	02/23/2022	78	135,876	1742.401		3,506		3,067		4,235			(438)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/23/2021	02/23/2022	101	299,399	2975.45		5,411		4,735		6,602			(676)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/23/2021	02/23/2022	815	3,162,734	3881.37		108,277		94,742		108,874			(13,535)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/24/2021	02/24/2022	104	181,531	1748.224		4,919		4,305		5,274			(615)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/24/2021	02/24/2022	998	3,919,190	3925.43		119,556		104,611		116,027			(14,944)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/24/2021	02/25/2022	241	23,600	98		279		244		394			(35)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/25/2022	541	936,156	1731.505		23,966		20,970		33,236			(2,996)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/25/2021	02/25/2022	906	1,576,118	1739.659		40,349		35,305		50,867			(5,044)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/25/2022	156	461,360	2956.8		8,544		7,476		12,020			(1,068)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/25/2021	02/25/2022	15	43,480	2970.71		661		578		757			(83)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/25/2022	1,823	6,947,435	3811.15		316,587		277,014		349,226			(39,573)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/25/2021	02/25/2022	1,267	4,852,022	3829.34		197,404		172,729		210,510			(24,676)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/26/2022	1	4,489	3811.15		61		54		96			(8)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/27/2022	1	4,069	3811.15		61		53		90			(8)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/28/2022	82	142,825	1731.505		3,671		3,212		5,083			(459)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	02/26/2021	02/28/2022	745	2,837,514	3811.15		166,347		145,553		184,081			(20,793)				

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Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/01/2021	03/01/2022	340	593,210	1743.419		15,127		14,497		18,370			(630)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/01/2021	03/01/2022	18	54,000	2977.24		1,062		1,017		1,291			(44)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/01/2021	03/01/2022	1,193	4,655,109	3901.82		205,225		196,674		200,239			(8,551)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/02/2021	03/02/2022	469	817,153	1742.76		20,919		20,047		25,531			(872)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/02/2021	03/02/2022	898	3,474,022	3870.29		123,035		117,908		127,483			(5,126)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/03/2022	366	638,056	1741.125		16,526		15,837		20,348			(689)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/03/2022	19	56,007	2973.41		1,283		1,229		1,358			(53)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/03/2022	810	3,095,174	3819.72		111,871		107,210		123,837			(4,661)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/03/2021	03/04/2022	51	5,000	98		58		55		74			(2)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/04/2021	03/04/2022	739	1,280,541	1732.794		33,166		31,784		45,048			(1,382)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/04/2022	1,043	1,823,316	1748.625		46,495		44,557		53,153			(1,937)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/04/2021	03/04/2022	34	101,338	2959.13		1,490		1,428		2,090			(62)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/04/2022	109	325,568	2986.33		6,371		6,106		7,208			(265)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/04/2021	03/04/2022	1,243	4,685,787	3768.47		200,299		191,954		236,601			(8,346)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/04/2022	1,661	6,382,109	3841.94		283,968		272,136		302,917			(11,832)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/05/2022	6	23,423	3841.94		391		374		530			(16)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/07/2022	56	98,082	1748.625		2,501		2,397		2,868			(104)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/07/2022	31	91,895	2986.33		1,870		1,792		2,104			(78)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/05/2021	03/07/2022	556	2,135,289	3841.94		105,339		100,950		112,257			(4,389)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/08/2021	03/08/2022	1,211	2,126,767	1755.804		54,020		51,769		56,940			(2,251)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/08/2021	03/08/2022	90	268,593	2998.59		4,535		4,346		4,622			(189)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/08/2021	03/08/2022	1,244	4,753,801	3821.35		187,766		179,943		212,184			(7,824)				

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S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/09/2021	03/09/2022	680	1,193,318	1753.627		30,430		29,162		32,871			(1,268)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/09/2021	03/09/2022	16	46,823	2994.92		810		776		861			(34)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/09/2021	03/09/2022	677	2,623,857	3875.44		94,681		90,736		99,279			(3,945)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/10/2022	345	607,547	1761.349		15,371		14,730		15,190			(640)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/10/2022	30	91,585	3008.17		1,546		1,482		1,480			(64)				
S&P Digital		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/10/2022	831	3,238,673	3898.81		97,253		93,201		99,644			(4,052)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/10/2021	03/11/2022	202	20,200	100		331		317		241			(14)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/11/2021	03/11/2022	241	423,626	1760.886		10,591		10,149		10,668			(441)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/11/2022	993	1,752,877	1765.434		50,658		48,547		41,612			(2,111)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/11/2021	03/11/2022	6	17,318	3007.35		395		378		324			(16)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/11/2022	129	389,625	3015.15		8,231		7,888		6,491			(343)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/11/2021	03/11/2022	832	3,278,603	3939.34		137,963		132,214		130,781			(5,748)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/11/2022	2,002	7,893,204	3943.34		338,091		324,004		317,064			(14,087)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/14/2022	50	88,675	1765.434		2,563		2,456		2,113			(107)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/14/2022	2	4,828	3015.15		117		112		90			(5)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/12/2021	03/14/2022	559	2,206,218	3943.34		101,866		97,622		95,011			(4,244)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/15/2021	03/15/2022	249	440,032	1769.094		11,309		10,838		10,007			(471)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/15/2021	03/15/2022	56	170,081	3021.44		3,132		3,002		2,649			(131)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/15/2021	03/15/2022	963	3,823,497	3968.94		158,661		152,050		143,579			(6,611)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/16/2021	03/16/2022	427	753,961	1764.857		19,452		18,642		18,149			(811)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/16/2021	03/16/2022	11	34,472	3014.29		676		647		614			(28)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/16/2021	03/16/2022	810	3,209,747	3962.71		119,869		114,874		111,442			(4,995)				

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/17/2021	03/17/2022	408	719,719	1765.906		19,432		18,623		17,112			(810)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/17/2021	03/17/2022	698	2,774,594	3974.12		88,215		84,540		82,277			(3,676)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/17/2021	03/18/2022	280	27,400	98		336		322		299			(14)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/18/2022	387	681,504	1761.322		19,150		18,352		17,211			(798)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/18/2021	03/18/2022	566	997,758	1763.666		25,742		24,670		24,452			(1,073)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/18/2022	168	505,316	3008.3		9,898		9,486		9,482			(412)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/18/2021	03/18/2022	116	349,884	3012.25		7,026		6,733		6,429			(293)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/18/2022	2,327	9,104,881	3913.1		478,961		459,004		478,023			(19,957)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/18/2021	03/18/2022	745	2,918,223	3915.46		123,183		118,050		122,721			(5,133)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/21/2022	436	768,496	1761.32		21,595		20,695		19,478			(900)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/21/2022	34	103,119	3008.3		2,052		1,967		1,969			(86)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/19/2021	03/21/2022	560	2,192,055	3913.1		122,591		117,483		122,349			(5,108)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/22/2021	03/22/2022	169	298,342	1762.833		7,638		7,319		7,426			(318)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/22/2021	03/22/2022	78	235,646	3010.89		4,693		4,498		4,417			(196)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/22/2021	03/22/2022	1,198	4,721,517	3940.59		212,494		203,640		207,124			(8,854)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/23/2021	03/23/2022	547	961,142	1758.449		24,990		23,948		25,319			(1,041)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/23/2021	03/23/2022	1,449	5,665,595	3910.52		207,819		199,160		212,849			(8,659)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/24/2022	730	1,285,202	1760.594		32,773		31,407		32,992			(1,366)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/24/2022	136	407,910	3007.1		8,778		8,413		7,394			(366)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/24/2022	1,121	4,360,171	3889.14		147,733		141,577		155,484			(6,156)				
UST 1.125% 02/15/31 Total Return Options		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/24/2021	03/25/2022	259	25,400	98		292		279		300			(12)				

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/25/2021	03/25/2022	853	1,507,337	1767.614		37,985		36,402		35,412			(1,583)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/25/2022	927	1,649,314	1778.712		42,552		40,779		33,437			(1,773)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/25/2021	03/25/2022	10	29,639	3019.16		622		596		547			(26)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/25/2022	122	372,110	3038.16		7,400		7,092		5,565			(308)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/25/2021	03/25/2022	991	3,874,532	3909.52		197,736		189,497		204,287			(8,239)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/25/2022	1,664	6,613,651	3974.54		310,152		297,229		291,523			(12,923)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/28/2022	89	159,128	1778.712		4,121		3,950		3,240			(172)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/28/2022	70	211,635	3038.16		4,325		4,144		3,267			(180)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/26/2021	03/28/2022	803	3,192,678	3974.54		152,538		146,182		142,737			(6,356)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/29/2021	03/29/2022	497	883,275	1778.411		24,555		23,532		18,086			(1,023)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/29/2021	03/29/2022	35	105,900	3037.7		2,101		2,014		1,599			(88)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/29/2021	03/29/2022	1,455	5,777,437	3971.09		254,410		243,810		246,066			(10,600)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/30/2021	03/30/2022	744	1,322,461	1776.576		33,458		32,064		27,795			(1,394)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/30/2021	03/30/2022	8	23,570	3034.57		556		533		363			(23)				
S&P 500 Indx Opt MOPTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/30/2021	03/30/2022	968	3,833,001	3958.55		138,787		133,004		137,695			(5,783)				
S&P 500 Dividend Aristocrats Daily Risk Control 5% Index		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/31/2021	03/31/2022	462	819,900	1773.96		23,285		22,315		17,867			(970)				
SPXD5UN Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/31/2021	03/31/2022	17	52,911	3030.15		1,047		1,004		854			(40)				
S&P 500 Index Option PTPT		N/A	Equity/Index	AEL 85LNZLR1WR9SYRVFCH09	03/31/2021	03/31/2022	898	3,567,910	3972.89		108,964		104,424		106,068			(4,540)				
0209999999. Subtotal - Purchased Options - Hedging Other - Other										33,399,850	12,828,540		21,977,403	XXX	100,872,827			(9,763,863)			XXX	XXX
0219999999. Subtotal - Purchased Options - Hedging Other										33,399,850	12,828,540		21,977,403	XXX	100,872,827			(9,763,863)			XXX	XXX
0289999999. Subtotal - Purchased Options - Replications														XXX							XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation														XXX							XXX	XXX
0429999999. Subtotal - Purchased Options - Other														XXX							XXX	XXX
0439999999. Total Purchased Options - Call Options and Warrants														XXX							XXX	XXX
0449999999. Total Purchased Options - Put Options														XXX							XXX	XXX
0459999999. Total Purchased Options - Caps														XXX							XXX	XXX
0469999999. Total Purchased Options - Floors														XXX							XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1 Description	2 Description of Item(s) Hedged, Used for Income Generation or Replicated	3 Schedule/ Exhibit Identifier	4 Type(s) of Risk(s) (a)	5 Exchange, Counterparty or Central Clearinghouse	6 Trade Date	7 Date of Maturity or Expiration	8 Number of Contracts	9 Notional Amount	10 Strike Price, Rate or Index Received (Paid)	11 Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	12 Current Year Initial Cost of Un-discounted Premium (Received) Paid	13 Current Year Income	14 Book/ Adjusted Carrying Value	15 Code	16 Fair Value	17 Unrealized Valuation Increase/ (Decrease)	18 Total Foreign Exchange Change in B./A.C.V.	19 Current Year's (Amorti-zation)/ Accretion	20 Adjustment to Carrying Value of Hedged Item	21 Potential Exposure	22 Credit Quality of Refer-ence Entity	23 Hedge Effectiveness at Inception and at Quarter-end (b)
0479999999. Total Purchased Options - Collars														XXX							XXX	XXX
0489999999. Total Purchased Options - Other										33,399,850	12,828,540		21,977,403	XXX	100,872,827			(9,763,863)			XXX	XXX
0499999999. Total Purchased Options										33,399,850	12,828,540		21,977,403	XXX	100,872,827			(9,763,863)			XXX	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other														XXX							XXX	XXX
0779999999. Subtotal - Written Options - Replications														XXX							XXX	XXX
0849999999. Subtotal - Written Options - Income Generation														XXX							XXX	XXX
0919999999. Subtotal - Written Options - Other														XXX							XXX	XXX
0929999999. Total Written Options - Call Options and Warrants														XXX							XXX	XXX
0939999999. Total Written Options - Put Options														XXX							XXX	XXX
0949999999. Total Written Options - Caps														XXX							XXX	XXX
0959999999. Total Written Options - Floors														XXX							XXX	XXX
0969999999. Total Written Options - Collars														XXX							XXX	XXX
0979999999. Total Written Options - Other														XXX							XXX	XXX
0989999999. Total Written Options														XXX							XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other														XXX							XXX	XXX
1229999999. Subtotal - Swaps - Replication														XXX							XXX	XXX
1289999999. Subtotal - Swaps - Income Generation														XXX							XXX	XXX
1349999999. Subtotal - Swaps - Other														XXX							XXX	XXX
1359999999. Total Swaps - Interest Rate														XXX							XXX	XXX
1369999999. Total Swaps - Credit Default														XXX							XXX	XXX
1379999999. Total Swaps - Foreign Exchange														XXX							XXX	XXX
1389999999. Total Swaps - Total Return														XXX							XXX	XXX
1399999999. Total Swaps - Other														XXX							XXX	XXX
1409999999. Total Swaps														XXX							XXX	XXX
1479999999. Subtotal - Forwards														XXX							XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments														XXX							XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108														XXX							XXX	XXX
1709999999. Subtotal - Hedging Other										33,399,850	12,828,540		21,977,403	XXX	100,872,827			(9,763,863)			XXX	XXX
1719999999. Subtotal - Replication														XXX							XXX	XXX
1729999999. Subtotal - Income Generation														XXX							XXX	XXX
1739999999. Subtotal - Other														XXX							XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives														XXX							XXX	XXX
1759999999 - Totals										33,399,850	12,828,540		21,977,403	XXX	100,872,827			(9,763,863)			XXX	XXX

(a)

Code	Description of Hedged Risk(s)

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1	2	3	Counterparty Offset		Book/Adjusted Carrying Value			Fair Value			12	13
Description of Exchange, Counterparty or Central Clearinghouse	Master Agreement (Y or N)	Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	5 Present Value of Financing Premium	6 Contracts With Book/Adjusted Carrying Value >0	7 Contracts With Book/Adjusted Carrying Value <0	8 Exposure Net of Collateral	9 Contracts With Fair Value >0	10 Contracts With Fair Value <0	11 Exposure Net of Collateral	Potential Exposure	Off-Balance Sheet Exposure
0199999999 - Aggregate Sum of Exchange Traded Derivatives	XXX	XXX	XXX									
AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY 85LNZLR1WR9SYRVFCH09	Y	N			21,977,403		21,977,403	100,872,827		100,872,827		
0299999999. Total NAIC 1 Designation					21,977,403		21,977,403	100,872,827		100,872,827		
0899999999. Aggregate Sum of Central Clearinghouses (Excluding Exchange Traded)												
0999999999 - Gross Totals					21,977,403		21,977,403	100,872,827		100,872,827		
1. Offset per SSAP No. 64												
2. Net after right of offset per SSAP No. 64					21,977,403							

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

NONE

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
						6	7	8	
Depository		Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
CITIBANK	NEW YORK, NY					6,703,166	8,658,809	8,755,446	XXX
JPMORGAN CHASE BANK	NEW YORK, NY					(2,411,903)	(995,584)	3,955,587	XXX
STATE STREET BANK	BOSTON, MA					3,529,900	3,970,587	9,310,013	XXX
WEST BANK	WEST DES MOINES, IA					(4,861,275)	(22,899,107)	(18,137,731)	XXX
0199998. Deposits in ... 1 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories		XXX	XXX					24,385	XXX
0199999. Totals - Open Depositories		XXX	XXX			2,959,888	(11,265,294)	3,907,700	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories		XXX	XXX						XXX
0299999. Totals - Suspended Depositories		XXX	XXX						XXX
0399999. Total Cash on Deposit		XXX	XXX			2,959,888	(11,265,294)	3,907,700	XXX
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash		XXX	XXX			2,959,888	(11,265,294)	3,907,700	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds								
1099999. Total - All Other Government Bonds								
1799999. Total - U.S. States, Territories and Possessions Bonds								
2499999. Total - U.S. Political Subdivisions Bonds								
3199999. Total - U.S. Special Revenues Bonds								
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds								
4899999. Total - Hybrid Securities								
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								
6099999. Subtotal - SVO Identified Funds								
6599999. Subtotal - Unaffiliated Bank Loans								
7699999. Total - Issuer Obligations								
7799999. Total - Residential Mortgage-Backed Securities								
7899999. Total - Commercial Mortgage-Backed Securities								
7999999. Total - Other Loan-Backed and Structured Securities								
8099999. Total - SVO Identified Funds								
8199999. Total - Affiliated Bank Loans								
8299999. Total - Unaffiliated Bank Loans								
8399999. Total Bonds								
09248U-70-0	BLACKROCK PROVIDENT T-FUND MONEY MARKET					113,221,000		19,915
31607A-70-3	Fidelity Government Portfolio MONEY MARKET					64,563,684	1,483	2,409
38141W-25-7	GOLDMAN SACHS GOLDMAN SACHS SQ GOVT SERVICE					46,502		
38141W-27-3	GOLDMAN SACHS MONEY MARKET					130,200,000		22,606
4812C0-67-0	JPMorgan US Government Money M MONEY MARKET					83,976,000	6,966	6,511
857492-70-6	STATE STREET INST US GOVT FUND MONEY MARKET					127,950,000		52,694
949921-12-6	Wells Fargo Government Money M MONEY MARKET					67,373,000		21,022
8699999. Subtotal - All Other Money Market Mutual Funds						587,330,186	8,449	125,158
9999999 - Total Cash Equivalents						587,330,186	8,449	125,158

SUPPLEMENT FOR THE QUARTER ENDING MARCH 31, 2021 OF THE EAGLE LIFE INSURANCE COMPANY

MEDICARE PART D COVERAGE SUPPLEMENT

(Net of Reinsurance)

NAIC Group Code 2658 NAIC Company Code 13183

NONE

	Individual Coverage		Group Coverage		5
	1 Insured	2 Uninsured	3 Insured	4 Uninsured	Total Cash
1. Premiums Collected		XXX		XXX	
2. Earned Premiums		XXX		XXX	XXX
3. Claims Paid		XXX		XXX	
4. Claims Incurred		XXX		XXX	XXX
5. Reinsurance Coverage and Low Income Cost Sharing - Claims Paid Net of Reimbursements Applied (a)	XXX		XXX		
6. Aggregate Policy Reserves - Change		XXX		XXX	XXX
7. Expenses Paid		XXX		XXX	
8. Expenses Incurred		XXX		XXX	XXX
9. Underwriting Gain or Loss		XXX		XXX	XXX
10. Cash Flow Result	XXX	XXX	XXX	XXX	

(a) Uninsured Receivable/Payable with CMS at End of Quarter: $ .... due from CMS or $ .... due to CMS